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- Abstract -

We define the bisector energy $\mathcal{E}(\mathcal{P})$ of a set \mathcal{P} in \mathbb{R}^2 to be the number of quadruples $(a, b, c, d) \in \mathcal{P}^4$ such that a, b determine the same perpendicular bisector as c, d. Equivalently, $\mathcal{E}(\mathcal{P})$ is the number of isosceles trapezoids determined by \mathcal{P} . We prove that if no line or circle contains M(n) points of an *n*-point set \mathcal{P} , then for any $\varepsilon > 0$ we have

$$\mathcal{E}(\mathcal{P}) = O\left(M(n)^{\frac{2}{5}}n^{\frac{12}{5}+\varepsilon} + M(n)n^2\right).$$

We derive the lower bound $\mathcal{E}(\mathcal{P}) = \Omega(M(n)n^2)$, matching our upper bound when M(n) is large. We use our upper bound on $\mathcal{E}(\mathcal{P})$ to obtain two rather different results:

- (i) If \mathcal{P} determines $O(n/\sqrt{\log n})$ distinct distances, then for any $0 < \alpha \leq 1/4$, there exists a line or circle that contains at least n^{α} points of \mathcal{P} , or there exist $\Omega(n^{8/5-12\alpha/5-\varepsilon})$ distinct lines that contain $\Omega(\sqrt{\log n})$ points of \mathcal{P} . This result provides new information towards a conjecture of Erdős [7] regarding the structure of point sets with few distinct distances.
- (ii) If no line or circle contains M(n) points of \mathcal{P} , the number of distinct perpendicular bisectors determined by \mathcal{P} is $\Omega\left(\min\left\{M(n)^{-2/5}n^{8/5-\varepsilon}, M(n)^{-1}n^2\right\}\right)$.

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Introduction 1

Guth and Katz [11] proved that every set of n points in \mathbb{R}^2 determines $\Omega(n/\log n)$ distinct distances. This almost completely settled a conjecture of Erdős [5], who observed that the $\sqrt{n} \times \sqrt{n}$ integer lattice determines $\Theta(n/\sqrt{\log n})$ distances, and conjectured that every set of n points determines at least this number of distances. Beyond the remaining $\sqrt{\log n}$ gap, this leaves open the question of which point sets determine few distances. Erdős [7] asked whether every set that determines $O(n/\sqrt{\log n})$ distances "has lattice structure". He then wrote: "The first step would be to decide if there always is a line which contains $cn^{1/2}$ of the points (and in fact n^{ε} would already be interesting)."

Embarrassingly, almost three decades later the bound n^{ε} seems as distant as it ever was. The following bound is a consequence of an argument of Szemerédi, presented by Erdős [6].

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▶ **Theorem 1.1** (Szemerédi). If a set \mathcal{P} of n points in \mathbb{R}^2 determines $O(n/\sqrt{\log n})$ distances, then there exists a line containing $\Omega(\sqrt{\log n})$ points of \mathcal{P} .

Recently, it was noticed that this bound can be slightly improved to $\Omega(\log n)$ points on a line (see [19]). Assuming that no line contains an asymptotically larger number of points, one can deduce the existence of $\Omega(n/\log n)$ distinct lines that contain $\Omega(\log n)$ points of \mathcal{P} . By inspecting Szemerédi's proof, it is also apparent that these lines are *perpendicular* bisectors of pairs of points of \mathcal{P} .

This problem was recently approached from the other direction in [15, 16, 20]. Combining the results of these three papers implies the following. If an *n*-point set $\mathcal{P} \subset \mathbb{R}^2$ determines o(n) distances, then no line contains $\Omega(n^{43/52+\varepsilon})$ points of \mathcal{P} , no circle contains $\Omega(n^{5/6})$ points, and no other constant-degree irreducible algebraic curve contains $\Omega(n^{3/4})$ points.

In the current paper we study a different aspect of sets with few distinct distances. Our main tool is a bound on the *bisector energy* of the point set (see below for a formal definition). Using this tool, we prove that if a point set \mathcal{P} determines $O(n/\sqrt{\log n})$ distinct distances, then there exists a line or a circle with many points of \mathcal{P} , or the number of lines containing $\Omega(\sqrt{\log n})$ points must be significantly larger than implied by Theorem 1.1. As another application of bisector energy, we prove that if no line or circle contains many points of a point set \mathcal{P} , then \mathcal{P} determines a large number of distinct perpendicular bisectors. We will provide more background to both results after we have properly stated them.

2 Results

Bisector energy. Given two distinct points $a, b \in \mathbb{R}^2$, we denote by $\mathcal{B}(a, b)$ their *perpendicular bisector* (i.e., the line consisting of all points that are equidistant from a and b); for brevity, we usually refer to it as the *bisector* of a and b. We define the *bisector energy* of \mathcal{P} as

$$\mathcal{E}(\mathcal{P}) = p \left| \left\{ (a, b, c, d) \in \mathcal{P}^4 : a \neq b, c \neq d, \text{ and } \mathcal{B}(a, b) = \mathcal{B}(c, d) \right\} \right|.$$

Equivalently, $\mathcal{E}(\mathcal{P})$ is the number of *isosceles trapezoids* determined by \mathcal{P} (not counting isosceles triangles).¹ In Section 3, we prove the following upper bound on this quantity.

▶ **Theorem 2.1.** Let M(n) be an arbitrary function with positive values. For any n-point set $\mathcal{P} \subset \mathbb{R}^2$, such that no line or circle contains M(n) points of \mathcal{P} , we have²

$$\mathcal{E}(\mathcal{P}) = O\left(M(n)^{\frac{2}{5}}n^{\frac{12}{5}+\varepsilon} + M(n)n^2\right).$$

The bound of Theorem 2.1 is dominated by its first term when $M(n) = O(n^{2/3+\varepsilon'})$. We note that one important ingredient of our proof is the result of Guth and Katz [11]; without it, we would obtain a weaker (although nontrivial) bound on the bisector energy (see the remark at the end of Section 3.3).

In Section 3.4, we derive a lower bound for the maximum bisector energy. It shows that Theorem 2.1 is tight when its second term dominates, i.e., when $M(n) = \Omega(n^{2/3+\varepsilon'})$.

▶ **Theorem 2.2.** For any *n* and M(n), there exists a set \mathcal{P} of *n* points in \mathbb{R}^2 such that no line or circle contains M(n) points of \mathcal{P} , and $\mathcal{E}(\mathcal{P}) = \Omega(M(n)n^2)$.

¹ Note that if each distinct pair of points of \mathcal{P} determines a distinct bisector, then $\mathcal{E}(\mathcal{P}) = 2n(n-1)$, since quadruples of the form (a, b, a, b), (a, b, b, a), (b, a, a, b), and (b, a, b, a), are counted for every $(a, b) \in \mathcal{P}^2$.

² Throughout this paper, when we state a bound involving an ε , we mean that this bound holds for every $\varepsilon > 0$, with the multiplicative constant of the O()-notation depending on ε .

We conjecture that $\mathcal{E}(\mathcal{P}) = O(M(n)n^2)$ is true for all M(n). In parallel to our work, Hanson, Iosevich, Lund, and Roche-Newton [12] proved a variant of Theorem 2.1 in \mathbb{F}_q^2 .

Few distinct distances. Pach and Tardos [14] proved that an *n*-point set $\mathcal{P} \subset \mathbb{R}^2$ determines $O(n^{2.137})$ isosceles triangles. They also observed that this bound implies that \mathcal{P} contains a point from which there are $\Omega(n^{0.863})$ distinct distances (a result obtained earlier in [24] and improved slightly in [13]). Similarly, our upper bound on the number of isosceles trapezoids determined by a point set \mathcal{P} has implications concerning the distinct distances that are determined by \mathcal{P} .

We deduce the following theorem from Theorem 2.1. More precisely, it follows from the slightly more general Theorem 4.1 that we prove in Section 4.

▶ **Theorem 2.3.** Let $\mathcal{P} \subset \mathbb{R}^2$ be a set of *n* points that spans $O(n/\sqrt{\log n})$ distinct distances. For any $0 < \alpha \leq 1/4$, at least one of the following holds (with constants independent of α).

(i) There exists a line or a circle containing $\Omega(n^{\alpha})$ points of \mathcal{P} .

(ii) There are $\Omega(n^{\frac{8}{5}-\frac{12\alpha}{5}-\varepsilon})$ lines that contain $\Omega(\sqrt{\log n})$ points of \mathcal{P} .

If our conjecture that $\mathcal{E}(\mathcal{P}) = O(M(n)n^2)$ is true, alternative *(ii)* in the conclusion of Theorem 2.3 improves to $\Omega(n^{2-3\alpha} \log n)$ lines that contain $\Omega(\sqrt{\log n})$ points of \mathcal{P} .

We believe that Theorem 2.3 is a step towards Erdős's lattice conjecture. We mention several recent results and conjectures that together paint an interesting picture.

Green and Tao [10] proved that, given an *n*-point set in \mathbb{R}^2 such that more than $n^2/6-O(n)$ lines contain at least three of the points, most of the points must lie on a cubic curve (an algebraic curve of degree at most three). Elekes and Szabó [4] stated the stronger conjecture that if an *n*-point set determines $\Omega(n^2)$ collinear triples, then many of the points lie on a cubic curve; unfortunately, at this point it is not even known whether there must be a cubic that contains *ten* points of the set. Erdős and Purdy [8] conjectured that if *n* points determine $\Omega(n^2)$ collinear quadruples, then there must be five points on a line. If the point set is already known to lie on a low-degree algebraic curve, then both conjectures hold [4, 18]. On the other hand, Solymosi and Stojaković [21] proved that for any constant *k*, there are point sets with $\Omega(n^{2-\varepsilon})$ lines with exactly *k* points, but no line with k + 1 points.

The philosophy of these statements is that if there are many lines containing many points, then most points must lie on some low-degree algebraic curve. Our result shows that for an *n*-point set with few distinct distances, there is a line or circle with very many points, or else there are many lines with many points. In particular, in the second case there would be many collinear triples (although not quite as many as $\Omega(n^2)$), and many lines with very many (more than a constant) points. This suggests that few distinct distances should imply some algebraic structure. Let us pose a specific question: Is there a $0 < \beta < 1$ such that if *n* points determine $\Omega(n^{1+\beta})$ lines with $\Omega(\sqrt{\log n})$ points, then many of the points must lie on a low-degree algebraic curve?

Distinct bisectors. Let $\mathcal{B}(\mathcal{P})$ be the set of those lines that are (distinct) perpendicular bisectors of \mathcal{P} . Since any point of \mathcal{P} determines n-1 distinct bisectors with the other points of \mathcal{P} , we have a trivial lower bound $|\mathcal{B}(\mathcal{P})| \geq n-1$. If \mathcal{P} is a set of equally spaced points on a circle, then $|\mathcal{B}(\mathcal{P})| = n$. Similarly, if \mathcal{P} is a set of n equally spaced points on a line, then $|\mathcal{B}(\mathcal{P})| = 2n - 3$. As we now show, forbidding many points on a line or circle forces $|\mathcal{B}(\mathcal{P})|$ to be significantly larger.

► Theorem 2.4. If an n-point set $\mathcal{P} \subset \mathbb{R}^2$ has no M(n) points on a line or circle, then

$$|\mathcal{B}(\mathcal{P})| = \Omega\left(\min\left\{M(n)^{-\frac{2}{5}}n^{\frac{8}{5}-\varepsilon}, M(n)^{-1}n^{2}\right\}\right).$$

Proof. For any line $\ell \subset \mathbb{R}^2$, set $E_{\ell} = \{(a, b) \in \mathcal{P}^2 : a \neq b, \ \mathcal{B}(a, b) = \ell\}$. By the Cauchy-Schwarz inequality, we have

$$\mathcal{E}(\mathcal{P}) = \sum_{\ell \in \mathcal{B}(\mathcal{P})} |E_{\ell}|^2 \ge \frac{1}{|\mathcal{B}(\mathcal{P})|} \left(\sum_{\ell \in \mathcal{B}(\mathcal{P})} |E_{\ell}| \right)^2 = \Omega\left(\frac{n^4}{|\mathcal{B}(\mathcal{P})|} \right)$$

Combining this with the bound of Theorem 2.1 immediately implies the theorem.

We are not aware of any previous bound on the minimum number of distinct bisectors. Theorem 2.4 is related to a series of results initiated by Elekes and Rónyai [2], studying the expansion properties of polynomials and rational functions. For instance, in [17] it is proved that a polynomial function $F : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$ takes $\Omega(n^{4/3})$ values on the n^2 pairs from a finite set in \mathbb{R} of size n, unless F has a special form. Elekes and Szabó [3] derived, among other things, the following two-dimensional generalization (rephrased for our convenience, and omitting some details). If $F : \mathbb{R}^2 \times \mathbb{R}^2 \to \mathbb{R}^2$ is a rational function that is not of a special form, and $\mathcal{P} \subset \mathbb{R}^2$ is an n-point set such that no low-degree curve contains many points of \mathcal{P} , then F takes $\Omega(n^{1+\varepsilon})$ values on $\mathcal{P} \times \mathcal{P}$.

Theorem 2.4 proves a better bound for the function \mathcal{B} , with a less restrictive condition on \mathcal{P} . If we view a line y = sx + t as a point $(s,t) \in \mathbb{R}^2$, then (see the proof of Lemma 3.1)

$$\mathcal{B}(a_x, a_y, b_x, b_y) = \left(-\frac{a_x - b_x}{a_y - b_y}, \frac{(a_x^2 + a_y^2) - (b_x^2 + b_y^2)}{2(a_y - b_y)}\right)$$

is a rational function $\mathbb{R}^2 \times \mathbb{R}^2 \to \mathbb{R}^2$. Theorem 2.4 says that \mathcal{B} takes many distinct values on $\mathcal{P} \times \mathcal{P}$ if \mathcal{P} has few points on a line or circle. So we have replaced the broad condition of [3] that not too many points lie on a low-degree curve, with the very specific condition that not too many points lie on a line or circle.

An incidence bound. To prove Theorem 2.1, we use the incidence bound below. It is a refined version of a theorem from Fox et al. [9], with explicit dependence on the parameter t, which we allow to depend on m and n. We reproduce the proof in Section 5 to determine this dependence. Given a set $\mathcal{P} \subset \mathbb{R}^d$ of points and a set $\mathcal{S} \subset \mathbb{R}^d$ of varieties, the *incidence graph* is a bipartite graph with vertex sets \mathcal{P} and \mathcal{S} , such that $(p, S) \in \mathcal{P} \times \mathcal{S}$ is an edge in the graph if $p \in S$. We write $I(\mathcal{P}, \mathcal{S})$ for the number of edges of this graph, or in other words, for the number of *incidences* between \mathcal{P} and \mathcal{S} . We denote the complete bipartite graph on s and t vertices by $K_{s,t}$ (in the incidence graph, such a subgraph corresponds to s points that are contained in t varieties). For the definitions of the algebraic terms in this statement we refer to [9].

▶ **Theorem 2.5.** Let S be a set of n constant-degree varieties and \mathcal{P} a set of m points, both in \mathbb{R}^d , such that the incidence graph of $\mathcal{P} \times S$ contains no copy of $K_{s,t}$ (where s is a constant, but t may depend on m, n). Moreover, assume that $\mathcal{P} \subset V$, where V is an irreducible constant-degree variety of dimension e. Then

$$I(\mathcal{P}, \mathcal{S}) = O\left(m^{\frac{s(e-1)}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{e-1}{es-1}} + tm + n\right).$$

3 Proof of Theorem 2.1

In this section we prove Theorem 2.1 by relating the bisector energy to an incidence problem between points and algebraic surfaces in \mathbb{R}^4 . In Section 3.1 we define the surfaces, in Section 3.2 we analyze their intersection properties, and in Section 3.3 we apply the incidence bound of Theorem 2.5 to prove Theorem 2.1. Finally, in Section 3.4 we derive Theorem 2.2, which provides a lower bound for Theorem 2.1.

Throughout this section we assume that we have rotated \mathcal{P} so that no two points have the same x- or y-coordinate; in particular, we assume that no perpendicular bisector is horizontal or vertical.

3.1 Bisector surfaces

Recall that in Theorem 2.1 we consider an *n*-point set $\mathcal{P} \subset \mathbb{R}^2$. We define

$$\mathcal{P}^{2*} = \{(a,c) \in \mathcal{P}^2 : a \neq c\},\$$

and similarly

$$\mathcal{P}^{4*} = \{(a, b, c, d) \in \mathcal{P}^4 : a \neq b, c \neq d; a \neq c, b \neq d\}.$$

Also recall that for distinct $a, b \in \mathcal{P}$, we denote by $\mathcal{B}(a, b)$ the perpendicular bisector of a and b. We define the *bisector surface* of a pair $(a, c) \in \mathcal{P}^{2*}$ as

$$S_{ac} = \{(b,d) \in \mathbb{R}^2 \times \mathbb{R}^2 : (a,b,c,d) \in \mathcal{P}^{4*}, \ \mathcal{B}(a,b) = \mathcal{B}(c,d)\},\$$

and we set $S = \{S_{ac} : (a, c) \in \mathcal{P}^{2*}\}$. The surface S_{ac} is not an algebraic variety (so we are using the word "surface" loosely), but the lemma below shows that S_{ac} is "close to" a variety \overline{S}_{ac} . That S_{ac} is contained in a constant-degree variety of the same dimension is no surprise (one can take the *Zariski closure*), but we need to analyze this variety in detail to establish the exact relationship.

We will work mostly with the surface S_{ac} in the rest of this proof, rather than with the variety \overline{S}_{ac} , because its definition is easier to handle. Then, when we apply our incidence bound, which holds only for varieties, we will switch to \overline{S}_{ac} . Fortunately, the lemma shows that this makes no difference in terms of the incidence graph.

▶ Lemma 3.1. For distinct $a, c \in \mathcal{P}$, there exists a two-dimensional constant-degree algebraic variety \overline{S}_{ac} such that $S_{ac} \subset \overline{S}_{ac}$. Moreover, if $(b,d) \in (\overline{S}_{ac} \setminus S_{ac}) \cap \mathcal{P}^{2*}$, then $(a,b,c,d) \notin \mathcal{P}^{4*}$.

Proof. Consider a point $(b, d) \in S_{ac}$. Write the equation defining the perpendicular bisector $\mathcal{B}(a, b) = \mathcal{B}(c, d)$ as y = sx + t. The slope s satisfies

$$s = -\frac{a_x - b_x}{a_y - b_y} = -\frac{c_x - d_x}{c_y - d_y}.$$
 (1)

By definition $\mathcal{B}(a, b)$ passes through the midpoint $((a_x + b_x)/2, (a_y + b_y)/2)$ of a and b, as well as through the midpoint $((c_x + d_x)/2, (c_y + d_y)/2)$ of c and d. We thus have

$$\frac{a_y + b_y}{2} - s\frac{a_x + b_x}{2} = t = \frac{c_y + d_y}{2} - s\frac{c_x + d_x}{2}.$$
(2)

By combining (1) and (2) we obtain

$$(a_y - b_y)(c_x^2 + c_y^2 - d_x^2 - d_y^2) = (c_y - d_y)(a_x^2 + a_y^2 - b_x^2 - b_y^2).$$
(3)

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From (1) and (3) we see that $(b,d) = (x_1, x_2, x_3, x_4)$ satisfies

$$f_{ac}(x_1, x_2, x_3, x_4) = (a_x - x_1)(c_y - x_4) - (a_y - x_2)(c_x - x_3) = 0,$$

$$g_{ac}(x_1, x_2, x_3, x_4) = (a_y - x_2)(c_x^2 + c_y^2 - x_3^2 - x_4^2) - (c_y - x_4)(a_x^2 + a_y^2 - x_1^2 - x_2^2) = 0.$$

Since any point $(b, d) \in S_{ac}$ satisfies these two equations, we have

 $S_{ac} \subset Z(f_{ac}, g_{ac}) = \overline{S}_{ac}.$

By reexamining the above analysis, we see that if a point $(b, d) \in \overline{S}_{ac} \cap \mathcal{P}^{2*}$ is not in S_{ac} , we must have $a_y = b_y$ or $c_y = d_y$, since then (1) is not well defined. By the assumption that no two points of \mathcal{P} have the same y-coordinate, this implies a = b or c = d, so $(a, b, c, d) \notin \mathcal{P}^{4*}$.

It remains to prove that \overline{S}_{ac} is a constant-degree two-dimensional variety. The constant degree is immediate from f_{ac} and g_{ac} being polynomials of degree at most three. As just observed, a point $(b,d) \in \overline{S}_{ac} \backslash S_{ac}$ satisfies $a_y = b_y$ or $c_y = d_y$. If $a_y = b_y$, then for $f_{ac}(b,d) = g_{ac}(b,d) = 0$ to hold, we must have $a_x = b_x$ or $c_y = d_y$. Similarly, If $c_y = d_y$, then $c_x = d_x$ or $a_y = b_y$. We see that in each case we get two independent linear equations, which define a plane, so $\overline{S}_{ac} \backslash S_{ac}$ is the union of three two-dimensional planes. Thus, it suffices to prove that S_{ac} is two-dimensional. For this, we simply show that for any valid value of b there is at most one valid value of d. Let $C_{ac} \subset \mathbb{R}^2$ denote the circle that is centered at c and incident to a (here we use $a \neq c$). It is impossible for b to lie on C_{ac} , since this would imply that the bisector $\mathcal{B}(a, b)$ contains c, and thus that $\mathcal{B}(a, b) \neq \mathcal{B}(c, d)$. For any choice of $b \notin C_{ac}$, the bisector $\mathcal{B}(a, b)$ is well-defined and is not incident to c, so there is a unique $d \in \mathbb{R}^2$ with $\mathcal{B}(a, b) = \mathcal{B}(c, d)$ (i.e., so that $(b, d) \in S_{ac}$).

3.2 Intersections of bisector surfaces

We denote by \mathbf{R}_{ab} the reflection of \mathbb{R}^2 across the line $\mathcal{B}(a, b)$. Observe that if $\mathcal{B}(a, b) = \mathcal{B}(c, d)$, then $\mathbf{R}_{ab} = \mathbf{R}_{cd}$, and this reflection maps a to b and c to d; this in turn implies that |ac| = |bd|. That is, $(b, d) \in S_{ac}$ implies |ac| = |bd|. It follows that if $|ac| = \delta$, then the surface S_{ac} is contained in the hypersurface

$$H_{\delta} = \{ (b,d) \in \mathbb{R}^2 \times \mathbb{R}^2 : |bd| = \delta \}.$$

We can thus partition S into classes corresponding to the distances δ that are determined by pairs of points of \mathcal{P} . Each class consists of the surfaces S_{ac} with $|ac| = \delta$, all of which are fully contained in H_{δ} .

We now study the intersection of the surfaces contained in a common hypersurface H_{δ} .

▶ Lemma 3.2. Let $(a,c) \neq (a',c')$ and $|ac| = |a'c'| = \delta \neq 0$. Then there exist curves $C_1, C_2 \subset \mathbb{R}^2$, which are either two concentric circles or two parallel lines, such that $a, a' \in C_1$, $c, c' \in C_2$, and $S_{ac} \cap S_{a'c'}$ is contained in the set

 $H_{\delta} \cap (C_1 \times C_2) = \{(b, d) \in \mathbb{R}^2 \times \mathbb{R}^2 : b \in C_1, d \in C_2, |bd| = \delta\}.$

Proof. We split the analysis into three cases: (i) $|\mathcal{B}(a, a') \cap \mathcal{B}(c, c')| = 1$, (ii) $\mathcal{B}(a, a') = \mathcal{B}(c, c')$, and (iii) $\mathcal{B}(a, a') \cap \mathcal{B}(c, c') = \emptyset$. The three cases are depicted in Figure 1.

Case (i). Let $o = \mathcal{B}(a, a') \cap \mathcal{B}(c, c')$. Then there exist two (not necessarily distinct) circles C_1, C_2 around o such that $a, a' \in C_1$ and $c, c' \in C_2$. If $(b, d) \in S_{ac} \cap S_{a'c'}$, then the reflection \mathbf{R}_{ab} maps a to b and c to d, and similarly, $\mathbf{R}_{a'b}$ maps b to a' and d to c'. We set



Figure 1 The three cases in the analysis of Lemma 3.2.

 $\mathbf{T} = \mathbf{R}_{a'b} \circ \mathbf{R}_{ab}$, and notice that this is a rotation whose center o^* is the intersection point of $\mathcal{B}(a,b) = \mathcal{B}(c,d)$ and $\mathcal{B}(a',b) = \mathcal{B}(c',d)$. Note that $\mathbf{T}(a) = a'$ and $\mathbf{T}(c) = c'$, so o^* lies on both $\mathcal{B}(a,a')$ and $\mathcal{B}(c,c')$. Since $o = \mathcal{B}(a,a') \cap \mathcal{B}(c,c')$, we obtain that $o = o^*$. Since $\mathcal{B}(a,b)$ passes through o, we have that b is incident to C_1 . Similarly, since $\mathcal{B}(c,d)$ passes through o, we have that d is incident to C_2 . This implies that (b,d) lies in $H_{\delta} \cap (C_1 \times C_2)$.

Case (ii). Let ℓ be the line $\mathcal{B}(a, a') = \mathcal{B}(c, c')$. The line segment ac is a reflection across ℓ of the line segment a'c'. Thus, the intersection point o of the lines that contains these two segments is incident to ℓ . Let C_1 be the circle centered at o that contains a and a', and let C_2 be the circle centered at o that contains c and c'. With this definition of o, C_1 , and C_2 , we can repeat the analysis of case (i), obtaining the same conclusion.

Case (iii). In this case $\mathcal{B}(a, a')$ and $\mathcal{B}(c, c')$ are parallel. The analysis of this case is similar to that in case (i), but with lines instead of circles.

Let C_1 be the line that is incident to a and a', and let C_2 be the line that is incident to cand c'. If $(b, d) \in S_{ac} \cap S_{a'c'}$, then, as before, \mathbf{R}_{ab} maps a to b and c to d, and $\mathbf{R}_{a'b}$ maps b to a' and d to c'. Since $\mathcal{B}(a', b)$ and $\mathcal{B}(a, b)$ are parallel, we have that $\mathbf{T} = \mathbf{R}_{a'b} \circ \mathbf{R}_{ab}$ is a translation in the direction orthogonal to these two lines. This implies that $b \in C_1$ and $d \in C_2$, which completes the analysis of this case.

In Section 3.3, we will apply the incidence bound of Theorem 2.5 to the point set $\mathcal{P}^{2*} = \{(b,d) \in \mathcal{P}^2 : b \neq d\}$ and the set of surfaces \mathcal{S} . For this we need to show that the incidence graph contains no complete bipartite graph $K_{2,M}$; that is, that for any two points of \mathcal{P}^{2*} (where \mathcal{P}^{2*} is considered as a point set in \mathbb{R}^4) there is a bounded number of surfaces of \mathcal{S} that contain both points. In the following lemma we prove the more general statement that the incidence graph contains no copy of $K_{2,M}$ and no copy of $K_{M,2}$. Note that this is the only point in the proof of Theorem 2.1 where we use the condition that no M points are on a line or circle.

▶ Corollary 3.3. If no line or circle contains M points of \mathcal{P} , then the incidence graph of \mathcal{P}^{2*} and \mathcal{S} contains neither a copy of $K_{2,M}$ nor a copy of $K_{M,2}$.

Proof. Consider two distinct surfaces $S_{ac}, S_{a'c'} \in S$ with $|ac| = |a'c'| = \delta$. Lemma 3.2 implies that there exist two lines or circles C_1, C_2 such that $(b, d) \in S_{ac} \cap S_{a'c'}$ only if $b \in C_1$ and $d \in C_2$. Since no line or circle contains M points of \mathcal{P} , we have $|C_1 \cap \mathcal{P}| < M$. Given $b \in (C_1 \cap \mathcal{P}) \setminus \{a\}$, there is at most one $d \in \mathcal{P}$ such that $\mathcal{B}(a, b) = \mathcal{B}(c, d)$, and thus at most one point $(b, d) \in S_{ac}$. (Notice that no points of the form $(a, d) \in \mathcal{P}^{2*}$ are in S_{ac} .) Thus

$$|(S_{ac} \cap S_{a'c'}) \cap \mathcal{P}^{2*}| < M.$$

That is, the incidence graph contains no copy of $K_{M,2}$. We now define "dual" surfaces

$$S_{bd}^* = \{(a,c) \in \mathbb{R}^2 \times \mathbb{R}^2 : a \neq b, c \neq d, \mathcal{B}(a,b) = \mathcal{B}(c,d)\},\$$

and set $\mathcal{S}^* = \{S_{bd}^* : (b,d) \in \mathcal{P}^{2*}\}$. By a symmetric argument, we get

 $|(S_{bd}^* \cap S_{b'd'}^*) \cap \mathcal{P}^{2*}| < M$

for all $(b,d) \neq (b',d')$. Observe that $(a,c) \in S_{bd}^*$ if and only if $(b,d) \in S_{ac}$. Hence, having fewer than M points $(a,c) \in (S_{bd}^* \cap S_{b'd'}^*) \cap \mathcal{P}^{2*}$ is equivalent to having fewer than M surfaces S_{ac} that contain both (b,d) and (b',d'); i.e., the incidence graph contains no $K_{2,M}$.

3.3 Applying the incidence bound

We set

$$Q = \{(a, b, c, d) \in \mathcal{P}^{4*} : \mathcal{B}(a, b) = \mathcal{B}(c, d)\},\$$

and note that $|Q| + {n \choose 2} = \mathcal{E}(\mathcal{P})$, where the term ${n \choose 2}$ accounts for the quadruples of the form (a, b, a, b). As we saw in Section 3.2, every quadruple $(a, b, c, d) \in Q$ satisfies |ac| = |bd|.

Let $\delta_1, \ldots, \delta_D$ denote the distinct distances that are determined by pairs of distinct points in \mathcal{P} . We partition \mathcal{P}^{2*} into the disjoint subsets Π_1, \ldots, Π_D , where

 $\Pi_i = \{(u, v) \in \mathcal{P}^{2*} : |uv| = \delta_i\}.$

We also partition S into disjoint subsets S_1, \ldots, S_D , defined by

 $\mathcal{S}_i = \{ S_{ac} \in \mathcal{S} : |ac| = \delta_i \}.$

Let m_i be the number of $(a,c) \in \mathcal{P}^{2*}$ such that $|ac| = \delta_i$. Note that $|\Pi_i| = |\mathcal{S}_i| = m_i$ and

$$\sum m_i = n(n-1).$$

A quadruple $(a, b, c, d) \in \mathcal{P}^{4*}$ is in Q if and only if the point (b, d) is incident to S_{ac} . Moreover, there exists a unique $1 \leq i \leq D$ such that $(b, d) \in \Pi_i$ and $S_{ac} \in S_i$. Therefore, it suffices to study each Π_i and S_i separately. That is, we have

$$|Q| = \sum_{i=1}^{D} I(\Pi_i, \mathcal{S}_i).$$

We apply our incidence bound to S_i , or rather, to the corresponding set of varieties $\overline{S}_i = \{\overline{S}_{ac} : S_{ac} \in S_i\}$. By Lemma 3.1, the incidence graph of Π_i with \overline{S}_i is the same as with S_i , hence also does not contain a copy of $K_{2,M}$ by Corollary 3.3. Observe that $\Pi_i \subset H_{\delta_i}$. The hypersurface H_{δ_i} is irreducible, three-dimensional, and of a constant degree, since it is defined by the irreducible polynomial $(x_1 - x_3)^2 + (x_2 - x_4)^2 - \delta_i$. Thus we can apply Theorem 2.5 to each $I(\Pi_i, \overline{S}_i)$, with $m = n = m_i$, $V = H_{\delta_i}$, d = 4, e = 3, s = 2, and t = M. This implies that

$$I(\Pi_i, \mathcal{S}_i) = I(\Pi_i, \overline{\mathcal{S}}_i) = O\left(M^{\frac{2}{5}}m_i^{\frac{7}{5}+\varepsilon} + Mm_i\right).$$
(4)

Let *J* be the set of indices $1 \le j \le D$ for which the bound in (4) is dominated by the term $M^{\frac{2}{5}}m_j^{\frac{7}{5}+\varepsilon}$. By recalling that $\sum_{j=1}^{D}m_j = n(n-1)$, we get

$$\sum_{j \notin J} I(\Pi_j, \mathcal{S}_j) = O\left(Mn^2\right)$$

Next we consider $\sum_{j \in J} I(\Pi_j, \mathcal{S}_j) = O(\sum_{j \in J} M^{2/5} m_j^{7/5 + \varepsilon})$. By [11, Proposition 2.2], we have

$$\sum m_j^2 = O(n^3 \log n).$$

This implies that the number of m_j for which $m_j \ge x$ is $O(n^3 \log n/x^2)$. By using a dyadic decomposition, we obtain

$$M^{-2/5}n^{-\varepsilon}\sum_{j\in J}I(\Pi_j,\mathcal{S}_j) = O\left(\sum_{m_j\leq\Delta}m_j^{7/5} + \sum_{k\geq 1}\sum_{2^{k-1}\Delta< m_j\leq 2^k\Delta}m_j^{7/5}\right)$$
$$= O\left(\Delta^{7/5}\cdot\frac{n^2}{\Delta} + \sum_{k\geq 1}(2^k\Delta)^{\frac{7}{5}}\cdot\frac{n^3\log n}{(2^k\Delta)^2}\right)$$
$$= O\left(\Delta^{2/5}n^2 + \frac{n^3\log n}{\Delta^{3/5}}\right).$$

By setting $\Delta = n \log n$, we have

$$\sum_{j\in J} I(\Pi_j, \mathcal{S}_j) = O\left(M^{\frac{2}{5}} n^{\frac{12}{5}+\varepsilon} \log^{\frac{2}{5}} n\right) = O\left(M^{\frac{2}{5}} n^{\frac{12}{5}+\varepsilon'}\right).$$

In conclusion,

$$\mathcal{E}(\mathcal{P}) \leq |Q| + n^2 = \sum_{j \in J} I(\Pi_j, \mathcal{S}_j) + \sum_{j \notin J} I(\Pi_j, \mathcal{S}_j) + n^2 = O\left(M^{\frac{2}{5}}n^{\frac{12}{5} + \varepsilon'} + Mn^2\right),$$

which completes the proof of Theorem 2.1.

▶ Remark (Remark about the incidence bound). Instead of partitioning the problem into D separate incidence problems, one can apply an incidence bound directly to the point set \mathcal{P}^{2*} and the surface set S. Roughly speaking, the best known bounds for incidences with two-dimensional surfaces in \mathbb{R}^4 , whose incidence graph contains no $K_{2,M}$, are of the form $|\mathcal{P}^{2*}|^{2/3}|S|^{2/3}$. Relying on such an incidence bound (and not using [11]) would yield a bound $|Q| = O(M^{1/3}n^{8/3} + Mn^2) = O(M^{1/3}n^{8/3})$, which is nontrivial but weaker than our bound.

3.4 A lower bound for $\mathcal{E}(\mathcal{P})$

In this section we prove Theorem 2.2. In particular, for any n and $M(n) \ge 32$, we show that there exists a set \mathcal{P} of n points in \mathbb{R}^2 such that any line or circle contains at most M(n)points of \mathcal{P} , and $\mathcal{E}(\mathcal{P}) = \Omega(M(n)n^2)$. Note that we can suppose $M(n) \ge 32$ without loss of generality since, if M(n) < 32, an arbitrary point set has $\mathcal{E}(\mathcal{P}) = \Omega(M(n)n^2)$.

For simplicity, we assume that M(n) is a multiple of 8, and that n is divisible by M(n). It is straightforward to extend the construction to values that do not satisfy these conditions.

Let C be an ellipse that is centered at the origin, has a major axis of length 2 that is parallel to the y-axis, and a minor axis of length 1 that is parallel to the x-axis. Let \mathcal{P}^+ be an arbitrary set of 4n/M(n) points on C, each having a strictly positive x-coordinate. Let \mathcal{P}^- be the reflection of \mathcal{P}^+ over the y-axis, and set $\mathcal{P}' = \mathcal{P}^+ \cup \mathcal{P}^-$. We denote by \mathcal{P}'_j the translate of \mathcal{P}' by (4j, 0). Finally, we take $\mathcal{P} = \mathcal{P}'_0 \cup \mathcal{P}'_1 \cup \cdots \cup \mathcal{P}'_{M(n)/8-1}$. An example is depicted in Figure 2.

Note that \mathcal{P} lies on the union of M(n)/8 ellipses. Since a line can intersect an ellipse in at most two points, and a circle can intersect an ellipse in at most four points, we indeed have that a line or circle contains at most M(n) points of \mathcal{P} .



Figure 2 The lower bound construction.

It remains to prove that $\mathcal{E}(\mathcal{P}) = \Omega(M(n)n^2)$. For every integer $M(n)/32 \leq j \leq M(n)/16$, we denote by ℓ_j the vertical line x = 4j. For every such j, there are $\Theta(n)$ points of \mathcal{P} that are to the left ℓ_j , and such that the reflection of every such point across ℓ_j is another point of \mathcal{P} . That is, for every $M(n)/32 \leq j \leq M(n)/16$, the line ℓ_j is the perpendicular bisector of $\Theta(n)$ pairs of points of \mathcal{P} . The assertion of the theorem follows, since there are $\Theta(M(n))$ such lines, each contributing $\Theta(n^2)$ to $\mathcal{E}(\mathcal{P})$.

4 Proof of Theorem 2.3

In this section we prove that Theorem 2.3 follows from Theorem 2.1. In fact, we prove the following more general version of Theorem 2.3.

▶ **Theorem 4.1.** Let K(n) and M(n) be two functions satisfying $K(n) = O(\log n)$ and $M(n) = O(n^{1/4})$. If an n-point set $\mathcal{P} \subset \mathbb{R}^2$ spans D = O(n/K(n)) distinct distances, then at least one of the following holds.

- (i) There exists a line or a circle containing M(n) points of \mathcal{P} .
- (ii) There are $\Omega(M(n)^{-\frac{12}{5}}n^{\frac{8}{5}-\varepsilon})$ lines that contain $\Omega(K(n))$ points of \mathcal{P} .

Since Guth and Katz [11] proved that any *n*-point set spans $\Omega(n/\log n)$ distinct distances, the assumption that $K = O(\log n)$ is not a real restriction. The original formulation of Theorem 2.3 is immediately obtained by setting $K(n) = \sqrt{\log n}$ and $M(n) = n^{\alpha}$.

Proof. For simplicity, we use the notation K = K(n) and M = M(n) throughout this proof. We assume that (i) does not hold, and prove that (ii) holds in this case.

Given a point set $\mathcal{P} \subset \mathbb{R}^2$, we denote by $\mathcal{B}^*(\mathcal{P})$ the *multiset* of bisectors that are spanned by ordered pairs of \mathcal{P}^{2*} . Recall that $\mathcal{B}(\mathcal{P})$ is the *set* of distinct lines of $\mathcal{B}^*(\mathcal{P})$. For every line $\ell \in \mathcal{B}(\mathcal{P})$, we denote by $\mu(\ell)$ its *multiplicity* in $\mathcal{B}^*(\mathcal{P})$ (i.e., the number of times it occurs in the multiset), and set $\rho(\ell) = |\ell \cap \mathcal{P}|$. We define

$$I(\mathcal{P}, \mathcal{B}^*(\mathcal{P})) = \sum_{\ell \in \mathcal{B}(\mathcal{P})} \mu(\ell) \rho(\ell);$$

that is, $I(\mathcal{P}, \mathcal{B}^*(\mathcal{P}))$ is the number incidences with respect to their multiplicities.

We derive a lower bound on $I(\mathcal{P}, \mathcal{B}^*(\mathcal{P}))$ by using an argument that is similar to the one in Szemerédi's proof of Theorem 1.1. Let $T \subset \mathcal{P}^3$ be the set of triples (p, q, r) of distinct points of \mathcal{P} such that |pq| = |pr|. Note that a triple (p, q, r) is in T if and only if p is incident to $\mathcal{B}(q, r)$. That is,

$$I(\mathcal{P}, \mathcal{B}^*(\mathcal{P})) = |T|.$$

Denote the distances that are determined by pairs of \mathcal{P}^{2*} as $\delta_1, \ldots, \delta_D$. For every point $p \in \mathcal{P}$ and $1 \leq i \leq D$, let $\Delta_{i,p}$ denote the number of points of \mathcal{P} that have distance δ_i from

p. Let $T_p \subset T$ denote the set of triples of T in which the first element is p. Applying the Cauchy-Schwarz inequality yields

$$|T_p| = \Omega\left(\sum_{i=1}^D \Delta_{i,p}^2\right) = \Omega\left(\frac{1}{D}\left(\sum_{i=1}^D \Delta_{i,p}\right)^2\right) = \Omega\left(\frac{n^2}{D}\right).$$

This in turn implies

$$I(\mathcal{P}, \mathcal{B}^*(\mathcal{P})) = |T| = \sum_{p \in \mathcal{P}} |T_p| = \Omega\left(\frac{n^3}{D}\right) = \Omega(Kn^2).$$
(5)

We remark that by the Szemerédi-Trotter theorem [23], the number of incidences between n points and n^2 distinct lines is $O(n^2)$. This does not contradict (5) since the lines in the multiset $\mathcal{B}^*(\mathcal{P})$ need not be distinct. A priori, it might be that $\mathcal{B}^*(\mathcal{P})$ consists of $\Theta(n)$ distinct lines, each with multiplicity $\Theta(n)$ and incident to $\Theta(K)$ points. However, our bound on the bisector energy excludes such cases.

Let c_t be the constant implicit in the lower bound on |T|; we have

$$|T| \ge c_t K n^2.$$

Let L^+ be the subset of lines in $\mathcal{B}(\mathcal{P})$ that are each incident to at least $c_t K/2$ points. Then

$$c_t K n^2 \leq \sum_{\ell \in \mathcal{B}(\mathcal{P})} \mu(\ell) \rho(\ell)$$

=
$$\sum_{\ell \in L^+} \mu(\ell) \rho(\ell) + \sum_{\ell \in \mathcal{B}(\mathcal{P}) \setminus L^+} \mu(\ell) \rho(\ell)$$

$$\leq \sum_{\ell \in L^+} \mu(\ell) \rho(\ell) + c_t K n^2/2,$$

where we use the fact that each ordered pair of points has a unique bisector, and hence contributes to $\sum_{\ell \in \mathcal{B}(\mathcal{P})} \mu(\ell)$ exactly once. Applying Cauchy-Schwarz, we get

$$c_t^2 K^2 n^4 / 4 \le \sum_{\ell \in L^+} \mu(\ell)^2 \sum_{\ell \in L^+} \rho(\ell)^2.$$

Note that $\sum_{\ell \in \mathcal{B}(\mathcal{P})} \mu(\ell)^2 = \Theta(\mathcal{E}(\mathcal{P}))$. Since $M = O(n^{1/4}) = O(n^{2/3-\varepsilon})$, Theorem 2.1 implies $\sum_{\ell \in \mathcal{B}(\mathcal{P})} \mu(\ell)^2 = O(M^{2/5}n^{12/5+\varepsilon})$. We can bound $\sum \rho(\ell)^2$ using the assumption that no line contains more than M points, so

$$K^{2}n^{4} = O(M^{2/5}n^{12/5+\varepsilon} \cdot M^{2}|L^{+}|),$$

and hence

$$|L^+| = \Omega(K^2 n^{8/5 - \varepsilon} M^{-12/5}).$$

Since $K = O(\log n)$, it can be absorbed into the factor n^{ε} in the final bound.

◄

▶ Remark. Notice that the proof of Theorem 4.1 also applies when $M(n) = \Omega(n^{1/4})$. However, this would lead to a bound for the number of lines in (ii) that is weaker than the bound that is implied by Theorem 1.1.

5 Proof of Theorem 2.5

We now present the proof of the incidence bound that we use. As mentioned in the introduction, this proof is essentially from [9]; we reproduce it here to determine the dependence on the parameter t. We refer to [9] for the definitions used here. We prove a more general version than we need, since it seems to come at no extra cost, and may be useful elsewhere.

The proof uses the Kővári-Sós-Turán theorem (e.g., see Bollobás [1, Theorem IV.9]).

▶ Lemma 5.1 (Kővári-Sós-Turán). Let G be a bipartite graph with vertex set $A \cup B$. Let $s \leq t$. Suppose that G contains no $K_{s,t}$, i.e., for any s vertices in A, there are at most t vertices in B connected to both. Then

$$|E(G)| = O(t^{1/s}|A||B|^{(s-1)/s} + |B|).$$

We amplify the weak bound of Lemma 5.1 by using polynomial partitioning. Given a polynomial $f \in \mathbb{R}[x_1, \ldots, x_d]$, we write $Z(f) = \{p \in \mathbb{R}^d : f(p) = 0\}$. We say that $f \in \mathbb{R}[x_1, \ldots, x_d]$ is an *r*-partitioning polynomial for a finite set $\mathcal{P} \subset \mathbb{R}^d$ if no connected component of $\mathbb{R}^d \setminus Z(f)$ contains more than $|\mathcal{P}|/r$ points of \mathcal{P} (notice that there is no restriction on the number of points of \mathcal{P} that are in Z(f)). Guth and Katz [11] introduced this notion and proved that for every $\mathcal{P} \subset \mathbb{R}^d$ and $1 \leq r \leq |\mathcal{P}|$, there exists an *r*-partitioning polynomial of degree $O(r^{1/d})$. In [9], the following generalization was proved.

▶ **Theorem 5.2** (Partitioning on a variety). Let V be an irreducible variety in \mathbb{R}^d of dimension e and degree D. Then for every finite $\mathcal{P} \subset V$ there exists an r-partitioning polynomial f of degree $O(r^{1/e})$ such that $V \not\subset Z(f)$. The implicit constant depends only on d and D.

We are now ready to prove our incidence bound. For the convenience of the reader, we first repeat the statement of the theorem.

Theorem 2.5. Let S be a set of n constant-degree varieties and let \mathcal{P} be a set of m points, both in \mathbb{R}^d , such that the incidence graph of $\mathcal{P} \times S$ contains no copy of $K_{s,t}$ (where s is a constant, but t may depend on m, n). Moreover, assume that $\mathcal{P} \subset V$, where V is an irreducible constant-degree variety of dimension e. Then

$$I(\mathcal{P},\mathcal{S}) = O\left(m^{\frac{s(e-1)}{es-1}+\varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{e-1}{es-1}} + tm + n\right).$$

Proof. We use induction on e and m, with the induction claim

$$I(\mathcal{P},\mathcal{S}) \le \alpha_{1,e} m^{\frac{s(e-1)}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{e-1}{es-1}} + \alpha_{2,e}(tm+n), \tag{6}$$

for constants $\alpha_{1,e}, \alpha_{2,e}$ depending only on e. The base cases for the induction are simple. If m is sufficiently small, then (6) follows immediately by choosing sufficiently large values for $\alpha_{1,e}$ and $\alpha_{2,e}$. Similarly, when e = 0, we again obtain (6) when $\alpha_{1,e}$ and $\alpha_{2,e}$ are sufficiently large (as a function of d and the degree of V).

The constants $d, e, D, s, 1/\varepsilon$ are given and thus fixed. The other constants are to be chosen, and the dependencies between them are

 $C_{\text{weak}}, C_{\text{part}}, C_{\text{inter}} \ll C_{\text{cells}} \ll C_{\text{Höld}} \ll r \ll C_{\text{comps}} \ll \alpha_{2,e} \ll \alpha_{1,e},$

where $C \ll C'$ means that C' is to be chosen sufficiently large compared to C; in particular, C should be chosen before C'. Furthermore, the constants $\alpha_{1,e}, \alpha_{2,e}$ depend on $\alpha_{1,e-1}, \alpha_{2,e-1}$, which by the induction claim depend only on e.

By Lemma 5.1, there exists a constant C_{weak} depending on d, s such that

$$I(\mathcal{P}, \mathcal{S}) \le C_{\text{weak}} \left(m n^{1-1/s} t^{1/s} + n \right).$$

When $m \leq (n/t)^{1/s}$, and $\alpha_{2,e}$ is sufficiently large, we have $I(\mathcal{P}, \mathcal{S}) \leq \alpha_{2,e}n$. Therefore, in the remainder of the proof we can assume that $n < m^s t$, which implies

$$n = n^{\frac{d-1}{ds-1}} n^{\frac{d(s-1)}{ds-1}} \le m^{\frac{s(d-1)}{ds-1}} n^{\frac{d(s-1)}{ds-1}} t^{\frac{(d-1)}{ds-1}}.$$
(7)

Partitioning. By Theorem 5.2, there exists an *r*-partitioning polynomial f with respect to V of degree at most $C_{\text{part}} \cdot r^{1/e}$, for a constant C_{part} . Denote the cells of $V \setminus Z(f)$ as $\Omega_1, \ldots, \Omega_N$. Since we are working over the reals, there exists a constant-degree polynomial g such that Z(g) = V. Then, by [22, Theorem A.2], the number of cells is bounded by $C_{\text{cells}} \cdot \deg(f)^{\dim V} = C_{\text{cells}} \cdot r$, for some constant C_{cells} depending on C_{part} .

We partition $I(\mathcal{P}, \mathcal{S})$ into the following three subsets:

- I₁ consists of the incidences $(p, S) \in \mathcal{P} \times \mathcal{S}$ such that $p \in V \cap Z(f)$, and some irreducible component of $V \cap Z(f)$ contains p and is fully contained in S.
- I₂ consists of the incidences $(p, S) \in \mathcal{P} \times \mathcal{S}$ such that $p \in V \cap Z(f)$, and no irreducible component of $V \cap Z(f)$ that contains p is contained in S.
- $I_3 = I(\mathcal{P}, \mathcal{S}) \setminus (I_1 \cup I_2)$, the set of incidences $(p, S) \in \mathcal{P} \times \mathcal{S}$ such that p is not contained in $V \cap Z(f)$.

Note that we indeed have $I(\mathcal{P}, \mathcal{S}) = I_1 + I_2 + I_3$.

Bounding I_1 . The points of $\mathcal{P} \subset \mathbb{R}^d$ that participate in incidences of I_1 are all contained in the variety $V_0 = V \cap Z(f)$. Set $\mathcal{P}_0 = \mathcal{P} \cap V_0$ and $m_0 = |\mathcal{P}_0|$. Since V is an irreducible variety and $V \not\subset Z(f)$, V_0 is a variety of dimension at most e - 1 and of degree that depends on r. By [22, Lemma 4.3], the intersection V_0 is a union of C_{comps} irreducible components, where C_{comps} is a constant depending on r and d.³ The degrees of these components also depend only on these values (for a proper definition of degrees and further discussion, e.g., see [9]).

Consider an irreducible component W of V_0 . If W contains at most s - 1 points of \mathcal{P}_0 , it yields at most (s - 1)n incidences. Otherwise, since the incidence graph contains no $K_{s,t}$, there are at most t - 1 varieties of S that fully contain W, yielding at most $(t - 1)m_0$ incidences. By summing up, choosing sufficiently large $\alpha_{1,e}, \alpha_{2,e}$, and applying (7), we have

$$I_1 \le C_{\text{comps}}\left(sn + tm_0\right) < \frac{\alpha_{2,e}}{2}(n + tm_0) < \frac{\alpha_{1,e}}{4}m^{\frac{s(e-1)}{es-1}}n^{\frac{e(s-1)}{es-1}}t^{\frac{(e-1)}{es-1}} + \frac{\alpha_{2,e}}{2}tm_0.$$
 (8)

Bounding I_2 . The points that participate in I_2 lie in $V_0 = V \cap Z(f)$, and the varieties that participate do not contain any component of V_0 . Because V_0 has dimension at most e - 1, and the participating varieties do not contain any component of V_0 , we can apply the induction claim on each irreducible component of V_0 . Since V_0 has C_{comps} irreducible components, we get

$$I_2 \le C_{\text{comps}} \alpha_{1,e-1} m_0^{\frac{s(e-2)}{(e-1)s-1} + \varepsilon} n^{\frac{(e-1)(s-1)}{(e-1)s-1}} t^{\frac{e-2}{(e-1)s-1}} + \alpha_{2,e-1} (tm_0 + n),$$

³ This lemma only applies to complex varieties. However, we can take the *complexification* of the real variety and apply the lemma to it (for the definition of a complexification, e.g., see [25, Section 10]). The number of irreducible components of the complexification cannot be smaller than number of irreducible components of the real variety (e.g., see [25, Lemma 7]).

with $\alpha_{1,e-1}$ and $\alpha_{2,e-1}$ depending on the degree of the irreducible component of V_0 , which in turn depends on r. The analysis that leads to (7) also yields the following bound.

$$m^{\frac{s(e-2)}{(e-1)s-1}+\varepsilon}n^{\frac{(e-1)(s-1)}{(e-1)s-1}}t^{\frac{e-2}{(e-1)s-1}} \le m^{\frac{s(e-1)}{es-1}+\varepsilon}n^{\frac{e(s-1)}{es-1}}t^{\frac{e-1}{es-1}}.$$

By applying (7) to remove the term $\alpha_{2,e-1}n$, and by choosing $\alpha_{1,e}$ and $\alpha_{2,e}$ sufficiently large as a function of $C_{\text{comps}}, \alpha_{1,e-1}, \alpha_{2,e-1}$, we obtain

$$I_{2} \leq \frac{\alpha_{1,e}}{4} m^{\frac{s(e-1)}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{e-1}{es-1}} + \frac{\alpha_{2,e}}{2} t m_{0}.$$
(9)

Bounding I_3 . For every $1 \le i \le N$, we set $\mathcal{P}_i = \mathcal{P} \cap \Omega_i$ and denote by \mathcal{S}_i the set of varieties of \mathcal{S} that intersect the cell Ω_i but do not contain it. We also set $m_i = |P_i|$ and $n_i = |\mathcal{S}_i|$. Since f is an r-partitioning polynomial, we have $m_i \le m/r$.

We have $\sum_{i=1}^{N} m_i = m - m_0$. By [22, Theorem A.2], there exists a constant C_{inter} such that the following holds for every $S \in \mathcal{S}$. The subvariety $S \cap V$ of V, which must have dimension at most e - 1, intersects at most $C_{\text{inter}} \cdot \deg(f)^{\dim(S \cap V)} = C_{\text{inter}} \cdot r^{(e-1)/e}$ cells. This implies that

$$\sum_{i=1}^{N} n_i \le C_{\text{inter}} \cdot r^{(e-1)/e} \cdot n$$

By Hölder's inequality we have

$$\sum_{i=1}^{N} n_i^{\frac{e(s-1)}{es-1}} \le \left(\sum_{i=1}^{N} n_i\right)^{\frac{e(s-1)}{es-1}} \left(\sum_{i=1}^{N} 1\right)^{\frac{e-1}{es-1}} \\ \le \left(C_{\text{inter}} r^{(e-1)/e} n\right)^{\frac{e(s-1)}{es-1}} \left(C_{\text{cells}} r\right)^{\frac{e-1}{es-1}} \\ \le C_{\text{Höld}} r^{\frac{(e-1)s}{es-1}} n^{\frac{e(s-1)}{es-1}},$$

where $C_{\text{H\"old}}$ depends on $C_{\text{inter}}, C_{\text{cells}}$. Using the induction hypothesis, we obtain

$$\sum_{i=1}^{N} I(\mathcal{P}_{i}, \mathcal{S}_{i}) \leq \sum_{i=1}^{N} \left(\alpha_{1,e} m_{i}^{\frac{(e-1)s}{es-1} + \varepsilon} n_{i}^{\frac{e(s-1)}{es-1}} t^{\frac{(e-1)}{es-1}} + \alpha_{2,e}(tm_{i} + n_{i}) \right)$$

$$\leq \alpha_{1,e} \frac{m^{\frac{(e-1)s}{es-1} + \varepsilon} t^{\frac{(e-1)}{es-1}}}{r^{\frac{(e-1)s}{es-1} + \varepsilon}} \sum_{i=1}^{N} n_{i}^{\frac{e(s-1)}{es-1}} + \sum_{i=1}^{N} \alpha_{2,e}(tm_{i} + n_{i})$$

$$\leq \alpha_{1,e} C_{\text{Höld}} \frac{m^{\frac{(e-1)s}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{(e-1)}{es-1}}}{r^{\varepsilon}} + \alpha_{2,e} \left(t(m - m_{0}) + C_{\text{inter}} r^{\frac{e-1}{e}} n \right)$$

By choosing $\alpha_{1,e}$ sufficiently large with respect to $C_{\text{inter}}, r, \alpha_{2,e}$, and using (7), we get

$$\sum_{i=1}^{N} I(\mathcal{P}_i, \mathcal{S}_i) \le 2\alpha_{1,e} C_{\text{H\"old}} \frac{m^{\frac{(e-1)s}{es-1}} + \varepsilon_n \frac{e(s-1)}{es-1} s^{\frac{(e-1)}{es-1}}}{r^{\varepsilon}} + \alpha_{2,e} t(m-m_0).$$

Finally, choosing r sufficiently large with respect to $C_{\text{Höld}}$ gives

$$I_{3} = \sum_{i=1}^{N} I(\mathcal{P}_{i}, \mathcal{S}_{i}) \le \frac{\alpha_{1,e}}{2} m^{\frac{(e-1)s}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{(e-1)}{es-1}} + \alpha_{2,e} t(m-m_{0}).$$
(10)

Summing up. By combining $I(\mathcal{P}, \mathcal{S}) = I_1 + I_2 + I_3$ with (8), (9), and (10), we obtain

$$I(\mathcal{P},\mathcal{S}) \le \alpha_{1,e} m^{\frac{s(e-1)}{es-1} + \varepsilon} n^{\frac{e(s-1)}{es-1}} t^{\frac{(e-1)}{es-1}} + \alpha_{2,e}(tm+n),$$

which completes the induction step and the proof of the theorem.

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