

# DLOGTIME Proof Systems

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## Abstract

We define DLOGTIME proof systems, DLTPS, which generalize  $NC^0$  proof systems. It is known that functions such as  $\text{Exact}_k$  and Majority do not have  $NC^0$  proof systems. Here, we give a DLTPS for  $\text{Exact}_k$  (and therefore for Majority) and also for other natural functions such as Reach and  $\text{Clique}_k$ . Though many interesting functions have DLTPS, we show that there are languages in NP which do not have DLTPS. We consider the closure properties of DLTPS and prove that they are closed under union and concatenation but are not closed under intersection and complement. Finally, we consider a hierarchy of polylogarithmic time proof systems and show that the hierarchy is strict.

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## 1 Introduction

In a seminal paper by Cook and Reckhow [6] a *proof system* for a language was defined as a polynomial time computable function, called a *verifier*, whose range is all the words in the language. For any language in NP, there exists such a proof system. This therefore gives a way of viewing the complexity classes in the framework of proof systems. Polynomial time verifiers naturally characterize languages in NP. To understand complexity classes that contain NP, some works have considered proof systems with more powerful verifiers. (See for example [9, 5, 4, 3].)

Taking a dual approach, proof systems with very weak verifiers have been recently studied in [2, 8]. It is known that for any language in NP there exists a uniform  $AC^0$  proof system, i.e. a proof system in which the verifier is a function computable by a uniform  $AC^0$  circuit. In [2], a restriction of  $AC^0$  proof systems, namely  $NC^0PS$  was considered, where the verifier is a (possibly non-uniform)  $NC^0$  circuit. They observed that there are NP complete languages which have  $NC^0PS$ . However, there are even regular languages for which there are no  $NC^0PS$ . It is natural to define a proof system that generalizes  $NC^0PS$ , but is not as general as proof systems for NP.

In this work we investigate a proof system which generalizes uniform  $NC^0PS$  (i.e. the verifier is a uniform  $NC^0$  circuit) but is more restrictive than  $AC^0PS$ . We consider a proof system in which the verifier is a deterministic log-time Turing machine.

Deterministic log-time Turing machines can compute an AND of  $\omega(1)$  bits and therefore are more powerful than uniform  $NC^0$  circuits. However, they cannot compute an AND of  $\Theta(n)$  bits, and therefore are less powerful than uniform  $AC^0$  circuits. Also  $NC^0$  verifiers can make only  $O(1)$  queries to the *proof bits*<sup>1</sup> as opposed to DLOGTIME verifiers which can

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<sup>1</sup> The input to the verifier is called a proof and it is a string over some fixed alphabet.



make  $O(\log n)$  queries. (See Section 2 for formal definitions.) We see that this makes DLTPS much more powerful than uniform  $\text{NC}^0\text{PS}$ . Note, however, that the DLOGTIME verifier is by default uniform. At first, this may seem like a big restriction. However, it is interesting to note that most languages which are shown to have  $\text{NC}^0$  proof systems in [2], in fact have uniform proof systems.

### Our results

- In the same spirit as in [2, 8], we prove that many interesting natural functions have DLTPS. In [2], it was proved that functions such as ExactOR, Majority,  $\text{Exact}_k$  do not have  $\text{NC}^0\text{PS}$ . We prove (Section 3) that for any DLOGTIME computable function  $k : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\text{Exact}_k$  has DLTPS, and hence ExactOR and Majority also have DLTPS.
- We consider some well-known graph problems. We prove that Reachability on directed graphs has DLTPS. We also prove that for any DLOGTIME computable function  $k : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\text{Clique}_k$  has a DLTPS (Section 4).
- As a part of our study of DLTPS we analyze the closure properties of DLTPS (Section 5). We prove that it is closed under union and concatenation. That is, if  $L_1$  and  $L_2$  are two languages which have DLTPS then  $L_1 \cup L_2$  and  $L_1L_2$  also have DLTPS. On the other hand, we prove that DLTPS are not closed under intersection and complement.
- We prove that there is a language in NP for which there is no DLTPS. We prove this by showing that any language which has DLTPS can be recognized by a non-deterministic Turing machine in time  $O(n^2 \log^3 n)$  (Theorem 10). A similar lemma was proved in [2] for  $\text{NC}^0\text{PS}$ . Our proof is similar in spirit, however we have to be more careful in our argument due to the adaptive nature of the queries made by the DLOGTIME verifier.
- Finally, we consider a hierarchy of polylogarithmic time proof systems. We show that this hierarchy is strict (Section 6). Our proof of the hierarchy theorem uses lazy diagonalization as in the proof of non-deterministic time hierarchy theorem [10]. However, our proof is more delicate due to some technical reasons: there are two crucial parameters in our hierarchy theorem— the proof length and the running time of the DLOGTIME machine. A naive implementation of non-deterministic time hierarchy theorem gives a hierarchy on these two parameters simultaneously. However, we observe that DLOGTIME machine cannot access too many proof bits and that diagonalization does not need to compute the full output of the proof system, but only the length of the output. We believe that our proof may be of independent interest.

**Related work.** Krebs et al. in [8] defined poly log  $\text{AC}^0$  proof systems. The verifier for this proof system is a bounded fan-in  $O(\log \log n)$  depth circuit (possibly non-uniform) with at most  $O(1)$  alternations. By definition, poly log  $\text{AC}^0$  proof systems generalize  $\text{NC}^0\text{PS}$  and are a restriction of  $\text{AC}^0$  proof systems.

Though DLTPS and poly log  $\text{AC}^0$  proof systems are both generalizations of  $\text{NC}^0\text{PS}$ , they both are very different. A poly log  $\text{AC}^0$  verifier of depth  $c \log \log n$  can query  $O(\log^c n)$  proof bits; whereas a DLOGTIME verifier can query at most  $O(\log n)$  bits. In this sense, poly log  $\text{AC}^0$  proof system is more powerful than DLTPS. However, a DLOGTIME verifier can make adaptive queries to the proof bits<sup>2</sup>. This means that for computing one output bit, a DLOGTIME verifier can potentially query all proof bits.

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<sup>2</sup> The queries made to the proof bits are said to be adaptive if having read a few bits of the proof, the locations of the bits to be read subsequently depend on the values of those bits.

Interestingly, [8] gave poly log depth  $AC^0$  proof systems for  $Exact_k$ ,  $ExactOR$ , and  $Majority$ , but the the DLTPS and poly log  $AC^0$  proof systems for these functions are fundamentally different. .

## 2 Proof Systems

DLOGTIME Turing machines have been studied in the past. (See for example [1, 7].) We use the definition from [1]. A DLOGTIME Turing machine is a deterministic Turing machine which has an input tape, a constant number of read-write tapes, and an index tape. We assume that none of the tapes have an end marker. The machine runs in time  $O(\log n)$  time, where  $n$  is the length of the input. In one step, the machine can read a bit from the input indexed by the index tape.

► **Definition 1.** A function  $f : \Gamma^* \rightarrow \Sigma^*$  is computable in DLT if there exists a DLOGTIME Turing machine  $M$  such that  $M(\sigma, i, x)$  halts and accepts iff  $w_i = \sigma$ , where  $w_0 \dots w_{|x|-1} = f(x)$ .

► **Remark.** Not every DLT describes a function. Consider a machine  $M$  that accepts no letter at the first position but a letter at the second position.

We assume that the index  $i$  is given in binary notation. In general, whether  $i$  is encoded in binary or unary is not important to the power of the functions considered here, since we can always assume that  $i \leq |x|$ .

A language is said to be accepted by a Turing machine if it accepts all the words in the language and nothing else. For proof systems, this notion is reversed. A language is said to have a Turing machine as its proof system if the output of the machine is exactly all the words of the language, while the input ranges over all possible strings. Formally,

► **Definition 2 (Proof System).** Let  $\Sigma, \Gamma$  be alphabets. A proof system for  $L \subseteq \Sigma^*$  is a map  $f : \Gamma^* \rightarrow L$ , that is onto. A proof system  $f$  is polynomial bounded if there is a polynomial  $p$  such that for every  $y \in L$  there exists an  $x$  with  $f(x) = y$  and  $|x| < p(|y|)$ .

► **Remark.** Our proof systems cannot accept the empty language, i.e. no word at all. The “smallest” language we can accept is the language which contains only the empty word, by an oracle that always rejects. The input alphabet can be assumed to be  $\{0, 1\}$  without loss of generality (by binary encoding of  $\Gamma$ ).

In the definition there are two properties required for  $f$  to be a proof system of  $L$ . First, for all inputs  $x$  the output  $f(x)$  must be in  $L$ . We refer to this property as *correctness*. Second, for every  $y \in L$  there is an input  $x$  such that  $f(x) = y$ , i.e.  $f$  is surjective.

Here we will study proof systems where the function  $f$  is computable in DLT. Given that a machine that runs in logarithmic time cannot output a long string, we say that a function  $f$  is computable in DLT if every bit (or letter) of the output is computable in DLT.

Combining the last two definitions we say the language  $L$  has a DLT proof system (DLTPS) if there is a polynomial bounded proof system  $f$  for  $L$  such that  $f$  is computable in DLT. Note that, as input and output lengths in DLTPS are polynomially related, and as the computational power of DLTPS is bounded by logtime in terms of the input length, it is also bounded by logtime in terms of the output length.

In [1], simple functions were shown to be computable in DLOGTIME. They showed that given an input  $x$ , a DLOGTIME machine can compute  $|x|$  by a double binary search. Addition and subtraction of two  $O(\log n)$  bit numbers can be done in DLOGTIME. Logarithm of a  $O(\log n)$  bit number can be computed in DLOGTIME.

### 3 Word Problems

In this section we give DLTPS for various languages which are subsets of  $\{0, 1\}^*$ . ExactOR is a set of all string from  $\{0, 1\}^*$  with exactly one bit set to 1. For  $k : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\text{Exact}_k \subset \{0, 1\}^*$ , is a set of all strings with exactly  $k$  bits set to 1. And Majority  $\subset \{0, 1\}^*$  is a set of all strings with at least as many 1s as 0s.

In [2], the above functions were considered. They proved that ExactOR, Majority and ExMaj do not have  $\text{NC}^0\text{PS}$ . Here, we prove that all these languages have DLTPS. In fact we prove slightly more: we show that for every function  $k$  computable in DLT, the language  $\text{Exact}_k$  has a DLTPS.

Before we start to give a general proof for arbitrary functions  $k$ , we will look at the specific case when  $k(n) = 1$ . So we need a proof system which outputs all strings with exactly one occurrence of 1, i.e. the language ExactOR.

By definition a proof system is a function  $f : \Gamma^* \rightarrow \Sigma^*$ , but in order to explain how a proof system works it is helpful to give some interpretation to the proof  $x$ , when outputting  $f(x)$ . In the case of ExactOR the proof should encode the position of the unique one in the string and the length of the output.

On  $(\sigma, i, x)$ , the machine interprets the first  $\log |x|$  bits of  $x$  as the prescribed position  $\alpha$  for the unique 1 in the output string, and the length of  $x$  will be the length of the output. Let  $\alpha$  denote the value of the first  $\log |x|$  bits of  $x$ . The function computed by the machine is:  $f(x) = 0^\alpha 1 0^{|x| - \alpha - 1}$ .

The machine can be described formally as follows:

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$M(\sigma, i, x)$

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Let  $n = |x|$ .

**if**  $i < n$  **then**

**if**  $i = \alpha$  **then**

        If  $\sigma = 1$  Accept.

**else**

        If  $\sigma = 0$  Accept.

**end if**

**end if**

Reject.

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$$x = \underbrace{\overbrace{\alpha}^{\log n} \dots \dots \dots}_n$$

Note that  $\alpha$  need not be computed explicitly: to check whether  $i = \alpha$ , we only need to compare  $i$  with the first  $\log |x|$  bits of  $x$ . Also note that  $n = |x|$  can be computed in DLOGTIME. It is easy to see that the machine outputs strings with exactly one 1. As we cycle through all  $x$ ,  $\alpha$  gets all values in the range  $[0, n - 1]$ . This ensures that the range of the function defined by the machine is ExactOR and it is onto.

► **Lemma 3.** ExactOR has a DLTPS.

Our next goal to prove the generalization for every function  $k$  computable in DLT. As a first step we give another simple proof system. Given a function  $k : \mathbb{N} \rightarrow \mathbb{N}$  computable by a DLOGTIME Turing machine such that  $\forall n : k(n) < n$ , there is a DLTPS for the language  $\{1^{k(n)} 0^{n-k(n)} \mid n \in \mathbb{N}\}$ , i.e. the language has exactly one word of length  $n$  that consists of  $k(n)$  ones followed by zeros. This language clearly has a DLTPS, nevertheless we will give the exact DLTPS which we will then extend to a proof system for  $\text{Exact}_k$ :

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 $M(\sigma, i, x)$ 


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Let  $n = |x|$ .**if**  $i > n$  **then**

Reject.

**else**    **if**  $\sigma = 1$  and  $i < k(n)$  **then** Accept.    **if**  $\sigma = 0$  and  $i \geq k(n)$  **then** Accept.

Reject.

**end if**
 $x = \underbrace{\dots\dots\dots}_{n}$ 

Note that in the algorithm above we ignore all bits of  $x$  and only use the length of  $x$  to determine the output. The machine maps every input word of length  $n$  to the word  $1^{k(n)}0^{n-k(n)}$ . And therefore is the proof system for this language.

Every word in the output is already in  $\text{Exact}_k$ . However,  $\text{Exact}_k$  contains every permutation of these words too. To give a proof system for  $\text{Exact}_k$ , we will modify the above ensuring surjectivity. We interpret the input  $x$  as a list of numbers  $\alpha_0, \dots, \alpha_{n-1}$  between 0 and  $n-1$ , where  $n$  is the length of the word we want to output. To access the  $i$ -th number in this list in this notation will require multiplication of  $s$  and  $i$ , where  $s = \lceil \log n \rceil$ . However, we do not know how to do this in DLOGTIME. Therefore, we store each number in DLOGTIME, as an  $s$  bit number where  $s$  is the smallest power of 2 greater or equal to  $\log n$ . Then we compute  $s \cdot i$  by a simple bit shift in DLOGTIME. Also since we will allow arbitrary proofs, the number in the list might have a value larger than  $n-1$ , in this case we will interpret this number as the largest suitable number. Since these are only technical details we will simply write  $\log n$  bit numbers in the rest of the paper.

Let  $x = (\alpha_0 \dots \alpha_{n-1} \dots)$ . We use the first  $n$  elements, i.e.  $\alpha_0, \alpha_1, \dots, \alpha_{n-1}$ , to come up with the output of length  $n$  and ignore the rest of the bits of  $x$ .

We interpret this list as a bijective map  $m_{\vec{\alpha}}$ . We say  $m_{\vec{\alpha}}(i) = j$  if  $\alpha_i = j$  and  $\alpha_j = i$ , if there is no such  $j$  we say  $m_{\vec{\alpha}}(i) = i$ . For every list  $\alpha$  the map  $m_{\vec{\alpha}}$  will be a bijection. Assume that  $m(i) = j = m(i')$  then  $i = \alpha_j = i'$  and hence  $m$  is injective. Since the map is between finite sets it is also surjective. Also note that every involution, i.e. a map  $m'$  such that  $m'(m'(x)) = x$  for all  $x$ , can be represented in this way.

In order to have enough space in the proof for the whole list we will check that the proof has at least quadratic length  $l$  compared to the length  $n$  of string we want to output. Since we cannot exactly compute the square root we will approximate it. To approximate  $\lfloor \sqrt{l} \rfloor$ , let  $j = \lfloor \log l \rfloor$  and let  $k = \lfloor j/2 \rfloor$ . As logarithm can be computed in DLOGTIME (see for example [1]) and division by 2 simply involves a shift by one bit to the right,  $j, k$  can be computed in DLOGTIME. Now, let  $n$  be the first  $j$  bits of the binary representation of  $l$ . Then  $n$  has the property  $n^2 \leq l$ , and for all  $n \in \mathbb{N}$  there exists an  $l \in \mathbb{N}$  such that  $n$  is the result of this operation. We define the function  $\widehat{\text{sqrt}}(l) = n$ , where  $n$  is obtained by the procedure above.

► **Theorem 4.** For every function  $k : \mathbb{N} \rightarrow \mathbb{N}$  computable in DLT,  $\text{Exact}_k$  has DLTPS.

**Proof.** Consider the following proof system.

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$M(\sigma, i, x)$

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Let  $n = \widehat{\text{sqrt}}(|x|)$ .

**if**  $i < n$  **then**

Let  $j = \alpha_i$

**if**  $\alpha_j = i$  **then**

Let  $t = j$ .

**else**

Let  $t = i$ .

**end if**

**if**  $\sigma = 1$  and  $t < k(n)$  **then** Accept.

**if**  $\sigma = 0$  and  $t \geq k(n)$  **then** Accept.

**end if**

Reject.

The diagram shows the input  $x$  as a sequence of  $n$  blocks, each of size  $\log n$  bits. The blocks are labeled  $\alpha_0, \dots, \alpha_{n-1}$ . A bracket underneath all blocks indicates the total size is  $\approx n^2$ .

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We now prove the correctness and surjectivity of  $M$ . In order to see that for a fixed  $x$  the output contains exactly  $k(n)$  ones, note that we output a 1 if  $t < k(n)$  and  $t$  is the image of  $i$  under a bijection. Given a word  $w = w_0 \dots w_{n-1}$ , we need to show that there is an  $x$  that produces the output  $w$ . Let  $I$  be the set of 1s in  $w$ . We will assign to each index in  $I$  a unique value  $\beta_i$  in the following way: Let  $I_0 = \{i \in I \mid i < k(n)\}$ . We define  $\beta_i = i$  for  $i \in I_0$  and for the remaining values of  $I$  are assigned the remaining numbers less than  $k(n)$  in an arbitrary and one-to-one manner. The  $x$  which produces this  $w$  can now be described by specifying  $\alpha_0, \alpha_1, \dots, \alpha_{n-1}$ :  $\alpha_i = \beta_i$  if  $i \in I$ ,  $\alpha_i = j$  if  $\beta_j = i$ , and  $\alpha_i = i$  otherwise. It is easy to see that this input produces the output  $w$ . ◀

Since we can add additional 1s to the output as in [2], we get:

► **Corollary 5.** Majority admits a DLTPS.

**Proof.** As in [2] we can take a proof system of a language and add additional 1's to the output. Consider the proof system of  $\text{Exact}_k$  where  $k = \lfloor n/2 \rfloor + 1$ . We extend the proof system by  $n$  additional bits  $\gamma_1, \dots, \gamma_n$ . Whenever would output a 0 at position  $i$  in the proof system of  $\text{Exact}_k$  we will check if  $\gamma_i = 1$ , in which case we output a 1 instead. ◀

## 4 Problems on Graphs

In this section, we consider three problems on graphs. For every  $n \in \mathbb{N}$ , a directed graph on  $n$  vertices is in Reach if there exists a path from a vertex labelled 0 to a vertex labelled  $n - 1$  in the graph. For a fixed  $k$ , and for every  $n \in \mathbb{N}$ , a graph on  $n$  vertices is in  $\text{Clique}_k$  if it has a clique of size at least  $k$ . For graph problems like Reach,  $\text{Clique}_k$ , the output of the proof system will be all graphs of these languages encoded as the adjacency matrix. The nodes of the graph are labeled by  $1, \dots, n$  and hence the adjacency matrix has size  $n \times n$ . We assume that the positions of the words are indexed by  $(i, j)$  and  $w_{(i,j)} = 1$  iff there is an edge from  $i$  to  $j$ .

If we were to index the positions by a single number  $k$ , we could use any DLOGTIME computable encoding. Though the usual encoding  $k = i \cdot n + j$  is not immediately in

DLOGTIME, a slight modification  $k = i \cdot 2^{\lceil \log n \rceil} + j$  is computable in DLOGTIME. For such an encoding we would pad all positions not in the image of the tuple-function by a special character. In the following we use  $(i, j)$  to index the positions of the word.

► **Theorem 6.** Reach has a DLTPS

**Proof.** For an output of size  $n \times n$  we require an input of length at most  $n \log n + 2n^2 \leq 4n^2$ . So we let  $n = \widehat{\text{sqrt}}(|x|/4)$ . We think of the beginning of  $x$  as a list of  $\log n$ -bit numbers  $\alpha_0, \alpha_1, \dots, \alpha_{n-1}$ . Also we pick any tuple function computable in DLOGTIME and think of the end of  $x$  as an  $n \times n$  matrix of single bits  $\beta_{ij}$ . All other bits of  $x$  are ignored.

We interpret  $\alpha = (\alpha_0, \alpha_1, \dots, \alpha_{n-1})$  as a path of length at most  $n$ , and  $(\beta_{ij})_{i,j \in \{0,1,\dots,n-1\}}$  as a graph  $G$ . For an arbitrary input, we have no guarantee that the path  $\alpha$  actually exists in the graph  $G$ . If the graph indeed has this path, we wish to preserve it in the output. On the other hand, if  $\alpha$  is not a path in  $G$ , we wish to output a graph with a path. However, in the computation of a single output bit corresponding to the edge from  $i$  to  $j$  we cannot check whether  $\alpha$  is a path in  $G$ , since the computation time is log-time bounded. So we spread this test among many output bits.

The smallest proof for existence of a path between 0 and  $n - 1$  is of constant size: for any  $i$ , adding edges  $(0, i)$  and  $(i, n - 1)$  creates a positive instance. In DLT we can check for one  $i$  if  $\alpha_i$  and  $\alpha_{i+1}$  are the same nodes or they are connected in  $G$ . If they are not connected we will ensure that one of the short paths exists in the graph. If  $\alpha$  indeed encodes a valid path, then we will simply copy  $G$  on the output tape. This way, we will generate all graphs that have paths from 0 to  $n - 1$ .

It is easy to see that the following deterministic machine runs in  $O(\log n)$  time. Observe that we output only positive instances of Reach. Suppose  $G$  is a graph with a path from 0 to  $n - 1$ , then by repeating some of the vertices we get a sequence of nodes  $(\alpha_0, \dots, \alpha_{n-1})$ , which encodes this path. For this input, we will output exactly  $G$ . That is, for every positive instance of Reach, there is an input to the algorithm which outputs that instance.

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$M(\sigma, (i, j), x)$

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Let  $n = \widehat{\text{sqrt}}(|x|/4)$

**if**  $i < n$  **and**  $j < n$  **then**

**if**  $i = 0$  **or**  $(i < n - 1$  **and**  $j = n - 1)$  **then**

**if**  $j = n - 1$  **then** let  $k = i$  **else** let  $k = j$

**if**  $\beta_{\alpha_k, \alpha_{k+1}} \neq 1$  **then**

            {Check if  $\alpha_k$  and  $\alpha_{k+1}$  are connected}

**If**  $\sigma = 1$  **Accept.** {add the short path}

**If**  $\sigma = 0$  **Reject.**

**end if**

**end if**

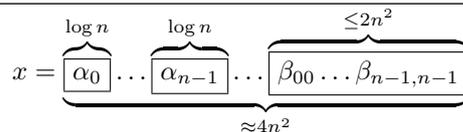
    {No check needed or check succeeded, so output the graph  $G$ .}

**If**  $\sigma = \beta_{ij}$  **Accept.**

**end if**

**Reject.**

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► **Theorem 7.** For any function  $k : \mathbb{N} \rightarrow \mathbb{N}$  computable in DLT,  $\text{Clique}_k$  is in DLTPS

**Proof.** We begin with an easy case, where a graph with  $n$  nodes has a  $k(n)$  clique among the nodes  $0, \dots, k(n) - 1$ . The input consists of an arbitrary graph  $G = (\beta_{ij})$ . We output any graph in which if two vertices have labels less than  $k(n)$  then they share an edge. All these graphs belong to  $\text{Clique}_k$ .

As in the previous proof one can use a bijection to permute the nodes (all the nodes including 0 and  $n - 1$  this time), which will give a correct and surjective proof system. The details of the proof can be worked out as in the previous proof. ◀

## 5 Closure Properties and Complexity

Closure under union seems to be naturally hold for all proof systems.

► **Lemma 8.** If  $L_1, L_2$  have DLTPS, then  $L_1 \cup L_2$  has DLTPS.

**Proof.** Let  $f_1, f_2$  be the DLTPS corresponding to  $L_1, L_2$ . Then we define the function  $f(x) = f_1(x_1 \dots x_{n-1})$  if  $x_0 = 0$ , and  $f(x) = f_2(x_1 \dots x_{n-1})$  otherwise. Since  $f_1, f_2$  are computable in DLT, so is  $f$ , and  $f$  is a proof system for  $L_1 \cup L_2$ .

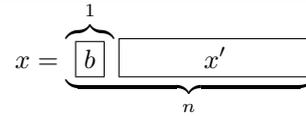
The algorithm for union:

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$M(\sigma, i, x)$

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**if**  $b = 0$  **then**  
     Run  $M_1(\sigma, i, x')$ .  
**else**  
     Run  $M_2(\sigma, i, x')$ .  
**end if**



The closure under concatenation of proof systems is not so obvious.

► **Lemma 9.** If  $L_1, L_2$  have DLTPS, then  $L_1L_2$  has DLTPS.

**Proof.** By definition neither  $L_1$  nor  $L_2$  can be empty. Let  $w_1 \in L_1$  and  $w_2 \in L_2$ . Let  $f_1, f_2$  be the proof systems corresponding to  $L_1$  and  $L_2$ , and  $M_1, M_2$  the corresponding DLOGTIME machines.

We will construct a proof system for  $L_1L_2$ . The idea is that the proof consists of  $s, l, m, u, v$ , where  $s, l, m$  are of length  $\log |x|$  and  $u, v$  are of length  $|x|/4$ . To concatenate  $f_1(u_0 \dots u_{l-1})$  and  $f_2(v_0 \dots v_{m-1})$ , we will use  $s$  to “guess” the length of  $f_1(u_0 \dots u_{l-1})$ .

Suppose we output the bit at position  $i$ . First we check if  $|f_1(u_0 \dots u_{l-1})| = s$ , if this is not the case we output the  $i$ -th letter of the fixed default word  $w_1w_2$ . Otherwise if  $i < s$  we output the  $i$ -th letter of  $f_1(u_0 \dots u_{l-1})$  and if  $i \geq s$  we output the  $s-i$ -th letter of  $f_2(v_0 \dots v_{m-1})$ .

Clearly the proof system will be correct since we only output words in  $L_1L_2$ . For surjectivity note that the input  $u_0 \dots u_{l-1}$  and  $v_0 \dots v_{m-1}$  are independent. Also since  $f_1, f_2$  are polynomial bounded so is the constructed proof system. The algorithm for concatenation:

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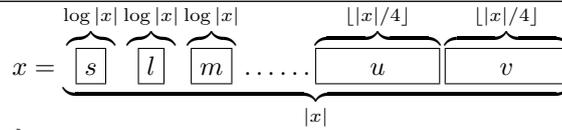
$M(\sigma, i, x)$

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if  $|f_1(u_0 \dots u_{l-1})| = s$  then
  if  $i < s$  then
    Run  $M_1(\sigma, i, u_0 \dots u_{l-1})$ .
    {Accept or Reject depending on  $M_1$ }
  else
    Run  $M_2(\sigma, i - s, v_0 \dots v_{m-1})$ .
    {Accept or Reject depending on  $M_2$ }
  end if
end if
{Output default word otherwise}
if  $(w_1 w_2)_i = \sigma$  then
  Accept.
else
  Reject.
end if

```




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In the algorithm we can test  $|f_1(u_0 \dots u_{l-1})| = s$  by testing if there is a  $\sigma$  such that  $M_1(\sigma, s - 1, u_0 \dots u_{l-1})$  accepts and for all  $\sigma$  we have that  $M_1(\sigma, s, u_0 \dots u_{l-1})$  rejects. This requires to simulate  $M_1$  on  $2|\Sigma|$  different inputs which is possible in logarithmic time. ◀

In [2], it was proved that every language  $L$  that has NC<sup>0</sup>PS is recognised in NTIME( $n$ ). In the case of NC<sup>0</sup>PS it is enough to guess a proof and then evaluate the circuit on this guessed proof. This suffices because the NC<sup>0</sup> circuit queries the proof bits in a non-adaptive manner. However, in the case of DLTPS the deterministic log-time machine may read bits on the proof in an adaptive manner, i.e. it may read a location, say  $i$ , of the proof and depending on the value of that proof bit, may decide to read the next bit. Therefore, the simulation of such a DLTPS needs to remain consistent with respect to such adaptive queries.

► **Theorem 10.** *If a language  $L$  has DLTPS then it can be recognised in NTIME( $n^2 \log^3 n$ ).*

**Proof.** As  $L$  has a DLTPS there exists a  $f : \Gamma^* \rightarrow \Sigma^*$  computable in DLT by a Turing machine  $M$ . Also since a DLTPS is polynomial bounded there exists a polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$ , such that  $w \in L$  iff  $w \in f(\Sigma^{<p(|w|)})$ .

Assume we guess the word  $x$  such that  $w = f(x)$ , then we could check that  $M(\sigma, i, x)$  accepts iff  $w_i = \sigma$ . Since we want to show an upper bound of  $n^2 \log^2 n$  we cannot simply guess  $x$  which might have size larger than  $n^2 \log^2 n$ .

But  $M$  is a DLT machine, so it cannot access all of the bits of  $x$ , but only  $O(\log n)$  of these bits. Since we simulate  $M$  on  $O(n)$  different inputs we require only  $O(n \log n)$  of these bits. We guess and store only the bits of  $x$  accessed by  $M$  together with their indices on the tape which requires  $O(n \log^2 n)$  length.

Then we can check that  $f(x) = w$  by simulating  $M$  for all  $i = 0, \dots, |w|$ . For the simulation of a single step we might need to search on the tape of the bit accessed which requires  $O(n \log^2 n)$  time. Since we simulate the machine  $O(n)$  times with a runtime of  $O(\log n)$  steps each, so we require time  $O((n \log^2 n) \cdot n \cdot \log n)$ . ◀

Using the non-deterministic time hierarchy theorem and Theorem 10 we get:

► **Corollary 11.** *There exists a language  $L$  in NP for which there is no DLTPS.*

We can use the previous theorem to show that DLTPS are not closed under intersection.

► **Theorem 12.** *The languages which have DLTPS are not closed under intersection.*

**Proof.** We show that if DLTPS are closed under intersection then all languages in NP have DLTPS. For this let  $L \subseteq \Sigma^*$  be any problem in NP, i.e. in  $\text{NTIME}(n^c)$ . We will construct two language  $L_a, L_b$  over the alphabet  $\Sigma' = \Sigma \cup \{x, a, b\}$ , such that both  $L_a, L_b$  have DLTPS and their intersection is  $L$ .

Let  $L^{=n} = L \cap \Sigma^n$ , and  $B_a = \{x, a\}^* a \{x, a\}^*$ , and  $B_a^n = B_a \cap \Sigma^n$ . Similar  $B_b = \{x, b\}^* b \{x, b\}^*$ , and  $B_b^n = B_b \cap \Sigma^n$ . Consider the languages  $L_a = \bigcup_n L^{=n} x^{n^{2c}} \cup \Sigma^n B_a^{n^{2c}}$ ,  $L_b = \bigcup_n L^{=n} x^{n^{2c}} \cup \Sigma^n B_b^{n^{2c}}$ .

Each of the languages consists of each words of  $L$  padded by a certain number of  $x$ s, union some “bad” part which has at least one  $a$  for the first language or at least one  $b$  for the second language. If we take the intersection of  $L_a \cap L_b$  we get the language  $L$  padded by some  $x$ s.

We will show that we have a proof system for  $L_a$ . So the proof will consist of the computation of the TM for  $L$ . This computation has at most length  $n^{2c}$ . While the DLOGTIME for  $L_a$  outputs the input to the proof in the first  $n$  positions, we output at the remaining positions a  $x$  if the computation at this position is consistent, otherwise an  $a$ . Additionally we can output all words in  $\Sigma^n B_a^{n^{2c}}$  and hence have a proof system for  $L_a$ .

Similarly we can construct a proof system for  $L_b$ . Assuming that DLTPS are closed under intersection we have a proof system for  $L_a \cap L_b$ . Assuming  $x \notin \Sigma$ , we can modify the DLOGTIME machine such that it always rejects when  $\sigma = x$ . This is only possible since no letter other than  $x$  can appear at a position behind  $x$ . (Otherwise the output would not be a word, but contains holes inside the word). The modified proof system is a proof system for  $L$ . Since  $L$  was any language in NP this is a contradiction. ◀

► **Corollary 13.** *DLTPS are not closed under complement.*

## 6 Hierarchy Theorems

► **Definition 14.** A function  $f : \Gamma^* \rightarrow \Sigma^*$  is computable in  $\text{DLT}^k$  if there exists a deterministic Turing machine  $M$  such that  $M(\sigma, i, x)$  halts and accepts in time  $O((\log |x|)^k)$  if  $w_i = \sigma$ , where  $w_0 \dots w_{n-1} = f(x)$ . We let  $\text{DL}^k\text{TPS}$  be the polynomial bounded proof systems that are computable in  $\text{DLT}^k$ .

► **Theorem 15 (Time Hierarchy for Proof Systems).**  $\text{DL}^t\text{TPS} \subsetneq \text{DL}^{2t+1}\text{TPS}$

**Proof.** The basic idea is to apply diagonalization as in the nondeterministic time hierarchy theorem. The machines that we consider are deterministic but we need to “guess” the proof.

We will only show the proof for  $\text{DLTPS} \subsetneq \text{DL}^3\text{TPS}$ , the proof for any  $k$  is similar. The idea is to define a language  $L \subseteq 1^*$  and show that  $L$  has a  $\text{DL}^3\text{TPS}$  but no DLTPS. Since we will be working over the unary alphabet we will ignore the parameter  $\sigma$  in our proof systems. Also it suffices in general to consider proofs in  $\{0, 1\}^*$ . Let  $M_0, M_1, M_2, \dots$  be an enumeration of all DLOGTIME machines (which also includes DLOGTIME machines which are not DLTPS). The idea is to divide the natural numbers in intervals such that the left border of the interval is much smaller than the right border. For this we define a function  $f : \mathbb{N} \rightarrow \mathbb{N}$  by:

$$f(0) = 2, f(l+1) = 2^{2^{f(l)}}$$

We will define the language  $L$ : Let  $k$  be any number and  $t = \log^{1.1} k$ .

1.  $L$  contains the empty word.
2. If  $f(l) < k < f(l + 1)$  for some  $l$ , then  $1^k \in L$  iff there exists a word  $w \in \{0, 1\}^*$  of length less than or equal to  $2^t$  such that  $M_l(k, w)$  halts and accepts within  $t$  steps and  $M_l(k + 1, w)$  halts and rejects within  $t$  steps.
3. If  $k = f(l + 1)$  for some  $l$ , then  $1^k \in L$  iff there exists a word  $w \in \{0, 1\}^*$  of length less than or equal to  $2^{\log^{1.1} f(l)}$  such that  $M_l(f(l), w)$  halts and rejects within  $t$  steps or  $M_l(f(l) + 1, w)$  halts and accepts within  $t$  steps.

For a DLOGTIME machine  $M_l$ , the definition basically says that:

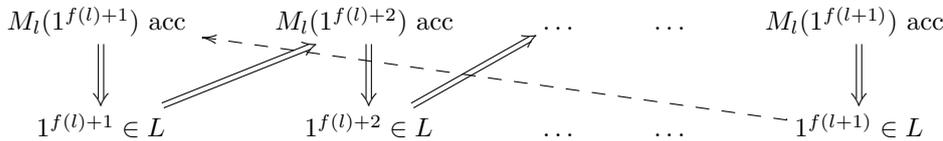
1. If  $f(l) < k < f(l + 1)$  for some  $l$ , then  $1^k \in L$  iff the proof system  $M_l$  outputs  $1^{k+1}$ .
2. If  $k = f(l + 1)$  for some  $l$ , then  $1^k \in L$  iff the proof system  $M_l$  does not output  $1^{f(l)+1}$ .

But keep in mind that this is not our definition, just the the intention for the definition.

We will show that if  $M_l$  is a DLTPS then the language corresponding to  $M_l$  is different from the language  $L$ . Since  $M_l$  is a DLOGTIME proof system we can assume that there is some  $c$  such that  $M_l$  halts after at most  $c \log n$  steps. And since  $M_l$  is polynomial bounded, if there is a  $w$  such that  $M_l$  on input  $w$  will output  $1^n$  there is a word  $w$  of length at most  $n^{c'}$ . We assume that for all  $n \geq f(l)$ :  $c \log n \leq \log^{1.1} n$  and  $n^{c'} \leq 2^{\log^{1.1} n}$  (since there are infinite many  $l$  that represent the same DLOGTIME machine we can ensure this).

Assume by contradiction that the language of  $M_l$  equals  $L$ . By equality, we have that  $M_l$  outputs  $1^{f(l)+1}$  iff  $L$  contains  $1^{f(l)+1}$ . By definition of  $L$ , for any  $k$  in  $f(l) < k < f(l + 1)$ ,  $1^k$  is in  $L$  iff there exists a word  $w \in \{0, 1\}^*$  of length less or equal than  $2^{\log^{1.1} k}$  such that  $M_l(k, w)$  halts and accepts within  $t$  steps and  $M_l(k + 1, w)$  halts and rejects within  $t$  steps. Assuming that  $M_l$  is a proof system with the bounds on computation time and proof length as above, this happens iff  $M_l$  outputs  $1^{k+1}$  (for some input). Hence by induction  $L$  contain  $1^{f(l)+1}$  iff  $M_l$  outputs  $1^{f(l+1)}$ .

But by definition,  $L$  contains  $1^{f(l+1)}$  iff  $M_l$  does not output  $1^{f(l)+1}$  on any input (again by the assumption on the bounds of computation time and proof length). This is a contradiction.



So  $L$  clearly has no DLTPS, it remains to show that there is a proof system  $D$  in DL<sup>3</sup>TPS for  $L$ . We will construct a proof system  $D$  in DL<sup>3</sup>TPS for  $L$ . The proof system will use a proof of length  $k$  to either output  $1^k$  or an empty string.

For an input  $x$  we let  $k_0 = |x|$  be the length of the input. We compute  $k_{i+1} = \lfloor \log \log \log k_i \rfloor$ , and repeat this process till we reach  $l$  such that  $k_l = 0$ . Then  $f(l) < k \leq f(l + 1)$ , where equality occurs exactly if we never needed to round down somewhere in the process. We need at most  $\log^* |x|$  repetitions, and except for the first repetition (which requires  $O(\log n)$  steps) we only require  $O(\log \log \log |x|)$  steps for the computation of one repetition, so clearly we can compute this in  $O(\log n)$  steps.

Given an input  $x$  of length  $k$  such that there is an  $l$  with  $f(l) < k < f(l + 1)$ . We want to output the word  $1^k$  iff there exists a word  $w$  such that  $M_l(k, w)$  halts and accepts within  $t$  steps and  $M_l(k + 1, w)$  halts and rejects within  $t = \log^{1.1} k$  steps. First note that only two instance  $M_l$  are run each for  $t$  steps on  $w$ . Hence  $M_l$  will access only  $2t$  positions of  $w$ . This implies that we can modify all bits other than these  $2t$  positions without changing the acceptance behaviour of  $M_l(k, w)$  and  $M_l(k + 1, w)$ . In particular, we can set all positions other than these  $2t$  positions to 0. Hence there is a word  $w$  such that  $M_l(k, w)$  halts and

accepts within  $t$  steps and  $M_l(k+1, w)$  halts and rejects within  $t$  steps iff there is a word  $w$  with at most  $2t$  bits set to 1 such that  $M_l(k, w)$  halts and accepts within  $t$  steps and  $M_l(k+1, w)$  halts and rejects within  $t$  steps.

This will greatly limit the amount of words  $w$  that we need to simulate. We interpret the proof  $x$  as a list of  $\log^{1.1} |x|$  bit numbers:  $s, \alpha_0, \dots, \alpha_{2t}$  (we ignore all other bits). We will let  $w = \phi(x)$  where  $\phi(x)$  is a word of length  $s$  that has a bit set to 1 at the positions  $\alpha_0, \dots, \alpha_{2t}$ . Then there is a word  $x$  of length  $k$  such that  $M_l(k, \phi(x))$  halts and accepts within  $t$  steps and  $M_l(k+1, \phi(x))$  halts and rejects within  $t$  steps iff there exists a word  $w \in \{0, 1\}^*$  of length less or equal than  $2^t$  such that  $M_l(k, w)$  halts and accepts within  $t$  steps and  $M_l(k+1, w)$  halts and rejects within  $t$  steps. Hence for our proof system it suffices to simulate  $M_l(k, \phi(x))$  and  $M_l(k+1, \phi(x))$  for  $t$  steps, and output  $1^k$  accordingly to the definition of  $L$ .

Now assume that  $k = f(l+1)$ , then the proof system on an input  $x$  of length  $k$  should output  $1^k$  iff there exists a word  $w \in \{0, 1\}^*$  of length less or equal than  $2^{\log^{1.1}(f(l)+1)}$  such that  $M_l(f(l), w)$  halts and rejects within  $t$  steps or  $M_l(f(l)+1, w)$  halts and accepts within  $t$  steps. So here we need to check if  $M_l$  outputs a word of length  $f(l)+1$ , where  $f(l)$  is much smaller than  $f(l+1)$ . That is, the simulating machine runs for  $\log^{1.1}(f(l)+1)$  steps and for each word of length at most  $2^{\log^{1.1}(f(l)+1)}$ . Therefore, the total running time of the simulating machine is upper bounded by  $2^{2^{f(l)}}$ . As the input length (as well as the output length) in this case is  $2^{2^{f(l)}}$ , our machine has enough time to actually simulate all possible words and check the output of  $M_l$  (in this case  $M_l$  ignores the bits of  $x$ , we only require a long  $x$  to have sufficient time for the simulation).

This completes the proof showing that  $L$  has a  $DL^3$ TPS (in fact  $DL^{2+\varepsilon}$ TPS). ◀

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