

# Circuit Evaluation for Finite Semirings\*

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## Abstract

The circuit evaluation problem for finite semirings is considered, where semirings are not assumed to have an additive or multiplicative identity. The following dichotomy is shown: If a finite semiring  $R$  (i) has a solvable multiplicative semigroup and (ii) does not contain a subsemiring with an additive identity  $0$  and a multiplicative identity  $1 \neq 0$ , then its circuit evaluation problem is in  $\text{DET} \subseteq \text{NC}^2$ . In all other cases, the circuit evaluation problem is P-complete.

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## 1 Introduction

Circuit evaluation problems are among the most well-studied computational problems in complexity theory. In its most general formulation, one has an algebraic structure  $\mathcal{A} = (D, f_1, \dots, f_k)$ , where the  $f_i$  are mappings  $f_i : D^{n_i} \rightarrow D$ . A circuit over the structure  $\mathcal{A}$  is a directed acyclic graph (dag) where every inner node is labelled with one of the operations  $f_i$  and has exactly  $n_i$  incoming edges that are linearly ordered. The leaf nodes of the dag are labelled with elements of  $D$  (for this, one needs a suitable finite representation of elements from  $D$ ), and there is a distinguished output node. The task is to evaluate this dag in the natural way, and to return the value of the output node.

In his seminal paper [19], Ladner proved that the circuit evaluation problem for the Boolean semiring  $\mathbb{B}_2 = (\{0, 1\}, \vee, \wedge)$  is P-complete. This result marks a cornerstone in the theory of P-completeness [15], and motivated the investigation of circuit evaluation problems for other algebraic structures. A large part of the literature is focused on commutative (possibly infinite) semirings [1, 23, 31] or circuits with certain structural restrictions (e.g. planar circuits [14, 18, 27] or tree-like circuits [9, 24]). In [25], Miller and Teng proved that circuits over any finite semiring can be evaluated with polynomially many processors in time  $O((\log n)(\log dn))$  on a CRCW PRAM, where  $n$  is the size of the circuit and  $d$  is the formal degree of the circuit. The latter is a parameter that can be exponential in the circuit size  $n$ . On the other hand, the authors are not aware of any NC-algorithms for evaluating

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general (exponential degree) circuits even for finite semirings. The lack of such algorithms is probably due to Ladner's result, which excludes efficient parallel algorithms in the presence of a Boolean subsemiring unless  $P = NC$ . On the other hand, in the context of semigroups, there exist  $NC$ -algorithms for circuit evaluation. In [8], the following dichotomy result was shown for finite semigroups: If the finite semigroup is solvable (meaning that every subgroup is a solvable group), then circuit evaluation is in  $NC$  (in fact, in  $DET$ , which is the class of all problems that are  $AC^0$ -reducible to the computation of an integer determinant [10, 11]), otherwise circuit evaluation is  $P$ -complete.

In this paper, we extend the work of [8] from finite semigroups to finite semirings. On first sight, Ladner's result seems to exclude efficient parallel algorithms: It is not hard to show that if the finite semiring has an additive identity  $0$  and a multiplicative identity  $1 \neq 0$  (where  $0$  is not necessarily absorbing with respect to multiplication), then circuit evaluation is  $P$ -complete, see Lemma 6. Therefore, we take the most general reasonable definition of semirings: A semiring is a structure  $(R, +, \cdot)$ , where  $(R, +)$  is a commutative semigroup,  $(R, \cdot)$  is a semigroup, and  $\cdot$  distributes (on the left and right) over  $+$ . In particular, we neither require the existence of a  $0$  nor a  $1$ . Our main result states that in this general setting there are only two obstacles to circuit evaluation in  $NC$ : non-solvability of the multiplicative structure and the existence of a zero and a one (different from the zero) in a subsemiring. More precisely, we show the following two results, where a semiring is called  $\{0, 1\}$ -free if there exists no subsemiring with an additive identity  $0$  and a multiplicative identity  $1 \neq 0$ :

1. If a finite semiring is not  $\{0, 1\}$ -free, then the circuit evaluation problem is  $P$ -complete.
2. If a finite semiring  $(R, +, \cdot)$  is  $\{0, 1\}$ -free, then its circuit evaluation problem can be solved with  $AC^0$ -circuits equipped with oracle gates for (a) graph reachability and (b) the circuit evaluation problems for the commutative semigroup  $(R, +)$  and the semigroup  $(R, \cdot)$ .

Together with the dichotomy result from [8] (and the fact that commutative semigroups are solvable) we get the following result: For every finite semiring  $(R, +, \cdot)$ , the circuit evaluation problem is in  $NC$  (in fact, in  $DET$ ) if  $(R, \cdot)$  is solvable and  $(R, +, \cdot)$  is  $\{0, 1\}$ -free. Moreover, if one of these conditions fails, then circuit evaluation is  $P$ -complete.

The hard part of the proof is to show the above statement 2. We will proceed in two steps. In the first step we reduce the circuit evaluation problem for a finite semiring  $R$  to the evaluation of a so-called type admitting circuit. This is a circuit where every gate evaluates to an element of the form  $eah$ , where  $e$  and  $h$  are multiplicative idempotents of  $R$ . Moreover, these idempotents  $e$  and  $h$  have to satisfy a certain compatibility condition that will be expressed by a so-called type function. In a second step, we present a parallel evaluation algorithm for type admitting circuits. Only for this second step we need the assumption that the semiring is  $\{0, 1\}$ -free.

In Section 6 we present an application of our main result for circuit evaluation to formal language theory. We consider the intersection non-emptiness problem for a given context-free language and a fixed regular language  $L$ . If the context-free language is given by an arbitrary context-free grammar, then we show that the intersection non-emptiness problem is  $P$ -complete as long as  $L$  is not empty (Theorem 19). It turns out that the reason for this is non-productivity of nonterminals. We therefore consider a restricted version of the intersection non-emptiness problem, where every nonterminal of the input context-free grammar must be productive. To avoid a promise problem (testing productivity of a nonterminal is  $P$ -complete), we in addition provide a witness of productivity for every nonterminal. This witness consists of exactly one production  $A \rightarrow w$  for every nonterminal of  $A$  where  $w$  may contain nonterminal symbols such that the set of all selected productions is an acyclic grammar  $\mathcal{H}$ . This ensures that  $\mathcal{H}$  derives for every nonterminal  $A$  exactly one string that is a witness of the productivity of  $A$ . We then show that this restricted version of

the intersection non-emptiness problem with the fixed regular language  $L$  is equivalent (with respect to constant depth reductions) to the circuit evaluation problem for a certain finite semiring that is derived from the syntactic monoid of the regular language  $L$ .

Full proofs can be found in the long version [12].

**Further related work.** We mentioned already existing work on circuit evaluation for (possibly infinite) semirings [1, 23, 25, 31]. For infinite groups, the circuit evaluation problem is also known as the compressed word problem [20]. In the context of parallel algorithms, the third and fourth author recently proved that the circuit evaluation problem for finitely generated (but infinite) nilpotent groups belongs to DET [17]. For finite non-associative groupoids, the complexity of circuit evaluation was studied in [26], and some of the results from [8] for semigroups were generalized to the non-associative setting. In [6], the problem of evaluating tensor circuits is studied. The complexity of this problem is quite high: Whether a given tensor circuit over the Boolean semiring evaluates to the  $(1 \times 1)$ -matrix  $(0)$  is complete for nondeterministic exponential time. Finally, let us mention the papers [22, 30], where circuit evaluation problems are studied for the power set structures  $(2^{\mathbb{N}}, +, \cdot, \cup, \cap, \neg)$  and  $(2^{\mathbb{Z}}, +, \cdot, \cup, \cap, \neg)$ , where  $+$  and  $\cdot$  are evaluated on sets via  $A \circ B = \{a \circ b \mid a \in A, b \in B\}$ . Completeness results for a large range of complexity classes are shown in [22, 30].

A variant of our intersection non-emptiness problem was studied in [29]. There, a context-free language  $L$  is fixed, a non-deterministic finite automaton  $\mathcal{A}$  is the input, and the question is, whether  $L \cap L(\mathcal{A}) = \emptyset$  holds. The authors present large classes of context-free languages such that for each member the intersection non-emptiness problem with a given regular language is P-complete (resp., NL-complete).

## 2 Computational complexity

For background in complexity theory the reader might consult [4]. We assume that the reader is familiar with the complexity classes NL (non-deterministic logspace) and P (deterministic polynomial time). A function is logspace-computable if it can be computed by a deterministic Turing-machine with a logspace-bounded work tape, a read-only input tape, and a write-only output tape. Note that the logarithmic space bound only applies to the work tape. P-hardness will refer to logspace reductions.

We use standard definitions concerning circuit complexity, see e.g. [33]. All circuit families in this paper are implicitly assumed to be DLOGTIME-uniform. We will consider the class  $AC^0$  of all problems that can be recognized by a polynomial size circuit family of constant depth built up from NOT-gates (which have fan-in one) and AND- and OR-gates of unbounded fan-in. The class  $NC^k$  ( $k \geq 1$ ) is defined by polynomial size circuit families of depth  $O(\log^k n)$  that use NOT-gates, and AND- and OR-gates of fan-in two. One defines  $NC = \bigcup_{k \geq 1} NC^k$ . The above language classes can be easily generalized to classes of functions by allowing circuits with several output gates. Of course, this only allows to compute functions  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that  $|f(x)| = |f(y)|$  whenever  $|x| = |y|$ . If this condition is not satisfied, one has to consider a suitably padded version of  $f$ .

We use the standard notion of constant depth reducibility: For functions  $f_1, \dots, f_k$  let  $AC^0(f_1, \dots, f_k)$  be the class of all functions that can be computed with a polynomial size circuit family of constant depth that uses NOT-gates and unbounded fan-in AND-gates, OR-gates, and  $f_i$ -oracle gates ( $1 \leq i \leq k$ ). Here, an  $f_i$ -oracle gate receives an ordered tuple of inputs  $x_1, x_2, \dots, x_n$  and outputs the bits of  $f_i(x_1 x_2 \dots x_n)$ . By taking the characteristic function of a language, we can also allow a language  $L_i \subseteq \{0, 1\}^*$  in place of  $f_i$ . Note that

the function class  $\text{AC}^0(f_1, \dots, f_k)$  is closed under composition (since the composition of two  $\text{AC}^0$ -circuits is again an  $\text{AC}^0$ -circuit). We write  $\text{AC}^0(\text{NL}, f_1, \dots, f_k)$  for  $\text{AC}^0(\text{GAP}, f_1, \dots, f_k)$ , where  $\text{GAP}$  is the  $\text{NL}$ -complete graph accessibility problem. The class  $\text{AC}^0(\text{NL})$  is studied in [3]. It has several alternative characterizations and can be viewed as a nondeterministic version of functional logspace. As remarked in [3], the restriction of  $\text{AC}^0(\text{NL})$  to 0-1 functions is  $\text{NL}$ . Clearly, every logspace-computable function belongs to  $\text{AC}^0(\text{NL})$ : The  $\text{NL}$ -oracle can be used to directly compute the output bits of a logspace-computable function.

Let  $\text{DET} = \text{AC}^0(\text{det})$ , where  $\text{det}$  is the function that maps a binary encoded integer matrix to the binary encoding of its determinant, see [10]. Actually, Cook originally defined  $\text{DET}$  as  $\text{NC}^1(\text{det})$  [10], but later [11] remarked that the above definition via  $\text{AC}^0$ -circuits seems to be more natural. For instance, it implies that  $\text{DET}$  is equal to the  $\#\text{L}$ -hierarchy.

We defined  $\text{DET}$  as a function class, but the definition can be extended to languages by considering their characteristic functions. It is well known that  $\text{NL} \subseteq \text{DET} \subseteq \text{NC}^2$  [11]. From  $\text{NL} \subseteq \text{DET}$ , it follows easily that  $\text{AC}^0(\text{NL}, f_1, \dots, f_k) \subseteq \text{DET}$  whenever  $f_1, \dots, f_k \in \text{DET}$ .

### 3 Algebraic structures, semigroups, and semirings

An *algebraic structure*  $\mathcal{A} = (D, f_1, \dots, f_k)$  consists of a non-empty *domain*  $D$  and operations  $f_i : D^{n_i} \rightarrow D$  for  $1 \leq i \leq k$ . We often identify the domain with the structure, if it is clear from the context. A *substructure* of  $\mathcal{A}$  is a subset  $B \subseteq D$  that is closed under each of the operations  $f_i$ . We identify  $B$  with the structure  $(B, g_1, \dots, g_k)$ , where  $g_i : B^{n_i} \rightarrow B$  is the restriction of  $f_i$  to  $B^{n_i}$  for all  $1 \leq i \leq k$ . We mainly deal with semigroups and semirings. In the following two subsection we present the necessary background. For further details concerning semigroup theory (resp., semiring theory) see [28] (resp., [13]).

#### 3.1 Semigroups

A *semigroup*  $(S, \circ)$  (or briefly  $S$ ) is an algebraic structure with a single associative binary operation. We usually write  $st$  for  $s \circ t$ . If  $st = ts$  for all  $s, t \in S$ , we call  $S$  *commutative*. A set  $I \subseteq S$  is called a *semigroup ideal* if for all  $s \in S, a \in I$  we have  $sa, as \in I$ . An element  $e \in S$  is called *idempotent* if  $ee = e$ . It is well-known that for every finite semigroup  $S$  and  $s \in S$  there exists an  $n \geq 1$  such that  $s^n$  is idempotent. In particular, every finite semigroup contains an idempotent element. By taking the smallest common multiple of all these  $n$ , one obtains an  $\omega \geq 1$  such that  $s^\omega$  is idempotent for all  $s \in S$ . The set of all idempotents of  $S$  is denoted with  $E(S)$ . If  $S$  is finite, then  $SE(S)S = S^n$  where  $n = |S|$ . Moreover,  $S^n = S^m$  for all  $m \geq n$ .

A semigroup  $M$  with an identity element  $1 \in M$ , i.e.  $1m = m1 = m$  for all  $m \in M$ , is called a *monoid*. With  $S^1$  we denote the monoid that is obtained from a semigroup  $S$  by adding a fresh element  $1$ , which becomes the identity element of  $S^1$  by setting  $1s = s1 = s$  for all  $s \in S \cup \{1\}$ . In case  $M$  is a monoid and  $N$  is a submonoid of  $M$ , we do not require that the identity element of  $N$  is the identity element of  $M$ . But, clearly, the identity element of the submonoid  $N$  must be an idempotent element of  $M$ . In fact, for every semigroup  $S$  and every idempotent  $e \in E(S)$ , the set  $eSe = \{ese \mid s \in S\}$  is a submonoid of  $S$  with identity  $e$ , which is also called a *local submonoid* of  $S$ . The local submonoid  $eSe$  is the maximal submonoid of  $S$  whose identity element is  $e$ . A semigroup  $S$  is *aperiodic* if every subgroup of  $S$  is trivial. A semigroup  $S$  is *solvable* if every subgroup  $G$  of  $S$  is a solvable group, i.e., repeatedly taking the commutator subgroup leads from  $G$  to  $1$ . Since Abelian groups are solvable, every commutative semigroup is solvable.

### 3.2 Semirings

A *semiring*  $(R, +, \cdot)$  consists of a non-empty set  $R$  with two operations  $+$  and  $\cdot$  such that  $(R, +)$  is a commutative semigroup,  $(R, \cdot)$  is a semigroup, and  $\cdot$  left- and right-distributes over  $+$ , i.e.,  $a \cdot (b + c) = ab + ac$  and  $(b + c) \cdot a = ba + ca$  (as usual, we write  $ab$  for  $a \cdot b$ ). Note that we neither require the existence of an additive identity  $0$  nor the existence of a multiplicative identity  $1$ . We denote with  $R_+ = (R, +)$  the additive semigroup of  $R$  and with  $R_\bullet = (R, \cdot)$  the multiplicative semigroup of  $R$ . For  $n \geq 1$  and  $r \in R$  we write  $n \cdot r$  or just  $nr$  for  $r + \dots + r$ , where  $r$  is added  $n$  times. For a non-empty subset  $T \subseteq R$  we denote by  $\langle T \rangle$  the subsemiring generated by  $T$ , i.e., the smallest set containing  $T$  which is closed under addition and multiplication. An *ideal* of  $R$  is a subset  $I \subseteq R$  such that for all  $a, b \in I, s \in R$  we have  $a + b, sa, as \in I$ . Clearly, every ideal is a subsemiring. With  $E(R)$  we denote the set of multiplicative idempotents of  $R$ , i.e., those  $e \in R$  with  $e^2 = e$ . Note that for every multiplicative idempotent  $e \in E(R)$ ,  $eRe$  is a subsemiring of  $R$  in which the multiplicative structure is a monoid. Let  $\mathbb{B}_2 = (\{0, 1\}, \vee, \wedge)$  be the *Boolean semiring*.

A crucial definition in this paper is that of a  $\{0, 1\}$ -free semiring. This is a semiring  $R$  which does *not* contain a subsemiring  $T$  with an additive identity  $0$  and a multiplicative identity  $1 \neq 0$ . Note that it is not required that  $0$  is absorbing in  $T$ , i.e.,  $a \cdot 0 = 0 \cdot a = 0$  for all  $a \in T$ . The class of  $\{0, 1\}$ -free *finite* semirings has several characterizations:

► **Lemma 1.** *For a finite semiring  $R$ , the following are equivalent:*

1.  $R$  is not  $\{0, 1\}$ -free.
2.  $\mathbb{B}_2$  or  $\mathbb{Z}_d$  for some  $d \geq 2$  is a subsemiring of  $R$ .
3.  $\mathbb{B}_2$  or  $\mathbb{Z}_d$  for some  $d \geq 2$  is a homomorphic image of a subsemiring of  $R$ .
4. There exist elements  $0, 1 \in R$  such that  $0 \neq 1$ ,  $0 + 0 = 0$ ,  $0 + 1 = 1$ ,  $0 \cdot 1 = 1 \cdot 0 = 0 \cdot 0 = 0$ , and  $1 \cdot 1 = 1$  (but  $1 + 1 \neq 1$  is possible).

As a consequence of Lemma 1 (point 4), one can check in time  $O(n^2)$  for a semiring of size  $n$  whether it is  $\{0, 1\}$ -free. We will not need this fact, since in our setting the semiring will be always fixed, i.e., not part of the input. Moreover, the class of all  $\{0, 1\}$ -free semirings is a pseudo-variety of finite semirings, i.e., it is closed under taking subsemirings (this is trivial), taking homomorphic images (by point 3), and direct products. For the latter, assume that  $R \times R'$  is not  $\{0, 1\}$ -free. Hence, there exists a subsemiring  $T$  of  $R \times R'$  with an additive zero  $(0, 0')$  and a multiplicative one  $(1, 1') \neq (0, 0')$ . W.l.o.g. assume that  $0 \neq 1$ . Then the projection  $\pi_1(T)$  onto the first component is a subsemiring of  $R$ , where  $0$  is an additive identity and  $1 \neq 0$  is a multiplicative identity.

## 4 Circuit evaluation and main results

We define circuits over general algebraic structures. Let  $\mathcal{A} = (D, f_1, \dots, f_k)$  be an algebraic structure. A *circuit* over  $\mathcal{A}$  is a triple  $\mathcal{C} = (V, A_0, \text{rhs})$  where  $V$  is a finite set of *gates*,  $A_0 \in V$  is the *output gate* and *rhs* (for right-hand side) is a function that assigns to each gate  $A \in V$  an element  $a \in D$  or an expression of the form  $f_i(A_1, \dots, A_n)$ , where  $n = n_i$  and  $A_1, \dots, A_n \in V$  are called the *input gates for  $A$* . Moreover, the binary relation  $\{(A, B) \in V \times V \mid A \text{ is an input gate for } B\}$  must be acyclic. The reflexive and transitive closure of it is a partial order on  $V$  that we denote with  $\leq_{\mathcal{C}}$ . Every gate  $A$  evaluates to an element  $[A]_{\mathcal{C}} \in \mathcal{A}$  in the natural way: If  $\text{rhs}(A) = a \in D$ , then  $[A]_{\mathcal{C}} = a$  and if  $\text{rhs}(A) = f_i(A_1, \dots, A_n)$  then  $[A]_{\mathcal{C}} = f_i([A_1]_{\mathcal{C}}, \dots, [A_n]_{\mathcal{C}})$ . Moreover, we define  $[\mathcal{C}] = [A_0]_{\mathcal{C}}$  (the value computed by  $\mathcal{C}$ ). If the circuit  $\mathcal{C}$  is clear from the context, we also write  $[A]$  instead of  $[A]_{\mathcal{C}}$ . Two circuits  $\mathcal{C}_1$  and  $\mathcal{C}_2$  over the structure  $\mathcal{A}$  are *equivalent* if  $[\mathcal{C}_1] = [\mathcal{C}_2]$ .

Sometimes we also use circuits without an output gate; such a circuit is just a pair  $(V, \text{rhs})$ . A subcircuit of  $\mathcal{C}$  is the restriction of  $\mathcal{C}$  to a downwards closed (w.r.t.  $\leq_{\mathcal{C}}$ ) subset of  $V$ . A gate  $A$  with  $\text{rhs}(A) = f_i(A_1, \dots, A_n)$  is called an *inner gate*, otherwise it is an *input gate* of  $\mathcal{C}$ . Quite often, we view a circuit as a directed acyclic graph, where the inner nodes are labelled with an operations  $f_i$ , and the leaf nodes are labelled with elements from  $D$ . In our proofs, it is sometimes convenient to allow arbitrary terms built from  $V \cup D$  using the operations  $f_1, \dots, f_k$  in right-hand sides. For instance, over a semiring  $(R, +, \cdot)$  we might have  $\text{rhs}(A) = s \cdot B \cdot t + C + s$  for  $s, t \in R$  and  $B, C \in V$ . A circuit is in *normal form*, if all right-hand sides are of the form  $a \in D$  or  $f_i(A_1, \dots, A_n)$  with  $A_1, \dots, A_n \in V$ . We will make use of the following simple fact:

► **Lemma 2.** *A circuit can be transformed in logspace into an equivalent normal form circuit.*

The *circuit evaluation problem*  $\text{CEP}(\mathcal{A})$  for some algebraic structure  $\mathcal{A}$  (say a semigroup or a semiring) is the following computational problem:

**Input:** A circuit  $\mathcal{C}$  over  $\mathcal{A}$  and an element  $a \in D$  from its domain.

**Output:** Decide whether  $[\mathcal{C}] = a$ .

Note that for a finite structure  $\mathcal{A}$ ,  $\text{CEP}(\mathcal{A})$  is basically equivalent to its computation variant, where one actually computes the output value  $[\mathcal{C}]$  of the circuit: if  $\text{CEP}(\mathcal{A})$  belongs to a complexity class  $\mathsf{C}$ , then the computation variant belongs to  $\text{AC}^0(\mathsf{C})$ , and if the latter belongs to  $\text{AC}^0(\mathsf{C})$  then  $\text{CEP}(\mathcal{A})$  belongs to the decision fragment of  $\text{AC}^0(\mathsf{C})$ .

Clearly, for every finite structure the circuit evaluation problem can be solved in polynomial time by evaluating all gates along the partial order  $\leq_{\mathcal{C}}$ . Ladner's classical P-completeness result for the Boolean circuit value problem [19] can be stated as follows:

► **Theorem 3** ([19]).  *$\text{CEP}(\mathbb{B}_2)$  is P-complete.*

For semigroups, the following dichotomy was shown in [8]:

- **Theorem 4** ([8]). *Let  $S$  be a finite semigroup.*
- *If  $S$  is aperiodic, then  $\text{CEP}(S)$  is in NL.*
  - *If  $S$  is solvable, then  $\text{CEP}(S)$  belongs to DET.*
  - *If  $S$  is not solvable, then  $\text{CEP}(S)$  is P-complete.*

In fact, in [8], the authors use the original definition  $\text{DET} = \text{NC}^1(\text{det})$  of Cook. But the arguments in [8] actually show that for a finite solvable semigroup,  $\text{CEP}(S)$  belongs to  $\text{AC}^0(\text{det})$  (which is our definition of DET). Moreover, in [8], Theorem 4 is only shown for monoids, but the extension to semigroups is straightforward: If the finite semigroup  $S$  has a non-solvable subgroup, then  $\text{CEP}(S)$  is P-complete, since the circuit evaluation problem for a non-solvable finite group is P-complete. On the other hand, if  $S$  is solvable (resp., aperiodic), then also the monoid  $S^1$  is solvable (resp., aperiodic). This holds, since the subgroups of  $S^1$  are exactly the subgroups of  $S$  together with  $\{1\}$ . Hence,  $\text{CEP}(S^1)$  is in DET (resp., NL), which implies that  $\text{CEP}(S)$  is in DET (resp., NL).

Let us fix a *finite semiring*  $R = (R, +, \cdot)$  for the rest of the paper. Note that  $\text{CEP}(R_+)$  (resp.,  $\text{CEP}(R_\bullet)$ ) is the restriction of  $\text{CEP}(R)$  to circuits without multiplication (resp., addition) gates. Since every commutative semigroup is solvable, Theorem 4 implies that  $\text{CEP}(R_+)$  belongs to DET. The main result of this paper is:

► **Theorem 5.** *If the finite semiring  $R$  is  $\{0, 1\}$ -free, then the problem  $\text{CEP}(R)$  belongs to the class  $\text{AC}^0(\text{NL}, \text{CEP}(R_+), \text{CEP}(R_\bullet))$ . Otherwise  $\text{CEP}(R)$  is P-complete.*

Note that  $\text{CEP}(R)$  can also be P-complete for a  $\{0, 1\}$ -free semiring (namely in the case that  $\text{CEP}(R_\bullet)$  is P-complete) and that  $\text{AC}^0(\text{NL}, \text{CEP}(R_+), \text{CEP}(R_\bullet)) = \text{AC}^0(\text{CEP}(R_+), \text{CEP}(R_\bullet))$  whenever  $\text{CEP}(R_+)$  or  $\text{CEP}(R_\bullet)$  is NL-hard. For example, this is the case, if  $R_+$  or  $R_\bullet$  is an aperiodic nontrivial monoid [8, Proposition 4.14] (for aperiodic nontrivial monoids one can easily reduce the NL-complete of graph reachability problem to the circuit value problem).

The P-hardness statement in Theorem 5 is easy to show:

► **Lemma 6.** *If the finite semiring  $R$  is not  $\{0, 1\}$ -free, then  $\text{CEP}(R)$  is P-complete.*

**Proof.** By Lemma 1,  $R$  contains either  $\mathbb{B}_2$  or  $\mathbb{Z}_d$  for some  $d \geq 2$ . In the former case, P-hardness follows from Ladner's theorem. Furthermore, one can reduce the P-complete Boolean circuit value problem over  $\{0, 1, \wedge, \neg\}$  to  $\text{CEP}(\mathbb{Z}_d)$ : A gate  $z = x \wedge y$  is replaced by  $z = x \cdot y$  and a gate  $y = \neg x$  is replaced by  $y = 1 + (d - 1) \cdot x$ . ◀

Theorem 4 and 5 yield the following corollaries:

► **Corollary 7.** *Let  $R$  be a finite semiring.*

- *If  $R$  is  $\{0, 1\}$ -free and  $R_\bullet$  and  $R_+$  are aperiodic, then  $\text{CEP}(R)$  belongs to NL.*
- *If  $R$  is  $\{0, 1\}$ -free and  $R_\bullet$  is solvable, then  $\text{CEP}(R)$  belongs to DET.*
- *If  $R$  is not  $\{0, 1\}$ -free or  $R_\bullet$  is not solvable, then  $\text{CEP}(R)$  is P-complete.*

Let us present an application of Corollary 7.

► **Example 8.** An important semigroup construction found in the literature is the power construction. For a finite semigroup  $S$  one defines the *power semiring*  $\mathcal{P}(S) = (2^S \setminus \{\emptyset\}, \cup, \cdot)$  with the multiplication  $A \cdot B = \{ab \mid a \in A, b \in B\}$ . Notice that if one includes the empty set, then the semiring would not be  $\{0, 1\}$ -free: Take an idempotent  $e \in S$ . Then  $\emptyset$  and  $\{e\}$  form a copy of  $\mathbb{B}_2$ . Hence, the circuit evaluation problem is P-complete.

Let us further assume that  $S$  is a monoid with identity 1 (the general case will be considered below). If  $S$  contains an idempotent  $e \neq 1$  then also  $\mathcal{P}(S)$  is not  $\{0, 1\}$ -free:  $\{e\}$  and  $\{1, e\}$  form a copy of  $\mathbb{B}_2$ . On the other hand, if 1 is the unique idempotent of  $S$ , then  $S$  must be a group  $G$ . Assume that  $G$  is solvable; otherwise  $\mathcal{P}(G)_\bullet$  is not solvable as well and has a P-complete circuit evaluation problem by Theorem 4. It is not hard to show that the subgroups of  $\mathcal{P}(G)_\bullet$  correspond to the quotient groups of subgroups of  $G$ ; see also [21]. Since  $G$  is solvable and the class of solvable groups is closed under taking subgroups and quotients,  $\mathcal{P}(G)_\bullet$  is a solvable monoid. Moreover  $\mathcal{P}(G)$  is  $\{0, 1\}$ -free: Otherwise, Lemma 1 implies that there are non-empty subsets  $A, B \subseteq G$  such that  $A \neq B$ ,  $A \cup B = B$  (and thus  $A \subsetneq B$ ),  $AB = BA = A^2 = A$ , and  $B^2 = B$ . Hence,  $B$  is a subgroup of  $G$  and  $A \subseteq B$ . But then  $B = AB = A$ , which is a contradiction. By Corollary 7,  $\text{CEP}(\mathcal{P}(G))$  for a finite solvable group  $G$  belongs to DET.

Let us now classify the complexity of  $\text{CEP}(\mathcal{P}(S))$  for arbitrary semigroups  $S$ . A semigroup  $S$  is a *local group* if for all  $e \in E(S)$  the local monoid  $eSe$  is a group. In a finite local group  $S$  of size  $n$  the minimal semigroup ideal is  $S^n = SE(S)S$  [2, Proposition 2.3].

► **Theorem 9.** *Let  $S$  be a finite semigroup. If  $S$  is a local group and solvable, then  $\text{CEP}(\mathcal{P}(S))$  belongs to DET. Otherwise  $\text{CEP}(\mathcal{P}(S))$  is P-complete.*

**Proof.** If  $S$  is a solvable local group, then the multiplicative semigroup  $\mathcal{P}(S)_\bullet$  is solvable as well [5, Corollary 2.7]. It remains to show that the semiring  $\mathcal{P}(S)$  is  $\{0, 1\}$ -free. Towards a contradiction assume that  $\mathcal{P}(S)$  is not  $\{0, 1\}$ -free. By Lemma 1, there exist non-empty sets  $A \subsetneq B \subseteq S$  such that  $AB = BA = A^2 = A$  and  $B^2 = B$ . Hence,  $B$  is a subsemigroup of  $S$ ,

which is also a local group, and  $A$  is a semigroup ideal in  $B$ . Since the minimal semigroup ideal of  $B$  is  $B^n$  for  $n = |B|$  and  $B^n = B$ , we obtain  $A = B$ , which is a contradiction.

If  $S$  is not a local group, then there exists a local monoid  $eSe$  which is not a group and hence contains an idempotent  $f \neq e$ . Since  $\{\{f\}, \{e, f\}\}$  forms a copy of  $\mathbb{B}_2$  it follows that  $\text{CEP}(\mathcal{P}(S))$  is P-complete. Finally, if  $S$  is not solvable, then also  $\mathcal{P}(S)$  is not solvable and  $\text{CEP}(\mathcal{P}(S))$  is P-complete by Theorem 4.  $\blacktriangleleft$

## 5 Proof of Theorem 5

The proof of Theorem 5 will proceed in two steps. In the first step we reduce the problem to evaluating circuits in which the computation admits a type-function defined in the following. In the second step, we show how to evaluate such circuits.

► **Definition 10.** Let  $E = E(R)$  be the set of multiplicative idempotents. Let  $\mathcal{C} = (V, \text{rhs})$  be a circuit in normal form such that  $[A]_{\mathcal{C}} \in ERE$  for all  $A \in V$ . A type-function for  $\mathcal{C}$  is a mapping  $\text{type} : V \rightarrow E \times E$  such that for all gates  $A \in V$ :

- If  $\text{type}(A) = (e, f)$ , then  $[A]_{\mathcal{C}} \in eRf$ .
- If  $A$  is an addition gate with  $\text{rhs}(A) = B + C$ , then  $\text{type}(A) = \text{type}(B) = \text{type}(C)$ .
- If  $A$  is a multiplication gate with  $\text{rhs}(A) = B \cdot C$ ,  $\text{type}(B) = (e, e')$ , and  $\text{type}(C) = (f', f)$ , then  $\text{type}(A) = (e, f)$ .

A circuit is called *type admitting* if it admits a type-function.

A function  $\alpha : R^m \rightarrow R$  ( $m \geq 0$ ) is called *affine* if there are  $a_1, b_1, \dots, a_m, b_m, c \in R$  such that  $\alpha(x_1, \dots, x_m) = \sum_{i=1}^m a_i x_i b_i + c$  or  $\alpha(x_1, \dots, x_m) = \sum_{i=1}^m a_i x_i b_i$  for all  $x_1, \dots, x_m \in R$ . We represent this affine function by the tuple  $(a_1, b_1, \dots, a_m, b_m, c)$  or  $(a_1, b_1, \dots, a_m, b_m)$ . Theorem 5 is an immediate corollary of the following two propositions (and the obvious fact that an affine function with a constant number of inputs can be evaluated in  $\text{AC}^0$ ).

► **Proposition 11.** *Given a circuit  $\mathcal{C}$  over the finite semiring  $R$ , one can compute in  $\text{AC}^0(\text{NL}, \text{CEP}(R_+))$*

- an affine function  $\alpha : R^m \rightarrow R$  for some  $0 \leq m \leq |R|^4$ ,
- a type admitting circuit  $\mathcal{C}' = (V', \text{rhs}')$ , and
- a list of gates  $A_1, \dots, A_m \in V'$  such that  $[\mathcal{C}] = \alpha([A_1]_{\mathcal{C}'}, \dots, [A_m]_{\mathcal{C}'})$ .

► **Proposition 12.** *If  $R$  is  $\{0, 1\}$ -free, then the restriction of  $\text{CEP}(R)$  to type admitting circuits is in  $\text{AC}^0(\text{NL}, \text{CEP}(R_+), \text{CEP}(R_\bullet))$ .*

Notice that in Proposition 12 we do not need explicitly a type function as part of the input. Moreover, it is not clear how to test efficiently whether a circuit is type admitting. On the other hand, this is not a problem for us, since we will apply Proposition 12 only to circuits resulting from Proposition 11, which are type admitting by construction.

### 5.1 Step 1: Reduction to typing admitting circuits

In this section, we sketch a proof of Proposition 11. Let  $\mathcal{C}$  be a circuit in normal form over our fixed finite semiring  $(R, +, \cdot)$  of size  $n = |R| \geq 2$  (the case  $n = 1$  is trivial). Let  $E = E(R)$ . Note that  $R^n = RER$  is closed under multiplication with elements from  $R$ . Thus,  $\langle R^n \rangle$  is an ideal. Every element of  $\langle R^n \rangle$  is a finite sum of elements from  $R^n$ .

In a first step, we compute from  $\mathcal{C}$  in  $\text{AC}^0(\text{NL}, \text{CEP}(R_+))$  a semiring element  $r$  and a circuit  $\mathcal{D}$  over the subsemiring  $\langle R^n \rangle = \langle RER \rangle$  such that  $[\mathcal{C}] = r + [\mathcal{D}]$ , where  $r$  or  $\mathcal{D}$  (but not both) can be missing. For the proof of this, we interpret the circuit  $\mathcal{C}$  over the *free*

semiring  $\mathbb{N}[R]$ . It consists of all mappings  $f : R^+ \rightarrow \mathbb{N}$  (where  $R^+$  is the set of non-empty words over the alphabet  $R$ ) such that  $\text{supp}(f) := \{w \in R^+ \mid f(w) \neq 0\}$  (the support of  $f$ ) is finite and non-empty. We view an element  $f \in \mathbb{N}[R]$  as a polynomial  $\sum_{w \in \text{supp}(f)} f(w) \cdot w$ , where  $R$  is a set of non-commuting variables. Addition and multiplication of such non-commuting polynomials is defined as usual. Words  $w \in \text{supp}(f)$  are also called *monomials* of  $f$ . Let  $h : \mathbb{N}[R] \rightarrow R$  be the canonical evaluation homomorphism, which evaluates a given non-commutative polynomial in  $R$ . Thereby a monomial  $w = a_1 a_2 \cdots a_n$  is mapped to the corresponding product in  $R$ . Since a semiring is not assumed to have a multiplicative identity (resp., additive identity), we have to exclude the empty word from  $\text{supp}(f)$  for every  $f \in \mathbb{N}[R]$  (resp., exclude the mapping  $f$  with  $\text{supp}(f) = \emptyset$  from  $\mathbb{N}[R]$ ).

The idea is to split each polynomial computed in a gate  $A$  into two parts: Those monomials (i.e., non-empty words over  $R$ ) that have length  $< n = |R|$  (called the short part of  $A$ ) and those monomials that have length  $\geq n$  (called the long part of  $A$ ). Of course the short (resp. long) part of a gate can be empty. We then compute from the circuit  $\mathcal{C}$  the following data: (i) for every gate  $A$  the  $h$ -image of the short part of  $A$  if it is non-empty and (ii) a circuit over  $\langle R^n \rangle$  that contains for every gate  $A$  of  $\mathcal{C}$  the  $h$ -image of its long part (if it exists). For (i), we need oracle access to  $\text{CEP}(R_+)$ . Oracle access to  $\text{NL}$  is needed to compute those gates whose short (resp., long) part is non-empty.

In a second step, we compute from a circuit  $\mathcal{D}$  over  $\langle RER \rangle$  a type admitting circuit  $\mathcal{C}'$  such that the value of  $\mathcal{D}$  is an affine combination of certain gate values in  $\mathcal{C}'$ . The main idea is the following: In the circuit  $\mathcal{D}$  all input values are sums of elements of the form  $set$  ( $e \in E$ ,  $s, t \in R$ ), which we can write as  $se^3t$ . Hence, if we evaluate the circuit freely in  $\mathbb{N}[R]$ , then every monomial that arises at a gate  $A$  is of the form  $segft$ , where  $g$  starts (resp., ends) with the symbol  $e \in E$  (resp.,  $f \in E$ ) and  $s, t \in R$ . Let  $P_A$  is the set of all tuples  $(s, e, f, t)$  such that at gate  $A$  a monomial of the form  $segft$  arises. One can show that  $P_A$  can be computed in  $\text{AC}^0(\text{NL})$ . The circuit  $\mathcal{C}'$  contains for every  $(s, e, f, t) \in P_A$  a gate  $A_{s,e,f,t}$  that computes the sum of all monomials  $g$  such that  $segft$  is a monomial that appears at gate  $A$ . The type of gate  $A_{s,e,f,t}$  is  $(e, f)$ . Moreover,  $[A]_{\mathcal{D}}$  is equal to  $\sum_{(s,e,f,t) \in P_A} (se)[A_{s,e,f,t}]_{\mathcal{C}'}(ft)$ . This shows that  $[D]$  is indeed an affine combination of certain gate values in  $\mathcal{C}'$ .

## 5.2 Step 2: A parallel evaluation algorithm for type admitting circuits

In this section we prove Proposition 12. We present a parallel evaluation algorithm for type admitting circuits. This algorithm terminates after at most  $|R|$  rounds, if  $R$  has a so-called rank-function, which we define first. As before, let  $E = E(R)$ .

► **Definition 13.** We call a function  $\text{rank} : R \rightarrow \mathbb{N} \setminus \{0\}$  a *rank-function* for  $R$  if it satisfies the following conditions for all  $a, b \in R$ :

1.  $\text{rank}(a) \leq \text{rank}(a \circ b)$  and  $\text{rank}(b) \leq \text{rank}(a \circ b)$  for  $\circ \in \{+, \cdot\}$ .
2. If  $a, b \in eRf$  for some  $e, f \in E$  and  $\text{rank}(a) = \text{rank}(a + b)$ , then  $a = a + b$ .

If  $R_\bullet$  is a monoid, then one can choose  $e = 1 = f$  in the second condition in Definition 13, which is therefore equivalent to: If  $\text{rank}(a) = \text{rank}(a + b)$  for  $a, b \in R$ , then  $a = a + b$ .

► **Example 14 (Example 8 continued).** Let  $G$  be a finite group and consider the semiring  $\mathcal{P}(G)$ . One can verify that the function  $A \mapsto |A|$ , where  $\emptyset \neq A \subseteq G$ , is a rank-function for  $\mathcal{P}(G)$ . On the other hand, if  $S$  is a finite semigroup, which is not a group, then  $S$  cannot be cancellative. Assume that  $ab = ac$  for  $a, b, c \in S$  with  $b \neq c$ . Then  $\{a\} \cdot \{b, c\} = \{ab\}$ . This shows that the function  $A \mapsto |A|$  is not a rank-function for  $\mathcal{P}(S)$ .

► **Theorem 15.** *If the finite semiring  $R$  has a rank-function  $\text{rank}$ , then the restriction of  $\text{CEP}(R)$  to type admitting circuits belongs to  $\text{AC}^0(\text{NL}, \text{CEP}(R_+), \text{CEP}(R_\bullet))$ .*

**Proof.** Let  $\mathcal{C} = (V, A_0, \text{rhs})$  be a circuit with the type function  $\text{type}$ . We present an algorithm which partially evaluates the circuit in a constant number of phases, where each phase can be carried out in  $\text{AC}^0(\text{NL}, \text{CEP}(R_+), \text{CEP}(R_\bullet))$  and the following invariant is preserved:

**Invariant:** After phase  $k$  all gates  $A$  with  $\text{rank}([A]_{\mathcal{C}}) \leq k$  are evaluated, i.e., are input gates in phase  $k + 1$  onwards.

Initially, i.e., for  $k = 0$ , the invariant holds, since 0 is not in the range of the rank-function. After  $\max\{\text{rank}(a) \mid a \in R\}$  (which is a constant) many phases, the output gate  $A_0$  is evaluated. We present phase  $k$  of the algorithm, assuming that the invariant holds after phase  $k - 1$ . Thus, all gates  $A$  with  $\text{rank}([A]_{\mathcal{C}}) < k$  of the current circuit  $\mathcal{C}$  are input gates. In phase  $k$  we evaluate all gates  $A$  with  $\text{rank}([A]_{\mathcal{C}}) = k$ . For this, we proceed in two steps:

**Step 1.** As a first step the algorithm evaluates all subcircuits that only contain addition and input gates. This maintains the invariant and is possible in  $\text{AC}^0(\text{NL}, \text{CEP}(R_+))$ . After this step, every addition-gate  $A$  has at least one inner input gate, which we denote by  $\text{inner}(A)$  (if both input gates are inner gates, then choose one arbitrarily). The NL-oracle access is needed to compute the set of all gates  $A$  for which no multiplication gate  $B \leq_{\mathcal{C}} A$  exists.

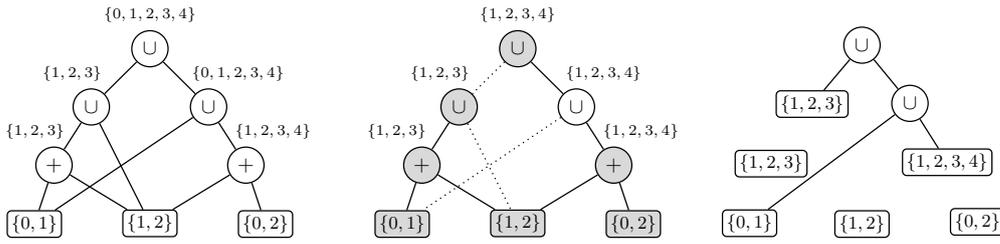
**Step 2.** Define the multiplicative circuit  $\mathcal{C}' = (V, A_0, \text{rhs}')$  by

$$\text{rhs}'(A) = \begin{cases} \text{inner}(A) & \text{if } A \text{ is an addition-gate,} \\ \text{rhs}(A) & \text{if } A \text{ is a multiplication gate or input gate.} \end{cases} \quad (1)$$

The circuit  $\mathcal{C}'$  can be brought in logspace into normal form by Lemma 2 and then evaluated in  $\text{AC}^0(\text{CEP}(R_\bullet))$ . A gate  $A \in V$  is called *locally correct* if (i)  $A$  is an input gate or multiplication gate of  $\mathcal{C}$ , or (ii)  $A$  is an addition gate of  $\mathcal{C}$  with  $\text{rhs}(A) = B + C$  and  $[A]_{\mathcal{C}'} = [B]_{\mathcal{C}'} + [C]_{\mathcal{C}'}$ . We compute the set  $W := \{A \in V \mid \text{all gates } B \text{ with } B \leq_{\mathcal{C}} A \text{ are locally correct}\}$  in  $\text{AC}^0(\text{NL})$ . A simple induction shows that for all  $A \in W$  we have  $[A]_{\mathcal{C}} = [A]_{\mathcal{C}'}$ . Hence we can set  $\text{rhs}(A) = [A]_{\mathcal{C}'}$  for all  $A \in W$ . This concludes phase  $k$  of the algorithm.

To prove that the invariant holds after phase  $k$ , we show that for each gate  $A \in V$  with  $\text{rank}([A]_{\mathcal{C}}) \leq k$  we have  $A \in W$ . This is shown by induction over the depth of  $A$  in  $\mathcal{C}$ . Assume that  $\text{rank}([A]_{\mathcal{C}}) \leq k$ . By the first condition from Definition 13, all gates  $B <_{\mathcal{C}} A$  satisfy  $\text{rank}([B]_{\mathcal{C}}) \leq k$ . Thus, the induction hypothesis yields  $B \in W$  and hence  $[B]_{\mathcal{C}} = [B]_{\mathcal{C}'}$  for all gates  $B <_{\mathcal{C}} A$ . It remains to show that  $A$  is locally correct, which is clear if  $A$  is an input gate or a multiplication gate. So assume that  $\text{rhs}(A) = B + C$  where  $B = \text{inner}(A)$ , which implies  $[A]_{\mathcal{C}'} = [B]_{\mathcal{C}'}$  by (1). Since  $B$  is an inner gate, which is not evaluated after phase  $k - 1$ , it holds that  $\text{rank}([B]_{\mathcal{C}}) \geq k$  and therefore  $\text{rank}([A]_{\mathcal{C}}) = \text{rank}([B]_{\mathcal{C}}) = k$ . By Definition 10 there exist idempotents  $e, f \in E$  with  $\text{type}(B) = \text{type}(C) = (e, f)$  and thus  $[B]_{\mathcal{C}}, [C]_{\mathcal{C}} \in eRf$ . The second condition from Definition 13 implies that  $[A]_{\mathcal{C}} = [B]_{\mathcal{C}} + [C]_{\mathcal{C}} = [B]_{\mathcal{C}}$ . We finally get  $[A]_{\mathcal{C}'} = [B]_{\mathcal{C}'} = [B]_{\mathcal{C}} = [A]_{\mathcal{C}} = [B]_{\mathcal{C}} + [C]_{\mathcal{C}} = [B]_{\mathcal{C}'} + [C]_{\mathcal{C}'}$ . Therefore  $A$  is locally correct. ◀

► **Example 16** (Example 8 continued). Figure 1 shows a circuit  $\mathcal{C}$  over the power semiring  $\mathcal{P}(G)$  of the group  $G = (\mathbb{Z}_5, +)$ . Recall from Example 14 that the function  $A \mapsto |A|$  is a rank function for  $\mathcal{P}(G)$ . We illustrate one phase of the algorithm. All gates  $A$  with  $\text{rank}([A]) < 3$  are evaluated in the circuit  $\mathcal{C}$  shown on the left. The goal is to evaluate all gates  $A$  with  $\text{rank}([A]) = 3$ . The first step would be to evaluate maximal  $\cup$ -circuits, which is already done.



■ **Figure 1** The parallel evaluation algorithm over the power semiring  $\mathcal{P}(\mathbb{Z}_5)$ .

In the second step the circuit  $\mathcal{C}'$  (shown in the middle) from the proof of Theorem 15 is computed and evaluated using the oracle for  $\text{CEP}(\mathbb{Z}_5, +)$ . The dotted wires do not belong to the circuit  $\mathcal{C}'$ . All locally correct gates are shaded. Note that the output gate is locally correct but its right child is not locally correct. All other shaded gates form a downwards closed set, which is the set  $W$  from the proof. These gates can be evaluated such that in the resulting circuit (shown on the right) all gates which evaluate to elements of rank 3 are evaluated.

To show Proposition 12, it remains to equip every finite  $\{0, 1\}$ -free semiring with a rank-function.

► **Lemma 17.** *If  $R$  is  $\{0, 1\}$ -free and  $e, f \in E(R)$  are such that  $ef = fe = f + f = f$ , then  $e + f = f$ .*

**Proof.** With  $f = 0$ ,  $e + f = 1$  all equations from Lemma 1 (point 4) hold; hence  $e + f = f$ . ◀

► **Lemma 18.** *If the finite semiring  $R$  is  $\{0, 1\}$ -free, then  $R$  has a rank-function.*

**Proof.** For  $a, b \in R$  we define  $a \preceq b$  if  $b$  can be obtained from  $a$  by iterated additions and left- and right-multiplications of elements from  $R$ . This is equivalent to the existence of  $\ell, r, c \in R$  such that  $b = \ell ar + c$ , where each of the elements  $\ell, r, c$  can be missing. Since  $\preceq$  is a preorder on  $R$ , there is a function  $\text{rank} : R \rightarrow \mathbb{N} \setminus \{0\}$  such that for all  $a, b \in R$  we have (i)  $\text{rank}(a) = \text{rank}(b)$  if and only if  $a \preceq b \preceq a$ , and (ii)  $\text{rank}(a) \leq \text{rank}(b)$  if  $a \preceq b$ .

We claim that  $\text{rank}$  satisfies the conditions of Definition 13. The first condition is clear, since  $a \preceq a + b$  and  $a, b \preceq ab$ . For the second condition, let  $e, f \in E$ ,  $a, b \in eRf$  such that  $\text{rank}(a + b) = \text{rank}(a)$ , which is equivalent to  $a + b \preceq a$ . Assume that  $a = \ell(a + b)r + c = \ell ar + \ell br + c$  for some  $\ell, r, c \in R$  (the case without  $c$  can be handled in the same way). Since  $a = eaf$  and  $b = ebf$ , we have  $a = \ell e(a + b)fr + c$  and hence we can assume that  $\ell$  and  $r$  are not missing. Moreover,  $a = eaf = (ele)(a + b)(frf) + (ecf)$ , so we can assume that  $\ell = ele$  and  $r = frf$ . After  $m$  applications of  $a = \ell ar + \ell br + c$  we get

$$a = \ell^m ar^m + \sum_{i=1}^m \ell^i br^i + \sum_{i=0}^{m-1} \ell^i cr^i. \tag{2}$$

Let  $n \geq 1$  such that  $nx$  is additively idempotent and  $x^n$  is multiplicatively idempotent for all  $x \in R$ . Hence  $nx^n$  is both additively and multiplicatively idempotent for all  $x \in R$ . If we choose  $m = n^2$ , the right hand side of (2) contains the partial sum  $P := \sum_{i=1}^n \ell^{in} br^{in}$ . Furthermore,  $e(n\ell^n) = (n\ell^n)e = n\ell^n$  and  $f(nr^n) = (nr^n)f = nr^n$ . Therefore, Lemma 17

implies that  $n\ell^n = n\ell^n + e$  and  $nr^n = nr^n + f$ , and hence:

$$\begin{aligned} P &= \sum_{i=1}^n \ell^{in} b r^{in} = n(\ell^n b r^n) = n^2(\ell^n b r^n) = (n\ell^n) b (nr^n) = (n\ell^n + e) b (nr^n) \\ &= (n\ell^n) b (nr^n) + e b (nr^n) = (n\ell^n) b (nr^n) + e b (nr^n + f) \\ &= (n\ell^n) b (nr^n) + e b (nr^n) + e b f = \left( \sum_{i=1}^n \ell^{in} b r^{in} \right) + b = P + b. \end{aligned}$$

Thus, the partial sum  $P$  in (2) can be replaced by  $P + b$ , which shows  $a = a + b$ . ◀

## 6 An application to formal language theory

In this section we briefly report on an application of Corollary 7 to a particular intersection non-emptiness problem. We assume some familiarity with context-free grammars. A circuit over the free monoid  $\Sigma^*$  can be seen as a context-free grammar producing exactly one word. Such a circuit is also called a *straight-line program*, briefly SLP. It is an acyclic context-free grammar  $\mathcal{H}$  that contains for every non-terminal  $A$  exactly one rule with left-hand side  $A$ . We denote with  $\text{val}_{\mathcal{H}}(A)$  the unique terminal word that can be derived from  $A$ .

For an alphabet  $\Sigma$  and a language  $L \subseteq \Sigma^*$ , the *intersection non-emptiness problem for  $L$* , denoted by  $\text{CFG-IP}(L, \Sigma)$ , is the following decision problem: Given a context-free grammar  $\mathcal{G}$  over  $\Sigma$ , does  $L(\mathcal{G}) \cap L \neq \emptyset$  hold? For every regular language  $L$ , this problem belongs to P: One constructs in polynomial time a context-free grammar for  $L(\mathcal{G}) \cap L$  from  $\mathcal{G}$  and a finite automaton for  $L$  and tests this grammar for emptiness, which is possible in polynomial time. However, testing emptiness of a given context-free language is P-complete. An easy reduction shows that the problem  $\text{CFG-IP}(L, \Sigma)$  is P-complete for every  $L \neq \emptyset$ :

► **Theorem 19.** *For every non-empty language  $L \subseteq \Sigma^*$ ,  $\text{CFG-IP}(L, \Sigma)$  is P-complete.*

By Theorem 19 we have to put some restriction on context-free grammars in order to get NC-algorithms for intersection non-emptiness. It turns out that productivity of all non-terminals is the right assumption. Thus, we require that every non-terminal  $A$  is productive, i.e., a terminal word can be derived from  $A$ . In order to avoid a promise problem (testing productivity of a non-terminal is P-complete [16]) we add to the input grammar  $\mathcal{G}$  an SLP  $\mathcal{H}$ , which *uniformizes*  $\mathcal{G}$  in the sense that  $\mathcal{H}$  contains for every non-terminal  $A$  exactly one rule  $A \rightarrow \alpha$  from  $\mathcal{G}$ . Hence, the word  $\text{val}_{\mathcal{H}}(A)$  is a witness for the productivity of  $A$ . For instance, a uniformizing SLP for the grammar  $S \rightarrow SS \mid aSb \mid A$ ,  $A \rightarrow aA \mid B$ ,  $B \rightarrow bB \mid b$  would be  $S \rightarrow A$ ,  $A \rightarrow B$ ,  $B \rightarrow b$ .

We define the following restriction  $\text{PCFG-IP}(L, \Sigma)$  of  $\text{CFG-IP}(L, \Sigma)$ : Given a productive context-free grammar  $\mathcal{G}$  over  $\Sigma$  and a uniformizing SLP  $\mathcal{H}$  for  $\mathcal{G}$ , does  $L(\mathcal{G}) \cap L \neq \emptyset$  hold? The theorem below classifies regular languages  $L \subseteq \Sigma^*$  by the complexity of  $\text{PCFG-IP}(L, \Sigma)$ . To do this we use the standard notion of the syntactic monoid  $M_L$  of  $L$  (which is a finite monoid for  $L$  regular). There is a surjective morphism  $h : \Sigma^* \rightarrow M_L$  and a subset  $F \subseteq M_L$  such that  $L = h^{-1}(F)$ . Let us fix the regular language  $L \subseteq \Sigma^*$ ,  $M = M_L$ ,  $h : \Sigma^* \rightarrow M$  and  $F \subseteq M$ . Define the equivalence relation  $\sim_F$  on  $\mathcal{P}(M)$  by:  $A_1 \sim_F A_2$  ( $A_1, A_2 \in \mathcal{P}(M)$ ) if and only if  $\forall \ell, r \in M : \ell A_1 r \cap F \neq \emptyset \iff \ell A_2 r \cap F \neq \emptyset$ . It can be shown that  $\sim_F$  is a congruence relation. In particular,  $\mathcal{P}(M)/\sim_F$  is a semiring.

► **Theorem 20.**  *$\text{PCFG-IP}(L, \Sigma)$  is equivalent to  $\text{CEP}(\mathcal{P}(M)/\sim_F)$  with respect to constant depth reductions. Hence,  $\text{PCFG-IP}(L, \Sigma)$  is in DET (resp., NL) if  $(\mathcal{P}(M)/\sim_F)$  is solvable (resp., aperiodic) and  $\mathcal{P}(M)/\sim_F$  is  $\{0, 1\}$ -free; otherwise  $\text{PCFG-IP}(L, \Sigma)$  is P-complete.*

As an application of Theorem 20 one can show that PCFG-IP( $L, \Sigma$ ) is in NL for every language of the form  $L = \Sigma^* a_1 \Sigma^* a_2 \Sigma^* \dots a_k \Sigma^*$  for  $a_1, \dots, a_k \in \Sigma$ .

## 7 Conclusion and outlook

We proved a dichotomy result for the circuit evaluation problem for finite semirings: If (i) the semiring has no subsemiring with an additive and multiplicative identity and both are different and (ii) the multiplicative subsemigroup is solvable, then the circuit evaluation problem is in  $\text{DET} \subseteq \text{NC}^2$ , otherwise it is P-complete.

The ultimate goal would be to obtain such a dichotomy for all finite algebraic structures. One might ask whether for every finite algebraic structure  $\mathcal{A}$ ,  $\text{CEP}(\mathcal{A})$  is P-complete or in NC. It is known that under the assumption  $\text{P} \neq \text{NC}$  there exist problems in  $\text{P} \setminus \text{NC}$  that are not P-complete [32]. In [7] it is shown that every circuit evaluation problem  $\text{CEP}(\mathcal{A})$  is equivalent to a circuit evaluation problem  $\text{CEP}(A, \circ)$ , where  $\circ$  is a binary operation.

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