

# Token Sliding on Split Graphs

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## Abstract

We consider the complexity of the INDEPENDENT SET RECONFIGURATION problem under the Token Sliding rule. In this problem we are given two independent sets of a graph and are asked if we can transform one to the other by repeatedly exchanging a vertex that is currently in the set with one of its neighbors, while maintaining the set independent. Our main result is to show that this problem is PSPACE-complete on split graphs (and hence also on chordal graphs), thus resolving an open problem in this area.

We then go on to consider the  $c$ -COLORABLE RECONFIGURATION problem under the same rule, where the constraint is now to maintain the set  $c$ -colorable at all times. As one may expect, a simple modification of our reduction shows that this more general problem is PSPACE-complete for all fixed  $c \geq 1$  on chordal graphs. Somewhat surprisingly, we show that the same cannot be said for split graphs: we give a polynomial time ( $n^{O(c)}$ ) algorithm for all fixed values of  $c$ , except  $c = 1$ , for which the problem is PSPACE-complete. We complement our algorithm with a lower bound showing that  $c$ -COLORABLE RECONFIGURATION is W[2]-hard on split graphs parameterized by  $c$  and the length of the solution, as well as a tight ETH-based lower bound for both parameters.

**2012 ACM Subject Classification** Mathematics of computing → Graph algorithms; Theory of computation → Parameterized complexity and exact algorithms

**Keywords and phrases** reconfiguration, independent set, split graph

**Digital Object Identifier** 10.4230/LIPIcs.STACS.2019.13

**Funding** Supported by JSPS and MAEDI under the Japan-France Integrated Action Program (SAKURA) Project GRAPA 38593YJ, by FMJH program PGMO and EDF via project 2016-1760H/C16/1507 “Stability versus Optimality in Dynamic Environment Algorithmics” and projects “ESIGMA” (ANR-17-CE23-0010) and “HOSIGRA” (ANR-17-CE40-0022), and by JSPS KAKENHI Grant Numbers JP18K11157, JP18K11168, JP18K11169, JP18H04091.



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36th International Symposium on Theoretical Aspects of Computer Science (STACS 2019).

Editors: Rolf Niedermeier and Christophe Paul; Article No. 13; pp. 13:1–13:17

Leibniz International Proceedings in Informatics



Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany



## 1 Introduction

A reconfiguration problem is a problem of the following type: we are given an instance of a decision problem, two feasible solutions  $S, T$ , and a local modification rule. The question is whether  $S$  can be transformed to  $T$  by repeated applications of the modification rule in a way that maintains the solution feasible at all times. Due to their numerous applications, reconfiguration problems have attracted much interest in the literature, and reconfiguration versions of standard problems (such as SATISFIABILITY, DOMINATING SET, and INDEPENDENT SET) have been widely studied (see the surveys [10, 19] and the references therein).

Among reconfiguration problems on graphs, INDEPENDENT SET RECONFIGURATION is certainly the most well-studied. The complexity of this problem depends heavily on the rule specifying the allowed reconfiguration moves. The main reconfiguration rules that have been studied for INDEPENDENT SET RECONFIGURATION are Token Addition & Removal (TAR) [16, 18], Token Jumping (TJ) [2, 3, 12, 13, 14], and Token Sliding (TS) [1, 5, 6, 8, 11, 17]. In all rules, we are required to keep the current set independent at all times. TAR allows us to add or remove any vertex in the current set, as long as the set's size is always higher than a predetermined threshold. TJ allows to exchange any vertex in the set with any vertex outside it (thus keeping the size of the set constant at all times). Finally, under TS, we are allowed to exchange a vertex in the current independent set with one of its neighbors, that is, we are allowed to perform a TJ move only if the two involved vertices are adjacent.

The INDEPENDENT SET RECONFIGURATION problem has been intensively studied under all three rules. Because the problem is PSPACE-complete in general for all three rules [16], this has motivated the study of its complexity in restricted classes of graphs, with an emphasis on graphs where INDEPENDENT SET is polynomial-time solvable, such as chordal graphs and bipartite graphs. By now, many results of this type have been discovered (see Table 1 for a summary).

Our first, and main, focus of this paper is to concentrate on a case of this problem which has so far remained elusive, namely, the complexity of INDEPENDENT SET RECONFIGURATION on chordal graphs under the TS rule. This case is of particular interest because it is one of the few cases where the problem is known to be tractable under both TAR and TJ. Indeed, Kamiński, Medvedev, and Milanič [16] showed that under these two rules INDEPENDENT SET RECONFIGURATION is polynomial-time solvable on even-hole-free graphs, a class that contains chordal graphs. In the same paper they explicitly asked as an open question if the same problem is tractable on even-hole-free graphs under TS ([16, Question 2]).

This question was then taken up by Bonamy and Bousquet [1] who made some progress by showing that INDEPENDENT SET RECONFIGURATION under TS is polynomial-time solvable on *interval graphs*, an important subclass of chordal graphs. They also gave some first evidence that it may be hard to obtain a similarly positive result for chordal graphs by showing that a related problem, the problem of determining if *all* independent sets of the same size can be transformed to each other under TS, is coNP-hard on split graphs, another subclass of chordal graphs. Note, however, that this is a problem that is clearly distinct from the more common reconfiguration problem (which asks if two *specific* sets are reachable from each other), and that the coNP-hardness is not tight, since the best known upper bound for this problem is also PSPACE.

The complexity of INDEPENDENT SET RECONFIGURATION under TS on split and chordal graphs has thus remained as an open problem. Our first, and main, contribution in this paper is to settle this problem by showing that the problem is PSPACE-complete already on split graphs (Theorem 9), and therefore also on chordal and even-hole-free graphs.

■ **Table 1** Complexity of INDEPENDENT SET RECONFIGURATION on some graph classes.

	INDEPENDENT SET RECONFIGURATION	
	TS	TJ/TAR
perfect	PSPACE-complete [16]	
even-hole-free	PSPACE-complete (Theorem 9)	P [16]
chordal	PSPACE-complete (Theorem 9)	P (even-hole-free)
split	PSPACE-complete (Theorem 9)	P (even-hole-free)
interval	P [1]	P (even-hole-free)
bipartite	PSPACE-complete [17]	NP-complete [17]

**$c$ -Colorable Reconfiguration.** A natural generalization of INDEPENDENT SET RECONFIGURATION was recently introduced in [15]: in  $c$ -COLORABLE RECONFIGURATION we are given a graph  $G = (V, E)$  and two sets  $S, T \subseteq V$ , both of which induce a  $c$ -colorable graph. The question is whether  $S$  can be transformed to  $T$  (under any of the previously mentioned rules) in a way that maintains a  $c$ -colorable graph at all times. Clearly,  $c = 1$  is the case of INDEPENDENT SET RECONFIGURATION. It was shown in [15] that this problem is already PSPACE-complete on split graphs under all three rules, when  $c$  is part of the input. It was thus posed as an open question what is the complexity of the same problem when  $c$  is fixed. Some first results in this direction were given in the form of an  $n^{O(c)}$  (XP) algorithm that works for split graphs under the TAR and TJ rules (but not TS). Motivated by this work, the second area of focus of this paper is to investigate how the hardness of 1-COLORABLE RECONFIGURATION for split graphs established in Theorem 9 extends to larger, but fixed  $c$ .

Our first contribution in this direction is to show that, for chordal graphs,  $c$ -COLORABLE RECONFIGURATION under TS is PSPACE-complete for any fixed  $c \geq 1$ . This is, of course, not surprising, as the problem is PSPACE-complete for  $c = 1$ ; indeed, the reduction we present in Theorem 10 is a tweak of the construction of Theorem 9 that increases  $c$ .

What is perhaps more surprising is that we show (under standard assumptions) that, even though Theorem 9 establishes hardness for  $c = 1$  on split graphs, a similar tweak cannot establish hardness for higher  $c$  on the same class for TS. Indeed, we provide an algorithm which solves TS  $c$ -COLORABLE RECONFIGURATION in split graphs in time  $n^{O(c)}$  for any  $c$  *except*  $c = 1$ . Thus, INDEPENDENT SET RECONFIGURATION turns out to be the *only* hard case of  $c$ -COLORABLE RECONFIGURATION for split graphs under TS. Since the  $n^{O(c)}$  algorithm of [15] for TAR/TJ reconfiguration of split graphs works for all fixed  $c$ , it thus seems that this anomalous behavior is peculiar to the Token Sliding rule.

Finally, we address the natural question of whether one can improve this  $n^{O(c)}$  algorithm, by showing that the problem is W[2]-hard parameterized by  $c$  and the length of the solution  $\ell$  for all three rules. This is in a sense doubly tight, since in addition to our algorithm and the algorithm of [15] which run in  $n^{O(c)}$ , it also matches the trivial  $n^{O(\ell)}$  algorithm which tries out all solutions of length  $\ell$ . More strongly, under the ETH our reduction implies that the problem cannot be solved in  $n^{o(c+\ell)}$  meaning that these algorithms are in a sense “optimal”.

## 2 Definitions

We use standard graph-theoretic terminology. For a graph  $G = (V, E)$  and a set  $S \subseteq V$  we use  $G[S]$  to denote the graph induced by  $S$ . A graph is chordal if it does not contain a  $k$ -vertex cycle  $C_k$  as an induced subgraph for any  $k > 3$ . A graph is split if its vertex set can be partitioned into two sets  $K, I$  such that  $K$  induces a clique and  $I$  induces an

independent set. It is a well-known fact that split graphs are chordal, and it is easy to see that both classes are closed under induced subgraphs. We use  $\chi(G), \omega(G)$  to denote the chromatic number and maximum clique size of a graph  $G$  respectively. It is known that, because chordal graphs are perfect, if  $G$  is chordal then  $\chi(G) = \omega(G)$  [21]. We also recall that a graph  $G$  is chordal if and only if every induced subgraph of  $G$  contains a simplicial vertex, where a vertex is simplicial if its neighborhood is a clique.

Let  $G = (V, E)$  be a graph and  $c \geq 1$  an integer. Given two sets  $S, T \subseteq V$  such that  $\chi(G[S]), \chi(G[T]) \leq c$ , we say that  $S$  can be  $c$ -transformed into  $T$  by one token sliding (TS) move if  $|T| = |S|$  and there exist  $u, v \in V$  with  $(u, v) \in E$  such that  $\{u\} = T \setminus S, \{v\} = S \setminus T$ . One easy way to think of TS moves is by picturing the elements of the current set  $S$  as tokens placed on the vertices of the graph, and a single move as “sliding” a token along an edge (hence the name Token Sliding).

We say that  $S$  is  $c$ -reachable from  $T$ , or that  $S$  can be  $c$ -transformed into  $T$ , by a sequence of TS moves if there exists a sequence of sets  $I_0, I_1, \dots, I_\ell$ , with  $I_0 = S, I_\ell = T$  and for each  $i \in \{0, \dots, \ell - 1\}$ ,  $\chi(G[I_i]) \leq c$  and  $I_i$  can be  $c$ -transformed into  $I_{i+1}$  by one TS move. We will simply say that  $S$  can be transformed into  $T$  or that  $S$  is reachable from  $T$ , if  $S, T$  are independent sets and  $S$  can be 1-transformed into  $T$ . We focus on the following problems.

► **Definition 1.** *In  $c$ -COLORABLE RECONFIGURATION we are given a graph  $G = (V, E)$  and two sets  $S, T \subseteq V$  with  $|S| = |T|$  and  $\chi(G[S]), \chi(G[T]) \leq c$ . We are asked if  $S$  can be  $c$ -transformed into  $T$ . INDEPENDENT SET RECONFIGURATION is the special case of  $c$ -COLORABLE RECONFIGURATION where  $c = 1$ .*

In addition to TS moves we will consider Token Jumping (TJ) and Token Addition & Removal (TAR) moves. A TJ move is the same as a TS move except that the two vertices  $u, v$  are not required to be adjacent. Two  $c$ -colorable sets  $S, T$  are reachable with one TAR move with threshold  $k$  if  $|S|, |T| \geq k$  and  $|(S \setminus T) \cup (T \setminus S)| = 1$ . We note here that, because our main focus in this paper is the TS rule, whenever we refer to a transformation without explicitly specifying under which rule this transformation is performed the reader may assume that we are referring to the TS rule.

We assume that the reader is familiar with basic complexity notions such as the class PSPACE [20], as well as basic notions in parameterized complexity, such as the class W[2] (see e.g. [4]). In Theorem 9 we will perform a reduction from the PSPACE-complete NCL (non-deterministic constraint logic) reconfiguration problem introduced by Demaine and Hearn in [8] (see also [7, 9]). Let us recall this problem. In the NCL reconfiguration problem we are given as input a graph  $G = (V, E)$ , whose edge set is partitioned into two sets,  $R$  (red) and  $B$  (blue). We consider blue edges as edges of weight 2 and red edges as edges of weight 1. A valid configuration of  $G$  is an orientation of all the edges with the property that all vertices have weighted in-degree at least 2. In the NCL configuration-to-configuration problem we are given two valid orientations of  $G$ ,  $D$  and  $D'$ , and are asked if there is a sequence of valid orientations  $D_0, D_1, \dots, D_t$  such that  $D = D_0, D' = D_t$  and for all  $i \in \{0, \dots, t - 1\}$  we have that  $D_i, D_{i+1}$  agree on all edges except one. We recall the following theorem:

► **Theorem 2** (Corollary 6 of [8]). *The NCL configuration-to-configuration problem is PSPACE-complete even if all vertices of  $G$  have degree exactly three and, moreover, even if all vertices belong in one of the following two types: OR vertices, which are vertices incident on exactly three blue edges and no red edges; and AND vertices which are vertices incident on two red edges and one blue edge.*

### 3 Token Sliding on Split Graphs is PSPACE-complete

The main result of this section is that INDEPENDENT SET RECONFIGURATION is PSPACE-complete under the TS rule when restricted to split graphs.

#### Overview of the proof

Our proof is a reduction from the NCL (non-deterministic constraint logic) reconfiguration problem of Theorem 2. The first step of our proof is a relatively straightforward reduction from the NCL reconfiguration problem to token sliding on split graphs. Its main idea is roughly as follows: for each edge  $e = (u, v)$  of the original graph we construct two selection vertices  $e_u, e_v$  in the independent set of our split graph. The idea is that at each point exactly one of the two will contain a token (i.e. will belong in the current independent set), hence our independent set will in a natural way represent an orientation of the original graph. In order to allow a single reconfiguration step to take place we add for each pair of selection vertices  $e_u, e_v$  one or two “gate” vertices (depending on the color of  $e$ ), which are common neighbors of  $e_u, e_v$  and belong in the clique. The idea is that a single re-orientation step would, for example, take a token from  $e_u$ , slide it to a gate vertex connected to the pair  $e_u, e_v$ , and then slide it to  $e_v$ : this sequence would represent re-orienting  $e$  from  $u$  to  $v$ . In order to simulate the in-degree constraint we add edges between each selection vertex  $e_u$  and gate vertices corresponding to edges incident on *the other* endpoint of  $e$ , since keeping a token on  $e_u$  represents an orientation of  $e$  towards  $u$ , which makes it harder to re-orient the edges incident on the other endpoint of  $e$ .

The above sketch captures the basic idea of our reduction, except for one significant obstacle. The correspondence between orientations and independent sets is only valid if we can guarantee that no intermediate independent set will “cheat” by, for example, placing tokens on both  $e_u$  and  $e_v$ . Since we have added edges from  $e_u, e_v$  to gate vertices that correspond to other edges (in order to simulate the interaction between edges in the NCL instance), nothing prevents a reconfiguration solution from using these edges to slide a token from one selection pair to another. The main problem thus becomes enforcing consistency, or in other words forcing the solution sequence to only use the appropriate gate vertices to slide tokens as intended. This is handled in the second step of our reduction which, given the split graph construction sketched above, makes a large number of copies and connects them appropriately in a way that the only feasible token sliding solutions are indeed those that correspond to valid orientations of the original graph.

In the remainder of this section we use the following notation:  $G = (V, E)$ , where  $E = R \cup B$ , is the graph supplied with the initial NCL reconfiguration instance and  $D, D'$  are the initial and target orientations;  $G_b = (V_b, E_b)$  is the “basic” split graph of our construction in the first step and  $S, T$  the independent sets of  $G_b$  for which we need to decide reachability; and  $G_f = (V_f, E_f)$  is the split graph of our final token sliding instance with  $S_f, T_f$  being its corresponding independent sets.

Before we proceed, let us first slightly edit our given NCL reconfiguration instance. We will now allow some vertices to have degree two and call these vertices COPY vertices. Using these we can force the OR vertices to become an independent set.

► **Lemma 3.** *NCL reconfiguration remains PSPACE-complete on graphs where (i) all vertices are either AND vertices (two incident red edges, one incident blue edge), OR vertices (three incident blue edges), or COPY vertices (two incident blue edges) (ii) every blue edge is incident on exactly one COPY vertex.*

**Proof.** For every blue edge  $e = (u, v) \in B$  in the original graph we delete this edge from the graph, introduce a new COPY vertex  $w$ , and connect  $w$  to  $u, v$  with blue edges. It is not hard to see that this transformation does not change the type of any original vertex or the answer to the reconfiguration problem. ◀

### First Step of the Construction

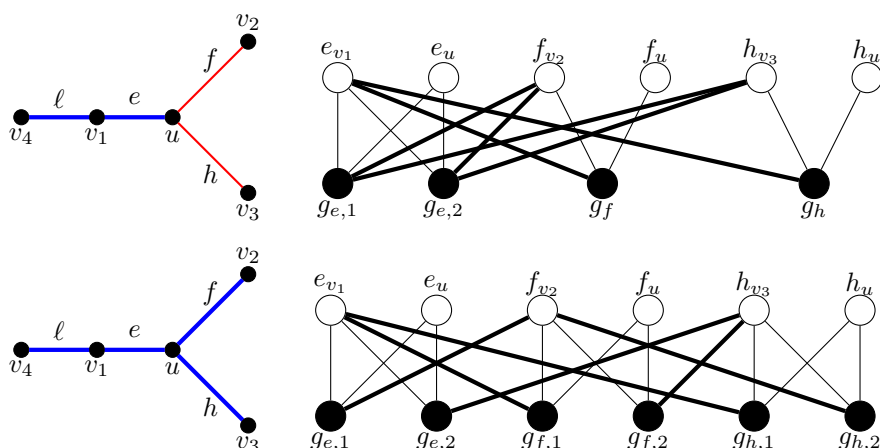
We assume (Lemma 3) that in the given graph  $G$  we have three types of vertices (AND, OR, COPY) and that each blue edge is incident on one COPY vertex. Let us now describe the construction of  $G_b$ .

1. For each  $e = (u, v) \in R$  we construct two selector vertices  $e_u, e_v$  and one gate vertex  $g_e$ .
2. For each  $e = (u, v) \in B$  we construct two selector vertices  $e_u, e_v$  and two gate vertices  $g_{e,1}, g_{e,2}$ .
3. For each edge  $e = (u, v) \in R$  we connect  $g_e$  to both  $e_u, e_v$ . For each edge  $e = (u, v) \in B$  we connect both  $g_{e,1}, g_{e,2}$  to both  $e_u, e_v$ . We call the edges added in this step gate edges.
4. For each AND vertex  $u$ , such that  $e = (u, v_1) \in B$  and  $f = (u, v_2) \in R$ ,  $h = (u, v_3) \in R$  we add the following edges:  $(e_{v_1}, g_f), (e_{v_1}, g_h), (f_{v_2}, g_{e,1}), (f_{v_2}, g_{e,2}), (h_{v_3}, g_{e,1}), (h_{v_3}, g_{e,2})$  (see Figure 1). In other words, for each edge involved in this part we connect the selector which represents its other endpoint (not  $u$ ) to the gate vertices of edges that should be unmovable if this edge is not oriented towards  $u$ .
5. For each OR vertex  $u$  such that  $e = (u, v_1), f = (u, v_2), h = (u, v_3) \in B$  we add the following edges:  $(e_{v_1}, g_{f,1}), (e_{v_1}, g_{h,1}), (e_{v_2}, g_{e,1}), (e_{v_2}, g_{h,2}), (e_{v_3}, g_{e,2}), (e_{v_3}, g_{f,2})$ . In other words, we connect the selector vertex for each  $v_i$  to a distinct gate of the edges  $(u, v_j), (u, v_k)$ , for  $i, j, k$  distinct. Informally, this makes sure that if two of the edges are oriented away from  $u$  the third edge is stuck, but if at most one is oriented away from  $u$  the other edges have a free gate.
6. For each COPY vertex  $u$  such that  $e = (u, v_1), f = (u, v_2) \in B$  we add the following edges:  $(e_{v_1}, g_{f,1}), (e_{v_1}, g_{f,2}), (f_{v_2}, g_{e,1}), (f_{v_2}, g_{e,2})$ . In other words, we connect the selector vertex for  $v_1$  in a way that blocks the movement of the token from  $f_u$ , and similarly for  $v_2$ .
7. We connect all gate vertices into a clique to obtain a split graph. Note that the remaining vertices (that is, the selector vertices  $e_v$ ) form an independent set.

We now construct two independent sets  $S, T$  of  $G_b$  in the natural way: given an orientation  $D$ , for each  $e = (u, v)$  we place  $e_u$  in  $S$  if and only if  $D$  orients  $e$  towards  $u$ ; we construct  $T$  from  $D'$  in the same way. This completes the basic construction.

Before proceeding, let us make some basic observations regarding the neighborhoods of gate vertices of the graph  $G_b$ . We have the following:

- If  $e = (u, v) \in R$ , let  $u', v'$  be vertices of  $G$  such that  $f = (u, u') \in B$ ,  $h = (v, v') \in B$  (that is,  $u', v'$  are the second endpoints of the blue edges incident on  $u, v$ ). We have that  $N(g_e) = \{e_u, e_v, f_{u'}, h_{v'}\}$ .
- If  $e = (u, v) \in B$ ,  $u$  is a COPY vertex and  $v$  is an AND vertex, let  $f = (u, u') \in B$  be the other edge incident on  $u$ , and  $h = (v, v'), \ell = (v, v'') \in R$  be the other two edges incident on  $v$ . Then  $N(g_{e,1}) = N(g_{e,2}) = \{e_u, e_v, f_{u'}, h_{v'}, \ell_{v''}\}$ .
- If  $e = (u, v) \in B$ ,  $u$  is a COPY vertex and  $v$  is an OR vertex, let  $f = (u, u') \in B$  be the other edge incident on  $u$ , and  $h = (v, v'), \ell = (v, v'') \in B$  be the other two edges incident on  $v$ . Then one of the vertices  $g_{e,1}, g_{e,2}$  has neighbors  $\{e_u, e_v, f_{u'}, h_{v'}\}$  and the other has neighbors  $\{e_u, e_v, f_{u'}, \ell_{v''}\}$ .



■ **Figure 1** Construction when  $u$  is an AND vertex (top) or an OR vertex (bottom). In both cases  $v_1$  is a COPY vertex. The part of the construction corresponding to  $\ell$  is not drawn:  $\ell_{v_4}$  would be a common neighbor of  $g_{e,1}, g_{e,2}$  and  $e_u$  would be a common neighbor of  $\ell_{e,1}, \ell_{e,2}$ . Edges connecting selector vertices to their corresponding gates are drawn thinner for readability. On the right, black (gate) vertices are connected in a clique.

We are now ready to show that if we only consider “consistent” configurations in  $G_b$ , then the new instance simulates the original NCL reconfiguration problem.

► **Lemma 4.** *There is a valid reconfiguration of the NCL instance given by  $G, D, D'$  if and only if there exists a valid reconfiguration under the TS rule from  $S$  to  $T$  in  $G_b$  such that no independent set of the reconfiguration sequence contains both  $e_u, e_v$  for any  $e = (u, v) \in E$ .*

**Proof.** Since  $G_b$  is a split graph, any independent set contains at most one vertex from the clique made up of the gate vertices. We will call an independent set that contains no gate vertices a “main” configuration. Furthermore, for main configurations that also obey the restrictions of the lemma (i.e. do not contain both  $e_u, e_v$  for any  $e \in E$ ), we observe that there is a natural one-to-one correspondence with the set of orientations of  $G$ : an edge  $e = (u, v)$  is oriented towards  $u$  if and only if  $e_u$  is in the independent set. (We implicitly use the fact that the number of tokens is  $|E|$ , therefore for each pair  $e_u, e_v$  exactly one vertex has a token in such a main configuration).

Suppose now that we have two consecutive valid orientations  $D_i, D_{i+1}$  in the reconfiguration sequence of  $G$  such that  $D_i, D_{i+1}$  differ only on the edge  $e = (u, v)$ , which  $D_i$  orients towards  $u$ . We want to show that the sets  $I_i, I_{i+1}$  obtained using the correspondence above from  $D_i, D_{i+1}$  can be obtained from each other with a pair of sliding token moves. Indeed, the sets  $I_i, I_{i+1}$  are identical except that  $\{e_u\} = I_i \setminus I_{i+1}$  and  $\{e_v\} = I_{i+1} \setminus I_i$ . We would like to slide the token from  $e_u$  to  $e_v$  using a gate vertex adjacent to both vertices.

First, assume that  $e \in R$ , so there exists a single gate vertex  $g_e$ . Furthermore,  $u, v$  are both AND vertices. Since both  $D_i, D_{i+1}$  are valid configurations, in both configurations the blue edges incident on  $u, v$  are oriented towards these two vertices. As a result  $g_e$  has no neighbor in  $I_i$ .

Second, suppose  $e = (u, v) \in B$  and one of  $u, v$  is a COPY vertex. If  $e$  is incident on an AND vertex, because both  $D_i, D_{i+1}$  are valid and agree on all edges except  $e$  we have that both red edges incident on the AND vertex are oriented towards it in both configurations. Similarly, the second blue edge incident on the COPY endpoint of  $e$  is oriented towards it in both configurations. We therefore observe that neither  $g_{e,1}$ , nor  $g_{e,2}$  has a neighbor in  $I_i$  except  $e_u$ , so we can safely slide  $e_u \rightarrow g_{e,1} \rightarrow e_v$ .

Similarly, for the last case, suppose that  $e = (u, v) \in B$  and one of the endpoints of  $e$  is an OR vertex, while the other is a COPY vertex. Again, because  $D_i, D_{i+1}$  are both valid and only disagree on  $e$ , at least one of the blue edges incident on the OR vertex (other than  $e$ ) is oriented towards it in both configurations. As before, the second blue edge incident on the COPY vertex is oriented towards it in both configurations. Therefore, one of  $g_{e,1}, g_{e,2}$  has no neighbor in  $I_i$  except  $e_u$ , so we can safely slide the token from  $e_u$  to  $e_v$  with two moves.

To complete the proof, we need to show that if we have a valid token sliding reconfiguration sequence, this gives a valid reorientation sequence for  $G$ . The main observation now is that in a shortest token sliding solution that obeys the properties of the lemma, a token that slides out of  $e_u$  must necessarily in the next move slide into  $e_v$ , where  $e = (u, v) \in E$ . To see this, observe that because of the requirement that the set does not contain both selector vertices of any edge, the tokens found on other selector vertices dominate all gate vertices except those corresponding to  $e$ . Since we can neither repeat configurations, nor add a second token to the clique made up of gate vertices, the next move must slide the token to the other selector vertex.

To see that the orientation sequence obtained through the natural translation of main configurations is valid, consider two consecutive main configurations  $I_i, I_{i+1}$  in the token sliding solution, such that the corresponding orientations are  $D_i, D_{i+1}$ , and  $D_i$  is valid. We will show that  $D_{i+1}$  is also valid. Suppose that  $D_{i+1}$  differs from  $D_i$  in the edge  $e = (u, v)$  which is oriented towards  $u$  in  $D_i$  (it is not hard to see that  $D_i, D_{i+1}$  cannot differ in more than one edge). Thus,  $I_i$  is transformable in two moves to  $I_{i+1}$  by sliding  $e_u$  to a gate corresponding to  $e$  and then to  $e_v$ . If  $e$  is a red edge, this means that in  $D_i$  both blue edges incident on  $u, v$  are directed towards  $u, v$ , so the reorientation is valid. If  $e$  is blue, we first assume that  $u$  is a COPY vertex. Since a gate corresponding to  $u$  is free, the other blue edge incident on  $u$  is oriented towards  $u$  in  $D_i$  and we have a valid move. Finally, if  $e$  is blue and  $u$  is an OR vertex, we conclude that, since at least one gate from  $g_{e,1}, g_{e,2}$  is available in  $I_i$ , at least one of the two other blue edges incident on  $u$  is directed towards  $u$  in  $D_i$  and we have a valid move. ◀

## Second Step: Enforcing Consistency

We will now construct a graph  $G_f$  that will function in a way similar to the graph we have already constructed but in a way that enforces consistency. Let  $G_b = (V_b, E_b)$  be the graph constructed in the first step of our reduction, and let  $E_g \subseteq E_b$  be the set of gate edges, that is, the set of edges that connect the selector vertices for an edge  $e$  to the corresponding gate(s).

Let  $m := |E|$  and  $C := m + 4$ . We first take  $C$  disjoint copies of  $G_b = (V_b, E_b)$  and for a vertex  $v \in V_b$  we will use the notation  $v^i$ , where  $1 \leq i \leq C$  to denote the vertex corresponding to  $v$  in the  $i$ -th copy. Then, for every edge  $(u, v) \in E_b \setminus E_g$  (every non-gate edge) and for all  $i \neq j \in \{1, \dots, C\}$  we add the edge  $(u^i, v^j)$ . This completes the construction of  $G_f$  and it is not hard to see that the graph is split, as the  $C$  copies of the clique of  $G_b$  form a larger clique. To complete our instance let us explain how to translate an independent set of  $G_b$  that contains no vertices of the clique to an independent set of  $G_f$ : we do this in the natural way by including in the new independent set all  $C$  copies of vertices of the original independent set. Since both the initial and final independent sets in our first construction use no vertices in the clique, we have in this way two independent sets of size  $mC$  in the new graph, and thus a valid Token Sliding instance. Let  $S, T$  be the two independent sets of  $G_b$  we are asked to transform and  $S_f, T_f$  the corresponding independent sets of  $G_f$ .



We first show that if we have a solution for reconfiguration in  $G_b$  then we have a solution for reconfiguring the sets in the new graph.

► **Lemma 5.** *Let  $I_1, I_2$  be two independent sets of  $G_b$  of size  $m$  that use no vertices of the clique, respect the conditions of Lemma 4, and can be transformed to one another by two sliding moves. Then the independent sets  $I'_1, I'_2$  which are obtained in  $G_f$  by including all copies of vertices of  $I_1, I_2$  respectively can be transformed into one another by a sequence of  $2C$  TS moves.*

**Proof.** Each of  $I_1, I_2$  uses exactly one of the vertices  $e_u, e_v$ , for each edge  $e = (u, v) \in E$ , because of their size, the fact that they contain no vertex of the clique, and the fact that neither contains both  $e_u, e_v$  for any edge  $e = (u, v) \in E$  (this is the condition of Lemma 4). If  $I_1$  can be transformed into  $I_2$  with two sliding moves, the first move takes a token from an independent set vertex, say  $e_u$  and moves it to the clique and the second moves the same token to  $e_v$ . Since  $I_1$  contains a token on each pair of selector vertices, the only vertex of the clique on which the token can be moved is a gate vertex corresponding to  $e$ , say  $g_e$  (if  $e$  is red) or  $g_{e,1}$  (if  $e$  is blue). We now observe that if  $g_e$  (or similarly  $g_{e,1}$ ) is available in  $I_1$  (that is, it has no neighbors in  $I_1$  besides  $e_u$ ), then the same is true for  $g_e^i$  for all  $i \in \{1, \dots, C\}$  in  $I'_1$ . To see this, note that the neighbors of  $g_e^i$  are,  $e_u^i, e_v^i$ , and, for each  $v \in N(g_e)$  all the vertices  $v^j$  for  $j \in \{1, \dots, C\}$ . Since none of the neighbors of  $g_e$  is in  $I_1$ ,  $g_e^i$  is available. We therefore slide, one by one, a token from  $e_u^i$  to  $g_e^i$  and then to  $e_v^i$ , for all  $i \in \{1, \dots, C\}$ . ◀

Now, for the more involved direction of the reduction we first observe that it is impossible for a reconfiguration to arrive at a situation where the solution is highly irregular, in the sense that, for an edge  $e = (u, v)$  we have multiple tokens on copies of both  $e_u$  and  $e_v$ .

► **Lemma 6.** *Let  $S_f$  be the initial independent set constructed in our instance and  $S'$  be an independent set which for some  $e = (u, v) \in E$  and for some  $i, j \in \{1, \dots, C\}$  with  $i \neq j$  has  $e_u^i, e_v^i, e_u^j, e_v^j \in S'$ . Then  $S'$  is not reachable with TS moves from  $S_f$ .*

**Proof.** Let  $S'$  be an independent set that satisfies the conditions of the lemma but is reachable from  $S_f$  with the minimum number of token sliding moves. Consider a sequence that transforms  $S_f$  to  $S'$ , and let  $S''$  be the independent set immediately before  $S'$  in this sequence.  $S''$  contains exactly three of the vertices  $e_u^i, e_v^i, e_u^j, e_v^j$ . Without loss of generality say  $e_v^j \notin S''$ . Therefore, the move that transforms  $S''$  to  $S'$  slides a token into  $e_v^j$  from one of the neighbors of this vertex. We now observe that  $N(e_v^j)$  contains  $C$  copies of each neighbor of  $e_v$  in  $G_b$ , plus the gate vertices corresponding to  $e$  in the  $j$ -th copy of  $G_b$ . However, the  $C$  copies of the neighbors of  $e_v$  are also neighbors of  $e_v^i$ , hence a token cannot slide through these vertices. Furthermore, the gate vertices of  $e$  are also neighbors of  $e_u^j$ . We therefore have a contradiction. ◀

We now use Lemma 6 to show that for each original edge, the graph  $G_f$  contains some non-trivial number of tokens on the selector vertices of that edge.

► **Lemma 7.** *Let  $S_f$  be the initial independent set constructed in our instance and  $S'$  be an independent set which for some  $e = (u, v) \in E$  has  $|S' \cap (\{e_u^i \mid 1 \leq i \leq C\} \cup \{e_v^i \mid 1 \leq i \leq C\})| < 4$ . Then  $S'$  is unreachable from  $S_f$ .*

**Proof.** Suppose  $S'$  is reachable. Then by Lemma 6, for each edge  $e = (u, v) \in E$  we have  $|S' \cap (\{e_u^i \mid 1 \leq i \leq C\} \cup \{e_v^i \mid 1 \leq i \leq C\})| \leq C + 1$ , because otherwise there would exist (by pigeonhole principle)  $e_u^i, e_v^i, e_u^j, e_v^j \in S'$ . We now use a simple counting argument. The total number of tokens is  $mC$ , while for any edge  $f \in E$  we have  $\sum_{e \in E \setminus \{f\}} |S' \cap (\{e_u^i \mid 1 \leq i \leq$

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$C\} \cup \{e_v^i \mid 1 \leq i \leq C\}) \leq (m-1)(C+1)$ . However,  $(m-1)(C+1) = mC + m - C - 1 = mC - 5$ , where we use the fact that  $C = m + 4$ . As a result  $|S' \cap (\{e_u^i \mid 1 \leq i \leq C\} \cup \{e_v^i \mid 1 \leq i \leq C\})| \geq 4$  for any edge  $e \in E$ , as the independent set  $S'$  uses at most one vertex from the clique. ◀

We are now ready to establish the final lemma that gives a mapping from a sliding token reconfiguration in  $G_f$  to one in  $G_b$ .

► **Lemma 8.** *If there exists a reconfiguration from  $S_f$  to  $T_f$  in  $G_f$  under the TS rule then there exists a reconfiguration from  $S$  to  $T$  in  $G_b$  under the TS rule which for each edge  $e = (u, v) \in E$  contains at most one of the vertices  $e_u, e_v$  in every independent set in the sequence.*

**Proof.** Take a configuration  $I$  of  $G_f$ , that is an independent set in the supposed sequence from  $S_f$  to  $T_f$ . We map this independent set to an independent set  $I'$  of  $G_b$  as follows: for each edge  $e = (u, v) \in E$ , we set  $e_u \in I'$  if and only if  $|I \cap \{e_u^i \mid 1 \leq i \leq C\}| \geq |I \cap \{e_v^i \mid 1 \leq i \leq C\}|$ . Informally, this means that we take the majority setting from  $G_f$ . We note that this always gives an independent set  $I'$  that contains exactly one vertex from  $\{e_u, e_v\}$  for each  $e = (u, v) \in E$ .

Our main argument now is to show that if  $I_1, I_2$  are two consecutive independent sets of the solution for  $G_f$ , then the sets  $I'_1, I'_2$  which are obtained in the way described above in  $G_b$  are either identical or can be obtained from one another with two sliding moves. If  $I'_1, I'_2$  are not identical, they may differ in at most two vertices corresponding to an edge  $e = (u, v) \in E$ , say  $\{e_u\} = I'_1 \setminus I'_2$  and  $\{e_v\} = I'_2 \setminus I'_1$ . This is not hard to see, since  $I_2$  is obtained from  $I_1$  with one sliding move, and this move can only affect the majority opinion for at most one edge.

Now we would like to argue that it is possible to slide  $e_u$  to a gate vertex associated to  $e$  and then to  $e_v$  in  $G_b$ . Consider the transition from  $I_1$  to  $I_2$ . This move either slides a token from some  $e_u^i$  to the clique, or slides a token from the clique to some  $e_v^j$  (because the majority opinion changed from  $e_u$  to  $e_v$ ). Because of Lemma 7, both  $I_1$  and  $I_2$  contain at least four vertices in some copies of  $e_u, e_v$ . Hence, since at least half of these vertices are in copies of  $e_u$  in  $I_1$ , there exists some  $e_u^i \in I_1 \cap I_2$ . Similarly, there exists some  $e_v^j \in I_1 \cap I_2$ . Consider now a gate vertex  $g$  in the clique of  $G_b$  such that  $g$  is not associated with  $e$ . If  $g$  has an edge to  $\{e_u, e_v\}$  in  $G_b$ , then all copies of  $g$  in  $G_f$  have an edge to  $I_1 \cap I_2$ , therefore cannot belong in either set. As a result, the clique vertex that is used in the transition from  $I_1$  to  $I_2$  is a copy of a gate vertex associated with  $e$  (either  $g_e$ , or one of  $g_{e,1}, g_{e,2}$ , depending on the color of  $e$ ). This gate vertex copy therefore has no neighbor in  $I_1 \cap I_2$ . From this we conclude that the same gate vertex in  $G_b$  also has no neighbor in  $I'_1 \cap I'_2$ , as the majority opinion only changed for  $e$ . It is therefore legal to slide from  $e_u$  to this gate vertex and then to  $e_v$ . ◀

► **Theorem 9.** *Sliding Token Reconfiguration is PSPACE-complete for split graphs.*

**Proof.** We begin with an instance of the PSPACE-complete NCL reconfiguration problem, as given in Lemma 3. We construct the instance  $G_f, S_f, T_f$  of Sliding Token Reconfiguration on split graphs as described (it's clear that this can be done in polynomial time). If the NCL reconfiguration instance is a YES instance, then by Lemma 4 there exists a sliding token reconfiguration of  $G_b$ , and by repeated applications of Lemma 5 to independent sets that do not contain clique vertices in the reconfiguration of  $G_b$  there exists a sliding token reconfiguration of  $G_f$ . If on the other hand there exists a sliding token reconfiguration on  $G_f$ , then by Lemma 8 there exists a reconfiguration that satisfies the condition of Lemma 4 on  $G_b$ , hence the original NCL instance is a YES instance. ◀

#### 4 PSPACE-completeness for Chordal Graphs for $c \geq 2$

In this section, we build upon the PSPACE-completeness result from Section 3 to show that  $c$ -COLORABLE SET RECONFIGURATION is PSPACE-complete, for every  $c \geq 2$ , when the input graph is restricted to be chordal.

► **Theorem 10.** *For every  $c \geq 2$ , the  $c$ -COLORABLE SET RECONFIGURATION problem under the TS rule is PSPACE-complete, even when the input graph is restricted to be chordal.*

**Proof.** We provide a reduction from INDEPENDENT SET RECONFIGURATION where the input graph  $G$  is restricted to be a split graph, which we proved to be PSPACE-complete in Theorem 9. Let  $G = (V, E)$  be an input split graph for INDEPENDENT SET RECONFIGURATION. We construct a chordal graph  $G'$  as follows, starting from a graph isomorphic to  $G$  and two non-empty independent sets  $S, T$  of the same size. For every edge  $uv \in E(G)$ , we add  $|V(G)|$  sets of  $c - 1$  new vertices  $W_{uv}^1, \dots, W_{uv}^{|V(G)|}$ , such that  $W_{uv}^i$  induces a clique for every  $1 \leq i \leq |V(G)|$ , and every vertex of  $W_{uv}^i$  is made adjacent to both  $u$  and  $v$ , for every  $1 \leq i \leq |V(G)|$ . In addition, we create a new set  $S' = S \cup \bigcup_{uv \in E(G), 1 \leq i \leq |V(G)|} W_{uv}^i$  and a set  $T' = T \cup \bigcup_{uv \in E(G), 1 \leq i \leq |V(G)|} W_{uv}^i$ . In other words, we append  $|V(G)|$  disjoint cliques of size  $c - 1$  to every edge of  $G$ , and add all those newly created vertices to  $S$  and to  $T$ . The chordality of  $G'$  follows from the fact that the new vertices of the sets  $W_{uv}^i$  are all simplicial in  $G'$ , hence  $G'$  is chordal if and only if  $G$  is chordal as well (and  $G$  is split).

We now claim the following: given an independent set  $T$  of  $G$ , the instance  $(G, S, T)$  of INDEPENDENT SET RECONFIGURATION is a YES-instance if and only if the instance  $(G', S', T')$  of  $c$ -COLORABLE SET RECONFIGURATION is a YES-instance as well. Observe that, by the construction,  $S'$  and  $T'$  are  $c$ -colorable because the maximum clique in  $G'[S']$  contains at most one vertex of  $S$  and at most the  $c - 1$  vertices of a clique  $W_{uv}^i$ .

The forward direction of the previous claim follows easily: performing the same moves as those of a reconfiguration sequence from  $S$  to  $T$  in  $G'$ , starting from  $S'$ , yields a reconfiguration sequence where every step preserves  $c$ -colorability, and produces the desired set  $T'$ .

For the backwards direction, we claim that, for any  $c$ -colorable set  $R'$  reachable from  $S'$ , it holds that the vertices of  $R' \cap V(G)$  are pairwise non-adjacent. In other words, the tokens placed on original vertices of  $G$  form an independent set. Indeed, observe that the number of vertices of  $G'$  that do not belong to  $R'$  satisfies  $|V(G') \setminus R'| = |V(G) \setminus S| < |V(G)|$ . This immediately implies that for any set  $R'$  and edge  $uv \in E(G)$ , we have  $|R' \cap \bigcup_{1 \leq i \leq |V(G)|} W_{uv}^i| \geq (c - 2)|V(G)| + 1$ , and therefore  $G[R' \cap \bigcup_{1 \leq i \leq |V(G)|} W_{uv}^i]$  contains a clique of size  $c - 1$  as an induced subgraph, i.e., one of the sets  $W_{uv}^i$  is completely contained in  $R'$ . This implies that, for every edge  $uv$  of  $G$ , we have  $|R' \cap \{u, v\}| \leq 1$ , i.e., the vertices of  $R' \cap V(G)$  are pairwise non-adjacent, as desired. ◀

#### 5 XP-time Algorithm on Split Graphs for fixed $c \geq 2$

In this section we present an  $n^{O(c)}$  algorithm for  $c$ -COLORABLE RECONFIGURATION under the TS rule, on split graphs, for  $c > 1$ . Recall that a split graph  $G = (V, E)$  is a graph whose vertex set  $V$  is partitioned into a clique  $K$  and an independent set  $I$ . An input instance consists of a split graph  $G$ , and two  $c$ -colorable sets  $S, T \subseteq V$ .

Before proceeding, let us give some high-level ideas as well as some intuition why this problem, which is PSPACE-complete for  $c = 1$  (Theorem 9), admits such an algorithm for larger  $c$ . Our algorithm consists of two parts: a rigid and a non-rigid reconfiguration part. In the rigid reconfiguration part the algorithm decides if two sets are reachable by using

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moves that never slide tokens into or out of  $I$ . Because of this restriction and the fact that the sets are  $c$ -colorable, the total number of possible configurations is  $n^{O(c)}$ , so this part can be solved with exhaustive search (this is similar to the algorithm of [15] for TJ/TAR). In the non-rigid part we assume we are given two sets  $S, T$  which, in addition to being  $c$ -colorable, have  $|S \cap K|, |T \cap K| \leq c - 1$ . The main insight is now that *any* two such sets are reachable via TS moves (Lemma 11 below). Informally, the algorithm guesses a partition of the optimal reconfiguration into a rigid prefix, a rigid suffix, and a non-rigid middle, and uses the two parts to calculate each independently.

The intuitive reason that our algorithm cannot work for  $c = 1$  is the non-rigid part. The crucial Lemma 11 on which this part is based fails for  $c = 1$ : for instance, if  $G$  is a star with three leaves and  $S, T$  are two distinct sets each containing two leaves, then  $S, T$  satisfy all the conditions for  $c = 1$ , but are not reachable from each other with TS moves. Such counterexamples do not, however, exist for higher  $c$ , because for sets that satisfy the conditions of Lemma 11 we know we can always freely move tokens around inside the clique (and without loss of generality, such tokens exist). Note also, that this difficulty is specific to the TS rule: the algorithm of [15] implicitly uses the fact that any two sets with  $c - 1$  tokens in the clique are always reachable, as this is an almost trivial fact if one is allowed to use TJ moves. Thus, Lemma 11 is the main new ingredient that makes our algorithm work.

Let us now proceed with a detailed description of the algorithm. First, let us fix some notation. For a vertex set  $R \subseteq V$ , we write the subsets  $R \cap K$  and  $R \cap I$  as  $R_K$  and  $R_I$  respectively.

Throughout this section, we assume that input graph  $G = (K \cup I, E)$  is connected (and thus each vertex in  $I$  has a neighbor in  $K$ ); otherwise we can consider instances induced by each component separately.

► **Lemma 11.** *Let  $G$  be a split graph,  $c \geq 2$ , and  $S, T \subseteq V$  be two  $c$ -colorable sets such that  $|S_K|, |T_K| \leq c - 1$ . Then  $T$  is  $c$ -reachable from  $S$ . Furthermore, a reconfiguration sequence from  $S$  to  $T$  can be produced in polynomial time.*

**Proof.** We first observe that if  $S_I = T_I$ , then there is an easy optimal  $c$ -transformation. By making one TS move from  $u \in S_K \setminus T_K$  to  $v \in T_K \setminus S_K$ , one can  $c$ -transform  $S$  to  $T$  with  $|S \setminus T|$  sliding moves (thus yielding an optimal reconfiguration sequence). It is clear that all the sets resulting from these TS moves are  $c$ -colorable because each of them has at most  $c - 1$  vertices in  $K$ .

Therefore, it suffices to show that there is always a  $c$ -transformation of  $T$  which decrease  $|S_I \setminus T_I|$  as long as  $S \neq T$ . Note that we can assume that there exists  $v \in S_I \setminus T_I$  (otherwise we exchange the roles of  $S$  and  $T$ ). In the case when  $T_K = \emptyset$ , one can transform  $T$  to  $T'$  with TS moves from a vertex of  $T_I \setminus S_I$  to  $v$ . Trivially this is a  $c$ -transformation, and it holds that  $|T'_K| = \emptyset$ . (Note that this argument would not be valid if  $c = 1$ ). If  $T_K \neq \emptyset$ , then one can make at most two TS moves from a vertex of  $T_K$  to  $v$ . Because  $T$  has at most  $c - 1$  vertices and these TS moves maintain at most  $c - 1$  vertices in  $K$ ,  $c$ -colorability of  $T$  is preserved. Moreover, the new set has at most  $c - 1$  vertices in  $K$  while its intersection with  $S$  in  $I$  is strictly larger. This completes the proof of the first statement. The proof is constructive and easily translates to a polynomial-time algorithm. ◀

Let us now introduce a notion that will be useful in our algorithm. For two  $c$ -colorable sets  $S, T$  with  $S_I = T_I$  we say that  $S$  has a *rigid*  $c$ -transformation to  $T$  if there exists a valid  $c$ -transformation from  $S$  to  $T$  with TS moves which also has the property that every  $c$ -colorable set  $R$  of the transformation has  $R_I = S_I$ .

► **Lemma 12.** *Given a split graph  $G = (V, E)$ , with  $V = K \cup I$ , and two  $c$ -colorable sets  $S, T \subseteq V$  with  $S_I = T_I$ , there is an algorithm that decides if there exists a rigid  $c$ -transformation of  $S$  to  $T$  in time  $n^{O(c)}$ .*

**Proof.** The main observation is that since all intermediate sets must have  $R_I = S_I$ , we are only allowed to slide tokens inside  $K$ . However,  $S_K$  contains at most  $c$  vertices (as it is  $c$ -colorable), therefore, there are at most  $n^c$  potentially reachable sets: one for each collection of  $|S_K|$  vertices of the clique.

We now construct a secondary graph with a node for each subset of  $V$  that contains  $|S_K|$  vertices of  $K$  and the vertices of  $S_I$ , and connect two such nodes if their corresponding sets are reachable with a single TS move in  $G$ . In this graph we check if there is a path from the node that represents  $S$  to the one that represents  $T$  and if yes output the sets corresponding to the nodes of the path as our rigid reconfiguration sequence. ◀

► **Theorem 13.** *There is an algorithm that decides  $c$ -COLORABLE RECONFIGURATION on split graphs under the TS rule in time  $n^{O(c)}$ , for  $c \geq 2$ .*

**Proof.** We distinguish the following cases: (i)  $|S_K|, |T_K| \leq c-1$ , (ii)  $|S_K| = c$  and  $|T_K| = c-1$ , (iii)  $|S_K| = |T_K| = c$ . This covers all cases since  $S, T$  are  $c$ -colorable and we can assume without loss of generality that  $|S_K| \geq |T_K|$ .

For case (i) we invoke Lemma 11. The answer is always Yes, and the algorithm of the lemma produces a feasible reconfiguration sequence.

For case (ii), suppose there exists a reconfiguration sequence from  $S$  to  $T$ , call it  $T_0 = S, T_1, \dots, T_\ell = T$ . Let  $i$  be the smallest index such that  $|T_i \cap K| \leq c-1$ . Clearly such an index exists, since  $|T_K| \leq c-1$ . We now guess the configuration  $T_{i-1}$  and the configuration  $T_i$  (that is, we branch into all possibilities). Observe that there are at most  $n^c$  choices for  $T_{i-1}$  as we have  $T_{i-1} \cap I = S_I$  and  $|T_{i-1} \cap K| = c$ . Furthermore, once we have selected a  $T_{i-1}$ , there are  $n^{O(1)}$  possibilities for  $T_i$ , as  $T_i$  is reachable from  $T_{i-1}$  with one TS move.

We observe that if we guessed correctly, then there exists a rigid  $c$ -transformation from  $S$  to  $T_{i-1}$  (by the minimality of  $i$  and the fact that  $|S_K| = c$ ); we use the algorithm of Lemma 12 to check this. Furthermore, the configuration  $T_i$  is always transformable to  $T$  by Lemma 11. Therefore, if the algorithm of Lemma 12 returns a solution, then we have a  $c$ -transformation from  $S$  to  $T$ . Conversely, if a  $c$ -transformation from  $S$  to  $T$  exists, since we tried all possibilities for  $T_{i-1}$ , one of the branches will find it.

Finally, for case (iii), if  $S_I = T_I$  we first use Lemma 12 to check if there is a rigid  $c$ -transformation from  $S$  to  $T$ . If one is found, we are done. If not, or if  $S_I \neq T_I$  we observe that, similarly to case (ii), in any feasible transformation  $T_0 = S, T_1, \dots, T_\ell = T$ , there exists an  $i$  such that  $|T_i \cap K| \leq c-1$  (otherwise the transformation would be rigid). Pick the minimum such  $i$ . We now guess the configurations  $T_{i-1}, T_i$  (as before, there are  $n^{c+O(1)}$  possibilities) and use Lemma 12 to verify that  $T_{i-1}$  is reachable from  $S$ . If  $T_{i-1}$  is reachable from  $S$ , we need to verify that  $T$  is reachable from  $T_i$ . However, we observe that this reduces to case (ii), because  $|T_i \cap K| \leq c-1$ , so we proceed as above. If the algorithm returns a valid sequence we accept, while we know that if a valid sequence exists, then there exists a correct guess for  $T_{i-1}, T_i$  that we consider. ◀

## 6 W-hardness for Split Graphs

In this section we show that  $c$ -COLORABLE RECONFIGURATION on split graphs is W[2]-hard parameterized by  $c$  and the length  $\ell$  of the reconfiguration sequence under all three reconfiguration rules (TAR, TJ, and TS). In this sense, this section complements Section 5 by

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showing that the  $n^{O(c)}$  algorithm that we presented for  $c$ -COLORABLE RECONFIGURATION on split graphs cannot be significantly improved under standard assumptions.

We will rely on known results on the hardness of DOMINATING SET RECONFIGURATION. We recall that in this problem we are given a graph  $G = (V, E)$ , two dominating sets  $S, T \subseteq V$  of size at most  $k$  and are asked if we can transform  $S$  into  $T$  by a series of TAR operations while keeping the size of the current set at most  $k$  at all times. More formally, we are asked if there exists a sequence  $T_0 = S, T_1, \dots, T_\ell = T$  such that for each  $i \in \{0, \dots, \ell - 1\}$ ,  $|T_i| \leq k$ ,  $T_i$  is a dominating set of  $G$ , and  $|(T_i \setminus T_{i+1}) \cup (T_{i+1} \setminus T_i)| = 1$ .

► **Theorem 14** ([18]). DOMINATING SET RECONFIGURATION is  $W[2]$ -hard parameterized by the maximum size of the allowed dominating sets  $k$  and the length  $\ell$  of the reconfiguration sequence under the TAR rule.

Before proceeding, let us make two remarks on Theorem 14: first, because the reduction of [18] is linear in the parameters, it is not hard to see that it also implies a tight ETH-based lower bound based on known results for DOMINATING SET; second, using an argument similar to that of Theorem 1 of [16], the same hardness can be obtained for the TJ rule.

► **Corollary 15.** DOMINATING SET RECONFIGURATION is  $W[2]$ -hard parameterized by the maximum size of the allowed dominating sets  $k$  and the length  $\ell$  of the reconfiguration sequence under the TAR, or TJ rule. Furthermore, the problem does not admit an algorithm running in  $n^{o(c+\ell)}$  under the ETH for any of the two rules.

**Proof.** To obtain hardness under the TJ rule we use an argument similar to that of Theorem 1 of [16]. Suppose we are given an instance of  $k$ -DOMINATING SET RECONFIGURATION  $G = (V, E)$  and  $S, T \subseteq V$  where  $k$  is the maximum size of any dominating set allowed and we use the TAR rule, that is, an instance produced by the reduction establishing Theorem 14. We recall that in the instances produced for this reduction we have  $k = \Theta(\ell)$  and that  $S$  can be transformed into  $T$  with  $\ell$  TAR moves if and only if  $S$  can be transformed into  $T$  with some number of TAR moves (in other words, if  $\ell$  moves are not sufficient, then  $S$  and  $T$  are in fact unreachable). This observation will be useful because it means that in the reduction that follows we do not have to preserve  $\ell$  exactly but only guarantee that it increases by at most a constant factor.

We can assume without loss of generality that  $|S| = |T| = k - 1$ : if  $|S| < k - 1$  we can add to  $S$  arbitrary vertices to make its size  $k - 1$ , while if  $|S| = k$  then  $S$  cannot be a minimal dominating set (otherwise it would be impossible to transform it to any other set and we would have an obvious NO instance) so there is a vertex that we can remove from  $S$  without affecting the answer. In both cases we appropriately increase  $\ell$  by the number of modifications we made to  $S, T$  to preserve reachability. We want to show that the instance is now equivalent under the TJ rule. In particular, there exists a TAR reconfiguration with  $2\ell$  moves if there exists a TJ reconfiguration with  $\ell$  moves.

First, if there exists a TJ reconfiguration from  $S$  to  $T$  then there exists a TAR reconfiguration from  $S$  to  $T$ : for each move that exchanges  $u \in S$  with  $v \notin S$  we first add  $v$  to  $S$  and then remove  $u$ .

For the converse direction, suppose that there is a TAR reconfiguration of  $S$  to  $T$ . If moves alternate in this reconfiguration, that is, if all intermediate sets have size between  $k - 2$  and  $k$ , then it is not hard to see how to perform the same reconfiguration with TJ moves. Suppose then that the reconfiguration performs two consecutive vertex removal moves, so we have the dominating sets  $T_i, T_{i+1}, T_{i+2}$  appearing consecutively in the reconfiguration sequence, with  $|T_i| = |T_{i+1}| + 1 = |T_{i+2}| + 2$ . Let  $j$  be the smallest index with  $j > i + 2$  such

that  $|T_j| > |T_{j-1}|$  (i.e.  $j$  signifies the first time we added a vertex after the  $i$ -th move). Let  $T_i \setminus T_{i+1} = \{u\}$  and  $T_j \setminus T_{j-1} = \{v\}$ . Then, if  $u = v$  we can add  $u$  to all sets  $T_{i+1}, \dots, T_{j-1}$  and obtain a shorter reconfiguration sequence (since now  $T_i = T_{i+1}$  and  $T_j = T_{j-1}$ ). Similarly, if  $u \neq v$  and  $v \in T_{i+1}$  we add  $v$  to all sets  $T_{i+2}, \dots, T_{j-1}$  to which it doesn't appear and we have a shorter reconfiguration sequence. Finally, if  $u \neq v$  and  $v \notin T_{i+1}$ , we insert after  $T_{i+1}$  the set  $T_{i+1} \cup \{v\}$  and then add  $v$  to all sets  $T_{i+2}, \dots, T_{j-1}$ . We now have  $T_{j-1} = T_j$ , so we have a valid TAR reconfiguration of the same length but with one less pair of consecutive vertex removals. Repeating this argument produces a TAR reconfiguration which can be performed with TJ moves.

For the ETH-based lower bound it suffices to recall that, under the ETH  $t$ -DOMINATING SET does not admit an  $n^{o(t)}$  algorithm [4], and that the reduction establishing Theorem 14 in [18] is a reduction from  $t$ -DOMINATING SET that sets  $k, \ell = O(t)$ . ◀

► **Theorem 16.** *The  $c$ -COLORABLE RECONFIGURATION problem is  $W[2]$ -hard parameterized by  $c$  and the reconfiguration length  $\ell$  when restricted to split graphs under any of the three reconfiguration rules (TAR, TJ, TS). Furthermore, under the ETH, the same problem does not admit an  $n^{o(c+\ell)}$  algorithm.*

**Proof.** We use a reduction from DOMINATING SET RECONFIGURATION similar to the one used in [15] to prove that our problem is PSPACE-complete if  $c$  is part of the input. Let  $G = (V, E)$  be an input graph for DOMINATING SET RECONFIGURATION. We construct a split graph  $G'$  as follows: we take two copies of  $V$ , call them  $V_1, V_2$ ; we turn  $V_1$  into a clique; for each  $u \in V_1$  and  $v \in V_2$  we add the edge  $(u, v)$  if and only if  $u \notin N[v]$  in  $G$ . In other words, we connect each vertex from  $V_1$  with all the vertices of  $V_2$  which it *does not* dominate in  $G$ .

We assume now that we have started with  $k$ -DOMINATING SET RECONFIGURATION instance under the TJ rule, which is  $W[2]$ -hard according to Corollary 15 parameterized by  $k + \ell$ . We will first show hardness of  $c$ -COLORABLE RECONFIGURATION for TJ and TS parameterized by  $c + \ell$ .

We construct a one-to-one correspondence between size  $k$  dominating sets of  $G$  and  $k$ -colorable sets of vertices of  $G'$  of size  $n + k$ , where  $n = |V|$ : for each such set  $S \subseteq V$  we define its image  $\phi(S)$  in  $G'$  as  $\{u \in V_1 \mid u \in S\} \cup V_2$ . In other words, we select all the vertices of  $S$  from  $V_1$  and all of  $V_2$ . It is not hard to see that  $\phi(S)$  is indeed  $k$ -colorable: if not, there exists a clique of size  $k + 1$  in  $G'[S']$  (since split graphs are perfect), which must consist of the  $k$  vertices of  $S$  from  $V_1$ , plus a vertex  $v$  from  $V_2$ . But  $v$  must be dominated by a vertex  $u \in S$  in  $G$ , which means that  $v$  and the copy of  $u$  in  $V_1$  are not connected.

Let us also observe that for every  $k$ -colorable set  $S'$  of size  $n + k$  in  $G'$  we have that  $S' = \phi(S)$  for some dominating set  $S$  of size  $k$  in  $G$ . To see this, observe that  $S'$  must contain exactly  $k$  vertices of  $V_1$  (since it is  $k$ -colorable,  $V_1$  is a clique, and  $|V_2| = n$ ). These vertices must be a dominating set of  $G$  as otherwise there would exist a vertex  $v$  that is not in any of their closed neighborhoods, and the copy of  $v$  in  $V_2$  together with  $S' \cap V_1$  would form a clique of size  $k + 1$ , contradicting the  $k$ -colorability of  $S'$ .

Given the above correspondence it is not hard to complete the reduction: if we are given two dominating sets  $S, T \subseteq V$  with the initial instance we set  $\phi(S), \phi(T)$  as the two  $k$ -colorable graphs of the new instance. We observe that any valid TJ move that transforms a dominating set  $T_i$  to a dominating set  $T_{i+1}$  in  $G$ , corresponds to a TJ move that transforms  $\phi(T_i)$  to  $\phi(T_{i+1})$  in  $G'$ . Crucially, such a move is also a TS move, as the symmetric difference of  $T_i$  and  $T_{i+1}$  is contained in the clique. Hence, there is also a one-to-one correspondence

between TJ  $k$ -dominating set reconfigurations in  $G$  and TS  $k$ -colorable subgraph (of size  $n + k$ ) reconfiguration in  $G'$ . We therefore set the length of the desired reconfiguration sequence in  $G'$  to  $\ell$ .

Finally, to obtain hardness of the new instance under the TAR rule we set the lower bound on the size of any intermediate set to  $n + k - 1$ . Since  $|\phi(S)| = |\phi(T)| = n + k$  this means that any TJ  $c$ -colorable reconfiguration can also be performed with at most  $2\ell$  TAR moves. For the converse direction we observe that in any TAR reconfiguration we never have a set of size  $n + k + 1$  or more, since such a set would necessarily induce a graph that needs  $k + 1$  colors. Hence, such a reconfiguration must consist of alternating vertex removal and addition moves, which can be performed with  $\ell$  TJ moves.

The ETH-based lower bounds follow from Corollary 15 and the fact that the reduction we performed is at most linear in all parameters. ◀

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