## Finding Tutte Paths in Linear Time

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— Abstract

It is well-known that every planar graph has a *Tutte path*, i.e., a path P such that any component of G-P has at most three attachment points on P. However, it was only recently shown that such Tutte paths can be found in polynomial time. In this paper, we give a new proof that 3-connected planar graphs have Tutte paths, which leads to a linear-time algorithm to find Tutte paths. Furthermore, our Tutte path has special properties: it visits all exterior vertices, all components of G-P have exactly three attachment points, and we can assign distinct representatives to them that are interior vertices. Finally, our running time bound is slightly stronger; we can bound it in terms of the degrees of the faces that are incident to P. This allows us to find some applications of Tutte paths (such as binary spanning trees and 2-walks) in linear time as well.

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## 1 Introduction

A *Tutte path* is a well-known generalization of Hamiltonian paths that allows to visit only a subset of the vertices of the graph, as long as all remaining vertices are in components with at most three attachment points. (Detailed definitions are below.) They have been studied extensively, especially for planar graphs, starting from Tutte's original result:

▶ **Theorem 1** (Tutte [19]). Let G be a 2-connected planar graph with distinct vertices X, Y on the outer face. Let  $\alpha$  be an edge on the outer face. Then G has a Tutte path from X to Y that uses edge  $\alpha$ .

We refer to the recent work by Schmid and Schmidt [15] for a detailed review of the history and applications of Tutte paths. It was long not known how to compute a Tutte path in less than exponential time. A breakthrough was achieved by Schmid and Schmidt in 2015 [13, 14], when they showed that one can find a Tutte path for 3-connected planar graphs in polynomial time. In 2018, the same authors then argued that Tutte paths can be found in polynomial time even for 2-connected planar graphs [15]. For both papers, the main insight is to prove the existence of a Tutte path by splitting the graph into non-overlapping subgraphs to recurse on; the split can be found in linear time and therefore the running time becomes quadratic.

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#### 23:2 Finding Tutte Paths in Linear Time

In this paper, we show that Tutte paths can be computed in linear time. To do so, we give an entirely different proof of the existence of a Tutte path for 3-connected planar graph. This proof is very simple if the graph is triangulated, but requires more care when faces have larger degrees. Our path (and also the one by Schmid and Schmidt [13, 14]) comes with a system of distinct representatives, i.e., an injective assignment from the components of  $G \setminus P$  to vertices of P that are attachment points. Such representatives are useful for various applications of Tutte paths.

Our proof for 3-connected planar graphs is based on a Hamiltonian-path proof by Asano, Kikuchi and Saito [1] that was designed to give a linear-time algorithm; with arguments much as in their paper we can therefore find the Tutte path and its representatives in linear time. Since 3-connected planar graphs are (as we argue) the bottleneck in finding Tutte paths, this shows that the path of Theorem 1 can be found in linear time.

## 1.1 Preliminaries

We assume familiarity with graphs, see, e.g., Diestel [7]. Throughout this paper, G = (V, E)denotes a graph with *n* vertices and *m* edges. We assume that *G* is *planar*, i.e., can be drawn in 2D without edge crossings. A planar drawing of *G* splits  $\mathbb{R}^2$  into connected regions called *faces*; the unbounded region is the *outer face* while all others are called *interior faces*. A vertex/edge is called *exterior* if it is incident to the outer face and *interior* otherwise. We assume throughout that *G* is *plane*, i.e., one particular abstract drawing of *G* has been fixed (by giving the clockwise order of edges around each vertex and the edges that are on the outer face). Any subgraph of *G inherits* this planar embedding, i.e., uses the induced order of edges and as outer face the face that contained the outer face of *G*. The following notion will be convenient: Two vertices *v* and *w* are *interior-face-adjacent* (in a plane graph *G*) if there exists an interior face that is incident to both *v* and *w*. We will simply write *face-adjacent* since we never consider adjacency via the outer face.

**Nooses and connectivity.** For a fixed planar drawing of G, let a noose be a simple closed curve  $\mathcal{N}$  that goes through vertices and faces and crosses no edge except at endpoints. A noose can be described as a cyclic sequence  $\langle x_0, f_1, x_1, \ldots, f_s, x_s = x_0 \rangle$  of vertices and faces such that  $f_i$  contains  $x_{i-1}$  and  $x_i$ , and hence is independent of the chosen drawing. Frequently, the choice of faces will be clear from context or irrelevant; we then say that  $\mathcal{N} = \langle x_0, \ldots, x_s = x_0 \rangle$  goes through  $\{x_1, \ldots, x_s\}$ . The subgraph *inside/outside*  $\mathcal{N}$  is the graph induced by the vertices that are on or inside/outside  $\mathcal{N}$ . The subgraph *strictly inside/outside* is obtained from this by deleting the vertices on  $\mathcal{N}$ .

A graph G is connected if for any two vertices v, w there is a path from v to w in G. A cutting k-set in G is a set  $S = \{x_1, \ldots, x_k\}$  of vertices such that  $G \setminus S$  has more connected components than G. We call it a cutting pair for k = 2 and a cutting triplet for k = 3. A graph G is called k-connected if it has no cutting (k - 1)-set. Since we are only studying planar graphs, it will be convenient to use a characterization of connectivity via nooses. Consider a noose  $\mathcal{N}$  that goes through  $\{x_1, \ldots, x_k\}$  (and no other vertices), and there are vertices both strictly inside and strictly outside  $\mathcal{N}$ . Then clearly  $S = \{x_1, \ldots, x_k\}$  is a cutting k-set. Vice versa, in a planar graph, any cutting k-set S for k = 1, 2, 3 gives rise to a noose  $\mathcal{N}$  through S that has vertices both strictly inside and strictly outside a noose  $\mathcal{N}$  through some of the vertices of S such that C contains at least one vertex not in S and is inclusion-minimal among all such nooses. In particular, a strict cut component C by re-inserting those vertices of S that have neighbours of C, as well as the edges from them to C.

Hamiltonian paths and Tutte paths. A Hamiltonian path is a path that visits every vertex exactly once. To generalize it to Tutte paths, we need more definitions. Fix a path P in the graph. A *P*-bridge C is a cut component of P; its attachment points are its vertices on P.<sup>1</sup> A Tutte path is a path P such that any P-bridge C has at most three attachment points, and if C contains exterior edges, then it has at most two attachment points. Our Tutte paths for 3-connected graphs will be such that no P-bridges contain exterior edges, so the second restriction holds automatically.

A Tutte path with a system of distinct representatives (SDR), also called a  $T_{\text{SDR}}$ -path for short, is a Tutte path P together with an injective assignment  $\sigma$  from the P-bridges to vertices in P such that for every P-bridge C vertex  $\sigma(C)$  is an attachment point of C.

Given a path P in a plane graph, we denote by F(P) the set of all interior faces that contain at least one vertex of P.

#### 1.2 From 3-connected to 2-connected

In this section, we show that, to find the path of Theorem 1 efficiently, it suffices to consider 3-connected planar graphs.

We re-prove Theorem 1, presuming it holds for 3-connected planar graphs, by induction on the number of vertices with an inner induction on the number of exterior vertices. Say we want to find a Tutte path from X to Y that uses exterior edge  $\alpha = (U, W)$ , where X, Y are exterior vertices. In the base case, G is 3-connected and we are done. So assume that G has cutting pairs. If edge (X, Y) does not exist, then add it in such a way that  $\alpha$  stays exterior, and find a Tutte path P in the resulting graph recursively (it has fewer exterior vertices). Since  $\{X, Y\} \neq \{U, W\}$  (because  $(U, W) \in G$  while  $(X, Y) \notin G$ ), path P visits at least one vertex other than X, Y, and so cannot use edge (X, Y). So it is also a Tutte path of G.

Now, assume that (X, Y) exists. Repeatedly split the graph at any cutting pair  $\{u, v\}$  into cut components  $C_1, \ldots, C_k$ , and store the 3-connected components  $C_1^+, \ldots, C_k^+$  (induced by the cut components with an additional virtual edge between the cutting pairs) in a so-called SPQR-tree [6, 9], which additionally creates one leaf node for every edge of G. This can be done in linear time [10].

Root the SPQR-tree at the node of edge (X, Y). For each 3-connected component  $C^+$  other than the root, set  $\{X_C, Y_C\}$  to be the cutting pair that  $C^+$  has in common with its parent component, and observe that these two vertices are necessarily exterior in C since X, Y are exterior in G; see Figure 1.

If  $C^+$  has only these two vertices, then let  $P_C$  be the path  $(X_C, Y_C)$ . Otherwise, define an edge  $\alpha_C \neq (X_C, Y_C)$  of  $C^+$  as follows: If the node of  $\alpha$  is a descendant of  $C^+$ , then let  $\alpha_C$ be the virtual edge of  $C^+$  that it shares with the child that leads to this descendant. Note that  $\alpha_C$  is a virtual edge, and it is necessarily on the outer face of C since  $\alpha$  is on the outer face of G. Otherwise ( $\alpha$  is not in a descendant of  $C^+$ ) choose  $\alpha_C$  to be an arbitrary exterior edge of C other than  $(X_C, Y_C)$ . Let  $P_C$  be a Tutte path that begins at  $X_C$ , ends at  $Y_C$ , and uses edge  $\alpha_C$ ; we know that this exists since  $C^+$  is either a triangle or a 3-connected graph.

Now obtain the Tutte path P of G by repeatedly substituting paths of 3-connected components. Specifically, initiate P as the virtual copy of edge (X, Y) that was added when we created the node for (X, Y). For as long as P contains a virtual edge (u, v), let  $C^+$  be the child component at this virtual edge and observe that  $\{X_C, Y_C\} = \{u, v\}$ . Substitute  $P_C$ in place of edge (u, v) of P, i.e., set P to be  $X \xrightarrow{P} u/v \xrightarrow{P_C} v/u \xrightarrow{P} Y$ . Note that, if  $C^+$  is not

<sup>&</sup>lt;sup>1</sup> Our definition of *P*-bridge considers only the proper *P*-bridges [19] that contain at least one vertex.



**Figure 1** A 2-connected graph, its SPQR-tree (leaf nodes are omitted), and its Tutte path.

the singleton-edge (u, v), then  $P_C$  contains  $\alpha_C$ , which is a virtual edge. This means that the process repeats until we have substituted the real edges from the leaves of the SPQR-trees. In particular (due to our choice of  $\alpha_C$ ), we will substitute the paths from all components between (X, Y) and  $\alpha$ , which means that  $\alpha$  is an edge of the final path P as required.

Observe that for some 3-connected components we do not substitute their paths; these become P-bridges with two attachment points. There may also be some P-bridges within each 3-connected components, but these have at most three attachment points since we used a Tutte path for each component. So the result is the desired Tutte path. Since we compute one Tutte path per 3-connected component, and this can be done in time proportional to the size of the component, the overall running time is linear.

## **2** Tutte paths in 3-connected planar graphs

For triangulated planar graphs, one can quite easily find a  $T_{\text{SDR}}$ -path by removing the interiors of all separating triangles, and finding for the resulting 4-connected planar graph a Hamiltonian path using the approach of Asano, Kikuchi, and Saito [1]. It is not hard to see that we can assign representatives to all separating triangles, possibly after expanding the path using the substitution trick described below. (We omit the details for space reasons.)

For 3-connected planar graphs that are not triangulated, we use the same approach, but must generalize many definitions from Asano, Kikuchi, and Saito [1] and add quite a few cases because now face-adjacent vertices are not necessarily adjacent. To keep the proof self-contained, we re-phrase everything from scratch.<sup>2</sup>

We need a few definitions. The *outer stellation* of a planar graph G is the graph obtained by adding a vertex in the outer face and connecting it to all exterior vertices. A planar graph G is called *internally 3-connected* if its outer stellation is 3-connected. Note that this implies that G is 2-connected, any cutting pair is *exterior* (i.e., has both vertices on the outer face) and has only two cut components. In the following, we endow G with k corners, which are k vertices  $X_1, \ldots, X_k$  that appear in this order on the outer face. Usually, k = 3 or 4, but occasionally we allow larger k. A side of such a graph is the outer face path between two consecutive corners that does not contain any other corners. The corner stellation  $G^s$ is obtained by adding a vertex in the outer face and connecting it to the corners. We say

<sup>&</sup>lt;sup>2</sup> Indeed, due to attempts to simplify the notations similar as done in [4], the reader familiar with [1] may barely see the correspondence between the proof and [1]. Roughly, their Condition (W) corresponds to c3c(X, W, Y), their Case 1 is our Case 3a, and their Case 3 combines our Case 2 with our Case 4a (but resolves it in a symmetric fashion).

that G is corner-3-connected with respect to corners  $X_1, \ldots, X_k$  (abbreviated to "G satisfies  $c3c(X_1, \ldots, X_k)$ ") if G<sup>s</sup> is 3-connected. Figure 2a illustrates this condition. It is easy to show that G satisfies  $c3c(X_1, \ldots, X_k)$  if and only if  $k \ge 3$ , G is internally 3-connected, and no cutting pair  $\{v, w\}$  of G has both v and w on one side of G.

For ease of proof, we make the induction hypothesis stronger than just having a  $T_{\text{SDR}}$ -path, by restricting which vertices *must* be visited and which vertices *must not* be representatives. A  $T_{\text{int}}$ -path is a  $T_{\text{SDR}}$ -path P that visits all exterior vertices, and where representative  $\sigma(C)$ is interior, for all P-bridges C. The goal of the remainder of this section is to prove the following result (which immediately implies Theorem 1 for 3-connected graphs<sup>3</sup>):

▶ Lemma 2. Let G be a plane graph with distinct vertices X, Y on the outer face. Let  $(U, W) \neq (X, Y)$  be an edge on the outer face. If G satisfies c3c(X, U, W, Y), then it has a  $T_{int}$ -path that begins at X, ends at Y, and contains (U, W).

We need a second result for the induction. Let a  $T_{\text{end}}$ -path be a  $T_{\text{SDR}}$ -path P that visits all exterior vertices, and where representative  $\sigma(C)$  is interior or the last vertex of P, for all P-bridges C.

▶ Lemma 3. Let G be a plane graph with distinct vertices X, Y on the outer face. Let  $(U, W) \neq (X, Y)$  be an edge on the outer face. If G satisfies c3c(X, U, W, Y) and

 $(\Box)$  (W, Y) and (Y, X) are edges,

then G has a  $T_{end}$ -path P that begins at X, ends at Y, and uses (U, W) and (W, Y).<sup>4</sup> Further, if Y is the representative of a P-bridge C, then C has W and Y as attachment points.

See Figure 5c for a graph that satisfies  $(\Box)$ .

We assume throughout that X, U, W, Y are enumerated in ccw order along the outer face, the other case can be resolved by reversing the planar embedding.

The following trick will help shorten the proof: If graph G satisfies  $(\Box)$ , then Lemma 3 implies Lemma 2. Namely, if Lemma 3 holds, then we have a  $T_{end}$ -path P from X to Y through (U, W) and (W, Y). If this is not a  $T_{int}$ -path, then some P-bridge C has Y as representative, and by assumption also has W as attachment point. It must have a third attachment point u, otherwise  $\{W, Y\}$  would be a cutting pair within one side of G, contradicting corner-3-connectivity. It has no more attachment points since P is a Tutte path, so  $\{W, Y, u\}$  is a cutting triplet. We apply the substitution trick described below (and useful in other situations as well), which replaces (W, Y) with a path through C that does not use u. Thus, C no longer needs a representative and we obtain a  $T_{int}$ -path.

**The substitution trick.** This trick can be applied whenever we have an edge e = (w, y) used by some  $T_{\text{SDR}}$ -path P, and a P-bridge C that resides inside a noose through some cutting triplet  $\{u, w, y\}$  for some vertex u. Define  $C^+ = G[C] \cup \{(u, w), (w, y)\} \setminus \{(u, y)\}$ , where edges are added only if they did not exist in G[C].<sup>5</sup>

<sup>&</sup>lt;sup>3</sup> Theorem 1 allows (U, W) = (X, Y), but then holds trivially since using edge (X, Y) as path satisfies all conditions. We require  $(U, W) \neq (X, Y)$  since we want not just a Tutte path but a  $T_{\text{int}}$ -path, and the single-edge path (X, Y) would allow only exterior vertices as representatives.

<sup>&</sup>lt;sup>4</sup> This lemma is a special case of the "Three Edge Lemma" [17], which states that for any three edges on the outer face there exists a Tutte cycle containing them all. However, it cannot simply be obtained from it since we require restrictions on the location of representatives.

<sup>&</sup>lt;sup>5</sup> We apply the substitution trick even when  $V(C^+) = V(G)$  and G has a triangular outer face; not adding edge (w, y) will ensure that  $C^+$  has fewer interior vertices and induction can be applied.



**Figure 2** (a) Corner-3-connectivity c3c(X, U, W, Y), (b) the substitution trick, and (c) Case 1.

 $C^+$  satisfies c3c(u, v, w), else there would have been a cutting pair in G that was interior or within one side. Hence, by induction,  $C^+$  has a  $T_{\text{int}}$ -path  $P_{C^+}$  from u to y that uses edge (u, w). It does not use the edge (u, y) since  $P_{C^+}$  begins at u with edge (u, w). So  $P_{C^+} \setminus (u, w)$ is a path in C from w to y that does not visit u. Substitute this in place of edge (w, y) of P; see Figure 2b. We claim that the resulting path P' is a  $T_{\text{int}}$ -path. We prove a more general statement in the full version [5], but roughly speaking, combining paths preserves  $T_{\text{int}}$ -paths because every P'-bridge can inherit its representative from P or  $P_{C^+}$ , and no vertex is used twice as representative since  $P_{C^+}$  does not use  $\{u, v, w\}$  as representatives.

#### 2.1 Proof of Lemma 2 and Lemma 3

We prove the two lemmas simultaneous by induction on the number of vertices of G, with an inner induction on the number of interior vertices. The base case is n = 3 where Gis a triangle, but the same construction works whenever the outer face is a triangle (see below). For the induction step, we need the notation  $S_{xy}$ , which is the outer face path from x to y in ccw direction. In particular, the four sides are  $S_{XU}$ ,  $S_{UW}$ ,  $S_{WY}$ , and  $S_{YX}$ . We sometimes name sides as suggested by Figure 2a, so  $S_{XU}$ ,  $S_{UW}$ ,  $S_{WY}$ , and  $S_{YX}$  are the left/bottom/right/top side, respectively.

## 2.1.1 Case 1: The outer face is a triangle

Figure 2c illustrates this case. We know that  $X \neq Y$  and  $U \neq W$ , so we must have X = U or W = Y. For Lemma 3, we know that  $(\Box)$  holds, which forces  $W \neq Y$ , hence X = U. For Lemma 2, we may assume X = U by symmetry, for otherwise we reverse the planar embedding, find a path from Y to X that uses (W, U) (with this, we have X' = U') and then reverse the result.

So X = U. Define P to be  $\langle X=U, W, Y \rangle$  and observe that this is a  $T_{\text{end}}$ -path, because the unique P-bridge C (if any) has attachment points  $\{U, W, Y\}$ , and we can assign Y to be its representative. So Lemma 3 holds. Since condition  $(\Box)$  is satisfied, this implies Lemma 2.

# 2.1.2 Case 2: G has a cutting pair $\{u, w\}$ with u and w on the left and right side

Figure 3 illustrates this case. Let  $\mathcal{N}$  be a noose through u and w along a common interior face  $f^*$  and then going through the outer face. Let  $G_t$  and  $G_b$  be the subgraphs inside and outside  $\mathcal{N}$ , named such that  $G_b$  contains the bottom side. Let  $G_t^+/G_b^+$  be the graphs obtained from  $G_t/G_b$  by adding (u, w) if not in the graph yet. We add (u, v) even if it did not exist in G (we will ensure that the final path does not use it).



**Figure 3** (a) Case 2, (b)–(d) proof of Lemma 2 for Case 2.

We first show Lemma 2. One can easily verify that  $G_t$  satisfies c3c(X, u, w, Y) since its outer face is a simple cycle; see the full version [5]. Apply induction and find a  $T_{int}$ -path  $P_t$ of  $G_t^+$  from X to Y that uses edge (u, w). Now apply a modified substitution trick to (u, w). Namely, by induction, there is a  $T_{int}$ -path  $P_b$  of  $G_b^+$  from u to w that uses edge (U, W). Substitute  $P_b$  into  $P_t$  in place of (u, w) to get P. Path P uses (U, W) since  $P_b$  does. It does not use (u, w) since we removed this from  $P_t$ , and  $P_b$  starts at u, ends at w, and visits (U, W)in between. So after inheriting representatives from  $P_b$  and  $P_t$  we obtain a  $T_{int}$ -path P in G.

To prove Lemma 3, note that exactly one of  $G_t^+$  and  $G_b^+$  contains (W, Y); use a  $T_{\text{end}}$ -path for this subgraph and create P as above. Only one graph uses Y as representative, and one easily shows that P is a  $T_{\text{end}}$ -path.

## 2.1.3 Case 3: G has a cutting pair $\{y, w\}$ with y and w on the top and right side, respectively. Furthermore, there is an interior face $f^*$ containing y and w that does not contain Y.

For later applications, we first want to point out that if G has a cutting pair  $\{y, w\}$  on the top and right side for which (y, w) is an edge, then such a face  $f^*$  always exists, because there are two interior faces containing y and w, and not both can contain Y.

Figure 4 illustrates this case. We know that  $w \neq Y \neq y$ , else  $\{y, w\}$  would be a cutting pair within one side. We may assume  $y \neq X$ ; else we can use Case 2. Hence, the top side contains at least three vertices X, y, Y, so  $(\Box)$  does not hold and we have to prove only Lemma 2.

We choose  $\{y, w\}$  such that w is as close to W as possible (along the right side). The face  $f^*$  containing y, w may have multiple edges on the top side; let y be the one that is as close to Y as possible. Define  $G_b, G_b^+, G_t, G_t^+$  to be as in Case 2. Since the outer face of  $G_b^+$ is a simple cycle, it satisfies c3c(X, U, W, w, y). But since we chose w to be as close to Was possible, it also satisfies c3c(X, U, W, w, y). Namely, assume for contradiction that some cutting pair  $\{y', w'\}$  exists along the side  $S_{Ww} \cup (w, y)$  of  $G_b^+$ ; see Figure 4a. Since there is no cutting pair within  $S_{Ww}$ , it must have the form  $\{y, w'\}$  for some  $w' \neq w$  on  $S_{Ww}$ . As  $f^*$ does not contain Y, neither can any face containing  $\{y, w'\}$ , so  $\{y, w'\}$  could have been used for Case 3, contradicting our choice of w.

By induction, we can find a  $T_{int}$ -path  $P_b$  of  $G_b^+$  from X to y that includes the edge (U, W). The plan is to combine  $P_b$  with a path through  $G_t$ , but we must distinguish some cases.

**Case 3a:**  $P_b$  does not contain (y, w) or  $(y, w) \in G$ . Observe that  $G_t^+$  satisfies c3c(y, w, Y). By induction, find a  $T_{int}$ -path  $P_t$  in  $G_t^+$  from Y to w that uses edge (y, w). Append the reverse of  $P_t \setminus (y, w)$  to  $P_b$  to obtain a  $T_{int}$ -path; see Figure 4b.



**Figure 4** Case 3: (a)  $G_h^+$  satisfies c3c(X, U, W, y), (b) Case 3a, (c) Case 3b-1, (d) Case 3b-2.

**Case 3b:**  $P_b$  contains (y, w) and  $(y, w) \notin G$ . In this case, we must remove (y, w) from the path and hence use a subpath in  $G_t$  to reach vertex y. This requires further subcases. Let  $\pi_f$  be the path along  $f^*$  from y to w that becomes part of the the outer face of  $G_t$ . Let (y, z) be the edge incident to y on  $\pi_f$ .

- Case 3b-1:  $\pi_f$  contains no vertex on the outer face of G other than y and w. See Figure 4c. The outer face of  $G_t$  is then a simple cycle and  $G_t$  satisfies c3c(w, y, Y). By induction, we can find a  $T_{int}$ -path  $P_t$  in  $G_t$  that begins at Y, ends at w, and uses (y, z).
- **Case 3b-2:**  $\pi_f$  contains a vertex  $x \neq y, w$  on the outer face of G. See Figure 4d. Since x is on  $f^*$ , it cannot be on the top side by choice of y. So  $x \in S_{wY} \setminus Y$ . In fact, x must be the neighbor of w on both  $S_{wY}$  and  $\pi_f$ , else there would be a cutting pair within the right side. Set  $G'_t$  to be the graph inside a noose through y and x that has Y inside. Since  $\pi_f$  has no vertices other than y, x, w on the outer face of G, graph  $G'_t$  has a simple cycle as outer face, so it satisfies c3c(Y, y, z, x). By induction, we can find a  $T_{\text{int}}$ -path  $P'_t$  of  $G_t$  that begins at Y, ends at x, and uses (y, z). We append (w, x) to obtain  $P_t$ .

In both cases, we obtain a path  $P_t$  that begins at Y, ends at w, and visits all of  $G_t$ . Appending the reverse of this to  $P_b \setminus (y, w)$  gives the  $T_{\text{int}}$ -path.

## 2.1.4 Case 3': G has a cutting pair $\{y, w\}$ with y and w on the top and left side, respectively. Furthermore, there is an interior face $f^*$ containing y and w that does not contain X.

This is handled symmetrically to Case 3.

#### 2.1.5 Case 4: None of the above

In this case, we split G into one big graph  $G_0$  and (possibly many) smaller graphs  $G_1, \ldots, G_s$ , recurse in  $G_0$ , and then substitute  $T_{\text{int}}$ -paths of  $G_1, \ldots, G_s$  or use them as P-bridges.

We need two subcases, but first give some steps that are common to both. Let  $Y_X$  be the neighbor of Y on the top side. Define a *B*-necklace (for  $B \in \{U, W\}$ ) to be a noose  $\mathcal{N}_0$ :  $\langle Y_X = x_0, f_1, x_1, \ldots, x_{s-1}, f_s, x_s = B, f_o \rangle$ , (where  $f_o$  is the outer face) for which  $x_i$  is face-adjacent to at least one vertex on  $S_{WY} \setminus \{B\}$  for  $1 \leq i \leq s - 1$ . See also Figure 5. We say that the necklace is simple if it contains no vertex twice, and interior if every  $x_i$  (for 0 < i < s) is an interior vertex. One can argue that if none of the previous cases applies, then there always exists a simple interior *B*-necklace (see the full version [5]).



**Figure 5** Case 4. (a) A simple interior U-necklace that is not leftmost due to face f (which yields a cutting pair  $\{x_h, x_i\}$ ), and since it could include vertex z. (b) The graphs  $G_1, \ldots, G_s$ . (c-d) Case 4a. The path  $P^+$  after using the substitution trick and (d) assignment of the representatives.

Route  $\mathcal{N}_0$  through the outer face such that the left side is in its interior, and let  $G_0$  (the "left graph") be the graph inside  $\mathcal{N}_0$ . We say that  $\mathcal{N}_0$  is *leftmost* if (among all simple interior *B*-necklaces) its left graph  $G_0$  is smallest, and (among all simple interior *B*-necklaces whose left graph is  $G_0$ ) it contains the most vertices of  $G_0$ . Fix a leftmost *B*-necklace  $\langle x_0, \ldots, x_s \rangle$ .

 $\triangleright$  Claim 4. If  $(x_i, x_{i+1})$  is not an edge for some  $0 \le i < s$ , then the face  $f_i$  of  $\mathcal{N}_0$  contains no vertex of  $S_{WY} \setminus \{B\}$ .

Proof. If  $(x_i, x_{i+1})$  is not an edge of G, then both paths from  $x_i$  to  $x_{i+1}$  on  $f_i$  contain at least one other vertex. One of them, say z, is inside  $\mathcal{N}_0$ . If  $f_i$  contains a vertex of  $S_{WY} \setminus \{B\}$ , then  $x_i$  and z are face-adjacent, z and  $x_{i+1}$  are face-adjacent, and z has a neighbor on  $S_{WY} \setminus \{B\}$ , so  $x_0, \ldots, x_i, z, x_{i+1}, \ldots, x_s$  is a simple interior B-necklace with the same left graph but containing more vertices of  $G_0$ . Hence  $\mathcal{N}_0$  is not leftmost, a contradiction.

For  $i = 0, \ldots, s - 1$ , let  $t_i$  be the vertex on  $S_{WY} \setminus \{B\}$  that is face-adjacent to  $x_i$  and closest to Y (along the right side) among all such vertices. Set  $t_s = W$  if  $x_s = U$ , and  $t_s = Y$ otherwise. For  $0 < i \leq s$ , define  $\mathcal{N}_i$  to be the noise through  $\langle x_{i-1}, x_i, t_i, t_{i-1} \rangle$  such that the left side is outside  $\mathcal{N}_i$ . For  $0 < i \leq s$  let  $G_i$  be the graph inside  $\mathcal{N}_i$  (i.e., a cut component of  $\{x_{i-1}, x_i, t_{i-1}, t_i\}$ ); see Figure 5b.

Let  $G^+$  be the graph obtained from G by adding virtual edges  $(x_i, x_{i-1})$  and  $(t_i, t_{i-1})$  (for i = 1, ..., s) whenever these two vertices are distinct and the edge did not exist in G. Let  $G_0^+$  be the graph obtained from  $G_0$  by likewise adding virtual edges  $(x_0, x_1), \ldots, (x_{s-1}, x_s)$ . This makes the outer face of  $G_0$  a simple cycle, so  $G_0^+$  satisfies  $c3c(X, U, B = x_s, \ldots, x_0 = Y_X)$ . We distinguish two cases.

**Case 4a:** ( $\Box$ ) holds, i.e., (X, Y) and (W, Y) are edges. We only have to prove Lemma 3 since this implies Lemma 2. Consider Figs. 5c and d. Let  $\langle x_0=Y_X=X, x_1, \ldots, x_s=W \rangle$  be a leftmost *W*-necklace. By  $S_{WY} = (W, Y)$ , we have  $t_i = Y$  for all *i*. Since  $x_0 = Y_X = X$ , we have that  $G_0^+$  satisfies  $c3c(X=x_0, x_1, \ldots, x_s=W, U)$ . But observe that  $G_0^+$  has no cutting pair  $\{x_h, x_i\}$  with  $0 \le h < i \le s$ , for otherwise the face *f* containing  $x_h$  and  $x_i$  could be used as a shortcut and  $\mathcal{N}_0$  was not leftmost (see Figure 5a). So  $G_0^+$  actually satisfies c3c(X, W, U). Use induction to obtain a  $T_{\text{int}}$ -path  $P_0$  from *X* to *W* in  $G_0^+$  that uses edge (U, W). Then  $P^+ = P_0 \cup (W, Y)$  is a path in  $G^+$  that contains (U, W), and (W, Y).

Fix some i = 1, ..., s. If  $P^+$  used edge  $(x_{i-1}, x_i)$  and it was virtual, then by Claim 4  $f_i$  contains no vertex of  $S_{WY}$ , which means that the interior of  $G_i$  is non-empty. Apply the substitution trick to remove  $(x_{i-1}, x_i)$  from  $P^+$ , replacing it with a path through  $G_i$ .



**Figure 6** Case 4b. (a) Construction of  $P^+$  with representatives; (b)  $G_i^{\approx}$  (Case 4b-2) and  $G_i^{\sqsubset}$  (Case 4b-3) used to substitute virtual edges; (c)  $P^+$  with representatives after all substitutions.

Otherwise, we keep  $G_i$  as a  $P^+$ -bridge. We let its representative be  $x_i$  if  $1 \le i < s$ , and Y if i = s. Observe that this representative is interior or Y, and was not used by  $P_0$  since  $P_0$  was a  $T_{\text{int}}$ -path. So we obtain a  $T_{\text{end}}$ -path with the desired properties.

**Case 4b:** ( $\Box$ ) **does not hold.** We must prove only Lemma 2 and may therefore by symmetry assume that  $X \neq U$ . We claim that this implies that  $\deg(Y_X) \geq 3$ . For if  $\deg(Y_X) = 2$ , then its neighbors form a cutting pair, which by corner-3-connectivity means that  $Y_X$  is a corner, hence  $Y_X = X$ . Since  $X \neq U$ , the two neighbors of  $Y_X$  are then Y and a vertex on the left side, and we could have applied Case 2. So  $\deg(Y_X) \geq 3$ . Let  $(Y_X, x_1)$  be the edge at  $Y_X$  that comes after  $(Y_X, Y)$  in clockwise order (see Figure 6a). Note that  $x_1$  is face-adjacent to Y. It must be an interior vertex, for otherwise by  $\deg(Y_X) \geq 3$  edge  $(Y_X, x_1)$  is a cutting pair that we could have used for Case 3 or 3'.

Let  $\mathcal{N}_0 = \langle x_0 = Y_X, x_1, \dots, x_s = U \rangle$  be a simple interior *U*-necklace; see Figure 6a. We use a *U*-necklace that is leftmost among all *U*-necklaces that contain  $x_1$ . Note that Claim 4 holds for  $\mathcal{N}_0$  even with this restriction, since  $(x_0, x_1)$  is an edge. We know that  $G_0^+$  satisfies  $c3c(X, Y_X, x_1, \dots, x_s = U)$ . But observe that  $G_0^+$  has no cutting pair  $\{x_h, x_i\}$  for  $1 \le h < i \le s$ , for otherwise (as in Figure 5a)  $\mathcal{N}_0$  would not be the leftmost necklace that uses  $x_1$ . So  $G_0^+$ actually satisfies  $c3c(X, Y_X, x_1, U)$ .

Use induction to obtain a  $T_{int}$ -path  $P_0$  in  $G_0$  from U to X through edge  $(x_1, x_0)$ . Append the path  $\langle U, W, t_s, \ldots, t_0 = Y \rangle$  to the reverse of  $P_0$  to obtain path  $P^+$ . This path begins at X, ends at Y, and contains (U, W). Any  $P^+$ -bridge is either a  $P_0$ -bridge (and receives a representative there) or is  $G_i$  for some  $1 \leq i \leq s$ . For i > 1, assign  $x_{i-1}$  as representative to  $G_i$ . Graph  $G_1$  has an empty interior by choice of  $x_1$  and needs no representative.

There are two reasons why we cannot always use  $P^+$  for the result. First, it may use virtual edges and hence not be a path in G. Second, some  $P^+$ -bridge  $G_i$  may have four attachment points. Both are resolved by expanding  $P^+$  via paths through the  $G_i$ 's. Fix one i with  $1 \leq i \leq s$  and consider the following cases:

- **Case 4b-1:**  $(x_{i-1}, x_i)$  is virtual and used by  $P^+$ , and  $t_{i-1} = t_i$ . By Claim 4, the interior of graph  $G_i$  is non-empty and inside the separating triplet  $\{x_{i-1}, x_i, t_i\}$ . Replace  $(x_{i-1}, x_i)$  by a path through  $G_i$  with the substitution trick; see graph  $G_3$  in Figure 6.
- **Case 4b-2:**  $(x_{i-1}, x_i)$  is virtual and used by  $P^+$ , and  $t_{i-1} \neq t_i$ . See Figure 6b(top). We want to replace both  $(x_{i-1}, x_i)$  and  $(t_{i-1}, t_i)$  (which is always used by  $P^+$ ) with a path through  $G_i$ . Let  $G_i^{\approx}$  be the graph  $G_i$  with  $(t_i, x_i)$  and  $(t_{i-1}, x_{i-1})$  added. The outer face of  $G_i^{\approx}$  is a simple cycle since  $f_i$  contains no vertex of the right side by Claim 4, so  $G_i^{\approx}$  satisfies  $c3c(t_i, t_{i-1}, x_{i-1}, x_i)$ . By induction, find a  $T_{\text{int}}$ -path  $P_i$  in  $G_i^{\approx}$  from  $t_i$  to  $x_i$

that uses the edge  $(t_{i-1}, x_{i-1})$ . So removing  $(t_{i-1}, x_{i-1})$  from  $P_i$  splits it into two paths: path  $P_i^{\mathrm{R}}$  connects  $t_i$  to  $t_{i-1}$ , and path  $P_i^{\mathrm{L}}$  connects  $x_{i-1}$  to  $x_i$ . (No other split is possible by planarity.) Neither path uses the added edge  $(t_i, x_i)$  since it connects the ends of  $P_i$ . Use  $P_i^{\mathrm{R}}$  to replace  $(t_{i-1}, t_i)$  and  $P_i^{\mathrm{L}}$  to replace  $(x_{i-1}, x_i)$  in  $P^+$ .

- **Case 4b-3: Subgraph**  $G_i$  has a non-empty interior and  $t_i \neq t_{i-1}$ . See Figure 6b(bottom). In this case,  $G_i$  is a  $P^+$ -bridge with four attachment points, a violation of Tutte path properties. If Case 4b-2 applied to  $G_i$ , then  $G_i$  is no longer a bridge of the resulting path and we are done. Otherwise, we do a substitution that uses a different supergraph of  $G_i$ . Let  $G_i^{\Box}$  be  $G_i$  with edges from path  $\langle t_{i-1}, x_{i-1}, x_i, t_i \rangle$  added if not already in  $G_i$ . This graph satisfies  $c3c(t_i, t_{i-1}, x_{i-1}, x_i)$  and satisfies condition ( $\Box$ ) if we set  $X' = t_i$ ,  $U' = t_{i-1}, W' = x_{i-1}$ , and  $Y' = x_i$ . So we can find a  $T_{end}$ -path  $P'_i$  of  $G_i^{\Box}$  from  $t_i$  to  $x_i$  that uses  $(t_{i-1}, x_{i-1})$  and  $(x_{i-1}, x_i)$ . Thus,  $P'_i$  ends with  $\langle t_{i-1}, x_{i-1}, x_i \rangle$  and  $P'_i \setminus \{(t_{i-1}, x_{i-1}), (x_{i-1}, x_i)\}$  is a path from  $t_{i-1}$  to  $t_i$  in  $G_i$  that does not visit  $x_{i-1}$  or  $x_i$ . Substitute this path in place of edge  $(t_{i-1}, t_i)$  in  $P^+$ . Note that one  $P'_i$ -bridge Cmay use  $x_i$  as its representative, but if so, then it also has  $x_{i-1}$  as attachment point. We set  $x_{i-1}$  (which was  $G_i$ 's representative and is no longer needed as such) to be the representative of C.
- **Case 4b-4:**  $t_{i-1} \neq t_i$  and  $(t_{i-1}, t_i)$  is virtual. Since  $P^+$  always uses edge  $(t_{i-1}, t_i)$ , we must replace this edge with a path through  $G_i$ . This is done automatically because Case 4b-3 applies. Namely, if  $(t_{i-1}, t_i)$  is virtual, then there is at least one vertex between  $t_{i-1}$  and  $t_i$  on the right side. This vertex is exterior in G and hence neither  $x_i$  nor  $x_{i-1}$ . So it is strictly inside  $\mathcal{N}_i$ , hence  $G_i$  has a non-empty interior and (by  $t_{i-1} \neq t_i$ ) Case 4b-3 applies.

After doing these substitutions, there are no virtual edges in the path, no bridges have four attachment points, every bridge has an interior vertex as representative, and no vertex was used twice as representative; see Figure 6c. This ends the proof of Lemma 2 and 3.

#### 2.2 Linear time complexity

It should be clear that our proof is algorithmic. The main bottlenecks for its running time are to determine which case to apply (i.e., whether there is a cutting pair) and to find the *B*-necklace. Both can be done in linear time, by computing all cutting pairs [6, 9] and by finding a leftmost path in the subgraph induced by vertices that are face-adjacent to  $S_{WY} \setminus B$ . This would yield quadratic running time overall. For triangulated planar graphs, this is easily reduced to linear: cutting pairs correspond to interior edges where both ends are exterior, and the necklace can be found, as in [1], with a left-first search that only advances neighbors of  $S_{WY} \setminus B$ . But for graphs that are not triangulated we need a few extra data structures. We sketch only some ideas for this here; details are in the full version [5].

Globally, we keep track of the corners X, U, W, and Y. For each *interior vertex* w and every *side*  $S_{ab}$ , we keep a list  $\mathcal{V}(w, S_{ab})$  of faces that contain w as well as a vertex on  $S_{ab}$ . In these lists, we can look up quickly whether an interior vertex is face-adjacent to a side. Also, each face knows for each side which vertices it has on it. Finally, for each *pair of sides*  $S_{ab}$  and  $S_{cd}$ , we store a list  $\mathcal{P}(S_{ab}; S_{cd})$  of faces that are incident to a vertex on  $S_{ab}$ and a (different) vertex on  $S_{cd}$ , i.e., faces that connect cutting pairs.

This allows to test for Case 2 and Case 3 easily ("is  $\mathcal{P}(S_{XU}, S_{WY})$  resp.  $\mathcal{P}(S_{WY}, S_{YX})$  non-empty?"), and Case 1 and Case 4 are easily determined from the planar embedding. We keep  $\mathcal{P}(S_{WY}, S_{YX})$  in an order such that its first entry is the appropriate cutting pair in Case 3. To find a necklace, we *scan* the faces incident to  $x_1, \ldots, x_s$ . More precisely, we

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consider (for vertex  $x_i$ , presuming we know face  $f_i$  already) each face f in ccw order after  $f_i$ , and along face f each vertex w in ccw order after  $x_i$ , until we find vertex B (then we are done) or a vertex that is face-adjacent to a vertex in  $S_{WY} \setminus B$  (then this is  $x_{i+1}$  and  $f_{i+1} = f$ and we repeat). The running time for this is proportional to the degrees of vertices and faces that were scanned. We also need to update the data structures when recursing into a subgraph; here, we scan along all vertices (and their incident faces) that were in some necklace along which we cut the graph, or that became newly exterior.

A few crucial insights are needed to bound the running time. First, by corner-3connectivity every face has at most two vertices on each side. In particular, the above data structures have linear size. Second, we need to scan vertices and faces only if they become incident to a side that they were not previously incident to. Finally, once a vertex or face is incident to a side, it remains incident to it forever (though the side may change role, e.g. from "left" to "top"). This means that every vertex and face is scanned only a constant number of times, because there are only four sides to have incidences with. In fact, we only scan vertices and faces that are incident to the outer face in some subgraph, which means that they will be incident to the path P that we compute, and we have the following:

▶ **Theorem 5.** The Tutte path P for Theorem 1, Lemma 2 or Lemma 3 can be found in linear time. More specifically, the running time is  $O(\sum_{f \in F(P)} \deg(f))$ .

## 3 Applications

A number of interesting properties of planar 3-connected graphs can be derived easily from the existence of  $T_{\text{SDR}}$ -paths. In particular, every planar 3-connected graph has a spanning tree of maximum degree 3 [2] (a concept known in the literature as a 3-tree, but we prefer to use the term *binary spanning tree* to avoid confusion with maximal graphs of treewidth 3). Secondly, every planar 3-connected graph has a 2-walk, i.e., a walk that visits every vertex at least once and at most twice [8]. In the full version [5], we show that, using Lemma 2, these can be found in linear time; this was known for binary spanning trees [16, 3], but for 2-walks the previous best running time was  $O(n^3)$  [15].

▶ **Theorem 6.** Let G be a 3-connected plane graph with exterior vertex X. Then G has a binary spanning tree T that can be found in linear time. Moreover, when rooting T at X, a vertex v has two children only if it is an interior vertex and part of a cutting triplet  $\{v, w, x\}$  of G; one of the subtrees of v contains exactly the vertices interior to  $\{v, w, x\}$ .

**Theorem 7.** Let G be a 3-connected plane graph with exterior vertex X. Then G has a 2-walk P that can be found in linear time. Moreover, P visits X exactly once, and it visits a vertex v twice only if v is part of a separating triplet.

## 4 Outlook

In this paper, we improved on a very recent result that shows that Tutte paths in planar graphs can be found in quadratic time. We gave a different existence proof which leads to a linear-time algorithm. For 3-connected planar graphs, we obtain not only a Tutte path, but furthermore endow it with a system of distinct representatives, none of which is on the outer face. With this, we can also find 2-walks and binary spanning trees in 3-connected planar graphs in linear time.

The main remaining questions concern how to find Tutte path in other situations or with further restriction. For example, Thomassen [18] and later Sanders [12] improved Tutte's result and showed that we need not restrict the ends of the Tutte path to lie on the outer face. These paths can be found in quadratic time [15]. But our proof does not seem to carry over to the result by Sanders, because the ends of the path crucially must coincide with corners of the graph. Can we find such a path in linear time?

Furthermore, the existence of Tutte paths has been studied for other types of surfaces (see, e.g., Kawarabayashi and Ozeki [11] and the references therein). Can these Tutte paths be found in polynomial time, and preferably, linear time?

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