

Extending Partial 1-Planar Drawings

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Abstract

Algorithmic extension problems of partial graph representations such as planar graph drawings or geometric intersection representations are of growing interest in topological graph theory and graph drawing. In such an extension problem, we are given a tuple (G, H, \mathcal{H}) consisting of a graph G , a connected subgraph H of G and a drawing \mathcal{H} of H , and the task is to extend \mathcal{H} into a drawing of G while maintaining some desired property of the drawing, such as planarity.

In this paper we study the problem of extending partial 1-planar drawings, which are drawings in the plane that allow each edge to have at most one crossing. In addition we consider the subclass of IC-planar drawings, which are 1-planar drawings with independent crossings. Recognizing 1-planar graphs as well as IC-planar graphs is NP-complete and the NP-completeness easily carries over to the extension problem. Therefore, our focus lies on establishing the tractability of such extension problems in a weaker sense than polynomial-time tractability. Here, we show that both problems are fixed-parameter tractable when parameterized by the number of edges missing from H , i.e., the edge deletion distance between H and G . The second part of the paper then turns to a more powerful parameterization which is based on measuring the vertex+edge deletion distance between the partial and complete drawing, i.e., the minimum number of vertices and edges that need to be deleted to obtain H from G .

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1 Introduction

In the last decade, algorithmic extension problems of partial *planar* graph drawings have received a lot of attention in the fields of graph algorithms and graph theory as well as in graph drawing and computational geometry. In this problem setting, the input consists of a planar graph G , a connected subgraph H of G , and a planar drawing \mathcal{H} of H ; the question is then whether \mathcal{H} can be extended to a planar drawing of G . This extension problem is motivated from applications in network visualization, where important patterns (subgraphs) are required to have a special layout, or where new vertices and edges in a dynamic graph must be inserted into an existing (partial) connected drawing, which must remain stable to preserve its mental map [31]. A major result on the extension of partial planar drawings is the linear-time algorithm of Angelini et al. [2] which can answer the above question as well as provide the desired planar drawing of G (if it exists). The result of Angelini et al. contrasts other extension problems in the context of computational geometry and graph drawing, which are typically NP-complete [7, 9–13, 16, 23–26, 30, 32], even in settings where recognition is polynomial-time solvable. On the other end of the planarity spectrum, Arroyo et al. [3, 4] studied drawing extension problems, where the number of crossings per edge is not restricted, yet the drawing must be *simple*, i.e., any pair of edges can intersect in at most one point. They showed that the simple drawing extension problem is NP-complete [3], even if just one edge is to be added [4].

In this paper, we study the algorithmic extension problem of partial drawings of 1-planar graphs, one of the most natural and most studied generalizations of planarity [17, 27, 33], and of partial drawings of IC-planar graphs, a natural restriction of 1-planarity [1, 5, 29, 35]. A 1-planar graph is a graph that admits a drawing in the plane with at most one crossing per edge; for IC-planarity, we additionally require that no two crossed edges are adjacent. Unlike planarity testing, recognizing 1-planar graphs is NP-complete [20, 28], even if the graph is a planar graph plus a single edge [8]. Recognition of IC-planar graphs also remains NP-complete [6].

Contributions. Given a graph G , a connected subgraph H , and a 1-planar drawing \mathcal{H} of H , the 1-PLANAR EXTENSION problem asks whether \mathcal{H} can be extended by inserting the remaining vertices $V_{\text{add}} = V(G) \setminus V(H)$ and edges $E_{\text{add}} = E(G) \setminus E(H)$ of G into \mathcal{H} while maintaining the property of being 1-planar. The IC-PLANAR DRAWING EXTENSION problem is then defined analogously, but for IC-planarity.

The NP-completeness of these extension problems is a simple consequence of the NP-completeness of the recognition problem [6, 20, 28] (see also Section 3). With this in mind, the aim of this paper is to establish the tractability of the problems when \mathcal{H} is almost a complete 1-planar drawing of G . To capture this setting, we turn to the notion of *fixed-parameter tractability* [15, 19] and consider two natural parameters which capture how complete \mathcal{H} is: the *edge deletion distance* between H and G (denoted by k), and the *vertex+edge deletion distance* between H and G (denoted by κ). More precisely, k is equal to $|E(G) \setminus E(H)|$ and κ is equal to $|V(G) \setminus V(H)| + |E(G[V(H)]) \setminus E(H)|$. We refer to Section 3 for formal definitions and a discussion of the parameters.

After introducing necessary notation in Section 2 and introducing the problem formally in Section 3, we consider the edge deletion distance k in **Section 4**. Our first result is:

► **Theorem 1.** *1-PLANAR DRAWING EXTENSION is FPT when parameterized by k .*

The proof of Theorem 1 involves the use of several ingredients:

1. Introducing and developing a notion of *patterns*, which are combinatorial objects that capture critical information about the potential interaction of newly added edges with \mathcal{H} ;
2. a pruning procedure that reduces our instance to an equivalent sub-instance where H has treewidth bounded in k ;
3. an embedding graph, which carries information about the drawing \mathcal{H} ; and finally
4. completing the proof by constructing a formula Φ in Monadic Second Order Logic to check whether a pattern can “fit” in the embedding graph, using Courcelle’s Theorem [14].

Next, we turn towards the question of whether one can obtain an *efficient* fixed-parameter algorithm for the extension problem. In particular, due to the use of Courcelle’s Theorem [14] to model-check Φ , the algorithm obtained in the proof of Theorem 1 will have a prohibitive dependency on the parameter k . In this direction, we note that it is not immediately obvious how one can design an efficient and “formally clean” purely combinatorial algorithm for the pattern-fitting task (i.e., the task we relegate to model checking Φ in the embedding graph). At the very least, using a direct translation of the model-checking procedure would come at a significant cost in terms of presentation clarity.

That being said, one can observe that the main reason for the use of patterns is that it is not at all obvious where (i.e., in which cell of the drawing) one should place the vertices used to extend \mathcal{H} . Indeed, our second result for parameter k assumes that $V_{\text{add}} = \emptyset$ and avoids using Courcelle’s Theorem.

► **Theorem 2.** *1-PLANAR DRAWING EXTENSION parameterized by k can be solved in time $\mathcal{O}(k^{2k} \cdot n^{\mathcal{O}(1)})$ if $V(G) = V(H)$.*

This algorithm uses entirely different techniques – notably, it prunes the search space for inserting each individual edge via a combination of geometric and combinatorial arguments, and then applies exhaustive branching. We note that the techniques used to prove Theorem 1 and 2 can be directly translated to also obtain analogous results for the IC-planarity setting.

In **Section 5**, we turn our attention to the vertex+edge deletion distance κ as a parameter, which represents a more relaxed way of measuring how complete \mathcal{H} is than k – indeed, while $\kappa \leq k$, it is easy to construct instances where $\kappa = 1$ but k can be arbitrarily large. For our third result, we start with IC-planar drawings.

► **Theorem 3.** *IC-PLANAR DRAWING EXTENSION is FPT parameterized by κ .*

The proof of Theorem 3 requires a significant extension of the toolkit developed for Theorem 1. The main additional complication lies in the fact that the number of edges that are missing from H is no longer bounded by the parameter. To deal with this, we show that the added vertices can only connect to the boundary of a cell in a bounded number of “ways” (formalized via a notion we call *regions*), and we use this fact to develop a more general notion of patterns and embedding graphs than those used for Theorem 1.

Finally, in **Section 6**, we present a first step towards the tractability of 1-PLANAR DRAWING EXTENSION parameterized by κ . We note that the techniques developed for the other parameterizations and problem variants cannot be applied to solve this case – the main difference compared to the setting of Theorem 3 is that the “missing” vertices can be incident to many edges with crossings, which prevents the use of our bounded-size patterns to capture the behavior of new edges. As our final contribution, we investigate the special case of $\kappa = 2$, i.e., when adding two new vertices.

► **Theorem 4.** *1-PLANAR DRAWING EXTENSION is polynomial-time tractable if $\kappa \leq 2$.*

We note that even this, seemingly very restricted, subcase of 1-PLANAR DRAWING EXTENSION was non-trivial and required the combination of several algorithmic techniques (this contrasts to the case of $|V_{\text{add}}| = 1$, whose polynomial-time tractability is a simple corollary of one of our lemmas). In particular, the algorithm uses a new two-step “delimiter-and-sweep” approach: first, we apply branching to find a curve with specific properties that bounds the instance by a left and right “delimiter”. The second step is then a left-to-right sweep of the instance that iteratively pushes the left delimiter towards the right one while performing dynamic programming combined with branching and network-flow subroutines.

Albeit being a special case, we believe these delimited instances with two added vertices can play a role in a potential XP algorithm parameterized by κ – the existence of which we leave open for future work.

Further Related Work. In addition to the given related work on extension problems, it is also worth noting that identifying a substructure of bounded treewidth and applying Courcelle’s Theorem to decide an MSO formula on it has been preciously used for a graph drawing problem by Grohe [21], namely to identify graph drawings of bounded crossing number. Both the way in which one arrives at bounded treewidth and the nature of the employed MSO formula are substantially different from our approach, which is not surprising as the problem of generating drawings from scratch and the problem of extending partial drawings are in general fundamentally different. Specifically in the case of generating drawings, the MSO formula could essentially encode the existence of a drawing with bounded crossing number by inductively planarizing crossings of pairs of edges; here the planarity of the planarization can of course be captured via excluded $K_{3,3}$ and K_5 minors by MSO. This approach is not possible in our setting. There are examples of 1-planar graphs which have partial drawings which cannot be extended to a 1-plane drawing. Thus a planarization with respect to the added parts of a solution needs to be compatible with the partial drawing and cannot be encoded by an MSO formula straightforwardly.

2 Preliminaries

Let G be a simple graph, $V(G)$ its vertices, and $E(G)$ its edges. We use standard graph terminology [18]. For $r \in \mathbb{N}$, we write $[r]$ as shorthand for the set $\{1, \dots, r\}$. We also assume a basic understanding of parameterized complexity theory [15, 19], Monadic Second Order (MSO) Logic and Courcelle’s Theorem [14].

A *drawing* \mathcal{G} of G in the plane \mathbb{R}^2 is a function that maps each vertex $v \in V(G)$ to a distinct point $\mathcal{G}(v) \in \mathbb{R}^2$ and each edge $e = uv \in E(G)$ to a simple open curve $\mathcal{G}(e) \subset \mathbb{R}^2$ with endpoints $\mathcal{G}(u)$ and $\mathcal{G}(v)$. In a slight abuse of notation we often identify a vertex v and its drawing $\mathcal{G}(v)$ as well as an edge e and its drawing $\mathcal{G}(e)$. Throughout the paper we will assume that: (i) no edge passes through a vertex other than its endpoints, (ii) any two edges intersect in at most one point, which is either a common endpoint or a proper *crossing* (i.e., edges cannot touch), and (iii) no three edges cross in a single point. For a drawing \mathcal{G} of G and $e \in E(G)$, we use $\mathcal{G} - e$ to denote the drawing of $G - e$ obtained by removing the drawing of e from \mathcal{G} , and for $J \subseteq E(G)$ we define $\mathcal{G} - J$ analogously.

We assume that readers are familiar with the notion of *planarity* and *faces*. The *boundary* of a face is the set of edges and vertices whose drawing delimits the face. Further, \mathcal{G} induces for each vertex $v \in V(G)$ a cyclic order of its neighbors by using the clockwise order of its incident edges. This set of cyclic orders is called a *rotation scheme*. Two planar drawings \mathcal{G}_1 and \mathcal{G}_2 of the same graph G are *equivalent* if they have the same rotation scheme and the same outer face; equivalence classes of planar drawings are also called *embeddings*. A *plane graph* is a planar graph with a fixed embedding.

A drawing \mathcal{G} is *1-planar* if each edge has at most one crossing and a graph G is *1-planar* if it admits a 1-planar drawing. Similarly to planar drawings, 1-planar drawings subdivide the plane into connected regions, which we call *cells* in order to distinguish them from the faces of a planar drawing. The *planarization* G^\times of a 1-planar drawing \mathcal{G} of G is a graph G^\times with $V(G) \subseteq V(G^\times)$ that introduces for each crossing c of \mathcal{G} a *dummy vertex* $c \in V(G^\times)$ and that replaces each pair of crossing edges uv, wx in $E(G)$ by the four *half-edges* uc, vc, wc, xc in $E(G^\times)$, where c is the crossing of uv and wx . In addition all crossing-free edges of $E(G)$ belong to $E(G^\times)$. Obviously, G^\times is planar and the drawing \mathcal{G}^\times of G^\times corresponds to \mathcal{G} with the crossings replaced by the dummy vertices.

3 Extending 1-Planar Drawings

Given a graph G and a subgraph H of G with a 1-planar drawing \mathcal{H} of H , we say that a drawing \mathcal{G} of G is an *extension* of \mathcal{H} if the planarization H^\times of \mathcal{H} and the planarization \mathcal{G}^\times of \mathcal{G} restricted to H^\times have the same embedding. We formalize our problem of interest as:

1-PLANAR DRAWING EXTENSION

Instance: A graph G , a connected subgraph H of G , and a 1-planar drawing \mathcal{H} of H .

Task: Find an 1-planar extension of \mathcal{H} to G , or correctly identify that there is none.

The IC-PLANAR DRAWING EXTENSION problem is then defined analogously. Both problem definitions follow previously considered drawing extension problems, where the connectivity of H is considered a well-motivated and standard assumption [22, 30, 31].

Given an instance (G, H, \mathcal{H}) of 1-PLANAR DRAWING EXTENSION, a *solution* is a 1-planar drawing \mathcal{G} of G that is an extension of \mathcal{H} . We refer to $V_{\text{add}} := V(G) \setminus V(H)$ as the *added vertices* and to $E_{\text{add}} := E(G) \setminus E(H)$ as the *added edges*. Let $V_{\text{inc}} = \{v \in V(H) \mid \exists vw \in E_{\text{add}}\}$, i.e., V_{inc} is the set of vertices of H that are incident to at least one added edge. We also distinguish added edges whose endpoints are already part of the drawing, and added edges with at least one endpoint yet to be added into the drawing – notably, we let $E_{\text{add}}^H := \{vw \in E_{\text{add}} \mid v, w \in V(H)\}$ and $E_{\text{add}}^{-H} := E_{\text{add}} \setminus E_{\text{add}}^H$. This distinction will become important later, since it opens up two options for how to quantify how “complete” the drawing of \mathcal{H} is. It is worth noting that, without loss of generality, we may assume each vertex in V_{add} to be incident to at least one edge in E_{add} and hence $|V_{\text{add}} \cup V_{\text{inc}}| \leq 2|E_{\text{add}}|$.

Given the NP-completeness of recognizing 1-planar [20, 28] and IC-planar [6] graphs we get as an immediate consequence that also the corresponding extension problems are NP-complete. In view of the NP-completeness of the problem, it is natural to ask about its complexity when H is nearly “complete”, i.e., we only need to extend the drawing \mathcal{H} by a small part of G . In this sense, deletion distance represents the most immediate way of quantifying how far H is from G , and the parameterized complexity paradigm [15, 19] offers complexity classes that provide a more refined view on “tractability” in this setting.

The most immediate way of capturing the completeness of H in this way is to parameterize the problem via the *edge deletion distance* to G – formalized by setting $k = |E_{\text{add}}|$. The aim of Section 4 is to establish the fixed-parameter tractability of 1-PLANAR DRAWING EXTENSION parameterized by k . A second parameter that we consider is the *vertex+edge deletion distance* to G , i.e., the minimum number of vertices and edges that need to be deleted from G to obtain H . We call this parameter κ and set $\kappa = |V_{\text{add}}| + |E_{\text{add}}^H|$. The parameterization by κ is the topic of Section 5 and 6. Since we can always assume that each added vertex is incident to at least one added edge, $|V_{\text{add}}| + |E_{\text{add}}^H| \leq |E_{\text{add}}|$ and so parameterizing by κ leads to a more general (and difficult) parameterized problem.

4 Using Edge Deletion Distance for Drawing Extensions

The main goal of this section is to establish the fixed-parameter tractability of 1-PLANAR DRAWING EXTENSION parameterized by the edge deletion distance k .

We note that one major obstacle faced by a fixed-parameter algorithm is that it is not at all obvious how to decide where the vertices in V_{add} should be drawn in an augmented drawing of H . As a follow-up, we will show that when $V_{\text{add}} = \emptyset$ (i.e., $V(H) = V(G)$), it is possible to obtain a more self-contained combinatorial algorithm with a significantly better runtime; this is presented in Subsection 4.2.

4.1 A Fixed-Parameter Algorithm for 1-Planar Drawing Extension

Our first step towards a proof of the desired tractability result is the definition of a *pattern*, which is a combinatorial object capturing essential information about a potential 1-planar extension of \mathcal{H} . The formal definition of pattern is given in Definition 1. Definition 2 then defines the notion of *derived patterns*, which create a link between solutions to an instance of 1-PLANAR DRAWING EXTENSION and patterns.

To given an intuition of the patterns, assume that a pattern consists of a tuple (S, Q, C) and let (G, H, \mathcal{H}) be a 1-PLANAR DRAWING EXTENSION instance. Then, the general intuition is that S represents the set of faces in \mathcal{H}^\times which contain at least a part of the drawing of an edge in E_{add} in a hypothetical 1-planar extension \mathcal{G} of \mathcal{H} . Crucially, our aim is to keep the size of patterns bounded in k , and so we only “anchor” S to \mathcal{H}^\times by storing information about which faces will contain individual edges in E_{add} , vertices from V_{add} , and be adjacent to individual vertices in V_{inc} ; this is captured by the mapping Q . The third piece of information we store is C , which represents the cyclic order of how edges in E_{add} exit or enter the boundary of each face (including the case where an edge crosses through an edge into the same face, i.e., occurs twice when traversing the boundary of that face).

► **Definition 1.** A pattern for an instance (G, H, \mathcal{H}) is a tuple (S, Q, C) where

1. S is a set of at most $2k$ elements;
2. Q is a mapping from $V_{\text{add}} \cup E_{\text{add}} \cup V_{\text{inc}}$ which maps: **(a)** vertices in V_{add} to elements of S , **(b)** edges in E_{add} to ordered pairs of elements of S , and **(c)** vertices in V_{inc} to subsets of S .
3. C is a mapping from S that maps each $s \in S$ to a cyclically ordered multiset of pairs $((e_1, q_1), (e_2, q_2), \dots, (e_\ell, q_\ell))$, where each e_i is in E_{add} and each q_i is in $V_{\text{inc}} \cup \{\text{crossing}\}$. Moreover, C must satisfy the following conditions: **(a)** for each $s \in S$ and each tuple $(e, q) \in C(s)$ such that $q \in V_{\text{inc}}$, it must hold that $s \in Q(q)$ and e is incident to q in G ; **(b)** for each $e \in E_{\text{add}}$ and $s \in S$, if e occurs in at least one tuple in $C(s)$, then $s \in Q(e)$ and $C(s)$ contains at most two tuples of the form $(e, *)$, where $*$ is an arbitrary element; **(c)** for each $s \in S$, each tuple occurs at most once in $C(s)$ with the exception of tuples containing “crossing”, which may occur twice.

Let \mathcal{P} be the set of all patterns for our considered instance (G, H, \mathcal{H}) . Let $\#\text{pat}(k) = 2k \cdot (2^{2k})^{3k} \cdot ((2k)! \cdot 2^{3k})^{2k}$ and note that $|\mathcal{P}| \leq \#\text{pat}(k) \in 2^{\mathcal{O}(k^2 \log k)}$. In particular, the number of possible patterns can be bounded by first considering $2k$ options for $|S|$, multiplying this by the at most $(2^{2k})^{3k}$ -many ways of choosing Q , and finally multiply this by the number of choices for C which can be bounded as follows: for each $s \in S$, $C(s)$ is a set that forms a subset (of size at most $2k$) of the $3k$ -cardinality set of tuples (note that $e = \{a, b\} \in E_{\text{add}}$ can only occur in the tuples (e, a) , (e, b) and $(e, \text{crossing})$).

The intuition behind patterns will be formalized in the next definition, which creates a link between solutions to our instance and patterns.

► **Definition 2.** Let (G, H, \mathcal{H}) be a 1-PLANAR DRAWING EXTENSION instance. For each solution \mathcal{G} of (G, H, \mathcal{H}) we define a derived pattern $P = (S, Q, C)$ as follows:

- S is the set of faces of \mathcal{H}^\times which have a non-empty intersection with $\mathcal{G}(e)$ for some $e \in E_{\text{add}}$.
- For $v \in V_{\text{add}}$ we set $Q(v)$ to the face f of \mathcal{H}^\times for which $\mathcal{G}(v)$ lies inside f , for $e \in E_{\text{add}}$ we set $Q(e)$ to the set of at most two faces which have a non-empty intersection with $\mathcal{G}(e)$, and for $w \in V_{\text{inc}}$ we set $Q(w)$ to all faces in S incident to w in \mathcal{G} .
- For a face $s \in S$ we consider all edges $e = uv \in E_{\text{add}}$ with a non-empty intersection between $\mathcal{G}(e)$ and s . It follows that there is an edge $e' \in E(H)$ on the boundary of s such that $\mathcal{G}(e)$ crosses $\mathcal{G}(e')$, or $u \in V_{\text{inc}}$ and u is on the boundary of s , or both. We set $C(s)$ as the ordered set of these crossing points or vertices when traversing s in clockwise fashion.

Our next task is to define *valid patterns*; generally speaking, these are patterns which are not malformed and could serve as derived patterns for a hypothetical solution. One notable property that every valid pattern must satisfy is that all vertices and edges mapped by Q to some $s \in S$ can be drawn in a 1-planar way while respecting $C(s)$.

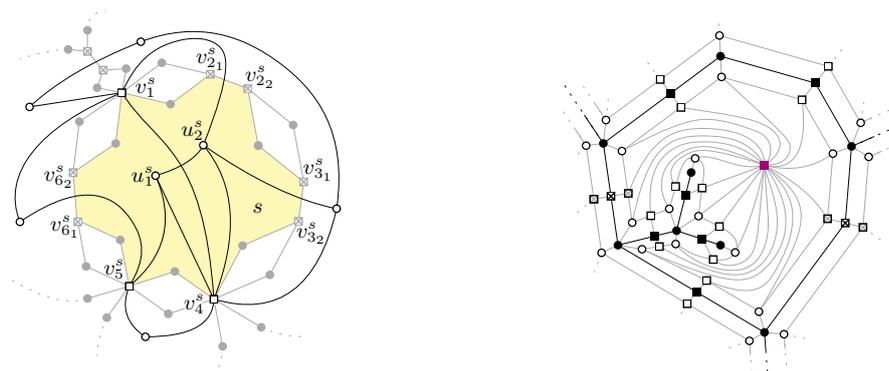
► **Definition 3.** For an instance (G, H, \mathcal{H}) , a pattern $P = (S, Q, C)$ is valid if there exists a pattern graph G_P with a 1-planar drawing \mathcal{G}_P satisfying the following properties:

- $V_{\text{add}} \cup V_{\text{inc}} \subseteq V(G_P)$ and $E_{\text{add}} \subseteq E(G_P)$.
- $\mathcal{G}_P - E_{\text{add}}$ is a planar drawing.
- S is a subset of the inner faces of $\mathcal{G}_P - E_{\text{add}}$.
- Each $v \in V_{\text{add}}$ is contained in the face $Q(v)$ of $\mathcal{G}_P - V_{\text{add}} - E_{\text{add}}$.
- Each $e \in E_{\text{add}}$ is contained in the face(s) $Q(e)$ of $\mathcal{G}_P - E_{\text{add}}$.
- Each $v \in V_{\text{inc}}$ is incident to the faces $Q(v)$ of $\mathcal{G}_P - E_{\text{add}}$.
- When traversing the inner side of the boundary of each face s of $\mathcal{G}_P - E_{\text{add}}$ in clockwise fashion, the order in which each edge $e \in E_{\text{add}}$ is seen in \mathcal{G}_P together with the information whether e crosses here or ends in its endpoint in V_{inc} , is precisely $C(s)$.

Note that the instance (G, H, \mathcal{H}) in the definition of a valid pattern is only important to define V_{add} , V_{inc} , and E_{add} . Moreover, observe that for each solution \mathcal{G} of an instance (G, H, \mathcal{H}) , the derived pattern is valid by definition. An illustration of a pattern graph is provided in Part (a) of Figure 1. We also remark that, when comparing a pattern graph to a hypothetical solution which draws an edge into the outer face of \mathcal{H} , we will map the outer face to an inner face of the pattern graph.

► **Lemma 4.** Given pattern $P = (S, Q, C)$, in time $\mathcal{O}((k!)^k \cdot k^{2k+1})$ we can either construct a pattern graph G_P together with the drawing \mathcal{G}_P satisfying all the properties of Definition 3 or decide that P is not valid.

Proof Sketch. The idea is to build a planarized version G'_P of the pattern graph with size bounded in $\mathcal{O}(k)$. To build the graph we introduce for every $s \in S$ a cycle containing the vertices in $C(s)$. We further subdivide each edge on a cycle by dummy vertices and identify the vertices corresponding to the same vertex in V_{inc} or the same crossing. Finally we add each vertex in V_{add} and connect it to the necessary vertices on the face's boundary. Crossings between these in-face edges can then be guessed since there are only $\mathcal{O}(k)$ such crossings. Finding the drawing \mathcal{G}'_P that adheres to Definition 3 can then be done by iterating all possible rotation schemes. To turn \mathcal{G}'_P into a 1-plane drawing replace all crossing vertices by crossing



(a) A pattern graph as constructed in Lemma 4. The face representing $s \in S$ is yellow, gray disks are dummy vertices. Black circles are in V_{add} . Squares are either in V_{inc} or represent crossings.

(b) An example of an embedding graph. The white vertices are shadow-vertices, the purple one the face vertex, and gray edges got added.

■ **Figure 1** Examples for the definition of a pattern and the embedding graph.

edges (see also Part (a) of Figure 1a). For the reverse direction, simply observe that from a given pattern graph we can delete and contract all “unnecessary” vertices and edges. Then all that remains are the cycles of vertices representing a set $C(s)$ and vertices in V_{add} . ◀

Next, we will define an annotated (“labeled”) graph representation of \mathcal{H} and \mathcal{H}^\times ’s faces. The *embedding graph* H^* of \mathcal{H} is obtained from \mathcal{H}^\times by:

1. subdividing each uncrossed edge e (resulting in vertex v_e);
2. creating a vertex for each face f of \mathcal{H}^\times (resulting in vertex v_f);
3. traversing the boundary of each face f^1 and whenever we see a vertex v (including the vertices created in Step 1) we create a shadow copy of v and place it right next to v in the direction we saw v from. Add a cycle connecting the shadow vertices we created in f in the order they were created, and direct it in clockwise fashion²;
4. connecting v_f to all shadow-vertices created by traversing f , and all shadow copies of a vertex v to the original v .

Observe that the embedding graph is a connected plane graph. We label the vertices of the embedding graph to distinguish original vertices, edge-vertices, face-vertices, crossing-vertices and shadow-vertices, and use at most $2k$ special labels to identify vertices in V_{inc} . An illustration of the embedding graph is provided in Part (b) of Figure 1. Next, we show that it suffices to restrict our attention to the parts of H^* which are “close” to vertices in V_{inc} .

► **Lemma 5.** *Let $I = (G, H, \mathcal{H})$ be an instance of 1-PLANAR DRAWING EXTENSION. Let Z be the set of all vertices in H^* of distance at least $4k + 7$ from each vertex in V_{inc} . Let G' , H' , and \mathcal{H}' be obtained by deleting all vertices in Z from G , H , and \mathcal{H} respectively. Then:*

1. *If I is a YES-instance, then each connected component of G' contains at most one connected component of H'^3 ;*

¹ formally, we draw a curve in f that closely follows the boundary until it forms a closed curve.

² Note that this may create multiple shadow copies of a vertex. The reason we use shadow copies of vertices instead of using the original vertices is that when traversing the inner boundary of a face, a vertex may be seen multiple times, and such shadow-vertices allow us to pinpoint from which part of the face we are visiting the given vertex.

³ This can be seen not to hold in general if we allow H to be disconnected.

2. I is a YES-instance if and only if for each connected component A of H' the restriction of \mathcal{H}' to $H'[A]$ can be extended to a drawing of the connected component of G' containing A . Moreover, given such a 1-planar extension for every connected component of G' , we can output a solution for I in linear time.

We split the proof of Lemma 5 into proofs for the two individual points.

Proof of Point 1. For the sake of contradiction let J be a connected component of G' that contains two distinct connected components H'_1 and H'_2 of H' . Since J is a connected component, there must be a path P from a vertex $v_1 \in H'_1$ to a vertex $v_2 \in H'_2$ in $J - (H'_1 \cup H'_2)$, and moreover P must have length at most k . By definition, both v_1 and v_2 are in V_{inc} . To complete the proof, it suffices to show that in any solution \mathcal{G} , v_1 and v_2 have distance at most $4k + 4$ in H^* .

Moreover, in any solution \mathcal{G} , two consecutive vertices of P are either drawn in the same face of \mathcal{H}^\times or in two adjacent faces of \mathcal{H}^\times . Observe that the distance in H^* between two face-vertices for the faces that share an edge is 4, and that the distance from an original vertex v to a face-vertex of a face incident to v is 2. Therefore, if (G, H, \mathcal{H}) is a YES-instance, then the distance between v_1 and v_2 in H^* must be at most $4k + 4$. ◀

Proof of Point 2. The forward direction is obvious. For the backward direction, let G_1, \dots, G_r be the connected components of G' and for $i \in [r]$ let H_i and \mathcal{H}_i be the restriction of H' and \mathcal{H}' , respectively, to G_i . Moreover, let \mathcal{H}_i^\times be the planarization derived from \mathcal{H}_i and note that H_i is connected for all $i \in [r]$ by Point 1. Now let us fix an arbitrary $i \in [r]$ such that H_i is not empty and let \mathcal{G}_i be a 1-planar extension of \mathcal{H}_i to G_i .

Observe that each face of \mathcal{H}^\times is completely contained in precisely one face of \mathcal{H}_i^\times . Moreover, if a face f of \mathcal{H}_i^\times contains at least two faces f_1 and f_2 of \mathcal{H}^\times , then both v_{f_1} and v_{f_2} are at distance at least $4k + 4$ of any vertex in $V_{\text{inc}} \cap V(H_i)$ in H^* . Indeed, if this were not the case, then w.l.o.g. the vertices on the boundary of v_{f_1} would have distance at most $4k + 6$ from some $w \in V_{\text{inc}} \cap V(H_i)$ in H^* , which would mean that f_1 is also a face in \mathcal{H}_i^\times . By the same distance-counting argument introduced at the end of the Proof of Point 1, This implies that no edge in a path P of G from a vertex $v \in H_i$ whose internal vertices all lie in V_{add} can be drawn in any face of \mathcal{H}^\times contained in f .

To complete the proof, let $G_1, \dots, G_p, p \leq r$ be the connected components of G' that contain a vertex in H and G_{p+1}, \dots, G_r the remaining connected components of G' . We obtain a solution \mathcal{G} to the instance I by simply taking the union of \mathcal{H} and \mathcal{G}_i for $i \in [p]$ and then for $i \in \{p + 1, \dots, r\}$ shifting \mathcal{G}_i so that \mathcal{G}_i do not intersect any other part of the drawing. ◀

Since $|V_{\text{inc}}| \leq 2k$, Lemma 5 allows us to restrict our attention to a subgraph of diameter at most $(4k + 7) \cdot 2 \cdot 2k = 16k^2 + 28k$. This will be especially useful in view of the following known fact, that allows us to assume that the treewidth of our instances is bounded.

► **Proposition 6** ([34]). *A planar graph G with radius at most r has treewidth at most $3r + 1$.*

► **Lemma 7.** *1-PLANAR DRAWING EXTENSION is FPT parameterized by $k + \text{tw}(H^*)$ if and only if it is FPT parameterized by k , where H^* is the embedding graph of \mathcal{H} .*

Proof. The backward direction is trivial. For the forward direction, assume that there exists an algorithm \mathcal{B} which solves 1-PLANAR DRAWING EXTENSION in time $f(k + \text{tw}(H^*)) \cdot |V(G)|^c$ for some constant c and computable function f . Now, consider the following algorithm \mathcal{A} for 1-PLANAR DRAWING EXTENSION: \mathcal{A} takes an instance $(G_0, H_0, \mathcal{H}_0)$ and constructs

$(G_1, H_1, \mathcal{H}_1)$ by applying Lemma 5. Recall that by Point 1 of Lemma 5, $(G_0, H_0, \mathcal{H}_0)$ is either NO-instance, in which case \mathcal{A} correctly outputs “NO”, or each connected component of G_1 contains at most one connected component of H_1 .

Now let us consider a connected component \mathcal{C} of G_1 and the embedding graph $H_1^*[\mathcal{C}]$ of $\mathcal{H}_1[\mathcal{C}]$ and let v_f be a face-vertex in $H_1^*[\mathcal{C}]$. If v_f is at distance at least $4k + 9$ from every vertex in $V_{\text{inc}} \cap \mathcal{C}$ in $H_1^*[\mathcal{C}]$, then every vertex on the boundary of f is at distance at least $4k + 7$ from every vertex $w \in V_{\text{inc}} \cap \mathcal{C}$ in $H_1^*[\mathcal{C}]$. Let v be an arbitrary vertex incident to f in $\mathcal{H}_1[\mathcal{C}]$. Since each face of \mathcal{H}_0^\times is completely contained in precisely one face of $\mathcal{H}_1^\times[\mathcal{C}]$, it follows that v is at distance at least $4k + 7$ from each vertex $w \in V_{\text{inc}} \cap \mathcal{C}$ in H^* . Because $v \in V(H_1[\mathcal{C}])$, this contradicts the fact that every vertex in $V(H_1)$ is at distance at most $4k + 6$ from a vertex $w \in V_{\text{inc}}$ in H^* . Hence, every face-vertex in $H_1^*[\mathcal{C}]$ is at distance at most $4k + 8$ from a vertex in $V_{\text{inc}} \cap \mathcal{C}$. Moreover, every vertex in $H_1^*[\mathcal{C}]$ is at distance at most 2 from some face-vertex and there are at most $2k$ vertices in $V_{\text{inc}} \cap \mathcal{C}$. Therefore, the radius, and by Proposition 6 the treewidth, of $H_1^*[\mathcal{C}]$ is bounded by $\mathcal{O}(k^2)$.

Now, for each connected component \mathcal{C} of G_1 , we solve the instance $(G_1[\mathcal{C}], H_1[\mathcal{C}], \mathcal{H}_1[\mathcal{C}])$ using algorithm \mathcal{B} . If \mathcal{B} determines that at least one such (sub)-instance is a NO-instance, then \mathcal{A} correctly outputs “NO”. Otherwise, \mathcal{A} outputs a solution for $(G_0, H_0, \mathcal{H}_0)$ that it computes by invoking the algorithm given by Point 2 of Lemma 5. To conclude, we observe that \mathcal{A} is a fixed-parameter algorithm parameterized by k and its correctness follows from Lemma 5. \blacktriangleleft

We now have all the ingredients we need to establish our tractability result.

► **Theorem 1.** *1-PLANAR DRAWING EXTENSION is FPT when parameterized by k .*

Proof Sketch. We prove the theorem by showing that 1-PLANAR DRAWING EXTENSION is fixed-parameter tractable parameterized by $k + \text{tw}(H^*)$, which suffices thanks to Lemma 7.

To this end, consider the following algorithm \mathcal{A} . Initially, \mathcal{A} loops over all of the at most $\#\text{pat}(k)$ many patterns, tests whether each pattern is valid or not using Lemma 4, and stores all valid patterns in a set \mathcal{P} . Next, it branches over all valid patterns in \mathcal{P} , and for each such pattern $P = (S = \{s_1, \dots, s_\ell\}, Q, C)$ it constructs an MSO formula $\Phi_P(\mathcal{F})$, where \mathcal{F} is a set of at most $7k$ free variables specified later, the purpose of which is to find a suitable “placement” for P in \mathcal{H} by finding an interpretation in the embedding graph H^* . In particular, Φ_P uses the free variables in \mathcal{F} to find a suitable face-vertex x_i for each $s_i \in S$ and a suitable crossing point for each edge mapped to two elements of S , while also guaranteeing that the cyclic orders specified by C are adhered to. Once we find a suitable placement for P in \mathcal{H} , the algorithm constructs an extension by topologically “inserting” the pattern graph G_P into the identified faces of \mathcal{H}^\times and using the crossing points as well as vertices in V_{inc} as “anchors”. \blacktriangleleft

4.2 A More Efficient Algorithm for Extending by Edges Only

In this subsection we obtain a more explicit and efficient algorithm than in Theorem 1 for the case where $V(G) = V(H)$. The idea underlying the algorithm is to iteratively identify sufficiently many 1-planar drawings of each added edge into \mathcal{H} that can either all be extended to a 1-planar drawing of G , or none of them can, which allows us to branch over a small number of possible drawings for that edge.

Let $X = \bigcup_{uv \in E_{\text{add}}} \{u, v\}$ be the set of all endpoints of edges in E_{add} , and let us fix an order of the added edges by enumerating $E_{\text{add}} = \{e_1, \dots, e_k\}$. Now, consider a 1-planar drawing \mathcal{H}_i of $H_i := H + \{e_1, \dots, e_{i-1}\}$ and assume that we want to add e_i as a curve

$\gamma(e_i)$. For a cell f in $\mathcal{H}_i + \gamma(e_i)$ and vertices x_1, x_2 on the boundary of f , we denote by $b_{\gamma(e_i)}(f, x_1, x_2) \subseteq E(H_{i+1})$ the edges on the x_1 - x_2 -path along the boundary of f which traverses this boundary in counterclockwise direction. We explicitly note that $b_{\gamma(e_i)}(f, x_1, x_2)$ does not contain any half-edges. In this way $b_{\gamma(e_i)}(f, x_1, x_2)$ is the set of edges of H_{i+1} on the x_1 - x_2 -path along the boundary of f that are not crossed in $\mathcal{H}_i + \gamma(e_i)$, and hence may still be crossed by drawings of e_{i+1}, \dots, e_k in a 1-planar extension of $\mathcal{H}_i + \gamma(e_i)$ to G .

Let $\gamma_1(e_i)$ and $\gamma_2(e_i)$ be two possible curves for e_i to be drawn into \mathcal{H}_i . Then we call $\gamma_1(e_i)$ and $\gamma_2(e_i)$ $\{e_{i+1}, \dots, e_k\}$ -partition equivalent if there is a bijection π from the cells of $\mathcal{H}_i + \gamma_1(e_i)$ to the cells of $\mathcal{H}_i + \gamma_2(e_i)$ such that

- the vertices in X on the boundaries of the cells are invariant under π , i.e., for each cell f whose boundary intersects X precisely in X' it must hold that $\pi(f)$ intersects X precisely in X' as well; and
- for each pair of cells f, f' of $\mathcal{H}_i + \gamma_1(e_i)$ and ordered pairs of (not necessarily pairwise distinct) vertices $(x_1, x_2), (x'_1, x'_2) \in X^2$ that
 - are on the boundary of f and f' , respectively, and
 - the counterclockwise x_1 - x_2 -path and the counterclockwise x'_1 - x'_2 -path along the boundaries of f and f' , respectively, does not contain any inner vertices in X ,
 the following must hold:

$$\left\{ \begin{array}{l} \text{if } |b_{\gamma_1(e_i)}(f, x_1, x_2) \cap b_{\gamma_1(e_i)}(f', x'_1, x'_2)| \leq k, \text{ then} \\ |b_{\gamma_1(e_i)}(f, x_1, x_2) \cap b_{\gamma_1(e_i)}(f', x'_1, x'_2)| = |b_{\gamma_2(e_i)}(\pi(f), x_1, x_2) \cap b_{\gamma_2(e_i)}(\pi(f'), x'_1, x'_2)| \\ \text{otherwise} \\ \text{also } |b_{\gamma_2(e_i)}(\pi(f), x_1, x_2) \cap b_{\gamma_2(e_i)}(\pi(f'), x'_1, x'_2)| > k. \end{array} \right.$$

Roughly speaking, the first condition guarantees that when extending \mathcal{H}_i by $\{e_{i+1}, \dots, e_k\}$ -partition equivalent drawings of e_i , the topological separation of all vertices that might be important when drawing e_{i+1}, \dots, e_k is the same. The second condition ensures that when extending \mathcal{H}_i by $\{e_{i+1}, \dots, e_k\}$ -partition equivalent drawings of e_i , the number of edges whose drawings might be crossed by drawings of $\{e_{i+1}, \dots, e_k\}$ is the same, or so large that they cannot all be crossed by drawings of $\{e_{i+1}, \dots, e_k\}$.

► **Lemma 8.** *For any $1 \leq i \leq k$, if two drawings $\gamma_1(e_i), \gamma_2(e_i)$ of e_i into a drawing \mathcal{H}_i of H_i are $\{e_{i+1}, \dots, e_k\}$ -partition-equivalent, they either both can be extended to a 1-planar drawing of G , or none of them can.*

Proof. We show that we can obtain a 1-planar drawing extension of $\mathcal{H}_i + \gamma_2(e_i)$ to $G = H_i + \{e_{i+1}, \dots, e_k\}$ from a 1-planar drawing extension of $\mathcal{H}_i + \gamma_1(e_i)$ to G . Then the claim immediately follows by a symmetric argument when $\gamma_1(e_i)$ and $\gamma_2(e_i)$ are interchanged.

Let π be a bijection between the cells of $\mathcal{H}_i + \gamma_1(e_i)$ and the cells of $\mathcal{H}_i + \gamma_2(e_i)$ that witnesses $\{e_{i+1}, \dots, e_k\}$ -partition equivalence of $\gamma_1(e_i)$ and $\gamma_2(e_i)$. Assume we are given a 1-planar drawing extension \mathcal{G}_1 of $\mathcal{H}_i + \gamma_1(e_i)$ to G . From this, we will define a 1-planar drawing extension \mathcal{G}_2 of $\mathcal{H}_i + \gamma_2(e_i)$ to G . For $e \in E(H_i)$ set $\mathcal{G}_2(e) = \mathcal{H}_i(e)$ and set $\mathcal{G}_2(e_i) = \gamma_2(e_i)$. In this way, \mathcal{G}_2 is an extension of \mathcal{H}_i .

Note that for any cell f of $\mathcal{H}_i + \gamma_1(e_i)$ the order in which the vertices of X occur on the boundary of f is the same (up to possibly reversal) in which they occur on the boundary of $\pi(f)$ (exactly the same such vertices occur because of $\{e_{i+1}, \dots, e_k\}$ -partition equivalence). This is due to the fact that $\mathcal{H}_i + \gamma_1(e_i)$ and $\mathcal{H}_i + \gamma_2(e_i)$ are obtained from the same drawing \mathcal{H}_i and drawing edges into \mathcal{H}_i merely subdivides cells and cannot permute the order on their boundaries.

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Now we can define $\mathcal{G}_2(e_j)$ for $j \in \{i+1, \dots, k\}$ as follows: For $J \subseteq \{i+1, \dots, k\}$ such that $\mathcal{G}_1(e_j)$ intersects two cells f and g of $\mathcal{H}_i + \gamma_1(e_i)$ for every $j \in J$, it holds that each $\mathcal{G}_1(e_j)$ crosses the drawing $(\mathcal{H}_i + \gamma_1(e_i))(c_j)$ of an edge $c_j \in E(H_i) \cup \{e_i\}$. In particular, c_j lies on the shared boundary of f and g . Both f and g contain a vertex in X in their boundary, as each of them contain at least one endpoint of e_j . Hence there are $x_1, x_2 \in X$ that are consecutive on the boundary of f neglecting everything but X , and $y_1, y_2 \in X$ that are consecutive on the boundary of g neglecting everything but X such that $c_j \in b_{\gamma_1(e_i)}(f, x_1, x_2) \cap b_{\gamma_1(e_i)}(g, y_1, y_2)$. By partition-equivalence the boundaries of $\pi(f)$ and $\pi(g)$ each contain an endpoint of each e_j , and because $|J| \leq k$, we find distinct $c'_j \in b_{\gamma_2(e_i)}(\pi(f), x_1, x_2) \cap b_{\gamma_2(e_i)}(\pi(g), y_1, y_2)$ (or possibly $c'_j \in b_{\gamma_2(e_i)}(\pi(f), x_2, x_1) \cap b_{\gamma_2(e_i)}(\pi(g), y_2, y_1)$) for each $j \in J$. Without loss of generality the c'_j are indexed in the order in which they occur on the counterclockwise x_1 - x_2 -path along the boundary of f . We re-index the c'_j to conform to the same order (up to reversal), also taking x_1 and x_2 into account, on $\pi(f)$. ◀

The next lemma shows that the number of non-equivalent drawings is bounded by a function of k , which in turn allows us to apply exhaustive branching to prove the theorem.

► **Lemma 9.** *For any $1 \leq i \leq k$, the number of ways to draw e_i into a drawing \mathcal{H}_i of H_i that are pairwise not $\{e_{i+1}, \dots, e_k\}$ -partition-equivalent is at most $4(2k+1) \cdot 2(k+1) \in \mathcal{O}(k^2)$.*

► **Theorem 2.** *1-PLANAR DRAWING EXTENSION parameterized by k can be solved in time $\mathcal{O}(k^{2k} \cdot n^{\mathcal{O}(1)})$ if $V(G) = V(H)$.*

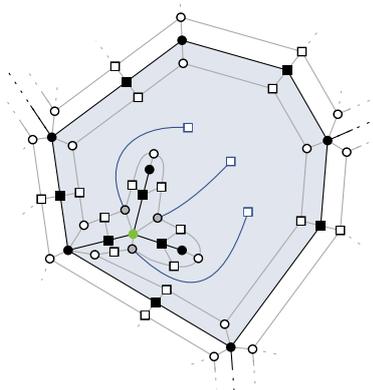
Proof. We can pre-compute the intersection of the boundary of each cell of \mathcal{H} with X and for each pair of cells f, f' of \mathcal{H} and ordered pairs of vertices $x_1, x_2 \in X$ and x'_1, x'_2 that are consecutive on the boundaries of f and f' respectively if one neglects everything but X , the cardinality of the set of edges that are on the clockwise x_1 - x_2 -path along the boundary of f and at the same time on the clockwise x'_1 - x'_2 -path along the boundary of f' in polynomial time.

As described in the proof of Lemma 9, at any stage, for $1 \leq i \leq k$, we can branch on $\{e_{i+1}, \dots, e_k\}$ -partition-equivalent drawings $\gamma(e)$ of e using the pre-computed information. This information can be modified within each branch according to the choice of $\gamma(e)$ in constant time because, as described in the proof of Lemma 9 the impact of $\gamma(e)$ involves only few values whose modifications can correctly be computed from the updated pre-computed information up to this stage and the chosen values determining $\gamma(e)$. Correctness of this branching follows from Lemma 8. ◀

5 Using Vertex+Edge Deletion Distance for IC-Planar Drawing Extension

In this section, we show that IC-PLANAR DRAWING EXTENSION parameterized by κ is fixed-parameter tractable. We note that an immediate consequence of this is the fixed-parameter tractability of IC-PLANAR DRAWING EXTENSION parameterized by k .

On a high level, our strategy is similar to the one used to prove Theorem 1, in the sense that we also use a (more complicated) variant of the patterns along with Courcelle's Theorem. However, obtaining the result requires us to extend the previous proof technique to accommodate the fact that the number of edges incident to V_{add} , and hence the size of a pattern, is no longer bounded by κ . This is achieved by identifying so-called *difficult vertices* and *regions* that split up the neighborhood of each face-vertex in the embedding graph into a small number of sections (a situation which can then be handled by a formula in Monadic



■ **Figure 2** An example of H^* where a vertex $v' \in V_{\text{inc}}$ has several non-difficult shadow copies. Blue vertices are in V_{add} . The green vertex has no difficult shadow copy w.r.t. the blue face in \mathcal{H}^\times .

Second Order logic). Less significant complications are that we need a stronger version of Lemma 5 to ensure that the diameter of the resulting graph is bounded, and need to be more careful when using MSO logic in the proof of the main theorem.

Let f be a face of \mathcal{H}^\times and let \mathcal{G} be a solution (i.e., an IC-planar drawing of G) for the instance (G, H, \mathcal{H}) . Let H^* be the embedding graph of \mathcal{H} , and without loss of generality let us assume (via topological shifting) that each edge between a vertex a' on the boundary of f and a vertex $b \in V_{\text{add}}$ placed by \mathcal{G} in f is routed “through” one shadow copy of a' . Let V_{add}^f be the subset of V_{add} drawn by \mathcal{G} in the face f .

Observe that, since shadow vertices are not part of the original instance and instead merely mark possible “parts” of the face that can be used to access a given vertex, it may happen that a solution routes several edges through one shadow vertex. We say that a shadow vertex $v \in N_{H^*}(v_f)$ (where $N_{H^*}(v_f)$ denotes the neighborhood of v_f in H^*) is *difficult* w.r.t. f if \mathcal{G} routes at least two edges through v . Note that it may happen that a vertex $v' \in V_{\text{inc}}$ with more than one neighbor in V_{add} has several shadow copies, none of which are difficult (see Figure 2).

► **Lemma 10.** *There are at most $3\kappa^2$ difficult vertices w.r.t. a face f of \mathcal{H}^\times .*

Proof. We show that any two of the ℓ added vertices drawn into f in \mathcal{G} are both connected to at most 3 vertices in $N_{H^*}(v_f)$. Then the claim follows. Assume for contradiction that $v_1, v_2 \in V_{\text{add}}$ are drawn into f in \mathcal{G} and $w_1, w_2, w_3, w_4 \in N_{H^*}(v_f)$ are shadow vertices that each route two edges, one of which is incident to v_1 and one of which is incident to v_2 . Since H is connected, the boundary of f is connected, and by construction of H^* , w_1, w_2, w_3, w_4 all lie on a cycle in H^* that does not involve any of $\{v_{f'} \mid f' \text{ face in } \mathcal{H}^\times\}$. Hence the following graph H' is a minor of $H^* - \{v_{f'} \mid f' \text{ face in } \mathcal{H}^\times\} + V_{\text{add}} + E_{\text{add}}$: $H' = (\{v_1, v_2, w_1, \dots, w_4, v_f\}, \{v_i w_j \mid i \in \{1, 2, f\}, j \in \{1, \dots, 4\}\} \cup \{w_i w_{(i \bmod 4)+1} \mid i \in \{1, \dots, 4\}\})$. H' does not admit a 1-planar drawing in which both v_1 and v_2 lie on the same side of the drawing of the w_1 - w_2 - w_3 - w_4 -cycle and v_1 and v_2 are each incident to at most one edge whose drawing is crossed. However the existence of \mathcal{G} implies that exactly such a drawing of H' exists. ◀

⁴ The reason one distinguishes which shadow copy of a' the edge is routed through is because this unambiguously identifies which part of the face the edge uses to access a' .

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A region R of a vertex $x \in V_{\text{add}}^f$ (or, equivalently, of a face f) is a maximal path (r_1, \dots, r_p) in $N_{H^*}(v_f)$ with the following properties: (1) \mathcal{G} does not route through any shadow copy of an edge in R ; (2) for each vertex r in R , a uncrossed curve can be drawn in \mathcal{G} inside f between r and x ; (3) none of the vertices in R are adjacent to $V_{\text{add}}^f \setminus \{x\}$; and (4) r_1 and r_p are adjacent to x .

► **Lemma 11.** *There are at most 3κ regions of a face f .*

Proof. Consider a path P in $H^*[N_{H^*}(v_f)]$ that traverses all ℓ regions of a vertex $v \in V_{\text{add}}^f$. It contains at least $\ell - 1$ pairwise disjoint subpaths $P_1, \dots, P_{\ell-1}$ of paths connecting regions of v that are consecutive in P .

For every P_i ($i \in \{1, \dots, \ell\}$), by the property that regions are inclusion maximal paths of vertices with certain properties, we find some vertex x in P_i that has to violate one of these properties. This can happen in three ways:

- (1) x is a shadow copy of an edge and \mathcal{G} routes through x ,
- (2) (1) is not the case and the drawing of some edge $e \in E_{\text{add}}$ separates x from v in \mathcal{H} , or
- (3) (1) and (2) are not the case and x is adjacent to another vertex $w \in V_{\text{add}}^f \setminus \{v\}$.

In case (1) there is an edge $e \in E_{\text{add}}$ such that the drawing of e crosses the boundary of f in \mathcal{H} and routes through x .

In case (2) the edge in question has both endpoints on P , thus $e \in E_{\text{add}}^H$ or the drawing of e crosses either the boundary of f in \mathcal{H} or a drawing of another added edge.

There are at most $|E_{\text{add}}^H|$ edges in E_{add}^H and at most $|V_{\text{add}}|$ edges in $E_{\text{add}} \setminus E_{\text{add}}^H$ that can cross another edge in an IC-planar drawing. Moreover, in both cases (1) and (2), the endpoints of e cannot occur in any P_j with $j \in \{1, \dots, \ell\} \setminus \{i\}$.

In case (3) either x is contained in a region of w or the drawing of xw in \mathcal{H} crosses an edge and is the only drawing of an edge incident to w that does so. If x is in a region of w , w is separated from $N_{H^*}(v_f) \setminus P_i$ by the edges from v to the outermost vertices of the regions that P_i connects and hence can have no region outside of P_i . There are at most $|V_{\text{add}}|$ many such w .

Thus we find at most $|E_{\text{add}}^H| + |V_{\text{add}}| + 2|V_{\text{add}}|$ such x on P in total and thus $\ell \leq |E_{\text{add}}^H| + |V_{\text{add}}| + 2|V_{\text{add}}| \leq 3\kappa$. This concludes the proof. ◀

The underlying intuition one should keep about regions and difficult vertices is that a solution \mathcal{G} partitions the shadow vertices into those which **(a)** have no edges routed through them, **(b)** have precisely one edge routed through them (in which case they must be part of the respective region), and **(c)** have at least two edges routed through them (in which case they form a difficult vertex).

In the remainder of this section we give some intuition on how the techniques used to prove Theorem 1 need to be extended to obtain Theorem 3. Unlike in the proof of Theorem 1 the number of vertices in V_{inc} is not bounded by κ . Consequently, we cannot track their exact placement through patterns as defined in Definition 1. To circumvent this, we define *extended patterns* that store the necessary details about the cyclic orders in which individual regions, difficult vertices together with crossings, and endpoints of edges in E_{add}^H as well as the single edge per vertex in V_{add} that is allowed to cross, are supposed to appear inside a face. Then, as for patterns, we define how an extended pattern is *derived* from potential solutions.

The proof then proceeds by following the strategy laid down in Subsection 4.1. In particular, we define a notion of validity along with *pattern graphs* for extended patterns (cf. Definition 3). We show that validity can be checked and pattern graphs can be constructed efficiently (cf. Lemma 4). We use analogues to Lemma 5 and Lemma 7 to prune our instances

so that they have bounded treewidth. At this point, we have all the ingredients we need to prove our final Theorem 3 – the main complication here compared to the proof of Theorem 1 is that we cannot have explicit labels identifying individual vertices in V_{inc} .

► **Theorem 3.** *IC-PLANAR DRAWING EXTENSION is FPT parameterized by κ .*

6 Inserting Two Vertices into a 1-Plane Drawing

In this section we show that 1-PLANAR DRAWING EXTENSION is polynomial-time tractable in the case where we are only adding 2 vertices to the graph along with their incident edges (i.e., when $|V_{\text{add}}| = 2$ and $E_{\text{add}}^H = \emptyset^5$). Already solving this, at first glance simple, case seems to require non-trivial insight into the problem. In the following we call the two vertices in V_{add} the *red* and *blue* vertex, denoted by r and b , respectively.

On a high level, our algorithm employs a “delimit-and-sweep” approach. First, it employs exhaustive branching to place the vertices and identify a so-called “initial delimiter” – a Jordan curve that isolates a part of our instance that we need to focus on. In the second step, it uses such an initial delimiter to solve the instance via a careful dynamic programming subroutine. As our very first step, we exhaustively branch to determine which cells r and b should be drawn in, in $\mathcal{O}(n^2)$ time, and in each branch we add r and b into the selected cell(s) (from now on, we consider these embeddings part of \mathcal{H}).

The Flow Subroutine. Throughout this section, we will employ a generic network-flow subroutine that allows us to immediately solve certain restricted instances of 1-PLANAR DRAWING EXTENSION. In particular, assuming we are in the setting where r and b have already been inserted into \mathcal{H} , consider the situation where:

- There is a partial mapping λ from the faces of \mathcal{H}^\times to $\{R, B\}$; and
- r and b are in different cells of \mathcal{H} .

We say a 1-planar extension of \mathcal{H} to G is λ -consistent if the drawing of any edge in $E(G) \setminus E(H)$ which is incident to r intersects the interior of face F of \mathcal{H}^\times only if $\lambda(F) = R$, and correspondingly the drawing of any edge in $E(G) \setminus E(H)$ which is incident to b intersects the interior of face F of \mathcal{H}^\times only if $\lambda(F) = B$ (i.e., λ specifies precisely which kind of edges may enter which face). We use a reduction to network flows to show:

► **Lemma 12.** *Given λ as above, it is possible to determine whether there exists a λ -consistent 1-planar extension of \mathcal{H} to G in polynomial time.*

Proof Sketch. Consider the max flow instance θ_1 constructed as follows. θ_1 contains a universal sink t and a universal source s . We add one vertex for each vertex in $N_{E(G) \setminus E(H)}(r)$, and a capacity-1 edge from each such “ R -vertex” to t . We add one “ f -vertex” for each face f in \mathcal{H}^\times that λ maps to R , and a capacity-1 edge from each such vertex to every R -vertex that lies on the boundary of f . We add an (unlimited-capacity) edge from s to every f -vertex whose face contains r (possibly on its boundary). Finally, we add an edge from every f -vertex whose face contains r to each other f' -vertex of capacity equal to the number of crossable edges that lie on the shared boundary of f and f' . The instance θ_2 is constructed in an analogous fashion for B and b . To conclude the proof, it suffices to show that the drawings of the edges in E_{add} incident to r in a λ -consistent extension \mathcal{G} of \mathcal{H} to G correspond to s - t -flows of values $|N_{E(G) \setminus E(H)}(r)|$ and $|N_{E(G) \setminus E(H)}(b)|$ for θ_1 and θ_2 , respectively. ◀

⁵ We note that it is trivial to extend the result to the case where the number of added edges is bounded by a fixed constant, via simple exhaustive branching.

► **Corollary 13.** *1-PLANAR DRAWING EXTENSION for $|V_{add}| = 1$ and $E_{add}^H = \emptyset$ can be solved in polynomial time.*

Finding an Initial Delimiter. We begin by formally defining the following notion:

► **Definition 14.** *A Jordan curve ω in the plane is an initial delimiter for \mathcal{H} if:*

1. ω passes through both r and b but through no other vertex of \mathcal{H} ,
2. whenever ω shares at most one point with the interior of an edge, this point is a proper crossing between ω and that edge,
3. the intersection between \mathcal{H} and the exterior of ω (including ω itself) contains a single cell c_r whose boundary contains r , and a single cell c_b whose boundary contains b , and
4. the intersection of the boundary of c_r (resp. c_b) and ω is a single simple curve containing r (resp. b) as an interior point.

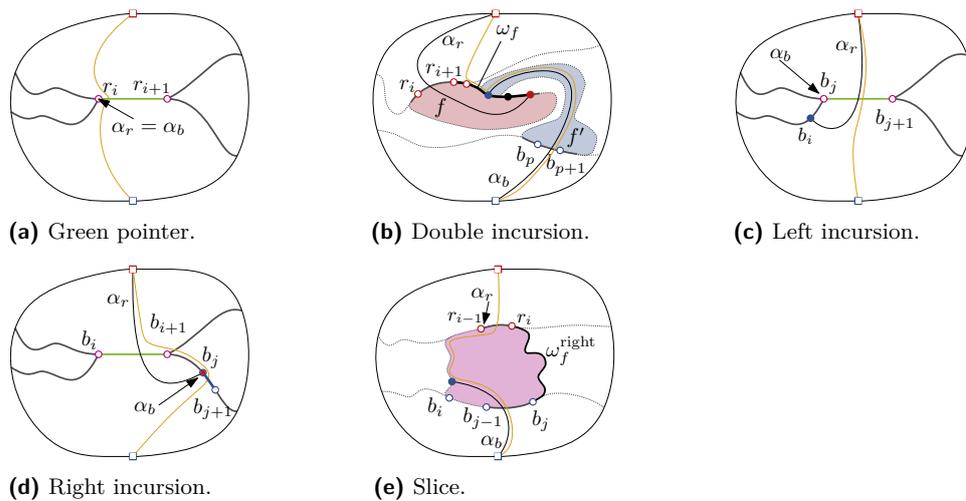
Intuitively, the third condition means that if we add ω onto \mathcal{H} , then there are unique cells in the exterior of ω for r and b . A solution for our instance, i.e., a drawing \mathcal{G} of G , is ω -compatible if every edge from E_{add} is drawn in the exterior region defined by ω . We state the main result of this subsection below – intuitively, it provides us with a set of initial delimiters that we can exhaustively branch over, and in each branch we can restrict our attention to solutions that are compatible with the chosen initial delimiter. To avoid confusion, we note that the lemma covers the case where r and b are placed in the same cell f (by branching on and placing a constant number of new edges that “separate” the boundary of f).

► **Lemma 15.** *For every instance (G, H, \mathcal{H}) where $|V_{add}| \leq 2$ and $E_{add}^H = \emptyset$, we can in polynomial time either solve (G, H, \mathcal{H}) or construct a set Q of initial delimiters with the following property (or both): if (G, H, \mathcal{H}) admits a solution, then Q contains at least one ω such that (G, H, \mathcal{H}) also admits an ω -compatible solution.*

Dynamic Programming. We can now proceed with a very high-level sketch of how the algorithm proceeds once we have pre-selected (via branching) an initial delimiter. The general idea is to perform a left-to-right sweep of \mathcal{H} by starting with the boundaries provided by the initial delimiter. The runtime of the algorithm is upper-bounded by the fact that it relies on dynamic programming where the maximum size of the records (representing possible “positions” on our sweep) is polynomial in the input size, and where the possibility of transitioning from one record to the next can be checked in polynomial time. In particular, the “steps” we use to move from one record to the next relies on a situational combination of exhaustive branching and the network-flow subroutine described in Lemma 12.

The intuitive reason we need to combine both of these techniques is that in some parts of our sweep, we will encounter faces where edges from both r and b may enter – there the interactions between these edges are too complicated to be modeled as a simple flow problem, but (as we will show) we can identify separating curves that cut our instance into parts for which we have a mapping λ that can be applied in conjunction with Lemma 12.

We now formalize the records used by our algorithm: a *record* is a tuple (α_r, α_b, T) , where α_r is either a vertex in \mathcal{H} or an edge $e \in E_{add}$ incident to r . α_b is defined symmetrically, and we describe such edges by specifying their endpoint and potential crossing point. T is then an auxiliary element that specifies the “type” of a given record. Finally, we associate each record with a *delimiter* that iteratively pushes our “left” initial delimiter boundary towards the “right” one; everything to the left of the *delimiter* can be ignored, since the assumptions



■ **Figure 3** The five types of records for the dynamic program. The computed delimiters are the orange curves. r and b are the rectangular vertices, colored disks are in R and B , white disks are r_i and b_i depending on their border-color.

we use to select our records guarantee that it cannot be crossed by an edge of the targeted solution.

While we are forced to omit the majority of the details of the algorithm due to space constraints, below we at least provide a brief, intuitive summary of the 5 types of records used (see also Figure 3):

1. **Green Pointer.** $\alpha_r = \alpha_b$ is a vertex incident to an edge on the boundary of c_r and c_b .
2. **Double Incursion.** One edge “covers” a part of one face that is accessed by the other edge from the other side.
3. **Left Incursion.** α_r (or α_b) crosses into c_b (or c_a) and heads “left”.
4. **Right Incursion.** α_r (or α_b) crosses into c_b (or c_a) and heads “right”.
5. **Slice.** One or both edges cross into a face other than c_r and c_b .

Altogether, by using these records and carefully analyzing the cases that allow us to transition from one record to the other, we obtain a proof of:

► **Theorem 4.** *1-PLANAR DRAWING EXTENSION is polynomial-time tractable if $\kappa \leq 2$.*

7 Concluding Remarks

In this paper, we initiated the study of the problem of extending partial 1-planar and IC-planar drawings by providing several parameterized algorithms that target cases where only a few edges and/or vertices are missing from the graph. Our results follow up on previous seminal work on extending planar drawings, but the techniques introduced and used here are fundamentally different [2]. The by far most prominent question left open in our work concerns the (not only parameterized, but also classical) complexity of 1-PLANAR EXTENSION w.r.t. κ . In particular, can one show that the problem is, at least, polynomial-time tractable for fixed values of κ ? While the results presented in Section 6 are a promising start in this direction, it seems that new ideas are needed to push beyond the two-vertex case.

Follow-up work may also focus on extending other types of beyond planar drawings [17].

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