

# Conjunctive Grammars, Cellular Automata and Logic

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## Abstract

The expressive power of the class **Conj** of *conjunctive languages*, i.e. languages generated by the *conjunctive grammars* of Okhotin, is largely unknown, while its restriction **LinConj** to *linear conjunctive grammars* equals the class of languages recognized by *real-time one-dimensional one-way cellular automata*. We prove two weakened versions of the open question  $\mathbf{Conj} \subseteq? \mathbf{RealTime1CA}$ , where **RealTime1CA** is the class of languages recognized by *real-time one-dimensional two-way cellular automata*:

1. it is true for *unary languages*;
2.  $\mathbf{Conj} \subseteq \mathbf{RealTime2OCA}$ , i.e. any conjunctive language is recognized by a *real-time two-dimensional one-way cellular automaton*.

Interestingly, we express the rules of a conjunctive grammar in two *Horn logics*, which *exactly characterize* the complexity classes **RealTime1CA** and **RealTime2OCA**.

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## 1 Introduction

For decades, logic has maintained close relationships with, on the one hand, computational models [31] and computational complexity [3], in particular through descriptive complexity [7, 16, 21, 11, 14, 2], and on the other hand with formal language theory and grammars [8, 21].

**Conjunctive grammars versus logic.** Okhotin [26] wrote that “context-free grammars may be thought of as a *logic* for inductive description of syntax in which the propositional connectives available... are restricted to *disjunction only*”. Thus, twenty years ago, the same author introduced *conjunctive grammars* [22] as an extension of context-free grammars by adding an explicit *conjunction* operation within the grammar rules.



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As shown by Okhotin [22], conjunctive grammars – and more generally, Boolean grammars [24, 26] – inherit the parsing algorithms of the ordinary context-free grammars, without increasing their computational complexity. However, the expressive power of these grammars is largely unknown. The fact that the class `Conj` of languages generated by conjunctive grammars has many closure properties – it is trivially closed under reverse, concatenation, Kleene closure, disjunction and conjunction – suggests that this class has equivalent definitions in computational complexity and/or logic.

**Conjunctive grammars versus real-time cellular automata.** Note that the `LinConj` subclass of languages generated by *linear* conjunctive grammars was found to be equal to the `Trellis` class of languages recognized by *trellis* automata [25], or equivalently, *one-way real-time* cellular automata. Faced with this result, it is tempting to ask the following question: is the larger class `Conj` equal to the class `RealTime1CA` of languages recognized by *two-way* real-time cellular automata? Either answer to this question has strong consequences:

- If `Conj = RealTime1CA` then each of the two classes will benefit from the closure properties of the other class; in particular, `RealTime1CA` would be closed under reverse, which was shown by [15] to imply `RealTime1CA = LinearTime1CA`, i.e. real-time is nothing but *linear time* for cellular automata, a surprising positive answer to a longstanding open question [6, 28, 30].
- If `Conj ≠ RealTime1CA` then `Conj ⊂ DSPACE(n)` or `RealTime1CA ⊂ DSPACE(n)`: any of these strict inclusions would be a striking result.

Real-time is the minimal time of cellular automata (CA). Recall that `RealTime1CA` (resp. `Trellis`) is the class of languages recognized in real-time by *one-dimensional* CA with *two-way* (resp. *one-way*) communication and input word given in parallel. We know the strict inclusion `Trellis ⊂ RealTime1CA`. The robustness of these classes is attested by their characterization by two sub-logics of ESO – the *existential second-order* logic, which characterizes NP – with *Horn formulas* as their first-order parts<sup>1</sup>, and called respectively `pred-ESO-HORN` and `incl-ESO-HORN`, see [12, 13]. For short, we write `RealTime1CA = pred-ESO-HORN` and `Trellis = incl-ESO-HORN`.

**Results of this paper.** This paper focuses on the relationships between the class of conjunctive languages and the real-time classes of cellular automata. Although we do not know the answer to the question `Conj =? RealTime1CA` or even to the question of the inclusion `Conj ⊆? RealTime1CA`, we prove two weakened versions of this inclusion:

1. `Conj1 ⊆ RealTime1CA1`: The inclusion holds when restricted to *unary* languages<sup>2</sup>.
2. `Conj ⊆ RealTime2OCA`: The inclusion holds for real-time of *two-dimensional one-way* cellular automata (2-OCA). (We have `RealTime1CA ⊆ RealTime2OCA`.)

To grasp the scope of inclusion (1), it is important to note that unlike the subclass `CFL1` of the unary languages of the class of context-free languages, which is reduced to regular languages, `CFL1 = Reg1`, the class `Conj1` was shown by Jez [17] to be much larger than `Reg1`. Understanding its precise expressiveness seems as difficult a problem to us as for `Conj`.

Our inclusion (2) improves the inclusion `CFL ⊆ RealTime2SOCA`, where `RealTime2SOCA` denotes the class of languages recognized by real-time *sequential* two-dimensional one-way cellular automata, proved by Terrier [29], who uses a result by King [18] and improves results by Kosaraju [20] and Chang et al. [4]. Terrier’s result derives transitively from (2): `CFL ⊆ Conj ⊆ RealTime2OCA ⊆ RealTime2SOCA`.

<sup>1</sup> The class `ESO-HORN` of languages defined by existential second-order formulas with Horn formulas as their first-order parts is exactly `PTIME`, see [10, 11].

<sup>2</sup> The subclass of the unary languages of a class of languages  $\mathcal{C}$  is denoted  $\mathcal{C}_1$ .

Inclusion (2) seems difficult to improve. Since any problem in  $\text{RealTime1CA}$  is decidable in time  $O(n^2)$  (by a RAM algorithm), the hypothetical inclusion  $\text{Conj} \subseteq \text{RealTime1CA}$  implies that any conjunctive language is decidable in time  $O(n^2)$ : this would be a breakthrough!

**Logic as a bridge from problems and grammars to real-time CAs.** Logic has been the basis of logic programming and database queries for decades, especially Horn logic through the Prolog and Datalog programming languages [1, 19, 11]. Likewise, the above-mentioned logical characterizations of real-time complexity classes of CAs,  $\text{RealTime1CA} = \text{pred-ESO-HORN}$  and  $\text{Trellis} = \text{incl-ESO-HORN}$ , have been used to easily show that several problems belong to the  $\text{RealTime1CA}$  or  $\text{Trellis}$  class by inductively expressing/programming the problems in the corresponding Horn logic, see [12, 13].

In this paper, the same logic programming method is adopted. We prove inclusion (1)  $\text{Conj}_1 \subseteq \text{RealTime1CA}_1$  by expressing a unary language generated by a conjunctive grammar in the  $\text{pred-ESO-HORN}$  logic. Inclusion (1) follows, by the equality  $\text{pred-ESO-HORN} = \text{RealTime1CA}$ . Similarly, to prove inclusion (2)  $\text{Conj} \subseteq \text{RealTime2OCA}$ , we first design a logic denoted  $\text{incl-pred-ESO-HORN}$  so that  $\text{incl-pred-ESO-HORN} = \text{RealTime2OCA}$ . Then, we express any conjunctive language in this logic, proving that it belongs to  $\text{RealTime2OCA}$ , as claimed. Thus, the heart of each proof consists in presenting a formula of a certain *Horn logic*, which *inductively* expresses how a word is generated by a conjunctive grammar: the Horn clauses of the formula *naturally* imitate the rules of the grammar.

**Our proof method and the paper structure.** After Section 2 gives some definitions, Sections 3 and 4 present inclusions (1) and (2) and their proofs with a common plan: Subsection 3.1 (resp. 4.1) expresses the inductive generating process of a conjunctive grammar, assumed in binary (Chomsky) normal form in the logic  $\text{pred-ESO-HORN}$  (resp.  $\text{incl-pred-ESO-HORN}$ ). Subsection 3.2 (resp. 4.2) shows that any formula of this logic can be normalized into a formula which mimics the computation of a two-dimensional (resp. three-dimensional) *grid-circuit* called  $\text{Grid}$  (resp.  $\text{Cube}$ ); Subsection 3.3 (resp. 4.3) translates the grid-circuit into a real-time one-dimensional CA (resp. two-dimensional OCA). Note that we prove the equivalence of our logics with grid-circuits and CA real-time<sup>3</sup>:  $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTime1CA}$  and  $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$ . Section 5 deals briefly with the meaning of our results and open problems around a diagram of the known relations between the  $\text{Conj}$  class and the CA complexity classes studied here, for the general case and for the unary case.

## 2 Preliminaries

### 2.1 Conjunctive grammars and their binary normal form

Conjunctive grammars extend context-free grammars with a conjunction operation.

► **Definition 1** (Conjunctive grammar, conjunctive language). [22, 23]

- A conjunctive grammar is a tuple  $G = (\Sigma, N, P, S)$  where  $\Sigma$  is the finite set of terminal symbols,  $N$  is the finite set of nonterminal symbols,  $S \in N$  is the initial symbol, and  $P$  is the finite set of rules, each of the form  $A \rightarrow \alpha_1 \& \dots \& \alpha_m$ , for  $m \geq 1$  and  $\alpha_i \in (\Sigma \cup N)^+$ .

<sup>3</sup> We have chosen to give here a simplified proof of the logical characterization  $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTime1CA}$  already proved in [12] so that this paper is self-content, but above all because our proof of the similar result  $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$  is an extension of it.

- The set of words  $L(A) \subseteq \Sigma^+$  generated by any  $A \in N$  is defined by induction: if the rules for  $A$  are  $A \rightarrow \alpha_1^1 \& \dots \& \alpha_{m_1}^1 \mid \dots \mid \alpha_1^k \& \dots \& \alpha_{m_k}^k$ , then  $L(A) := \bigcup_{i=1}^k \bigcap_{j=1}^{m_i} L(\alpha_j^i)$ . (As usual, take the least solution of the language equations defining the sets  $L(A)$ , for  $A \in N$ .)
- The language generated by the grammar  $G$  is  $L(S)$ . It is called a conjunctive language.

Okhotin [26] gave many examples of conjunctive languages which are not context-free. Moreover, Jez [17] proved that there are such languages on unary alphabet, in particular, the set  $\{a^{4^k} \mid k \in \mathbb{N}\}$  is a conjunctive language which is not context-free (= not regular).

We will mainly use the binary normal form of conjunctive grammars, which extends the Chomsky normal form of context-free grammars. Each conjunctive grammar can be rewritten in an equivalent binary normal form [22, 26].

► **Definition 2** (Binary normal form [22]). *A conjunctive grammar  $G = (\Sigma, N, P, S)$  is in binary normal form if each rule in  $P$  has one of the two following forms:*

- a long rule:  $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$  ( $m \geq 1, B_i, C_j \in N$ );
- a short rule:  $A \rightarrow a$  ( $a \in \Sigma$ ).

## 2.2 Elements of logic

The underlying structure we will adopt to encode an input word  $w = w_1 \dots w_n$  over its index interval  $[1, n] = \{1, \dots, n\}$  uses the *successor* and *predecessor* functions and the monadic predicates  $\min$  and  $\max$  as its *only* arithmetic functions/predicates:

► **Definition 3** (structure encoding a word). *Each nonempty word  $w = w_1 \dots w_n \in \Sigma^n$  on a fixed finite alphabet  $\Sigma$  is represented by the first-order structure  $\langle w \rangle := ([1, n]; (Q_s)_{s \in \Sigma}, \min, \max, \text{suc}, \text{pred})$  of domain  $[1, n]$ , monadic predicates  $Q_s, s \in \Sigma, \min$  and  $\max$  such that  $Q_s(i) \iff w_i = s$ ,  $\min(i) \iff i = 1$ , and  $\max(i) \iff i = n$ , and unary functions  $\text{suc}$  and  $\text{pred}$  such that  $\text{suc}(i) = i + 1$  for  $i < n$  and  $\text{suc}(n) = n$ ,  $\text{pred}(i) = i - 1$  for  $i > 1$  and  $\text{pred}(1) = 1$ . Let  $\mathcal{S}_\Sigma$  denote the signature  $\{(Q_s)_{s \in \Sigma}, \min, \max, \text{suc}, \text{pred}\}$  of the structure  $\langle w \rangle$ .*

► **Notation 1.** *Let  $x + k$  and  $x - k$  abbreviate the terms  $\text{suc}^k(x)$  and  $\text{pred}^k(x)$ , for a fixed integer  $k \geq 0$ . We will also use the intuitive abbreviations  $x = 1, x = n$  and  $x > k$ , for a fixed integer  $k \geq 1$ , in place of the formulas  $\min(x), \max(x)$  and  $\neg \min(x - (k - 1))$ , respectively.*

## 2.3 Cellular automata and real-time

► **Definition 4** (1-CA and 2-OCA). *A  $d$ -dimensional cellular automaton (CA) is a triple  $(\mathcal{S}, \mathcal{N}, \mathbf{f})$  where  $\mathcal{S}$  is the finite set of states,  $\mathcal{N} \subset \mathbb{Z}^d$  is the neighborhood, and  $\mathbf{f} : \mathcal{S}^{|\mathcal{N}|} \rightarrow \mathcal{S}$  is the transition function. We are interested in the following two special cases:*

- 1-CA: *It is a one-dimensional two-way cellular automaton  $(\mathcal{S}, \{-1, 0, 1\}, \mathbf{f})$ , for which the state  $\langle c, t \rangle$  of any cell  $c$  at a time  $t > 1$  is updated in this way:  
 $\langle c, t \rangle = \mathbf{f}(\langle c - 1, t - 1 \rangle, \langle c, t - 1 \rangle, \langle c + 1, t - 1 \rangle)$ .*
- 2-OCA: *It is a two-dimensional one-way cellular automaton  $(\mathcal{S}, \{(0, 0), (-1, 0), (0, -1)\}, \mathbf{f})$  for which the state  $\langle c_1, c_2, t \rangle$  of any cell  $(c_1, c_2)$  at a time  $t > 1$  is updated in this way:  
 $\langle c_1, c_2, t \rangle = \mathbf{f}(\langle c_1, c_2, t - 1 \rangle, \langle c_1 - 1, c_2, t - 1 \rangle, \langle c_1, c_2 - 1, t - 1 \rangle)$ .*

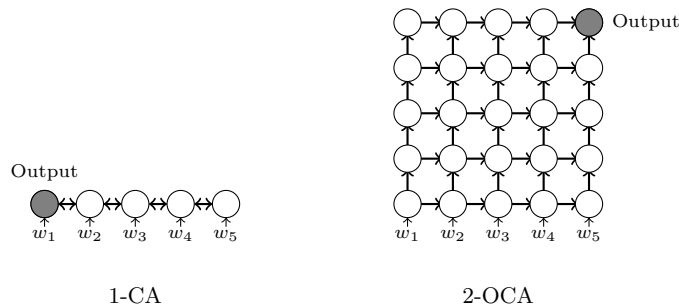
► **Definition 5** (permanent and quiescent states). *In a CA, a state  $\sharp$  is permanent if a cell in state  $\sharp$  remains in this state forever. A state  $\lambda$  of a CA is quiescent if a cell in state  $\lambda$  remains in this state as long as the states of its neighborhood cells are quiescent or permanent.*

► **Definition 6** (CA as a word acceptor). A cellular automaton  $(S, \mathcal{N}, f)$  with an input alphabet  $\Sigma \subset S$ , a permanent state  $\sharp$ , a quiescent state  $\lambda$ , and a set of accepting states  $S_{acc} \subset S$  acts as a word acceptor if it operates on an input word  $w \in \Sigma^+$  in respecting the following conditions (see Figure 1).

**Input.** For a 1-CA, the  $i$ -th symbol of the input  $w = w_1 \dots w_n$  is given to the cell  $i$  at the initial time 1:  $\langle i, 1 \rangle = w_i$ . All other cells are in the permanent state  $\sharp$ . For a 2-OCA, the  $i$ -th symbol of the input is given to the cell  $(i, 1)$  at time 1:  $\langle i, 1, 1 \rangle = w_i$ . At time 1, the cells  $(c_1, c_2) \in [1, n] \times [2, n]$  are in the quiescent state  $\lambda$ , all other cells are in the permanent state  $\sharp$ .

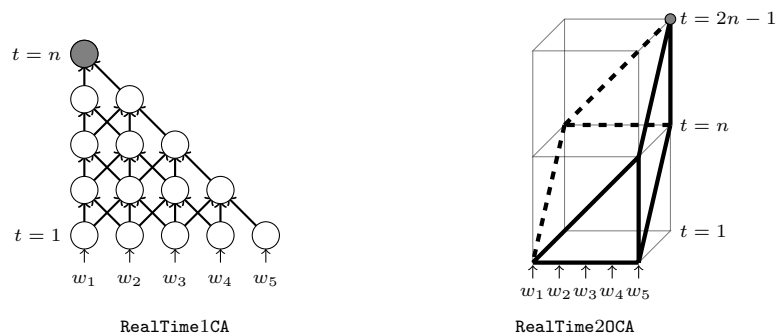
**Output.** One specific cell called the output cell gives the output, “accept” or “reject”, of the computation. For a 1-CA, the output cell is the cell 1. For a 2-OCA, the output cell is  $(n, n)$ .

**Acceptance.** An input word is accepted by a 1-CA (resp. 2-CA) at time  $t$  if the output cell enters an accepting state at time  $t$ .



■ **Figure 1** Input and output of a CA acting as a word acceptor.

► **Definition 7** (RealTime1CA, RealTime2OCA). A word is accepted in real-time by a 1-CA (resp. 2-OCA) if the word is accepted in minimal time for the output cell 1 (resp.  $(n, n)$ ) to receive each of its letters. A language is recognized in real-time by a CA if it is the set of words that it accepts in real-time. The class RealTime1CA (resp. RealTime2OCA) is the class of languages recognized in real-time by a 1-CA (resp. 2-OCA).



■ **Figure 2** Space-time diagrams of RealTime1CA and RealTime2OCA.

### 3 Real-time recognition of a unary conjunctive language

In this section, we prove our first main result:

► **Theorem 8.**  $Conj_1 \subseteq RealTime1CA_1$ .

### 3.1 Expressing inductively a unary conjunctive language in logic

The generating process of a unary conjunctive language is naturally expressed in the logic **pred-ESO-HORN**, an inductive Horn logic whose only function is the predecessor function.

► **Definition 9** (**pred-ESO-HORN**). A formula of **pred-ESO-HORN** is a formula  $\Phi := \exists \mathbf{R} \forall x \forall y \psi(x, y)$  where  $\mathbf{R}$  is a finite set of binary predicates and  $\psi$  is a conjunction of Horn clauses, of signature  $\mathcal{S}_\Sigma \cup \mathbf{R}$ , and of one the three following forms:

- an input clause:  $\min(x) \wedge (\neg) \min(y) \wedge Q_s(y) \rightarrow R(x, y)$  with  $s \in \Sigma$  and  $R \in \mathbf{R}$ ;
- a computation clause:  $\delta_1 \wedge \dots \wedge \delta_r \rightarrow R(x, y)$  with  $R \in \mathbf{R}$  and where each hypothesis  $\delta_h$  is an atom  $S(x, y)$  or a conjunction  $S(x - i, y - j) \wedge x > i \wedge y > j$ , with  $S \in \mathbf{R}$  and  $i, j \geq 0$  two integers such that  $i + j > 0$ ;
- a contradiction clause:  $\max(x) \wedge \max(y) \wedge R(x, y) \rightarrow \perp$  with  $R \in \mathbf{R}$ .

By abuse of notation, let us also call **pred-ESO-HORN** the class of languages defined by a formula of **pred-ESO-HORN**.

► **Notation 2.** We will freely use equalities (resp. inequalities)  $x = i$  and  $y = j$  (resp.  $x > i$ ,  $y > j$ ), for constants  $i, j$ , in our formulas since they can be easily defined in **pred-ESO-HORN**. For example, the binary predicate  $R^{x>2}$  of intuitive meaning  $R^{x>2}(x, y) \iff x > 2$  is defined inductively by the following clauses where  $R^{x=a}(x, y)$  means  $x = a$ :

- $\min(x) \rightarrow R^{x=1}(x, y)$ ;  $x > 1 \wedge R^{x=1}(x - 1, y) \rightarrow R^{x=2}(x, y)$ ;
- $x > 1 \wedge R^{x=2}(x - 1, y) \rightarrow R^{x>2}(x, y)$ ;  $x > 1 \wedge R^{x>2}(x - 1, y) \rightarrow R^{x>2}(x, y)$ .

Also, some other arithmetic predicates easily defined in **pred-ESO-HORN** will be used. For example,  $y = 2x$  can be replaced by the atom  $R^{y=2x}(x, y)$ , where  $R^{y=2x}$  is defined by the following two clauses using the predicates  $R^{x=1}$ ,  $R^{y=2}$ ,  $R^{x>1}$  and  $R^{y>2}$ :

- $x = 1 \wedge y = 2 \rightarrow R^{y=2x}(x, y)$ ;  $x > 1 \wedge y > 2 \wedge R^{y=2x}(x - 1, y - 2) \rightarrow R^{y=2x}(x, y)$ .

► **Notation 3.** More generally, let  $R^{\rho(x,y)}$  denote a binary predicate whose meaning is  $R^{\rho(x,y)}(x, y) \iff \rho(x, y)$ , for a property or a formula  $\rho(x, y)$ . We will also use a set of binary arithmetic predicates denoted by  $\mathbf{R}_{\text{arith}}$ , which consists of  $R^{x=y}$ ,  $R^{y=2x}$  and  $R^{\rho(x,y)}$ , for  $\rho(x, y) := x \geq \lceil \frac{y}{2} \rceil$ , and the predicates used to define them in **pred-ESO-HORN**.

Let us prove that for every unary conjunctive language, its complement can be defined in **pred-ESO-HORN**<sub>1</sub>.

► **Lemma 10.** For each language  $L \subseteq a^+$ , if  $L \in \text{Conj}_1$  then  $a^+ \setminus L \in \text{pred-ESO-HORN}$ .

**Proof.** Let  $G = (\{a\}, N, P, S)$  be a conjunctive grammar in binary normal form which generates  $L$ . For each  $A \in N$  and each unary word  $a^y$ , we have, according to the length  $y$ , the following equivalences which will be the basis of our induction:

- if  $y = 1$ , then  $a^y = a \in L(A) \iff$  the short rule  $A \rightarrow a$  belongs to  $P$ ;
- if  $y > 1$ , then  $a^y \in L(A) \iff$  there is a long rule  $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$  in  $P$  such that, for each  $i \in \{1, \dots, m\}$ , there exists  $x \geq \lceil \frac{y}{2} \rceil$  such that either  $a^x \in L(B_i)$  and  $a^{y-x} \in L(C_i)$ , or  $a^{y-x} \in L(B_i)$  and  $a^x \in L(C_i)$ .

We want to construct a first-order formula  $\forall x \forall y \psi_G(x, y)$  of signature  $\mathcal{S}_\Sigma \cup \mathbf{R}$ , for  $\Sigma := \{a\}$  and the set of binary predicates  $\mathbf{R} := \{\text{Maj}_A, \text{Min}_A \mid A \in N\} \cup \{\text{Sum}_{BC} \mid B, C \in N\} \cup \mathbf{R}_{\text{arith}}$  so that the formula  $\Phi_G := \exists \mathbf{R} \forall x \forall y \psi_G$  belongs to **pred-ESO-HORN** and defines the language  $a^+ \setminus L$ . The intuitive meanings of the predicates  $\text{Maj}_A$ ,  $\text{Min}_A$  and  $\text{Sum}_{BC}$  are as follows:

- $\text{Maj}_A(x, y) \iff \lceil \frac{y}{2} \rceil \leq x \leq y$  and  $a^x \in L(A)$ ;
- $\text{Min}_A(x, y) \iff \lceil \frac{y}{2} \rceil \leq x < y$  and  $a^{y-x} \in L(A)$ ;



- $\text{Sum}_{BC}(x, y) \iff$  there is some  $x'$  with  $\lceil \frac{y}{2} \rceil \leq x' \leq x$  such that either  $a^{x'} \in L(B)$  and  $a^{y-x'} \in L(C)$ , or  $a^{y-x'} \in L(B)$  and  $a^{x'} \in L(C)$ .

Note that for  $x = y$ , the above equivalence for  $\text{Maj}_A$  implies  $\text{Maj}_A(x, y) \iff a^y \in L(A)$ .

Let us give and justify a list of Horn clauses whose conjunction  $\psi'_G$  defines the predicates  $\text{Maj}_A$ ,  $\text{Min}_A$  and  $\text{Sum}_{BC}$ , using the arithmetic predicates of  $\mathbf{R}_{\text{arith}}$  (see Notations 2 and 3), namely  $R^{x=y}$ ,  $R^{y=2x}$  and  $R^{\rho(x,y)}$ , for  $\rho(x, y) := x \geq \lceil \frac{y}{2} \rceil$ .

**Short rules.** Each rule  $A \rightarrow a$  of  $P$  is expressed by the input clause:

- $\text{min}(x) \wedge \text{min}(y) \wedge Q_a(y) \rightarrow \text{Maj}_A(x, y)$ .

**Induction on the length  $y$ .** If we have for  $y > 1$  the inequalities  $\lceil \frac{y-1}{2} \rceil \leq x \leq y-1$  and  $x \geq \lceil \frac{y}{2} \rceil$  then  $\lceil \frac{y}{2} \rceil \leq x \leq y$ . This justifies the clause:

- $y > 1 \wedge \text{Maj}_A(x, y-1) \wedge x \geq \lceil \frac{y}{2} \rceil \rightarrow \text{Maj}_A(x, y)$  for all  $A \in N$ .

For  $y > 1$  and  $y = 2x$ , we have  $a^x = a^{y-x}$  and  $\lceil \frac{y}{2} \rceil \leq x < y$ . This justifies the clause:

- $y > 1 \wedge \text{Maj}_A(x, y-1) \wedge y = 2x \rightarrow \text{Min}_A(x, y)$  for all  $A \in N$ .

If for  $x, y > 1$  we have the inequalities  $\lceil \frac{y-1}{2} \rceil \leq x-1 < y-1$ , then  $\lceil \frac{y}{2} \rceil \leq x < y$ . Moreover,  $a^{(y-1)-(x-1)} = a^{y-x}$ . This justifies the clause:

- $x > 1 \wedge y > 1 \wedge \text{Min}_A(x-1, y-1) \rightarrow \text{Min}_A(x, y)$  for all  $A \in N$ .

**Concatenation.** For all  $B, C \in N$ , it is clear that the concatenation predicate  $\text{Sum}_{BC}$  is defined inductively by the following three clauses:

- *initialization:*  $\text{Maj}_B(x, y) \wedge \text{Min}_C(x, y) \rightarrow \text{Sum}_{BC}(x, y)$  ;  
 $\text{Min}_B(x, y) \wedge \text{Maj}_C(x, y) \rightarrow \text{Sum}_{BC}(x, y)$  ;
- *induction:*  $\neg \text{min}(x) \wedge \text{Sum}_{BC}(x-1, y) \rightarrow \text{Sum}_{BC}(x, y)$ .

**Long rules.** Each rule  $A \rightarrow B_1C_1 \& \dots \& B_mC_m$  of  $P$  is expressed by the clause:

- $x = y \wedge \text{Sum}_{B_1C_1}(x, y) \wedge \dots \wedge \text{Sum}_{B_mC_m}(x, y) \rightarrow \text{Maj}_A(x, y)$ .

Thus, the formula  $\forall x \forall y \psi'_G$  where  $\psi'_G$  is the conjunction of the above clauses defines the predicates  $\text{Maj}_A$ ,  $\text{Min}_A$ , and  $\text{Sum}_{BC}$ .

**Definition of  $a^+ \setminus L$ .** We have the equivalence  $\text{Maj}_S(n, n) \iff a^n \in L(S) \iff a^n \in L$ . Therefore, the following contradiction clause expresses  $a^n \notin L$ :

- $\gamma_S := \text{max}(x) \wedge \text{max}(y) \wedge \text{Maj}_S(x, y) \rightarrow \perp$ .

Finally, observe that the formula  $\Phi_G := \exists \mathbf{R} \forall x \forall y \psi_G$  where  $\psi_G$  is  $\gamma_{\text{arith}} \wedge \psi'_G \wedge \gamma_S$  and  $\gamma_{\text{arith}}$  is the conjunction of clauses that defines the arithmetic predicates of  $\mathbf{R}_{\text{arith}}$ , belongs to **pred-ESO-HORN**. Since we have  $\langle a^n \rangle \models \Phi_G \iff a^n \notin L$ , as justified above, then the language  $a^+ \setminus L$  belongs to **pred-ESO-HORN**, as claimed. ◀

## 3.2 Equivalence of logic with grid-circuits

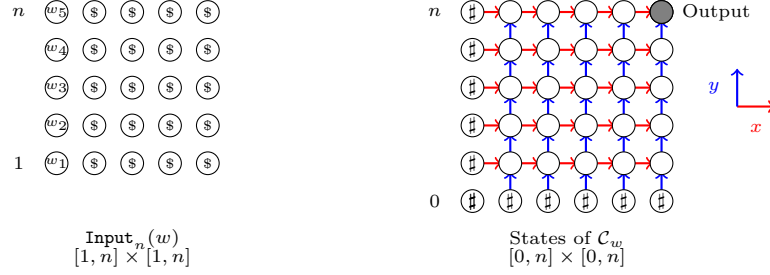
We introduce the *grid-circuit* as an intermediate object between our logic and the real-time cellular automaton: see Figure 3.

► **Definition 11.** A grid-circuit is a tuple  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$  where

- $\Sigma$  is the input alphabet and  $(\text{Input}_n)_{n>0}$  is the family of input functions  $\text{Input}_n : \Sigma^n \times [1, n]^2 \rightarrow \Sigma \cup \{\$\}$  such that, for  $w = w_1 \dots w_n \in \Sigma^n$ ,  $\text{Input}_n(w, x, y) = w_y$  if  $x = 1$  and  $\text{Input}_n(w, x, y) = \$$  otherwise,
- $\mathbf{Q} \cup \{\#\}$  is the finite set of states and  $\mathbf{Q}_{\text{acc}} \subseteq \mathbf{Q}$  is the subset of accepting states,
- $\mathbf{g} : (\mathbf{Q} \cup \{\#\})^2 \times (\Sigma \cup \{\$\}) \rightarrow \mathbf{Q}$  is the transition function.

► **Definition 12** (computation of a grid-circuit). *The computation  $\mathcal{C}_w$  of a grid-circuit  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$  on a  $w = w_1 \dots w_n \in \Sigma^n$  is a regular grid of  $(n+1)^2$  sites  $(x, y) \in [0, n]^2$ , each in a state  $\langle x, y \rangle \in \mathbf{Q} \cup \{\#\}$  computed inductively:*

- each site in  $\{0\} \times [0, n]$  or  $[0, n] \times \{0\}$  is in the particular state  $\#$ ;
- the state of each site  $(x, y) \in [1, n]^2$  is  $\langle x, y \rangle = \mathbf{g}(\langle x, y-1 \rangle, \langle x-1, y \rangle, \text{Input}_n(w, x, y))$ .



■ **Figure 3** The grid-circuit.

A word  $w = w_1 \dots w_n \in \Sigma^n$  is *accepted* by the grid-circuit  $\mathcal{C}$  if the output state  $\langle n, n \rangle$  of  $\mathcal{C}_w$  belongs to  $\mathbf{Q}_{\text{acc}}$ . The language *recognized* by  $\mathcal{C}$  is the set of words it accepts. We denote by **Grid** the class of languages recognized by a grid-circuit.

Actually, our predecessor Horn logic is equivalent to grid-circuits.

► **Lemma 13** ([12]).  $\text{pred-ESO-HORN} = \text{Grid}$ .

**Proof.** In some sense, a grid-circuit is the “normalized form” of a formula of **pred-ESO-HORN**. So, the inclusion  $\text{Grid} \subseteq \text{pred-ESO-HORN}$  is proved straightforwardly.

The first step of the proof of the converse inclusion  $\text{pred-ESO-HORN} \subseteq \text{Grid}$  is to show that every formula  $\Phi := \exists \mathbf{R} \forall x \forall y \psi(x, y)$  in **pred-ESO-HORN** is equivalent to a formula  $\Phi' \in \text{pred-ESO-HORN}$  in which the only hypotheses of computation clauses are atoms  $S(x, y)$  and conjunctions  $S(x-1, y) \wedge x > 1$  and  $S(x, y-1) \wedge y > 1$ .

**Elimination of atoms  $R(x-i, y-j)$  for  $i+j > 1$ .** The idea is to introduce new “shift” predicates  $R^{x-i', y-j'}$  for fixed integers  $i', j' > 0$  with the intuitive meaning:

$$R^{x-i', y-j'}(x, y) \iff R(x-i', y-j') \wedge x > i' \wedge y > j'.$$

Let us explain the method by an example. Assume we have in  $\psi$  the Horn clause

(1)  $x > 3 \wedge y > 2 \wedge S(x-3, y-2) \rightarrow T(x, y)$ . This clause is replaced by the clause

(2)  $S^{x-2, y-2}(x-1, y) \wedge x > 1 \rightarrow T(x, y)$

for which the predicates  $S^{x-1}$ ,  $S^{x-2}$ ,  $S^{x-2, y-1}$  and  $S^{x-2, y-2}$  are defined by the respective clauses:  $x > 1 \wedge S(x-1, y) \rightarrow S^{x-1}(x, y)$ ,  $x > 1 \wedge S^{x-1}(x-1, y) \rightarrow S^{x-2}(x, y)$ ,  $y > 1 \wedge S^{x-2}(x, y-1) \rightarrow S^{x-2, y-1}(x, y)$ , and  $y > 1 \wedge S^{x-2, y-1}(x, y-1) \rightarrow S^{x-2, y-2}(x, y)$ , which imply together the clause  $x > 2 \wedge y > 2 \wedge S(x-2, y-2) \rightarrow S^{x-2, y-2}(x, y)$  and then also  $x > 3 \wedge y > 2 \wedge S(x-3, y-2) \rightarrow S^{x-2, y-2}(x-1, y)$ .

It is clear that the formula  $\Phi := \exists \mathbf{R} \forall x \forall y \psi$  is equivalent to the formula  $\Phi' := \exists \mathbf{R}' \forall x \forall y \psi'$  where  $\mathbf{R}' := \mathbf{R} \cup \{S^{x-1}, S^{x-2}, S^{x-2, y-1}, S^{x-2, y-2}\}$  and  $\psi'$  is the conjunction  $\psi_{\text{replace}} \wedge \psi_{\text{def}}$ , where  $\psi_{\text{replace}}$  is the formula  $\psi$  in which clause (1) is replaced by clause (2), and  $\psi_{\text{def}}$  is the conjunction of the above clauses defining the new predicates of  $\mathbf{R}'$ .

Thus, any formula  $\Phi \in \text{pred-ESO-HORN}$  is equivalent to a formula  $\Phi' \in \text{pred-ESO-HORN}$  whose computation clauses only contain hypotheses of the following three forms:

$R(x-1, y) \wedge x > 1$ ;  $R(x, y-1) \wedge y > 1$ ;  $R(x, y)$ . The next step is to eliminate these  $R(x, y)$ .



**Elimination of hypotheses  $R(x, y)$ .** (sketch of proof): The first idea is to group together in each computation clause the hypothesis atoms of the form  $R(x, y)$  and the conclusion of the clause. As a result, the formula can be rewritten in the form

$$\Phi := \exists \mathbf{R} \forall x \forall y \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right]$$

where the  $C_i$ 's are the input clauses and the contradiction clauses, and each computation clause is written in the form  $\alpha_i(x, y) \rightarrow \theta_i(x, y)$ , where  $\alpha_i(x, y)$  is a conjunction of formulas of the only forms  $R(x-1, y) \wedge x > 1$ ,  $R(x, y-1) \wedge y > 1$ , and  $\theta_i(x, y)$  is a Horn clause in which *all* atoms are of the form  $R(x, y)$ .

The second idea is to “solve” the Horn clauses  $\theta_i$  according to the input clauses and *all the possible* conjunctions of hypotheses  $\alpha_i$  that may be true. Notice the two following facts: the hypotheses of the input clauses are input literals and the conjuncts of the  $\alpha_i$ 's are of the only forms  $R(x-1, y) \wedge x > 1$ ,  $R(x, y-1) \wedge y > 1$ . So, we can prove by induction on the sum  $x + y$  that the obtained formula  $\Phi'$  in which no atom  $R(x, y)$  appears as a clause hypothesis, is equivalent to the above formula  $\Phi$ . The complete proof is given in Appendix A.

**Transformation of the formula into a grid-circuit.** Let  $\mathbf{R} = \{R_1, \dots, R_m\}$  denote the set of binary predicates of the formula. By a case separation of the clauses, it is easy to transform the formula into an equivalent formula  $\Phi := \exists \mathbf{R} \forall x \forall y \psi$  where  $\psi$  is a conjunction of clauses of the following forms (a-e), in which  $s \in \Sigma$ ,  $j \in [1, m]$ , and  $A, B$  are (possibly empty) subsets of  $[1, m]$ :

- (a)  $x = 1 \wedge y = 1 \wedge Q_s(y) \rightarrow R_j(x, y)$ ;
- (b)  $x = 1 \wedge y > 1 \wedge Q_s(y) \wedge \bigwedge_{i \in A} R_i(x, y-1) \rightarrow R_j(x, y)$ ;
- (c)  $x > 1 \wedge y = 1 \wedge \bigwedge_{i \in A} R_i(x-1, y) \rightarrow R_j(x, y)$ ;
- (d)  $x > 1 \wedge y > 1 \wedge \bigwedge_{i \in A} R_i(x-1, y) \wedge \bigwedge_{i \in B} R_i(x, y-1) \rightarrow R_j(x, y)$ ;
- (e)  $x = n \wedge y = n \wedge R_j(x, y) \rightarrow \perp$ .

Now, transform this formula into a grid-circuit  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ . The idea is that the state of a site  $(x, y) \in [1, n]^2$  is the set of predicates  $R_i$  such that  $R_i(x, y)$  is true. Let  $\mathbf{Q}$  be the power set of the set of  $\mathbf{R}$  indices:  $\mathbf{Q} := \mathcal{P}([1, m])$ . There are four types of transition (a-d) which mimic the clauses (a-d) above. These are, for  $s \in \Sigma$  and  $q, q' \in \mathbf{Q}$ :

- (a)  $\mathbf{g}(\#, \#, s) = \{j \in [1, m] \mid \text{there is a clause (a) with } Q_s, \text{ and conclusion } R_j(x, y)\}$ ;
- (b)  $\mathbf{g}(q, \#, s) = \{j \in [1, m] \mid \text{there is a clause (b) with } Q_s, \text{ and } A \subseteq q, \text{ and conclusion } R_j(x, y)\}$ ;
- (c)  $\mathbf{g}(\#, q, s) = \{j \in [1, m] \mid \text{there is a clause (c) with } A \subseteq q, \text{ and conclusion } R_j(x, y)\}$ ;
- (d)  $\mathbf{g}(q, q', s) = \{j \in [1, m] \mid \exists \text{ a clause (d) with } A \subseteq q, B \subseteq q', \text{ and conclusion } R_j(x, y)\}$ .

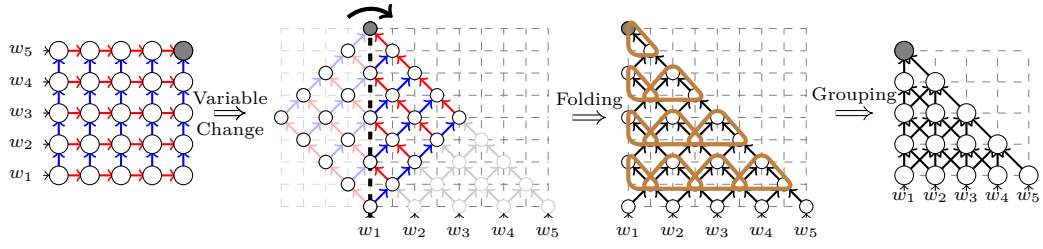
Of course, the set of accepting states of  $\mathcal{C}$  is determined by the contradiction clauses (e):  $\mathbf{Q}_{\text{acc}} := \{q \in \mathbf{Q} \mid q \text{ contains no } j \text{ such that } R_j \text{ occurs in a clause (e)}\}$ .

We can easily check the equivalence, for each  $w \in \Sigma^+$ :  $\langle w \rangle \models \Phi \iff \mathcal{C} \text{ accepts } w$ . Therefore, the inclusion  $\text{pred-ESO-HORN} \subseteq \text{Grid}$  is proved. ◀

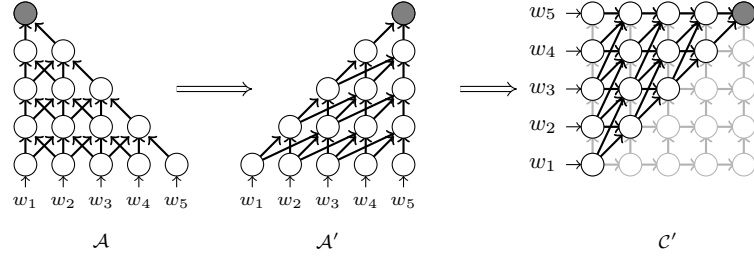
### 3.3 Grid-circuits are equivalent to real-time 1-CA

▶ **Lemma 14.** [12]  $\text{Grid} = \text{RealTime1CA}$ .

**Proof.** Figure 4 shows how  $\text{Grid}$  is simulated on  $\text{RealTime1CA}$  and Figure 5 shows how  $\text{RealTime1CA}$  is simulated on  $\text{Grid}$ . The proof is detailed in Appendix B. ◀



■ **Figure 4** Simulation of Grid on RealTimeICA.



■ **Figure 5** Simulation of RealTimeICA on the grid-circuit.

**Proof of Theorem 8.** Lemmas 13 and 14 give us the following equalities of classes:  $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTimeICA}$ . These equalities trivially hold when restricted to unary languages:  $\text{pred-ESO-HORN}_1 = \text{Grid}_1 = \text{RealTimeICA}_1$ .

From the fact that the class  $\text{RealTimeICA}_1$  is closed under complement and from Lemma 10, we deduce  $\text{Conj} \subseteq \text{pred-ESO-HORN}_1 = \text{Grid}_1 = \text{RealTimeICA}_1$ . ◀

#### 4 Real-time recognition of a conjunctive language: the general case

Recall the inclusions<sup>4</sup>  $\text{RealTimeICA} \subseteq \text{RealTime2OCA} \subseteq \text{RealTime2SOCA}$ .

Our second main result strengthens the inclusion  $\text{CFL} \subseteq \text{RealTime2SOCA}$  of Terrier [29]:

► **Theorem 15.**  $\text{Conj} \subseteq \text{RealTime2OCA}$ .

##### 4.1 Expressing a conjunctive language in logic: the general case

The generating process of a conjunctive language is naturally expressed in the Horn logic  $\text{incl-pred-ESO-HORN}$ . This is a hybrid logic with three first-order variables  $x, y, z$ , whose name means that it makes inductions on the variable interval  $[x, y]$ , by *inclusion*, and on the individual variable  $z$ , by *predecessor*.

► **Definition 16** ( $\text{incl-pred-ESO-HORN}$ ). A formula of  $\text{incl-pred-ESO-HORN}$  is a formula  $\Phi := \exists \mathbf{R} \forall x \forall y \forall z \psi(x, y, z)$  where  $\mathbf{R}$  is a finite set of ternary predicates, and  $\psi$  is a conjunction of Horn clauses, of signature<sup>5</sup>  $\mathcal{S}_\Sigma \cup \mathbf{R} \cup \{=, \leq\}$ , and of the three following forms:

- an input clause:  $x = y \wedge \min(z) \wedge Q_s(x) \rightarrow R(x, y, z)$  with  $s \in \Sigma$  and  $R \in \mathbf{R}$ ;

<sup>4</sup> Recall that  $\text{RealTime2SOCA}$  is the class of languages recognized by *sequential* two-dimensional one-way cellular automata in real-time: this is the minimal time,  $3n - 1$ , for the output cell  $(n, n)$  to receive the  $n$  letters of the input word, communicated sequentially by the input cell  $(1, 1)$ .

<sup>5</sup> This definition must consider  $=$  and  $\leq$  as primitive symbols.

- a computation clause:  $\delta_1 \wedge \dots \wedge \delta_r \rightarrow R(x, y, z)$  with  $R \in \mathbf{R}$  and where each hypothesis  $\delta_n$  is an atom  $S(x, y, z)$  or a conjunction  $S(x + i, y - k, z - k) \wedge x + i \leq y - j \wedge z > k$  with  $S \in \mathbf{R}$  and  $i, j, k \geq 0$  three integers such that  $i + j + k > 0$ ;
- a contradiction clause:  $\min(x) \wedge \max(y) \wedge \max(z) \wedge R(x, y, z) \rightarrow \perp$  with  $R \in \mathbf{R}$ .

Let us also call **incl-pred-ESO-HORN** the class of languages defined by a formula of **incl-pred-ESO-HORN**.

► **Lemma 17.** *For each language  $L \subseteq \Sigma^+$ , if  $L \in \text{Conj}$ , then  $\Sigma^+ \setminus L \in \text{incl-pred-ESO-HORN}$ .*

**Proof.** The proof is a variation (an extension) of the proof of the same result, Lemma 10, in the unary case. This is why we insist on the differences. Let  $G = (\Sigma, N, P, S)$  be a conjunctive grammar in binary normal form which generates  $L$  and let  $w$  be a word  $w = w_1 \dots w_n \in \Sigma^+$ . For each  $A \in N$  and each factor  $w_{x,y} := w_x \dots w_y$ , we have, according to the length  $y - x + 1$  of  $w_{x,y}$ , the following equivalences which will be the basis of our induction:

- if  $x = y$ , then  $w_{x,y} \in L(A) \iff$  the short rule  $A \rightarrow w_x$  belongs to  $P$ ;
- if  $x < y$ , then  $w_{x,y} \in L(A) \iff$  there is a long rule  $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$  in  $P$  such that, for each  $i \in \{1, \dots, m\}$ , there exists  $z \geq \lceil (y - x + 1)/2 \rceil$  such that either  $w_{x,x+z-1} \in L(B_i)$  and  $w_{x+z,y} \in L(C_i)$ , or  $w_{x,y-z} \in L(B_i)$  and  $w_{y-z+1,y} \in L(C_i)$ .

Thus, a double induction is performed, on the index interval  $[x, y]$  of a factor  $w_{x,y}$  and the maximal  $z$  among the lengths of the two sub-factors  $u, v$  of the  $m$  decompositions  $w_{x,y} = uv$ ,  $u \in L(B_i)$ ,  $v \in L(C_i)$ , for a long rule. This is naturally expressed in the logic **incl-pred-ESO-HORN**.

We want to construct a first-order formula  $\forall x \forall y \forall z \psi_G$  of signature  $\mathcal{S}_\Sigma \cup \mathbf{R} \cup \{=, \leq\}$ , for the set of *ternary* predicates  $\mathbf{R} := \{\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}} \mid A \in N\} \cup \{\text{Concat}_{BC} \mid B, C \in N\} \cup \mathbf{R}_{\text{arith}}$ , so that the formula  $\Phi_G := \exists \mathbf{R} \forall x \forall y \forall z \psi_G$  belongs to **incl-pred-ESO-HORN** and defines the language  $\Sigma^+ \setminus L$ . The intuitive meanings of the predicates  $\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}}$  and  $\text{Concat}_{BC}$  are as follows:

- $\text{Pref}_A^{\text{Maj}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$  and  $w_{x,x+z-1} \in L(A)$ ;
- $\text{Pref}_A^{\text{Min}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$  and  $w_{x,y-z} \in L(A)$ ;
- $\text{Suff}_A^{\text{Maj}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$  and  $w_{y-z+1,y} \in L(A)$ ;
- $\text{Suff}_A^{\text{Min}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$  and  $w_{x+z,y} \in L(A)$ ;
- $\text{Concat}_{BC}(x, y, z) \iff$  there is some  $z'$  with  $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z' \leq z$  such that either  $w_{x,x+z'-1} \in L(B)$  and  $w_{x+z',y} \in L(C)$ , or  $w_{x,y-z'} \in L(B)$  and  $w_{y-z'+1,y} \in L(C)$ .

Note that the above equivalences for  $\text{Pref}_A^{\text{Maj}}$  and  $\text{Suff}_A^{\text{Maj}}$  imply in the particular case  $z = y - x + 1$  the equivalences  $\text{Pref}_A^{\text{Maj}}(x, y, z) \iff \text{Suff}_A^{\text{Maj}}(x, y, z) \iff w_{x,y} \in L(A)$ .

Let us give and justify a list of Horn clauses whose conjunction  $\psi'_G$  defines the predicates  $\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}}$  and  $\text{Concat}_{BC}$ , using the arithmetic predicates  $z = y - x + 1$ ,  $y - x + 1 = 2z$ , and  $z \geq \left\lceil \frac{y-x+1}{2} \right\rceil$  easily defined in **incl-pred-ESO-HORN**.

**Short rules.** Each rule  $A \rightarrow s$  of  $P$  is expressed by the two clauses:

- $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$  ;  $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$ .

**Induction for prefixes.** If we have for  $x < y$  the inequalities

$\left\lceil \frac{(y-1)-x+1}{2} \right\rceil \leq z \leq (y-1) - x + 1$  and  $z \geq \left\lceil \frac{y-x+1}{2} \right\rceil$  then  $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$ . This justifies the clause:

- $x \leq y - 1 \wedge \text{Pref}_A^{\text{Maj}}(x, y - 1, z) \wedge z \geq \left\lceil \frac{y-x+1}{2} \right\rceil \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$ , for all  $A \in N$ .

For  $x < y$  and  $y - x + 1 = 2z$ , we have  $w_{x,x+z-1} = w_{x,y-z}$  and  $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$ . This justifies the clause:

- $x \leq y - 1 \wedge \text{Pref}_A^{\text{Maj}}(x, y - 1, z) \wedge y - x + 1 = 2z \rightarrow \text{Pref}_A^{\text{Min}}(x, y, z)$ , for all  $A \in N$ .

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For  $x < y$  and  $z > 1$  and  $\lceil \frac{(y-1)-x+1}{2} \rceil \leq z-1 \leq (y-1)-x$ , we have  $\lceil \frac{y-x+1}{2} \rceil \leq z \leq y-x$ . This justifies the clause:

- $x \leq y-1 \wedge z > 1 \wedge \text{Pref}_A^{\text{Min}}(x, y-1, z-1) \rightarrow \text{Pref}_A^{\text{Min}}(x, y, z)$ , for all  $A \in N$ .

**Induction for suffixes.** As this induction is symmetric to the one for prefixes, we do not justify the following list of induction clauses for the predicates  $\text{Suff}_A^{\text{Maj}}$  and  $\text{Suff}_A^{\text{Min}}$ ,  $A \in N$ :

- $x+1 \leq y \wedge \text{Suff}_A^{\text{Maj}}(x+1, y, z) \wedge z \geq \lceil \frac{y-x+1}{2} \rceil \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$ ;
- $x+1 \leq y \wedge \text{Suff}_A^{\text{Maj}}(x+1, y, z) \wedge y-x+1 = 2z \rightarrow \text{Suff}_A^{\text{Min}}(x, y, z)$ ;
- $x+1 \leq y \wedge z > 1 \wedge \text{Suff}_A^{\text{Min}}(x+1, y, z-1) \rightarrow \text{Suff}_A^{\text{Min}}(x, y, z)$ .

**Concatenation.** For all  $B, C \in N$ , it is clear that the concatenation predicate  $\text{Concat}_{BC}$  is defined inductively by the following three clauses:

- *initialization:*  $\text{Pref}_B^{\text{Maj}}(x, y, z) \wedge \text{Suff}_C^{\text{Min}}(x, y, z) \rightarrow \text{Concat}_{BC}(x, y, z)$ ;  
 $\text{Pref}_C^{\text{Min}}(x, y, z) \wedge \text{Suff}_B^{\text{Maj}}(x, y, z) \rightarrow \text{Concat}_{BC}(x, y, z)$ ;
- *induction:*  $z > 1 \wedge \text{Concat}_{BC}(x, y, z-1) \rightarrow \text{Concat}_{BC}(x, y, z)$ .

**Long rules.** Each rule  $A \rightarrow B_1C_1 \& \dots \& B_mC_m$  of  $P$  is expressed by the two clauses:

- $z = y-x+1 \wedge \text{Concat}_{B_1C_1}(x, y, z) \wedge \dots \wedge \text{Concat}_{B_mC_m}(x, y, z) \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$ ;
- $z = y-x+1 \wedge \text{Concat}_{B_1C_1}(x, y, z) \wedge \dots \wedge \text{Concat}_{B_mC_m}(x, y, z) \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$ .

Thus, the formula  $\forall x \forall y \forall z \psi'_G$  where  $\psi'_G$  is the conjunction of the above clauses defines the predicates  $\text{Pref}_A^{\text{Maj}}$ ,  $\text{Pref}_A^{\text{Min}}$ ,  $\text{Suff}_A^{\text{Maj}}$ ,  $\text{Suff}_A^{\text{Min}}$ , and  $\text{Concat}_{BC}$ .

**Definition of  $\Sigma^+ \setminus L$ .** We have the equivalence  $\text{Pref}_S^{\text{Maj}}(1, n, n) \iff w \in L(S) \iff w \in L$ . Therefore, the following contradiction clause expresses  $w \notin L$ :

- $\gamma_S := \min(x) \wedge \max(y) \wedge \max(z) \wedge \text{Pref}_S^{\text{Maj}}(x, y, z) \rightarrow \perp$ .

Finally, observe that the formula  $\Phi_G := \exists \mathbf{R} \forall x \forall y \forall z \psi_G$  where  $\psi_G$  is  $\gamma_{\text{arith}} \wedge \psi'_G \wedge \gamma_S$  and  $\gamma_{\text{arith}}$  is the conjunction of clauses that define the arithmetic predicates, belongs to **incl-pred-ESO-HORN**. Since we have  $\langle w \rangle \models \Phi_G \iff w \notin L$ , as justified above, then the language  $\Sigma^+ \setminus L$  belongs to **incl-pred-ESO-HORN**, as claimed. ◀

## 4.2 Equivalence of logic with cube-circuits

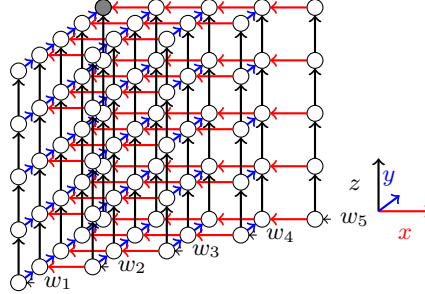
We now introduce the *cube-circuit*, an extension of the grid-circuit to three dimensions. It will make the link between our logic **incl-pred-ESO-HORN** and the class **RealTime2OCA**.

- **Definition 18.** A cube-circuit is a tuple  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$  where
  - $\Sigma$  is the input alphabet and  $(\text{Input}_n)_{n>0}$  is the family of input functions  $\text{Input}_n : \Sigma^n \times [1, n]^3 \rightarrow \Sigma \cup \{\$\}$  such that, for  $w = w_1 \dots w_n \in \Sigma^n$ ,  $\text{Input}_n(w, x, y, z) = w_x$  if  $x = y$  and  $z = 1$ , and  $\text{Input}_n(w, x, y, z) = \$$  otherwise,
  - $\mathbf{Q} \cup \{\#\}$  is the finite set of states and  $\mathbf{Q}_{\text{acc}} \subseteq \mathbf{Q}$  is the subset of accepting states,
  - $\mathbf{g} : (\mathbf{Q} \cup \{\#\})^3 \times (\Sigma \cup \{\$\}) \rightarrow \mathbf{Q}$  is the transition function.

- **Definition 19** (computation of a cube-circuit). The computation  $\mathcal{C}_w$  of a cube-circuit  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$  on a word  $w = w_1 \dots w_n \in \Sigma^n$  is a grid of  $(n+1)^3$  sites  $(x, y, z) \in [1, n+1] \times [0, n]^2$ , each in a state  $\langle x, y, z \rangle \in \mathbf{Q} \cup \{\#\}$  computed inductively:

- each site  $(x, y, z)$  such that  $x > y$  or  $z = 0$  is in the state  $\#$ ;
- the state of each site  $(x, y, z) \in [1, n]^3$  such that  $x \leq y$  and  $z > 0$  is  $\langle x, y \rangle = \mathbf{g}(\langle x+1, y, z \rangle, \langle x, y-1, z \rangle, \langle x, y, z-1 \rangle, \text{Input}_n(w, x, y, z))$ .

A word  $w = w_1 \dots w_n \in \Sigma^n$  is *accepted* by the cube-circuit  $\mathcal{C}$  if the output state  $\langle 1, n, n \rangle$  of  $\mathcal{C}_w$  belongs to  $\mathbf{Q}_{\text{acc}}$ . The language *recognized* by  $\mathcal{C}$  is the set of words it accepts. We denote by **Cube** the class of languages recognized by a cube-circuit.



■ **Figure 6** The cube-circuit.

Actually, the logic **incl-pred-ESO-HORN** is equivalent to cube-circuits.

► **Lemma 20.** **incl-pred-ESO-HORN** = **Cube**.

**Proof.** The proof is similar to that of **pred-ESO-HORN** = **Grid** (Lemma 13). The cube-circuit can be seen as the “normalized form” of a formula of **incl-pred-ESO-HORN**, proving the inclusion **Cube**  $\subseteq$  **incl-pred-ESO-HORN**. The proof of the inverse inclusion is divided into the same three steps as for Lemma 13, which must be adapted to three variables: 1) elimination of atoms  $R(x+i, y-j, z-k)$  for  $i+j+k > 1$  (instead of elimination of atoms  $R(x-i, y-j)$  for  $i+j > 1$ ); 2) elimination of hypotheses  $R(x, y, z)$  (instead of elimination of hypotheses  $R(x, y)$ ); 3) transformation of the resulting formula into a cube-circuit.

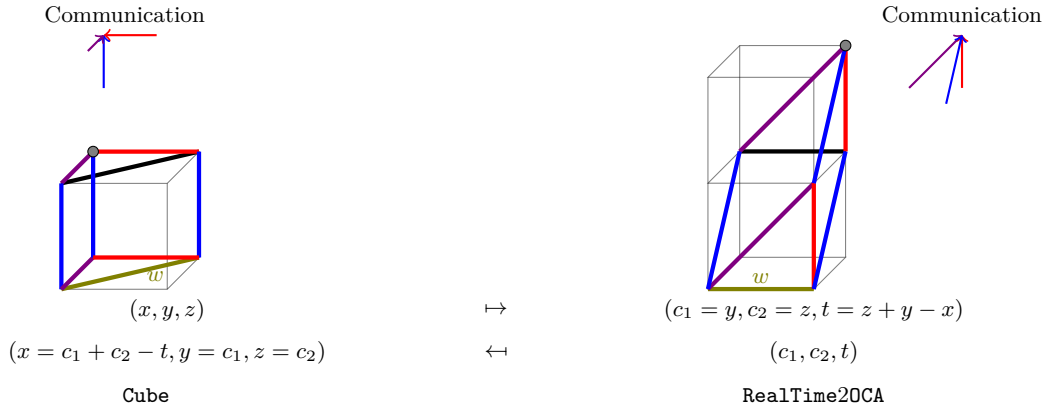
Steps 1 and 2 are adapted straightforwardly. Let us describe in detail step 3. Let  $\mathbf{R} = \{R_1, \dots, R_m\}$  denote the set of ternary predicates of the formula resulting from step 2. By a case separation of the clauses, it is easy to transform this formula into an equivalent formula  $\Phi := \exists \mathbf{R} \forall x \forall y \forall z \psi$  where  $\psi$  is a conjunction of clauses of the following forms (a-e), in which  $s \in \Sigma$ ,  $j \in [1, m]$ , and  $A, B, C$  are (possibly empty) subsets of  $[1, m]$ :

- (a)  $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow R_j(x, y, z)$ ;
- (b)  $x < y \wedge z = 1 \wedge \bigwedge_{i \in A} R_i(x+1, y, z) \wedge \bigwedge_{i \in B} R_i(x, y-1, z) \rightarrow R_j(x, y, z)$ ;
- (c)  $x = y \wedge z > 1 \wedge \bigwedge_{i \in A} R_i(x, y, z-1) \rightarrow R_j(x, y, z)$ ;
- (d)  $x < y \wedge z > 1 \wedge \bigwedge_{i \in A} R_i(x+1, y, z) \wedge \bigwedge_{i \in B} R_i(x, y-1, z) \wedge \bigwedge_{i \in C} R_i(x, y, z-1) \rightarrow R_j(x, y, z)$ ;
- (e)  $x = 1 \wedge y = n \wedge z = n \wedge R_j(x, y, z) \rightarrow \perp$ .

Now, transform this formula into a cube-circuit  $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ . The idea is still that the state of a site  $(x, y, z) \in [1, n]^3$  is the set of predicates  $R_i$  such that  $R_i(x, y, z)$  is true, and  $\mathbf{Q}$  is again the power set of the set of  $\mathbf{R}$  indices:  $\mathbf{Q} := \mathcal{P}([1, m])$ . There are four types of transition (a-d), which mimic the clauses (a-d) above. These are, for  $s \in \Sigma$  and  $q, q', q'' \in \mathbf{Q}$ :

- (a)  $\mathbf{g}(\#, \#, \#, s) = \{j \in [1, m] \mid \exists \text{ a clause (a) with } Q_s, \text{ and conclusion } R_j(x, y, z)\}$ ;
- (b)  $\mathbf{g}(q, q', \#, \$) = \{j \in [1, m] \mid \exists \text{ a clause (b) with } A \subseteq q, B \subseteq q', \text{ and conclusion } R_j(x, y, z)\}$ ;
- (c)  $\mathbf{g}(\#, \#, q, \$) = \{j \in [1, m] \mid \exists \text{ a clause (c) with } A \subseteq q, \text{ and conclusion } R_j(x, y, z)\}$ ;
- (d)  $\mathbf{g}(q, q', q'', \$) = \{j \in [1, m] \mid \exists \text{ a clause (d) with } A \subseteq q, B \subseteq q', C \subseteq q'', \text{ and conclusion } R_j(x, y, z)\}$ .

Here again, the set of accepting states of  $\mathcal{C}$  is determined by the contradiction clauses (e):  $\mathbf{Q}_{\text{acc}} := \{q \in \mathbf{Q} \mid q \text{ contains no } j \text{ such that } R_j \text{ occurs in a clause (e)}\}$ .



■ **Figure 7** Bijection between the sites of  $\mathcal{C}_w$  and the space-time sites of a 2-OCA on  $w$ .

We can easily check the equivalence, for each  $w \in \Sigma^+$ :  $\langle w \rangle \models \Phi \iff \mathcal{C}$  accepts  $w$ . Therefore, the inclusion  $\text{incl-pred-ESO-HORN} \subseteq \text{Cube}$  is proved. ◀

### 4.3 Cube-circuits are equivalent to real-time 2-OCA

One observes that by a one-to-one transformation, the computation  $\mathcal{C}_w$  of a cube-circuit  $\mathcal{C}$  on a word  $w$  is nothing else than the space-time diagram of a real-time 2-OCA on the input  $w$ . This yields:

► **Lemma 21.**  $\text{Cube} = \text{RealTime2OCA}$ .

**Proof.** The bijection between the sites  $(x, y, z)$  of the computation  $\mathcal{C}_w$  of a cube-circuit  $\mathcal{C}$  on a word  $w$  and the sites  $(c_1, c_2, t)$  of the space-time diagram of a real-time 2-OCA on the input  $w$  is depicted in Figure 7. We check that this bijection respects the communication scheme and the input/output sites of both computation models as shown in Figure 7. By this transformation, the transition function  $\mathbf{g}$  of the cube-circuit, which is  $\langle x, y, z \rangle = \mathbf{g}(\langle x + 1, y, z \rangle, \langle x, y - 1, z \rangle, \langle x, y, z - 1 \rangle, \text{Input}_n(w, x, y, z))$  becomes the transition function  $\mathbf{f}$  of the 2-OCA:  $\langle c_1, c_2, t \rangle = \mathbf{f}(\langle c_1, c_2, t - 1 \rangle, \langle c_1 - 1, c_2, t - 1 \rangle, \langle c_1, c_2 - 1, t - 1 \rangle)$ , and vice versa. ◀

**Proof of Theorem 15.** Lemmas 20 and 21 give us the following equalities of classes:  $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$ .

From the fact that the class  $\text{RealTime2OCA}$  is closed under complement and from Lemma 17, we deduce  $\text{Conj} \subseteq \text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$ . ◀

## 5 Conclusion

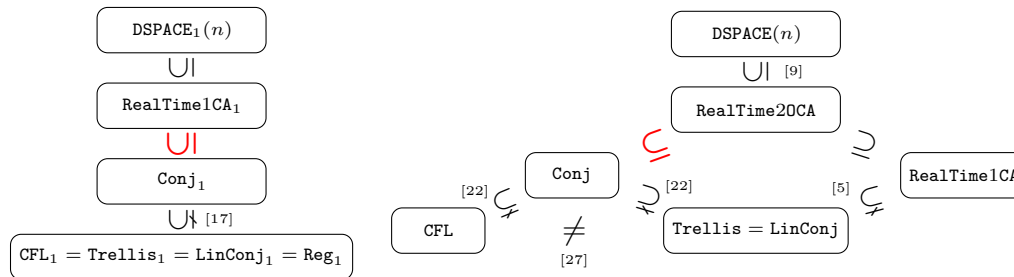
We have proved the inclusions  $\text{Conj}_1 \subseteq \text{RealTime1CA}$  and  $\text{Conj} \subseteq \text{RealTime2OCA}$  by expressing in two logics (proved equivalent to  $\text{RealTime1CA}$  and  $\text{RealTime2OCA}$ , respectively) the inductive process of a conjunctive grammar. These results contribute to a better knowledge of relationships between automata, grammars and logic. We think that they bring us closer to prove or disprove that  $\text{Conj}$  is a subclass of  $\text{RealTime1CA}$ .

Figure 8 recapitulates the known inclusions between the language classes that we have considered here. For each of the  $\subseteq$  inclusions of this figure, whether it is strict or not is an open question. Note that it was necessary to add an extra dimension to the space-time



diagram to recognize any conjunctive language with a cellular automaton. Otherwise, any context-free or conjunctive language would always be decided by a RAM in time  $O(n^2)$ , which seems unlikely!

Besides, to grasp the expressive power, largely unknown, of the  $\text{Conj}$  (resp.  $\text{Conj}_1$ ) class, it would be important to obtain exact characterizations of this class in logic and/or computational complexity. This is a fascinating question for future research!



■ **Figure 8** Relations between language classes over a unary or general alphabet.

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## **A** Complement of proof for Lemma 13

**Elimination of hypotheses  $R(x, y)$ .** The first idea is to group together in each computation clause the hypothesis atoms of the form  $R(x, y)$  and the conclusion of the clause. Accordingly, the formula obtained  $\Phi$  can be rewritten in the form

$$\Phi := \exists \mathbf{R} \forall x \forall y \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right]$$

where the  $C_i$ 's are the input clauses and the contradiction clause and each computation clause is written in the form  $\alpha_i(x, y) \rightarrow \theta_i(x, y)$  where  $\alpha_i(x, y)$  is a conjunction of formulas of the only forms  $R(x - 1, y) \wedge \neg \mathbf{min}(x)$ ,  $R(x, y - 1) \wedge \neg \mathbf{min}(y)$  (but not  $R(x, y)$ ), and  $\theta_i(x, y)$  is a Horn clause whose *all* atoms are of the form  $R(x, y)$ .

We number  $R_1, \dots, R_m$  the computation predicates of  $\mathbf{R}$ . To each subset  $J \subseteq [1, k]$  of the family of implications  $(\alpha_i(x, y) \rightarrow \theta_i(x, y))_{i \in [1, k]}$  let us associate the set

$$K_J := \{h \in [1, m] \mid \bigwedge_{i \in J} \theta_i(x, y) \rightarrow R_h(x, y) \text{ is a tautology}\}.$$

Note that the notion of *tautology* used in the definition of  $K_J$  is “propositional” because all the atoms involved are of the form  $R_i(x, y)$ , i.e., refer to the same pair of variables  $(x, y)$ . Also, note that the function  $J \mapsto K_J$  is *monotonic*: for  $J' \subseteq J$ , we have  $K_{J'} \subseteq K_J$  because  $\bigwedge_{i \in J'} \theta_i(x, y) \rightarrow R_h(x, y)$  implies  $\bigwedge_{i \in J} \theta_i(x, y) \rightarrow R_h(x, y)$ .

Clearly, it is enough to prove the following claim:

▷ **Claim 22.** The formula  $\Phi$  is equivalent to the following formula  $\Phi'$ , whose clauses have *no hypothesis*  $R(x, y)$ .

$$\Phi' := \exists \mathbf{R} \forall x \forall y \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} \left( \bigwedge_{i \in J} \alpha_i(x, y) \rightarrow R_h(x, y) \right) \right]$$

*Proof of the implication  $\Phi \Rightarrow \Phi'$ :* It is enough to prove the implication

$$\left[ \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \rightarrow \left[ \bigwedge_{i \in J} \alpha_i(x, y) \rightarrow \bigwedge_{h \in K_J} R_h(x, y) \right]$$

for all set  $J \subseteq [1, k]$ . The implication to be proved can be equivalently written:

$$\left[ \bigwedge_{i \in J} \alpha_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \rightarrow \bigwedge_{h \in K_J} R_h(x, y).$$

The sub-formula between brackets above implies the conjunction  $\bigwedge_{i \in J} \theta_i(x, y)$ . As the implication  $\bigwedge_{i \in J} \theta_i(x, y) \rightarrow \bigwedge_{h \in K_J} R_h(x, y)$  is a tautology (by definition of  $K_J$ ), the implication to be proved is a tautology too.

The converse implication  $\Phi' \Rightarrow \Phi$  is more difficult to prove. It uses a folklore property of propositional Horn formulas easy to be proved:

► **Lemma 23** (Horn property: folklore). *Let  $F$  be a strict Horn formula of propositional calculus, that is a conjunction of clauses of the form  $p_1 \wedge \dots \wedge p_k \rightarrow p_0$  where  $k \geq 0$  and the  $p_i$ 's are propositional variables. Let  $F'$  be the conjunction of propositional variables  $q$  such that the implication  $F \rightarrow q$  is a tautology.  $F$  has the same minimal model<sup>6</sup> as  $F'$ .*

*Proof of the implication  $\Phi' \Rightarrow \Phi$ :* Let  $\langle w \rangle$  be a model of  $\Phi'$  and let  $(\langle w \rangle, \mathbf{R})$  be the minimal model of the Horn formula

$$\varphi' := \forall x \forall y \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} \left( \bigwedge_{i \in J} \alpha_i(x, y) \rightarrow R_h(x, y) \right) \right].$$

<sup>6</sup> For example, for  $F := p_1 \wedge p_3 \wedge (p_1 \wedge p_3 \rightarrow p_5) \wedge (p_1 \wedge p_2 \rightarrow p_4)$ , we have  $F' := p_1 \wedge p_3 \wedge p_5$ , which has the same minimal model  $I$  as  $F$ ; this model is given by  $I(p_1) = I(p_3) = I(p_5) = 1$  and  $I(p_2) = I(p_4) = 0$ .

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It is enough to show that  $(\langle w \rangle, \mathbf{R})$  also satisfies the formula

$$\varphi := \forall x \forall y \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right].$$

As each  $\alpha_i$  is a conjunction of formulas of the form  $R(x-1, y) \wedge \neg \min(x)$ , or  $R(x, y-1) \wedge \neg \min(y)$ , we make an induction on the domain  $\{(a, b) \in [1, n]^2 \mid a + b \leq t\}$ , for  $t \in [1, 2n]$ . More precisely, we are going to prove, by recurrence on the integer  $t \in [1, 2n]$ , that the minimal model  $(\langle w \rangle, \mathbf{R})$  of  $\varphi'$  satisfies the “relativized” formula  $\varphi_t$  of the formula  $\varphi$  defined by

$$\varphi_t := \forall x \forall y \left[ x + y \leq t \rightarrow \left[ \bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \right]$$

As the hypothesis  $x + y \leq 2n$  holds for all  $x, y$  in the domain  $[1, n]$ ,  $\varphi_{2n}$  is equivalent to  $\varphi$  on the structure  $(\langle w \rangle, \mathbf{R})$ .

*Basis case:* For  $t = 1$  the set  $\{(a, b) \in [1, n]^2 \mid a + b \leq t\}$  is empty so that the “relativized” formula  $\varphi_1$  is trivially true in the minimal model  $(\langle w \rangle, \mathbf{R})$  of  $\varphi'$ .

*Recurrence step:* Suppose  $(\langle w \rangle, \mathbf{R}) \models \varphi_{t-1}$ , for an integer  $t \in [2, 2n]$ . It is enough to show that, for each couple  $(a, b) \in [1, n]^2$  such that  $a + b = t$ , we have  $(\langle w \rangle, \mathbf{R}) \models \bigwedge_{i \in [1, k]} (\alpha_i(a, b) \rightarrow \theta_i(a, b))$ . Let  $J_{a,b}$  be the set of indices  $i \in [1, k]$  such that the couple  $(a, b)$  satisfies  $\alpha_i$ :

$$J_{a,b} := \{i \in [1, k] \mid (\langle w \rangle, \mathbf{R}) \models \alpha_i(a, b)\}.$$

Recall that each  $\alpha_i(a, b)$  is a (possibly empty) conjunction of atoms  $R(a', b')$  with  $(a', b') = (a-1, b)$  or  $(a', b') = (a, b-1)$ , therefore such that  $a' + b' = t-1$ . Let  $J \subseteq [1, k]$  be any set. Let us examine the two possible cases:

1)  $J \subseteq J_{a,b}$ : then the conjunction  $\bigwedge_{i \in J} \alpha_i(a, b)$  holds in  $(\langle w \rangle, \mathbf{R})$ ; hence, in  $(\langle w \rangle, \mathbf{R})$ , the conjunction  $\bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$  is equivalent to  $\bigwedge_{h \in K_J} R_h(a, b)$ ;

2)  $J \setminus J_{a,b} \neq \emptyset$ : then the conjunction  $\bigwedge_{i \in J} \alpha_i(a, b)$  is false in  $(\langle w \rangle, \mathbf{R})$ ; hence, the conjunction  $\bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$  holds in  $(\langle w \rangle, \mathbf{R})$ .

From (1) and (2), we deduce that in  $(\langle w \rangle, \mathbf{R})$  the conjunction  $\bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$  is equivalent to the conjunction  $\bigwedge_{J \subseteq J_{a,b}} \bigwedge_{h \in K_J} R_h(a, b)$ , which can be simplified as  $\bigwedge_{h \in K_{J_{a,b}}} R_h(a, b)$  because  $J \subseteq J_{a,b}$  implies  $K_J \subseteq K_{J_{a,b}}$ . Consequently, for all  $h \in [1, m]$ , the minimal model  $(\langle w \rangle, \mathbf{R})$  of the Horn formula  $\varphi'$  satisfies the atom  $R_h(a, b)$  iff  $h$  belongs to  $K_{J_{a,b}}$ . By definition,

$$K_{J_{a,b}} := \{h \in [1, m] \mid \bigwedge_{i \in J_{a,b}} \theta_i(x, y) \rightarrow R_h(x, y) \text{ is a tautology}\}$$

or, equivalently,

$$K_{J_{a,b}} := \{h \in [1, m] \mid \bigwedge_{i \in J_{a,b}} \theta_i(a, b) \rightarrow R_h(a, b) \text{ is a tautology}\}.$$

As a consequence of Lemma 23, the two conjunctions

$$\bigwedge_{i \in J_{a,b}} \theta_i(a, b) \text{ and } \bigwedge_{h \in K_{J_{a,b}}} R_h(a, b)$$

have the same minimal model, which is also the restriction of the minimal model  $(\langle w \rangle, \mathbf{R})$  of  $\varphi'$  to the set of atoms  $R_h(a, b)$ , for  $h \in [1, m]$ . Therefore, if  $i \in J_{a,b}$ , then  $(\langle w \rangle, \mathbf{R}) \models \theta_i(a, b)$ . If  $i \in [1, k] \setminus J_{a,b}$ , then we have  $(\langle w \rangle, \mathbf{R}) \models \neg\alpha_i(a, b)$ , by definition of  $J_{a,b}$ . Therefore, for all  $i \in [1, k]$ , we get  $(\langle w \rangle, \mathbf{R}) \models \neg\alpha_i(a, b) \vee \theta_i(a, b)$ . In other words, for all  $(a, b)$  such that  $a + b = t$ , we have :  $(\langle w \rangle, \mathbf{R}) \models \bigwedge_{i \in [1, k]} (\alpha_i(a, b) \rightarrow \theta_i(a, b))$  and then  $(\langle w \rangle, \mathbf{R}) \models \varphi_t$ .

This concludes the inductive proof that  $(\langle w \rangle, \mathbf{R}) \models \varphi_t$ , for all  $t \in [1, 2n]$ , and then  $\langle w \rangle \models \Phi$ . This proves the converse implication  $\Phi' \Rightarrow \Phi$ . Claim 22 is demonstrated.  $\square$

## B Complement of proof for Lemma 14

**Grid  $\subseteq$  RealTime1CA.** To prove this inclusion, we show how to simulate the computation of the grid-circuit on a real-time CA. The simulation is made by a geometric transformation that embeds the grid-circuit in the space-time diagram of a real-time CA. This transformation is divided into three steps:

1. a variable change: we apply to each site  $(x, y) \in [1, n]^2$  of the grid-circuit the variable change  $(x, y) \mapsto (c' = y - x + 1, t' = x + y - 1)$ ;
2. a folding: we fold the resulting diagram along the axis  $c' = 1$ : each site  $(c', t')$  with  $c' < 1$  is sent to its symmetric counterpart  $(-c' + 1, t')$ ;
3. a grouping: each site  $(c, t) = (\lceil \frac{c'}{2} \rceil, \lceil \frac{t'}{2} \rceil)$  of the new diagram records the set of sites  $\{(c' - 1, t' - 1), (c', t'), (c' + 1, t' - 1)\}$  with  $c'$  and  $t'$  odd and greater than 1.

The resulting diagram is the expected space-time diagram of a real-time CA, proving the inclusion.

**RealTime1CA  $\subseteq$  Grid.** To simulate a real-time CA  $\mathcal{A} = (\mathbf{S}, \mathbf{S}_{accept}, \{-1, 0, 1\}, \mathbf{f})$  on the grid, we first turn  $\mathcal{A}$  into an equivalent CA  $\mathcal{A}' = (\mathbf{S}, \mathbf{S}_{accept}, \{-2, -1, 0\}, \mathbf{f})$ . This transformation can be seen as the variable change  $(c, t) \mapsto (c + t - 1, t)$ . The diagram of  $\mathcal{A}'$  is then embedded on the grid-circuit  $\mathcal{C}'$  by applying to its sites  $(c', t')$  the variable change  $(c', t') \mapsto (t', c')$ . The local and uniform communication of the embedded diagram can easily be carried out by the grid-circuit communication scheme.