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# **Knowledge Based Computer Vision**

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# Lessons learnt from the last thirty years of research.

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An evening session at the Dagstuhl seminar was reserved for a discussion of lessons learnt by some of the senior members of the community. The following persons were asked to look back on thirty years of research: Prof. C. Brown, Prof. A. Mackworth, Prof. J. Kittler, Prof. E. Dickmanns, Prof. R. Bajcsy, Prof. T. Matsuyama, Prof. H.H. Nagel, and Prof. J. Tsotsos. The text below is a brief summary of the major points raised during a most rewarding discussion.

1. Technology has to a large extent driven the progress and it has gradually enabled use/formulation of more advanced theories for problems that earlier were considered out of reach.
2. Commitment to science is critical to achieve success. This at the same time requires organisation as progress otherwise might end up being incremental without major breakthroughs. Without organisation there is at the same time a risk that the same methods are re-invented at a later stage. For the evaluation of progress and results it is also essential to be explicit about ones reference. Computer vision includes both biological and engineering goals and it is important to specify towards which the research is directed at it determines how one should evaluate the results. It was emphasised that it is essential to stick to a goal/topic even if it implies switching between funding agencies.

3. It is essential that the task / system is considered from the start. This also implies that a multi-disciplinary approach must be used. Computer vision should exploit existing theories and techniques from other sciences. This includes øelds like physics, biology, computer science, artiøcial intelligence, statistics and control engineering. At the same time there is a conflict with the disciplines as each of these disciplines in turn require credit for progress, both due to funding constraints and due to interdisciplinary collaborations. This requires a delicate balance between other disciplines and computer vision.
4. Time/dynamics has only recently been recognised as an important aspect of building operational systems. This is partly due to the fact that construction of fully operational system only recently has become possible. In addition methods for description of dynamics at several different levels from control theory to temporal logic have only recently been integrated into a coherent framework.
5. The combination of different disciplines has only happened recently which in part is due to the fact that there has been a kind of 'religious' separation between øelds like geometry, pattern recognition, control theory and artiøcial intelligence. I.e., simple applications, for example in pattern recognition, were not considered computer vision. In the view of complete systems it is, however, now apparent that such systems can only be built when the disciplines are combined with proper use of a multi-disciplinary approach.
6. Computer vision should have clear goals and they should at the same time be meaningful to science in general. This is in particular important to motivate computer vision as a discipline. Other sciences have defined golden standards and use hypothesis testing etc. as a basis for their work. Such standards should also be imposed on scientific journals and conferences as it will allow broader recognition of computer vision as a well-established science. This at the same time implies that performance characterisation becomes a critical topic for evaluation of developed techniques.



7. The issue of adequate computer power was discussed. It is not immediately obvious if we have enough computing power to solve current problems. A more important problem might, however, be adequate knowledge. Most systems developed today use little or no explicit knowledge. Another related problem is that almost no systems have a well characterised knowledge base, which implies that the systems can not be combined with methods for learning and/or adaptation.

# Knowledge-Based Computer Vision: The Issues

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The basis thesis of this introduction to the workshop is: Computer Vision systems know too little. One way to check for the available knowledge is to ask for best possible predictions of a scene, e.g. for the expected evolvment of a garbage-collection scenario. One issue of the workshop should be to deal with neglected bodies of knowledge, in the view of the author:

1. high-level knowledge about large pieces of spatial and temporal context
2. real-life visual appearances and phenomena
3. knowledge about good processing strategies.

Another issue is how to obtain knowledge - by design or experience. Various forms of learning should lead tp large (re)usable knowledge bases. A third issue is how o exploit knowledge, although the "how" should be a secondary issue after the "what". Knowledge-based vision systems should try to harvest the advantages of the ideal knowledge-based system architecture, where ontological, domain-speciøc and problem-speciøc knowledge is separated and corresponding inference procedures are identiøed. This could improve reusability and also help to establish formal properties of a vision system, in particular soundness, completeness and tractability.

# Image Interpretation as Model Construction: Symbolic Reasoning meets Model-based Computer Vision

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I presented a formal, logic-based approach to image understanding which combined methods from two different research areas, namely knowledge-based representation and model-based vision. After describing two well-known approaches I presented a concise definition of the required solution of an image understanding problem. I then proposed to use an object-centered, KL-ONE-like description logic tailored to the representation needs in image understanding, sketched a tableau-like calculus for, first, checking the consistency of a given knowledge base, and, second, computing the required solutions, i.e. the models of the knowledge base. In particular, I showed how to plug in methods known in the field of model-based vision into the calculus for verifying hypotheses generated on the logical level with numerical optimization techniques in the image. I argued that shape classes of objects and geometric constraints on the shape, position, and orientation parameters of objects represent the glue between symbolic reasoning on the logical level on the numerical reasoning on the image level.

# Interpretation of Aerial Images for the Reconstruction of Urban Scenes

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Making maps from aerial images, a continuous task in GIS, requires large bodies of knowledge. The talk presents a ørst step in extracting complex buildings from multiple aerial images. It is an instance of a successful path from the pixels to the labeled 3d-structure. The goal is to generalize the approach.

Basis is a multi-layer representation of a building, each layer adapted to the available (abstract) sensor, which aggregates pieces of evidence. The expected appearance is made explicit in image models, which allow image centred reasoning, especially grouping.

The problems to be solved are:

- ≠ defining a (building) model which is generic enough to cover a large percentage of real buildings with their geometric description and their meaning in a GIS or planning context,
- ≠ ønding several oaths from the original 2d-data or 3d-range data to the ønal interpretation in order to establish experience for automatic control of the interpretation process,
- ≠ tracking uncertainty about observables, reasoning and model parts in order to provide consistent self diagnosis.

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# Cooperative Distributed Vision

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This talk gives an overview of our øve years project on Cooperative Distributed Vision (CDV, in short). From a practical point of view, the goal of CDV is summarized as follows:

Embed in the real world a group of network-connected Observation Stations (real time image processor with active camera(s)) and mobile robots with vision, and realize

1. robust, Æexible, and real time dynamic real world scene understanding, and
2. versatile image media capturing, generation, and editing.

Applications of CDV include real time wide area surveillance, remote conference and lecturing systems, interactive 3D TV and intelligent TV studio, navigation of mobile robots and disabled people, cooperative mobile robots, and so on.

The aim of the project is not to develop these speciøc application systems but to establish scientiøc and technological foundations to realize CDV systems enough capable to work persistently in the real world.

From a scientiøc point of view, we put our focus upon Integration of Perception, Action, and Communication. That is, the scientiøc goal of the project is to investigate how these three

functions should be integrated to realize intelligent systems; we believe that intelligence does not dwell solely in brain but emerges from active interactions with environments through perception, action, and communication.

Technological developments by the project include versatile and high precision vision sensors, real time vision hardwares and softwares, robust and

flexible vision algorithms, communication protocols for cooperation and so on.

In this talk, we proposed a functional dependency model for an Active Vision Agent (AVA, in short), which perceives, makes actions, and communicates with each other to fulfill a given task. We emphasize that in defining communication between AVAs, the discrimination between vacuous and embodied AVAs is crucial and that multiple communication links can be established between embodied AVAs.

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# The Role of Attention in Knowledge-Based Vision Systems

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This presentation provides a 20-year retrospective of my research on the topic of knowledge based vision systems and attention. The development of the ALVEN system a computer vision system to interpret the dynamics of the human left ventricle from X-Ray image sequences, began in 1976 (Tsotsos 1985). This work was quite successful; yet the computer vision community at the time was being so strongly by the Marr philosophy that espoused that top-down influences did not play a role in vision that the particulars of the model were quickly forgotten. Unfortunately, Marr was eventually proved wrong on this point (see Tsotsos 1990). Nevertheless, I set out to satisfy my own belief that attention was a critical component of perception. This led to a series of papers on the subject proving that purely data-driven perception is an intractable problem (Tsotsos 1989, 1990), and further, the size of problems humans routinely solve cannot be solved by the brain in a purely data-driven manner. Attention is one of the mechanisms that can lead to a tractable solution.

This strong theoretical conclusion formed the basis of the Selective Tuning Model for visual attention (Tsotsos et al. 1995). The model was developed in such a way so to not only have strong computational utility but also predictive power for primate perception. To date a number of predictions are gathering significant positive evidence (see my web pages for details).

Moving back towards knowledge-based vision armed with this powerful new model, we embarked on a project to develop a visually-guided robot to



assist physically-disabled children, PLAYBOT (Tsotsos et al. in press; also see the web pages). This robot required a new control mechanism to overcome the inherent intractability of the existing control methods (Tsotsos 1995) for such a complex task; there was a need to enable intermediate presentations, goals, hierarchies and attentive mechanisms in order to seamlessly integrate deliberate with reactive control depending on vision as primary sensor; the S\* strategy as developed and is now being tested (Tsotsos 1997).

The overall lessons drawn from the 20 years of research are that if we seek to solve human-like problems and achieve human-like performance (or better) both attentive mechanisms and knowledge of the domain must be utilized to their fullest.

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# Learning spatio-temporal models

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The aim of our work is to devise ways for learning spatio-temporal models from passive observation of video sequences. Such models are intended for use in the interpretation of image sequences. We describe two approaches, both of which have been implemented and preliminary results obtained within plausible application domains.

The first approach uses a qualitative spatial calculus over semantic regions of the image plane. Both the semantic regions and events represented in the calculus are learned automatically through observation of a scene over extended periods.

The second approach uses a very simple characterisation of the relationship between moving and stationary objects to build a probabilistic model capturing some aspects of typical behaviours. Outliers of this model are labelled as atypical (and therefore interesting) events.

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# The Acquisition and Use of Interaction Behaviour Models

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In recent years many researchers have become interested in the development of techniques to allow a more natural form of interface between the user and the machine, utilising interactive spaces equipped with cameras and microphones where such techniques can be developed and tested (see, for example, [1]). In achieving this goal, it is essential that the machine is able to detect and recognise a wide range of human movements and gestures, and this has been a principal avenue of research (see, for example, [2, 3, 4, 5, 6, 7, 8]). We wish to investigate the provision of natural user-machine interaction from a different angle, allowing the machine to acquire models of behaviour from the extended observation of interactions between humans, and using these acquired models, to equip a virtual human with the ability to interact in a natural way. We describe a novel approach to interaction modelling, using a relatively simple interaction for our experiments - shaking hands.

Training data is acquired by automatically locating and tracking individuals within a corpus of typical interactions. Interactions are modelled by means of a previously developed, statistically based, modelling scheme which allows behaviours to be learnt from the extended observation of image sequences [9]. Interaction is represented as the joint behaviour of object silhouettes just as Kakusho et al. consider joint behaviour in their recognition of social dancing [5]. The model is enhanced to enable the extrapolation of realistic future behaviours.

Having learnt a generative interaction model from the observation of image sequences containing individuals performing simple interactions, interaction with a virtual human is investigated. The model provides information about how an interaction may proceed in the form of a Markov chain, and interaction with a virtual human is achieved by following a route through this

chain such that, as the interaction proceeds, the real human's silhouette continually matches half of the joint silhouette represented within the model. In a Bayesian approach to interaction tracking, multiple interaction hypotheses are stochastically propagated through the model by a method based on Isard and Blake's CONDENSATION [10].

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# Visual Models from Natural Language Description

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Variational Geometry to define shape classes are widely used for object models. In addition, dynamic scenes need to model temporal variation (trajectories), and changes in relative position, along with the agent tasks/intentions underlying them. Natural language is a human-oriented mechanism for specifying the constraints on the scene while maintaining a large degree of imprecision. However developing such models also call for a mechanism to display the model as a range of possible scenarios. In this work, continuum constraints are used to arrive at instantiation of NL descriptions such as "there is a bench to the left of the tree". The model has the following information about a specific domain (urban parks):

- ≠ geometric shape classes and articulations (e.g. humans = 18-DOF articulation)
- ≠ continuum potential field functions ("left of", "near", etc.)
- ≠ action models ("walk", "give to", "pick up") - these are trajectories in the configuration space of the articulation agents
- ≠ camera viewpoint

These models are integrated to generate 3-D instantiations for verbal input such as "he gave the flower to the woman". These are then displayed to the user as a graphics animation or a synthetic image sequence. The inverse process, from scene to conceptual models, call for the same knowledge elements on a substrate of low-level object tracking.

# Probabilistic Methods for Co-Operation and Navigation

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Illustrating Professor Neumann's topic of using knowledge of appearances, Randal Nelson and Andrea Selinger of Rochester use appearance-based methods to recognize objects. Low-level features made up of a small number of connected edges are stored in a large associative database and accessed by a clever hashing scheme. Each object may be represented by as many as 100 (processed) views over an entire viewing sphere. The lookup algorithm incorporates an evidence-weighting mechanism to pick the best candidate from the catalog. The system's performance is impressive on catalogs up to size 24 objects. It deals well with multi-object clutter, background texture, and obscuration of part of the target object. Further, it generalizes in the sense of being able to recognize a previously unseen object from a category with instances that it has seen.

On a more preliminary note, at Rochester we are developing Bayes nets and hidden markov models for action- and plan-recognition applications. The domain is dynamic, real-time, cooperating agents pursuing joint plans, and in which re-planning may be needed to cope with surprises, defections, etc. Bayes nets are to be used for object and situation recognition: we plan to maintain a dynamic version of an occupancy grid, in which state vectors describing a dynamic world are stored in a grid of fixed spatial resolution. This representation raises issues of how to propagate beliefs between cells in space and across time.

The group planning activities will feature multiple cooperating agents, first in simulation but ultimately (we hope) in the real world. Each agent must



sense whether the chosen plan is progressing well, or if it has changed, or if it should be changed. In the last two cases, the agent has the problem of choosing his role in a new plan. Ideally, a distributed set of intelligence agents can, simply by observation and using no explicit communication for negotiations, self-organize, form a coherent group plan, and assign roles in the plan to agents. Synchronization issues are vital here to assure that the plan choice and role-assignment process converge stably. We plan to use hidden markov models to recognize the roles being performed by agents who are following some plan, and Bayes nets to recognize actions.

Since much or most of this work is in its early stages, my goal is not to report, much less to recommend, these approaches, but rather to use the Dagstuhl format to inform myself on the possible positive and negative aspects of our proposed approach.

# Design and Building Vision-Based Robots

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Knowledge-based image interpretation needs to be re-interpreted. The traditional approach, based on the classic Good Old-Fashioned Artificial Intelligence and Robotics (GOFAIR) paradigm, proposes that domain-specific knowledge is used by the robot/agent at run-time to disambiguate the retinal array into a rich world representation. The argument is that the impoverishment and ambiguity of the visual stimulus array must be supplemented by additional knowledge. This approach has failed to make substantial progress for several reasons. One difficulty is the engineering problem of building robots by integrating off-line knowledge-based vision systems with on-line control-based motor systems. Especially in active vision systems this integration is difficult, ugly and inefficient. I argue that, with a radical re-interpretation of 'knowledge-based', we can design, build and verify quick and clean knowledge-based situated robot vision systems.

We need practical and formal design methodologies for building integrated perceptual robots. The methodologies are evolving dialectically. The symbolic methods of GOFAIR constitute the original thesis. The antithesis is reactive Insect AI. The emerging synthesis, Situated Agents, has promising characteristics, but needs formal rigor and practical tools. The critiques and rejection by some of the GOFAIR paradigm have given rise to the Situated Agent approaches of Rosenschein and Kaelbling, Brooks, Ballard, Winograd and Flores, Lavignon and Shoham, Zhang and Mackworth and many others.

The Constraint Net (CN) model is a formal and practical model for building hybrid intelligent systems as Situated Agents. In CN, a robotic system is modelled formally as a symmetrical coupling of a robot with its environment. Even though a robotic system is, typically, a hybrid dynamic system, its

CN model is unitary. Many robots can be designed as on-line constraint-satisfying devices. A robot in this restricted scheme can be verified more easily. Moreover, given a constraint-based specification and a model of the plant and the environment, automatic synthesis of a correct constraint-satisfying controller becomes feasible, as Zhang and I have shown for a simple ball-chasing robot.

These ideas are illustrated by application to the challenge of designing, building and verifying active perception systems for robot soccer players with both off-board and on-board vision systems. This work is joint with Ying Zhang and many others in our laboratory.

# Vision-Based Navigation

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It has been demonstrated by psychophysical experiments that one of the modalities used for navigation by humans is view based. In computer vision terms the view based approach to navigation translates into the task of steering the vehicle towards the epipole in the stored model view. The computation of the epipole (as well as the detection of small obstacles) requires a very accurate estimation of the relative position of corresponding points in model and scene images. It is demonstrated that inaccurate matches give rise to epipole line instability. A novel correspondence analysis technique based on the Robust Hough Transform is presented. The technique exploits image intensity profile information and a motion model voting scheme based on a robust kernel. It is shown that the accuracy in estimating the corresponding point displacement obtained with this technique leads to a very accurate estimate of the epipolar geometry which makes the view based approach feasible.

# Knowledge representation for understanding dynamics scenes

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Modeling of processes along the time axis is considered as important as modeling of shapes and trajectories in 3-D space for efficient dynamic scene understanding. Parallel use of both differential and integral representations in both space and time have shown to be essential for recursive estimation and mission control. Knowledge about the world is added to object classes with properties of shape and dynamics. Through an analysis by synthesis approach, efficient feature extraction from image sequences is controlled by making predictions on the basis of measurement models. Prediction errors are used for adapting both parameters and state variables in the dynamical models.

These more conventional tools from systems dynamics, control engineering, and computer graphics are complemented by knowledge representation methods as developed in AI for situation assessment, adaptation and behavior decision on the higher system levels (e.g. state charts and tree representations); control implementation, however, is realized again by well proven control engineering methods. A four-layer system architecture results.

Experimental results are shown for autonomous road vehicles in public traffic and for air vehicles maneuvering near the ground (video sequences).

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# Intention Recognition Based on a Fuzzy Metric Temporal Logic Approach to Image Sequence Analysis

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Knowledge-based Computer Vision is studied by the design and implementation of a complete system which converts digitized image sequences into natural language text describing relevant developments in innercity road traffic scenes recorded by a stationary B/W-video camera. A Signal Layer automatically detects, initializes, and tracks images of road vehicles, using a model-based approach [Kollnig & Nagel 97]. Fuzzy transducers (see [Kollnig & Nagel 93]) transform the geometric tracking results into elementary conceptual descriptions which are transmitted to the Inference Layer. An inference engine based on Fuzzy Metric Temporal Horn Logic (see [Schfer 96]) condenses the elementary into more abstract conceptual descriptions which are processed by the Natural Language Text Generation Layer ~ see [Gerber & Nagel 96].

Explicitly represented knowledge comprises polyhedral object models (sedan, van, bus, truck with trailer, etc.), lane configurations at intersections and gas stations, illumination, as well as generic vehicle motion. The inference engine maps elementary conceptual descriptions to a path through a Situation Graph (see [Nagel 88, Nagel 91]) which represents schematic knowledge about the situated concatenation of elementary vehicle maneuvers into maneuver sequences, taken to represent intentions of a vehicle as an agent.

Results obtained for extended image sequences (several thousand frames) of various intersection and gas station scenes illustrate the viability of this approach – see, e.g., [Haag et al.97], [Haag & Nagel 98]. This system provides a valuable tool for further research and methodological improvements.

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# Interpretation of Image Sequences for Visual Surveillance

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In this talk we have presented the work we have done for the last three years in automatic image interpretation. The class of applications we are interested in is visual surveillance of real-world scenes with a fixed monocular color camera. First, we have proposed a general complete architecture of a system taking as input the image sequence and presenting alarms to a human operator. These alarms are generated based on the behavior analysis of mobile objects (like humans and vehicles) involved in human activities.

The interpretation system is based on a mobile region detection module, a tracking module and a scenario recognition module. In particular we have discussed the role of contextual information, describing the static environment, for behavior analysis and image processing error recovery.

Then, we have presented results achieved in the framework of the european PASSWORDS Esprit project. Finally, current research performed in a new Esprit project, AVS-PV, for prevention of vandalism in metro stations has been described.

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# A Hybrid Approach to Identifying Objects from Verbal Descriptions

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Human-computer interaction using means of communication which are natural to humans, like speech or gesture, is a challenging task. In the talk, we address to the problem of fusing the understanding of spoken instructions with the visual perception of the environment. The visual recognition of objects is realized via a hybrid approach attaching probabilistic formalisms, like artificial neural networks or hidden Markov models, to concepts of a semantic network. Additionally, an efficient processing strategy for image sequences is used propagating the structural results of the semantic network as an expectation for the next image. This method allows to produce linked results over time supporting the recognition of events and actions. The identification of objects from verbal descriptions is based on a Bayesian network approach. The objects with the highest joint probability of being observed in the scene and being intended in the instruction are identified using the common qualitative representation for observed `⟨type⟩`, `⟨color⟩` and `⟨spatial relation⟩` as well as the uttered `⟨type⟩`, `⟨color⟩`, `⟨size⟩`, `⟨shape⟩` and `⟨spatial relation⟩`. The parameters of the Bayesian network are estimated from the results of psycholinguistic experiments, from a WWW-questionnaire, and from the confusion matrices of the object recognition module.

# Representations for Vision and Language

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This paper contrasts two ways of forming conceptual descriptions from images. The first, called `monitoring`, uses little top-level control, instead just following the flow of data from images to interpretation. The second, called `watching`, emphasizes the use of top-level control, and actively selects evidence for task-based descriptions of the dynamic scenes. Here we look at the effect this has on forming conceptual descriptions.

First, we look at how motion verbs and the perception of events contribute to an effective representational scheme. Then we go on to discuss illustrated examples of computing conceptual descriptions from images in our implementations of the `monitoring` and `watching` systems.

Finally, we discuss alternative approaches and conclude with a discussion of future work.

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# Bayesian Networks for Building Recognition

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Our ørst experience in knowledge based computer vision was gained with the interpretation of digital, multispectral, aerial images by Markov random ølds. The image analysis is performed at two levels, at the low level the image is segmented, to obtain regions, at the high level the regions get a meaning. The image model and the object model are expressed by Markov random ølds, i.e. labeling processes for the pixels at the low level and for the regions at the high level (Klonowski and Koch 1997). The advantage of this approach is the simplicity of the semantic modeling, the disadvantage is a lacking Æexibility. An alternative to the Markov random ølds are the Bayesian networks. They can be constructed such that the same results are obtained as with Markov random ølds and they allow more Æexibility with respect to modeling. But the question, how to construct them, has to be answered. Dicoerent forms of Bayesian networks were tried for the recognition of buildings. It turned out, the best result were obtained when the Bayesian networks were built up dynamically on the adjacency graph for the faces of the buildings which are visible in the digital image. The faces form the aspects which lead to the buildings. At the root of the Bayesian networks the nodes are situated with the data characterizing the faces. The aspects depend on the faces, on the vertices of the adjoining faces and on the form of the adjacency graph. Finally, the buildings with their frequency of their appearance as prior information depend on the aspects. First results for the recognition of buildings are presented in (Kulschewski 1997).

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# Hierarchical Probabilistic Object Detection

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In my talk I presented an approach for object detection in vectored datasets which have been acquired by various sensors in a reference system. Statistical variances of the observations are assumed to be known from physical models or empirical evaluations.

The approach consists of two main steps: The first step is the generation of a vector valued data pyramid similar to image pyramids. In the second step a probabilistic network is set up which consists of equally subnets for each element of the pyramid. The subnets condense all information available at each element of the pyramid using the Bayes' rule. The measurements are introduced via normal distributions. By top down forward propagation the probabilities of interest of each element on each level are computed. Following only the most interesting branches of the statistical net an exterior algorithm can lead immediately to the most interesting parts of the dataset.

Examples of this fast and robust approach have been taken from building detection using digital elevation models acquired by an airborne laser scanner.



# Bayesian Inferencing on Brain MRI Images

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For the past øfteen years (since 1982), we have been pursuing research at the GRASP Laboratory on the quantitative analysis of human brain morphology based on the idea of having available an apriori pictorial representation of the anatomy of the brain, i.e., an anatomy atlas, and an elastic matching procedure that can adjust the structures in the atlas to øt the measurements obtained via computed tomography, and later magnetic resonance imaging. We have, through the years, reøned the procedure so that it now produces satisfactory results in comparison with human analysis of the same data. Put it simply, we can warp one set of brain images into another set (a reference set), and if the ørst group represents normal subjects of the same gender and age, for example, acquired with the same imaging modality, then this procedure enables a map of anatomic variations within that population to be constructed.

To realize these analyses, a variety of equally important issues must be addressed, including: a measurement model for image matching; the construction and application of prior models for brain shape variation; the extraction of salient image features through classification of voxels that are possibly of mixed tissue type; and the development of numerical algorithms that make practical the application of the methods. We shall present our approach to these issues, demonstrate their application in recent experiments, and discuss open problems that remain in the development of a comprehensive methodology for computational anatomy.

# Efficient Topological Indexing for 2-D Object Recognition

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In this work, we develop a shape indexing mechanism that maps the topological part structure of a 2-D object's silhouette into a low-dimensional vector space. Based on an eigenvalue characterization of a shock tree, this topological signature allows us to efficiently retrieve a small set of candidates from a database of models, to which we apply a recent shape matching algorithm (see ICCV '98). In order to build invariance to occlusion, deformation, scale, translation and rotation, local evidence is accumulated in each of the object's topological suspaces. We demonstrate the approach with a series of experiments.

This talk represents joint work with:

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# The Appearance Manifold as a Formal Foundation for Vision

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For the last 30 years, computer vision has relied on assemblies of ad hoc techniques. To mature, the field must adopt mathematical foundations that makes it possible analyse and predict the performance of systems and components. We propose such a foundation based on a representation of local appearance.

We begin with a criticism of edge based image description. Edges are shown to be a very partial description of visual information, appropriate only for polyhedral objections. Edge detection is unreliable for many of the interesting structures in an image such as corners and curved surfaces. Correcting for broken and missing edges generally leads to the use of grouping procedures which are ad hoc and have high computational complexity. The end result is a system which can work under laboratory conditions, but which is impossible to analyse.

We then examine the computer vision problem from the perspective of information theory. In such a framework, recognition is expressed as template matching and the basic operation is minimizing a distance metric. In computer vision this is known as SSD (Sum of Squared Differences). We show that this approach allows an analysis of the probability of error. However, this approach has two major flaws: 1) It requires searching over a very large set of templates, and, 2) it applies to a closed universe defined by images which have been previously seen. In the remainder of the talk we address these two problems.

We replace search by table lookup using the concept of a window space (W-space). A W-space uses a neighborhood of  $M$  pixels as an orthogonal basis. In this representation, each neighborhood of an image is a point. An image is an ordered set of points which comprise a surface. Changing the illumination or viewing position deforms this surfaces, giving a manifold. We show how to reduce the memory requirements (the information capacity) of a W-space by projection to a linear subspace defined by principal components analysis. We present examples of structural and statistical characterisations of appearance manifolds using the images from the Columbia data base. This approach provides object identity and pose by table lookup in a formally analysable manner.

We then show how to extend the method to an open universe by interpolation and extrapolation. We obtain invariance to 2-D rotation and to scale by interpolation between filters and by the use of a Gaussian Pyramid. We obtain invariance to illumination by extrapolation using functional approximation. The result is a new approach to object representation and recognitions, and a new formalism for design and analysis of computer vision systems.

# Model-based 3D Recognition and Localization from Single Perspective Views

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Most of this talk is about MORAL. Regardless of whatever other associations the reader might have, in this context MORAL stands for Munich Object Recognition And Localization. This is a system for the recognition and localization of task relevant (articulated) rigid polyhedral 3D objects in the context of autonomous mobile Systems (AMS). The recognition stage is view-based, the pose estimator performs a full perspective 2D-3D matching. The construction of associations linking (2D) model lines and corresponding image features, the construction of hypotheses containing the maximum consistent set of associations per view, as well as the global search for corresponding lines during the 3D pose estimation incorporate two kind of measurements: GEOMETRIC MEASURES (the difference of orientation in the view-based recognition and the alignment error after the 2D-3D pose estimation), and TOPOLOGICAL MEASURES. For the latter simple, but robust local constraints are classified into a set of configurations which are quasi-invariant against changes of the camera pose. The presented approach is not able to handle classes of objects, but only instances. On the other side, the use of exact 3D models imposes powerful constraints allowing a robust recognition and accurate localization of objects. This enables MORAL to support a variety of manipulation and navigation tasks.

# Towards an Active Visual Observer

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Studying seeing agents and perception-and-action from a general perspective is a far-reaching and difficult undertaking. Three aspects that are particularly important are:

- ≠ The systems perspective (which complements traditional reductionist analysis).
- ≠ Time, i.e. that processing as well as output is time-dependent (note the difference between natural and pictorial vision).
- ≠ The task dependence that is inherent in the perception-action cycle.

Our goal is to understand and develop principles of an active visual observer that has certain capabilities to navigate and manipulate objects in the world using vision. We believe that building such systems must be part of such an effort, since we need to study the systems empirically.

In this context knowledge has to be included at all levels, from the early perceptual level to the behavioral and cognitive levels. In the presentation we consider aspects of knowledge at the early stages.

Our starting point is as follows: An active observer is at any moment involved in a set of tasks. These tasks prime a set of models and processes, which of course in turn change over time due to goal fulfillment, new tasks, new information and other changes of the world and in the observer.

Using this approach we show that simple modules for e.g motion, depth and shape estimation can be combined to address the figure-ground segmentation problem and hence provide higher level methods for recognition and scene

understanding with appropriate and uncluttered information. The main problems instead become fusion of and selection between cues. We have in addition to traditional uncertainty based methods applied simple votings schemes for this. By voting we can combine information from cues of different types by assuming that coincidences are non-accidental. The knowledge aspect in this approach is that each module is highly specialized: it assumes knowledge about the world that may or may not be true. Subsequent processing resolves that problem. Experiments with a mobile robot detecting and "masking out" independently moving objects illustrate the approach. Another set of experiments deal with rapid detection of conspicuous planar surfaces.

# Semantic Networks in Active Vision Systems - Aspects of Knowledge Representation and Purposive Control

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The goal of this work is the visual exploration of a scene in real-time. We constrain ourselves to the following three technical restrictions: we use an explicit representation of knowledge about objects and their features, spatial relations between objects, and the steps which are necessary to solve a certain task. In order to exploit the represented knowledge, a problem independent modified A-star graph search algorithm should be applied [Nie90] and the knowledge should be represented as a semantic network based on the ERNEST-philosophy [Sag97]. In addition, the influence of active vision on the representation and the control algorithm should be examined.

The application domain of the system is the analysis of office scenes with an active camera where the camera's field of view is too narrow to get the whole information of a scene without camera movements.

In this talk a model of saccades developed by Takacs [Tak96] is introduced which distinguishes three different types of saccades: attentive, conditional, and reflex saccades. These different types of saccades are used to model the camera movements. The saccades are represented via a semantic network which consists of concepts and links. The links describe three different types of relation between concepts. They are referred to as specialization, part or concrete link. The semantic network contains the scene representation and actions like saccades which are connected by concrete links. The different kinds of saccades are provided on different levels of abstraction.



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# The Dialogue with the Scene: Probabilistic Knowledge Based Active Vision

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In speech recognition and understanding systems, for example automatic train time table inquiries, the dialogue manager is an important module. In the case of ambiguous or missing information presented by the user, the system has to ask questions, to get the missing information or to resolve ambiguities. A similar problem arises in the field of active vision, especially for autonomous mobile systems. Such systems have to purposefully gather information from the environment and have to react on events in the scene, in order to solve a given task. Visual actions have to be chosen, for example, changes of the camera parameter (zoom, vergence, focus) or taking another view of an object to get a more robust classification result.

To choose a suited action out of a set of possible ones, the system has to understand the scene and the meaning (semantic/pragmatic) of objects in the scene with respect to a given task. Recently, in speech understanding the concept of semantic attributes has been proposed [Haas97]. For a given area of application, each word in a spoken sentence, is mapped to a semantic attribute out of a set of possible ones. The mapping is done by a probabilistic assignment function, which can be estimated by a labeled training set. In this training set, the assignment needs not to be known.

This concept is transferred to the problem of extracting the semantic/pragmatic of objects in a scene in a special task context. For example, for solving the task "Leave the room" the system has to look for obstacles and destinations. Having assigned a certain object to the semantic attribute 'obstacle', the system can react by tracking the obstacle and avoiding it. The reaction in most cases only depends on the assigned semantic attribute and not on the object itself. This means, that a set of basic visual and navigational actions can be connected with semantic attributes, independent to the

objects. The assignment, i.e. the probabilistic mapping of certain objects to semantic attributes is task dependent and can be learned during a training step.

We show, that this concept of semantic assignment fits well in the context of classical knowledge bases and processing, like semantic networks [Niemann90]. There, so called holistic instantiations at the object level have been proposed [Moratz94]. The probabilistic assignment in terms of holistic instantiation can be interpreted as a holistic instantiation at the semantic level of a semantic network.

In the future we will integrate relations between objects in the probabilistic assignment function. This can be done at the object level (a handle on the door means 'open it', a handle on a cup mean 'carry it'), at the semantic level (a rubbish bin is an obstacle, if it is on the motion plane), or at both levels (a rubbish bin is an obstacle, if it is on the floor).

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# Current Research Efforts in Use of Function in Computer Vision

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One of the main goals of the work in function-based reasoning in computer vision is to develop alternative approaches to generic object recognition and manipulation. A vision system cannot be expected to hold an explicit model, or even a parameterized model of each object that may be encountered in the environment. Reasoning about function has been addressed at different levels in a number of fields including psychology, artificial intelligence, computer vision and robotics.

In psychology work has been done to try to understand how humans form category concepts. In AI it has long been recognized that object categorization can be aided by associating function to structure. Most work in AI starts with a symbolically labeled object. It is the task of computer vision and robotics researchers to make that association. To automatically symbolically label the structure, information must be extracted from sensor data. Different approaches include deriving function from shape-based reasoning, deriving function from motion (i.e. observing an object in use), and also through object manipulation.

# Recognition for Action: On the Use of Functional Knowledge

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Function-based recognition takes place when an object is evaluated in the context of action or activity. An object can suit a purpose, fulfill a function. If an agent recognizes this, it has in effect recognized the object. To perform this type of recognition we need on one hand a definition of the desired function, and on the other the means of determining whether the object can fulfill that function. To find out if an object can fulfill a function we need to perform various partial recovery tasks.

Objects can be categorized in terms of functionality, i.e. usefulness to a given agent in performing specific purposes or tasks. We first consider the class of tasks which involve a transfer of force between a user and a recipient object. A device that transfers force, i.e. that converts an input force into an output force, is called a machine. We show that the requirements of efficient force transfer and geometric simplicity lead to a description of a machine as consisting of primitive parts, defined in terms of (qualitatively specified) properties, which can be mapped into functional requirements. Many common household objects, including furniture, appliances, and tools, can be regarded as machines. We show how such objects can be categorized.

To show the general applicability of these principles we demonstrate how they can be used in various domains. We show that documents can be analyzed as functional objects (information machines) which facilitate the transfer of information from the author, across both time and space, to the reader. We claim that in general, the role of a document can be characterized by the functions of the documents components. The function of each component can in turn be derived from its physical attributes and from its relationship with

other components. If a functional description of a document can be derived, it provides insight into the category of the document, and ultimately into strategies for automatic interpretation.

The same approach can be used for scene categorization and interpretation. Having prior knowledge about the scene type, functional hypotheses concerning the area in the current focus of interest is raised. A functional hypothesis consists of a functional model which describes the relationship of the functional area to its surrounding neighborhood. Roads permit passage of vehicles for different parts of a site. Parking areas provide storage of vehicles. There are certain relationships between the size of the site, its components (e.g buildings), and the size of the roads, of the nearby parking areas, etc. We show how such analysis can be applied to a parking lot as a functional area. We establish a connection between the different parts of the functional area, and evaluate them. This information is used for categorization, in which the functional area is classified as some class of a parking lot.

Function based recognition tries to achieve a mapping from function to form. When an agent has some action to carry out an appropriate object is searched for. Observing an acting agent trying to perceive what is the action taking place involve an inversion of this mapping. Since the mapping from function to form is many to many, we need the information provided by motion to enable us to infer what is the mapping that the acting agent did, exactly. We show how, given a model of an object, we can use the motion of the object, while it is being used to perform a task, to determine its function. Our analysis results in couple of motion descriptors, which are compared with stored descriptors that arise in known motion-to-function mappings to obtain function recognition. We show how these type of descriptions can be used to categorize well-designed objects (mechanisms) using a language which describes their different behaviors.

# Knowledge in image-based scene representation

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For visualizing 3-D scenes described by a set of reference intensity images, image-based scene representation is believed to be an alternative to 3-D model reconstruction/rendering. We address these questions: Are image-based approaches as general as model-based ones? Can an arbitrary 3-D scene be visualized without reconstructing a consistent 3-D model? We believe it is important to clarify the power and usefulness of image-based approaches because many works on this topic appeared recently, yet none of them deal with this issue explicitly.

We show that the answer to both questions above is negative. We further argue that it is plausible to distinguish three groups of approaches to visualizing 3-D scenes: image interpolation, image extrapolation, and 3-D model reconstruction/rendering. Image interpolation is the simplest one yet its applicability is limited, 3-D model reconstruction/rendering is general but difficult.

We advocate image extrapolation as a trade-off useful in practice. It is able to visualize correctly the part of a general 3-D scene that is visible from two reference images. In fact, image extrapolation can be also considered the reconstruction and rendering of a partial projective 3-D model.

# Perceptual, Functional, Prototypical Knowledge: Which Knowledge for Which Application?

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During the talk different aspects of different kind of knowledge have been discussed together with possible applications. Subjective Contours [4] and Structural Information Theory [2] are examples of tools to extrapolate "Perceptual Knowledge" used to recover hidden part of images due to occlusions and noise, before a higher level recognition process. Object recognition is basically a matching process, where the 3-D structures possibly inferred or reconstructed from the visual data are compared to a set of prototypes, each describing a class of objects. If the prototypes incorporate only structural (geometrical) knowledge, that is, they try to describe "What objects look like", it is computationally expensive and difficult to cope with all the possible shapes of the objects belonging to the same class, without losing too much discrimination power. Therefore, recognition can be approached from a "functional" point of view, defining a framework within which the class prototypes can be described [6]. Therefore, "What objects are for" has been another topic of the discussion: functional decomposition of compound objects in elementary objects [5], together with a description of spatial relationships between such elementary objects [1], can then become an interesting tool for object recognition purposes [6]. A first application discussed has been a rule-based system, whose rules encode heuristic knowledge as well as perceptual knowledge and spatial relationships between elementary figures [3]. A second application discussed has been the lane detection system on board of the Mob-Lab, a Mobile Laboratory developed during the European Prometheus



project for testing real-time computer vision aids for a safer vehicle guidance. Lane or road detection is performed by means of a cellular automata paradigm taking advantage of some geometrical knowledge about the lane or road to be detected [7]. The last application discussed has been a multi-agent system that allow a mobile agent (i.e., a mobile robot) to navigate in indoor environments solving navigation conflicts, if any, with other physical agents through the use of road traffic signs and rules. Robots move themselves following lines drawn on the floor by means of a ccd camera. The ccd camera is also used to recognize traffic signs [8]. Traffic signs recognized by the camera, information on the neighbor agents acquired through infrared and ultrasound sensors, and the knowledge about traffic rules, are used to coordinate robots interactions [9]. Traffic signs are recognized by means of a neural network approach taking advantage of the knowledge about the typical position of the signs inside the environment and of a focus of attention mechanism to reduce the amount of data to be processed and to avoid that patterns which have not been considered during the network training phase create unpredictable behavior and generate spurious responses [10].

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# Learning Accurate Engineering Models from Shown Examples

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This talk describes the reconstruction of 3D engineering parts to high tolerances (eg. 25 micron feature position accuracy) while not simultaneously degrading the reconstruction of unconstrained surfaces. The data used was multiple range images taken from all sides of the part. To avoid problems arising from fusing symbolic descriptions, we have adopted an alternative 3 stage strategy that:

1. Fuses the XYZ points from the individual views into a combined view (by using pairwise geometric histograms - a new representation of local surface shape). This allows identification of corresponding surface points in the individual range datasets. The correspondences then vote for the pose that registers the views using a probabilistic Hough transform.
2. Quadric surfaces are fit to the merged 3D data sets based on seed patches extracted from the full 3D description.
3. Improved surface reconstruction is obtained by optimizing the surface fit subject to user declared constraints (such as two surfaces are parallel, or a set of holes are collinear). We formulate the problem as a constrained least square parameter estimation, where the constraints are defined over the surface shape and position parameters.

Results show that shape and position can be optimized subject to the applied constraints while also improving the reconstruction of surfaces not explicitly subject to the constraints. Local minima of the optimization all seem to be near to the global minimum.

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# Integration of vision and reasoning in an airborne autonomous vehicle for traffic surveillance

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We have briefly presented the WITAS project, funded by the Wallenberg Foundation, which aims at developing a prototype of an airborne autonomous vehicle for traffic surveillance tasks. During the first phase of the project (1997-1999) a simulated environment will be used, and during the second phase (1999-2003) the work will involve a real vehicle.

The project involves research groups for autonomous decision making (lead by Patrick Doherty), Computer Vision (lead by Gsta Granlund), Computer Systems Architectures (lead by Kris Kuchcinski) and Simulation (lead by Peter Fritzson). The leader of the project is Erik Sandewall. One of the most important sensors is vision, which is mainly used for observing the traffic situation.

We have discussed some issues that should be considered in the integration between vision and reasoning: integration of static knowledge (e.g. from a GIS) and dynamically acquired knowledge; anchoring of symbolic information in visual information; focus of attention; support and guidance of visual skills; and uncertainty.