

On the Parameterized Complexity of Symmetric Directed Multicut

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Abstract

We study the problem SYMMETRIC DIRECTED MULTICUT from a parameterized complexity perspective. In this problem, the input is a digraph D , a set of *cut requests* $C = \{(s_1, t_1), \dots, (s_\ell, t_\ell)\}$ and an integer k , and the task is to find a set $X \subseteq V(D)$ of size at most k such that for every $1 \leq i \leq \ell$, X intersects either all (s_i, t_i) -paths or all (t_i, s_i) -paths. Equivalently, every strongly connected component of $D - X$ contains at most one vertex out of s_i and t_i for every i . This problem is previously known from research in approximation algorithms, where it is known to have an $O(\log k \log \log k)$ -approximation. We note that the problem, parameterized by k , directly generalizes multiple interesting FPT problems such as (UNDIRECTED) VERTEX MULTICUT and DIRECTED SUBSET FEEDBACK VERTEX SET. We are not able to settle the existence of an FPT algorithm parameterized purely by k , but we give three partial results: An FPT algorithm parameterized by $k + \ell$; an FPT-time 2-approximation parameterized by k ; and an FPT algorithm parameterized by k for the special case that the cut requests form a clique, SYMMETRIC DIRECTED MULTIWAY CUT. The existence of an FPT algorithm parameterized purely by k remains an intriguing open possibility.

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1 Introduction

Graph separation problems have been studied in parameterized complexity for a long time, and with significant success. In particular for undirected graphs, a wide range of powerful FPT algorithms have been constructed, from the early results on ODD CYCLE TRANSVERSAL by Reed et al. [21] and MULTIWAY CUT by Marx [16], to quite generic problems such as VERTEX MULTICUT [2, 17]. In the latter problem, the input is an undirected graph G , a set of *cut requests* $C = \{(s_1, t_1), \dots, (s_\ell, t_\ell)\}$, and an integer k , and the goal is to find, if it exists, a set of at most k vertices whose removal disconnects s_i from t_i , for every $1 \leq i \leq \ell$. Marx showed an FPT algorithm for this problem parameterized by $k + \ell$ [16], but the question of an FPT algorithm parameterized by k alone remained open for a long time, until finally settled simultaneously by Bousquet et al. [2] and Marx and Razgon [15].

For directed graphs, by comparison, the success is more limited, and the line between FPT and W[1]-hard cut problems is much less clear. On the one hand, some high profile FPT algorithms do exist for directed graph problems. One of the earliest was DIRECTED FEEDBACK VERTEX SET, where the goal is to find a set of at most k vertices in a directed graph which intersects all directed cycles. This problem was shown to be FPT in 2007 by Chen et al. [3] by reduction to an auxiliary directed graph separation problem later dubbed SKEW MULTICUT. Later FPT results, following the FPT algorithms for MULTICUT on



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undirected graphs, include the problems DIRECTED MULTIWAY CUT [6] and DIRECTED SUBSET FEEDBACK VERTEX SET [5]. However, other problems which are FPT on undirected graphs are intractable on digraphs. DIRECTED ODD CYCLE TRANSVERSAL was shown to be $W[1]$ -hard by Lokshtanov et al. [14], although it admits an FPT 2-approximation. For another example, DIRECTED MULTICUT is the natural generalization of MULTICUT to digraphs. Here, the input is a digraph D , a set of cut requests $C = \{(s_1, t_1), \dots, (s_\ell, t_\ell)\}$ and an integer k , and the goal is to find, if it exists, a set of at most k vertices whose removal cuts every path from s_i to t_i , for every $1 \leq i \leq \ell$. This problem is $W[1]$ -hard parameterized by k alone [17], even on directed acyclic graphs (DAGs) [13] or for just four cut requests [19].

With this background, it may be considered highly unlikely to find a natural cut problem on digraphs that directly generalizes VERTEX MULTICUT and which is FPT parameterized by the solution size alone. Yet, we consider a problem for which this appears intriguingly plausible.

For a first attempt at a modified problem definition, consider the variant where for every cut request (s_i, t_i) we require both directions (s_i, t_i) and (t_i, s_i) to be cut. However, this problem remains $W[1]$ -hard; indeed, it is equivalent to the original problem if the input graph is a DAG. Furthermore, it captures DIRECTED VERTEX MULTICUT on general digraphs: if $I = (D, T, k)$ is a DIRECTED VERTEX MULTICUT instance, construct D' by adding a new vertex s'_i and an arc $s'_i s_i$ for every $(s_i, t_i) \in T$. Then, there is no (t_i, s'_i) -path in D' , and cutting every (s'_i, t_i) -paths and (t_i, s'_i) -paths is equivalent to cut every (s_i, t_i) -path. This shows that this first symmetric version of DIRECTED VERTEX MULTICUT is $W[1]$ -hard too, even for $\ell = 4$.

However, another directed generalization of VERTEX MULTICUT has still unknown parameterized complexity.

SYMMETRIC DIRECTED VERTEX MULTICUT

Input: a digraph D , a set of pairs of vertices $C = \{(s_1, t_1), \dots, (s_\ell, t_\ell)\}$, and an integer k .

Parameter: k

Output: find, if there exists, a set X of at most k vertices whose removal cuts, for every $i = 1, \dots, \ell$, either all (s_i, t_i) -paths or all (t_i, s_i) -paths.

As with many directed cut problems, there are simple reductions between the edge- and the vertex deletion variants. We focus on the vertex deletion variant since it is easier to work with (cf. *shadow removal*, discussed below).

Let us make a few observations to get a feeling for the problem. Let $I = (D, C, k)$ be an instance of SYMMETRIC DIRECTED VERTEX MULTICUT (SYMMETRIC MULTICUT for short), and note that a set $X \subseteq V(D)$ is a solution if and only if s_i and t_i are in distinct strongly connected components in $D - X$ for every cut request (s_i, t_i) . This observation is important for understanding the structure of the problem.

We also note that SYMMETRIC MULTICUT generalizes several of the above-mentioned landmark FPT problems. Indeed, first consider VERTEX MULTICUT. Let $I = (G, C, k)$ be an instance of this problem. We can then produce an instance $I' = (D, C, k)$ of SYMMETRIC MULTICUT simply by replacing every edge $uv \in E(G)$ by the arcs uv and vu . Indeed, for every set $X \subseteq V(D)$, the strong and weak components of $D - X$ coincide. Hence X is a symmetric multicut in D if and only if it is a vertex multicut in G .

Next, let D be a digraph, and let $C = \binom{V(D)}{2}$ be the set containing all pairs of vertices over D . Then $I = (D, C, k)$ captures DIRECTED FEEDBACK VERTEX SET. More generally, consider DIRECTED SUBSET FEEDBACK VERTEX SET. In this problem, the input is a

digraph D , a set of arcs $S \subseteq E(D)$, and an integer k , and the goal is to find a set of at most k vertices which intersects every cycle containing an arc of S . By the above observation, $I = (D, S, k)$ can be interpreted as-is as an equivalent instance of SYMMETRIC MULTICUT. Thus, if SYMMETRIC MULTICUT is indeed FPT parameterized by k , it would make a significant generalization over the previous state of the art.

Our results. We are not able to settle the status of SYMMETRIC MULTICUT parameterized by k , but we give three partial results. First, we give an FPT algorithm for the combined parameter of $k + \ell$. Second, we show an FPT 2-approximation for SYMMETRIC MULTICUT with parameter k . Finally, we consider the problem SYMMETRIC DIRECTED MULTIWAY CUT, where the cut requests are a set $C = \binom{T}{2}$ containing all pairs over a set of terminals T ; i.e., every strongly connected component of $D - X$ is allowed to contain at most one vertex of T . We show that this restricted variant is FPT parameterized by k .

Technical overview. The first of these results is relatively straight-forward. We consider the solution structure of the problem, and show a simple FPT reduction to SKEW MULTICUT. Since SKEW MULTICUT is FPT parameterized by k , this finishes the result. This is analogous to the FPT algorithm for VERTEX MULTICUT parameterized by $k + \ell$ via reduction to MULTIWAY CUT, noted by Marx [16].

The FPT 2-approximation is more interesting. First, by iterative compression we can assume that we have a solution Y , say $|Y| \leq 2k + 1$, and want to determine the existence of a solution X with $|X| < |Y|$ (or otherwise prove that there is no solution of cardinality at most k). By branching on the intersection $X \cap Y$ we can assume that no vertex of Y is to be deleted. Furthermore, recall from above that a solution X to an instance $I = (D, C, k)$ is characterized by the strongly connected component structure of $D - X$. Hence, we may also guess a partition of Y into strongly connected components and a topological order on these components. After all these steps, we have an instance $I = (D', C, k')$ and a set $Y = \{y_1, \dots, y_r\} \subseteq V(D)$, such that Y is a symmetric multicut for (D, C) and with the assumption that we are looking for a symmetric multicut X such that $X \cap Y = \emptyset$ and in $D' - X$, y_i reaches y_j only if $i \leq j$. Thus, there are two remaining tasks to coordinate. X cuts all paths from y_j to y_i for $i < j$, and simultaneously, for every terminal y_i and cut pair (s_j, t_j) , X cuts at least one of s_j and t_j from the strongly connected component of y_i . We achieve a 2-approximation by treating these steps separately. The first property can be ensured by a reduction to SKEW MULTICUT; we note that SKEW MULTICUT is still FPT (using the algorithm of Chen et al. [3]) even if the underlying graph is not a DAG. The key observation is now that after deleting such a skew multicut for Y , the remaining task separates into $|Y|$ disjoint instances, one for each terminal $y \in Y$. Hence, it remains to solve the problem for an instance where there is a central vertex y such that for every cut request (s_i, t_i) , every closed walk on s_i and t_i passes through y . Solving this problem in FPT time finally yields and FPT-time 2-approximation for SYMMETRIC MULTICUT.

The FPT algorithm for SYMMETRIC DIRECTED MULTIWAY CUT is more technical. It works by adapting the algorithm for DIRECTED SUBSET FEEDBACK VERTEX SET of Chitnis et al. [5], but there are some technical complications. First, as a more robust formulation we consider the following setting. The input is a digraph D , a list A_1, \dots, A_ℓ of sets of arcs of D , and an integer k , with the restriction that each A_i is a “near-biclique”, $A_i = S_i \times T_i$ for some possibly overlapping vertex sets S_i and T_i . The task is to find a set $X \subseteq V(D)$ of at most k vertices such that no closed walk in $D - X$ contains arcs from two distinct sets A_i and A_j . Note that this version allows us to capture both the setting where terminals are

deletable and where terminals are non-deletable, e.g., by replacing a non-deletable terminal by $k + 1$ false twins, and for each terminal $t_i \in T$ letting S_i contain the twin copies of t_i and T_i their out-neighbours. More importantly, arc sets of the form $A_i = S_i \times T_i$ are closed under the vertex bypassing operation used in *shadow removal*, which the original problem formulation is not. (See Section 5.)

By the same setup as the FPT 2-approximation (and as Chitnis et al. [5]), we reduce to the iterative compression version where we additionally have a solution set Y and an ordering $y_1 < \dots < y_r$ over Y , with the assumption that y_i reaches y_j in $D - X$ if and only if $i < j$. We can now apply the shadow removal technique and consider the set of vertices R reachable from y_r in $D - X$. By shadow removal, this set is strongly connected to y_r in $D - X$. But here is the second complication. In DIRECTED SUBSET FEEDBACK VERTEX SET, R cannot contain any “terminal arc” at all, which allows the algorithm to proceed via an intricate branching step over graph separations in an auxiliary graph (using the so-called *anti-isolation lemma* and important separators branching). In our setting there can be an index i_0 such that R contains arcs of i_0 (and A_{i_0} can be unboundedly big). However, via an extra color-coding step, we are able to modify the method of Chitnis et al. [5], to allow us to guess i_0 and find R . We can then find a solution by repeating the process. In total, we show that SYMMETRIC DIRECTED MULTIWAY CUT has an algorithm in time $\mathcal{O}^*(2^{\mathcal{O}(k^3)})$.

Related work. The problem SYMMETRIC MULTICUT was first studied by Klein et al. [12] in the context of approximation algorithms. The results were improved upon by Even et al. [9], who showed that SYMMETRIC MULTICUT admits an $O(\log k \log \log k)$ -approximation, where k is the size of the optimal solution. By contrast, the best approximation ratio we are aware of for DIRECTED MULTICUT is just slightly better than $O(\sqrt{n})$ (Agarwal et al. [1], improving on previous work [4, 10]). Chuzhoy and Khanna [7] showed that achieving a subpolynomial approximation ratio for DIRECTED MULTICUT is hard.

We will make use of much of the toolbox developed for FPT algorithms for graph separation problems. In particular, the method of *iterative compression*, first used for ODD CYCLE TRANSVERSAL by Reed et al. [21]; the notion of *important separators*, which underpins Marx’ results on MULTIWAY CUT and related problems [16]; and the notion of *shadow removal*, developed by Marx and Razgon for VERTEX MULTICUT [17]. These notions are explained in Section 2. The work that is closest to our results is the FPT algorithm for DIRECTED SUBSET FEEDBACK VERTEX SET of Chitnis et al. [5].

Kim et al. [11] recently further extended the toolbox for directed graph separation problems by a method of *flow augmentation* for directed graph cuts. This settled several long-standing problems, among other results developing an FPT algorithm for the notorious ℓ -CHAIN SAT problem. Unfortunately, this method is not directly applicable to SYMMETRIC MULTICUT as the cut structure in the latter problem is more complex than simple (s, t) -cuts.

Ramanujan and Saurabh [20] considered SKEW-SYMMETRIC MULTICUTS, a problem family of multicuts on *skew-symmetric digraphs* (which is effectively a generalization of ALMOST 2-SAT). However, except for the problem name, this bears no relation to SYMMETRIC MULTICUT, as studied in this paper, or to SKEW MULTICUT, the auxiliary problem in the classic FPT algorithm for DIRECTED FEEDBACK VERTEX SET [3].

Structure of the paper. After introducing some useful tools in Section 2, we show in Section 3 that SYMMETRIC DIRECTED VERTEX MULTICUT is FPT when parameterized by both k and ℓ . Then, in Section 4, we give a 2-approximation algorithm with running time $f(k)n^{\mathcal{O}(1)}$. Finally, in Section 5, we show that a particular case, called SYMMETRIC DIRECTED MULTIWAY VERTEX CUT, is FPT.

2 Preliminaries

2.1 Important cuts

In a digraph D , if X, Y are disjoint sets of vertices, an (X, Y) -cut S is a set of vertices in $V(D) \setminus (X \cup Y)$ such that there is no (X, Y) -path in $D - S$. A classical tool in the design of FPT algorithms for problems of cut in a graph is the notion of important cut. An (X, Y) -cut is said to be important if there is no (X, Y) -cut further from X with smaller or equal size.

► **Definition 1.** Let D be a digraph and X, Y be two disjoint sets of vertices. An (X, Y) -cut S with set R of vertices reachable from X in $D - S$ is said to be important if

1. S is an inclusion-wise minimal (X, Y) -cut, and
2. there is no (X, Y) -cut $S' \neq S$ of size at most $|S|$ such that the set of vertices reachable from X in $D - S'$ is a superset of R .

Symmetrically, S is said to be anti-important if it is an important (Y, X) -cut in D^{op} , the digraph obtained from D by reversing every arc.

All fundamental results on important cuts are summarised in the following property. We refer the reader to [8, Part 8.5] for proofs.

► **Proposition 2.** Let D be a digraph, X, Y be disjoint sets of vertices and k be an integer.

1. One can test in polynomial time whether an (X, Y) -cut S is important.
2. If S is an (X, Y) -cut with set R of vertices reachable from X in $D - S$, one can compute in polynomial time an important (X, Y) -cut S' such that $|S'| \leq |S|$ and the set of vertices reachable from X in $D - S'$ contains R .
3. If \mathcal{S} is the set of important (X, Y) -cuts, then $\sum_{S \in \mathcal{S}} 4^{-|S|} \leq 1$.
4. If \mathcal{S}_k is the set of important (X, Y) -cuts of size at most k , then $|\mathcal{S}_k| \leq 4^k$ and \mathcal{S}_k can be enumerated in time $4^k n^{\mathcal{O}(1)}$.

2.2 Iterative compression

Iterative compression is a standard method in the design of FPT algorithms.

To avoid repetition, we give here a general property to deal with iterative compression. Let \mathcal{L} be a parameterized algorithmic problem such that an instance of \mathcal{L} has the form $I = (D, T, k)$ where D is a digraph, T depends on the problem and k is an integer. We suppose a few properties on \mathcal{L} :

- an instance $I = (D, T, k)$ is a yes-instance if and only if there exists a set X of at most k vertices satisfying a given property $P(D, T, X)$, which is supposed to be checkable in polynomial time,
- if D is empty, then \emptyset is a solution, and
- for every vertex $v \in V(D)$, if X satisfies $P(D - v, T, X)$, then $X \cup \{v\}$ satisfies $P(D, T, X \cup \{v\})$.

These three properties will clearly hold for every problems considered in this paper.

We say that an algorithm \mathcal{A} is an α -approximation for some $\alpha \geq 1$ if for every input instance (D, T, k) , either it concludes that there is no solution of size at most k , or it returns a solution of size at most αk . For $\alpha = 1$, this is an exact algorithm.

We now define the *compression* problem \mathcal{L}' by: given $I' = (D, T, Y, k)$ where (D, T, Y) satisfies P , find a solution of the \mathcal{L} instance (D, T, k) . The parameters are now $(k, |Y|)$. The compression problem is equivalent to the original one in the following sense:

► **Proposition 3.** *Let $\alpha \geq 1$, and $t(k, |Y|)$ be a real function which is increasing for each parameter if the other one is fixed, and $c \geq 0$ a constant. If there exists an algorithm \mathcal{A}' finding an α -approximation for \mathcal{L}' in time $t(k, |Y|)n^c$ then there exists an algorithm \mathcal{A} finding an α -approximation for \mathcal{L} in time $t(k, \alpha k + 1)n^{c+1}$. In particular, if \mathcal{L}' is FPT, then \mathcal{L} is FPT too.*

The proof is in the appendix. For further information on iterative compression we refer to [8, Chapter 4].

2.3 A general framework for shadow removal

The concept of shadow was first introduced by Marx and Razgon [17]. The idea is to make the problem easier by assuming that there exists a solution X such that every vertex $v \in V(D) \setminus X$ is reachable from a given set of vertices T , and can also reach T in $D - X$. Here, we give a general framework that was designed by Chitnis et al. [5].

Let D be a digraph and T a set of vertices. For every set of vertices X disjoint from T , we define the *shadow* of X to be the set of vertices in $V(D) \setminus (T \cup X)$ that either can not reach T in $D - X$, or are not reachable from T in $D - X$. Chitnis et al. [5] provided a set of sufficient conditions under which we can compute an over-approximation of the shadow of a solution to a problem; in other words, we can compute a set W , disjoint from T , such that there exists a solution X , disjoint from W , where the shadow of X is contained in W .

To state the result we need a few definitions from Chitnis et al. [5].

► **Definition 4.** *Let $\mathcal{F} = \{F_1, \dots, F_q\}$ be a set of subgraphs of D . We say that \mathcal{F} is T -connected if for every $i = 1, \dots, q$, every vertex in F_i can reach T by a walk completely in F_i , and is reachable from T by a walk completely in F_i . A set of vertices $X \subseteq V(D)$ is said to be an \mathcal{F} -transversal if for every $i \in \{1, \dots, q\}$, $F_i \cap X \neq \emptyset$.*

For example, if \mathcal{F} is a set of walks, as is the case in our application, then X is an \mathcal{F} -transversal if and only if X cuts every walk in \mathcal{F} . We can now give the main theorem that gives a superset of the shadow.

► **Theorem 5 ([5]).** *Let $T \subseteq V(D)$ and $k \in \mathbb{N}$. One can construct in time $2^{\mathcal{O}(k^2)}n^{\mathcal{O}(1)}$ a family Z_1, \dots, Z_t of $t = 2^{\mathcal{O}(k^2)} \log^2 n$ sets of vertices such that for any set \mathcal{F} of T -connected subgraphs of D , if there exists an \mathcal{F} -transversal of size at most k , then there exists an \mathcal{F} -transversal X and $i \in \{1, \dots, t\}$ such that:*

1. $|X| \leq k$,
2. $X \cap Z_i = \emptyset$,
3. the shadow of X is included in Z_i .

2.4 SKEW VERTEX MULTICUT is FPT

In this section, we present a problem which is known to be FPT. This problem was first introduced by [3] in the first proof that DIRECTED FEEDBACK VERTEX SET is FPT.

SKEW VERTEX MULTICUT

Input: a digraph D , an ordered list of pair of vertices $(s_1, t_1), \dots, (s_r, t_r)$ and an integer k .

Parameter: k

Output: find, if there exists, a set X of at most k vertices such that there is no (s_j, t_i) -path in $D - X$ if $j \geq i$.

► **Theorem 6 ([3]).** *The problem SKEW VERTEX MULTICUT is FPT and can be solved in time $\mathcal{O}(4^k k^3 n^2)$.*

3 An FPT algorithm when parameterized by $k + \ell$

This section aims to prove the following theorem (remember that in SYMMETRIC DIRECTED VERTEX MULTICUT, k is the size of the desired solution, and ℓ is the number of cut requests).

► **Theorem 7.** *There is an algorithm that solves SYMMETRIC DIRECTED VERTEX MULTICUT in time $\mathcal{O}((2\ell + 1)^{2\ell} 4^k k^3 n^2)$.*

Proof. Let $I = (D, C, k)$ be a SYMMETRIC DIRECTED VERTEX MULTICUT instance. We suppose that I is a yes-instance and let X_{OPT} be a solution for I . Let $T = \bigcup_{(s,t) \in C} \{s, t\}$.

Let T_0, T_1, \dots, T_r with $r \leq 2\ell$ be a partition of T such that:

- $T_0 = X_{OPT} \cap T$,
- for every $i \in \{1, \dots, r\}$ and every $t, t' \in T_i$, t and t' are strongly connected in $D - X_{OPT}$,
- there is no (T_j, T_i) -path in $D - X_{OPT}$ if $j > i$.

Such a partition exists: consider the strongly connected components of $D - X_{OPT}$ and order them into a topological order C_1, C_2, \dots, C_r , that is an ordering such that for every arc uv in $D - X_{OPT}$ with $u \in C_i$ and $v \in C_j$, we have $i \leq j$. Then set $T_i = C_i \cap T$ for every $i \in \{1, \dots, r\}$.

The first step of our algorithm guesses that partition, thereby multiplying the running time by at most $(2\ell + 1)^{2\ell}$. Reject any partition where $s, t \in T_i$ for any $(s, t) \in C$ and any i . Now, we consider the digraph D' obtained by removing T_0 from D and merging each T_i into a single vertex t_i , for every $i = 1, \dots, r$.

Let $I' = (D', \{(t_1, t_2), \dots, (t_{r-1}, t_r)\}, k - |T_0|)$, a SKEW VERTEX MULTICUT instance. Clearly, $X_{OPT} \setminus T_0$ is a solution for I' , by definition of T_0, \dots, T_r . Reciprocally, if I' has a solution X' , then consider $X = T_0 \cup X'$, which has size at most $(k - |T_0|) + |T_0| = k$. If X is not a solution for I , then there exists $(s, t) \in C$ strongly connected in $D - X$. Then, s and t are in the same T_i for some i , and thus s and t are strongly connected in $D - X_{OPT}$, contradicting the fact that X_{OPT} is a solution for I .

Thus, one can solve SYMMETRIC DIRECTED VERTEX MULTICUT by first guessing T_0, \dots, T_r and then solving that SKEW VERTEX MULTICUT instance using Theorem 6. This algorithm has running time at most $\mathcal{O}((2\ell + 1)^{2\ell} 4^k k^3 n^2)$. ◀

4 A 2-approximation algorithm

In this part, we give an FPT algorithm that finds a solution of size at most $2k$ for SYMMETRIC DIRECTED VERTEX MULTICUT if it is known that there exists a solution of size at most k .

4.1 Iterative compression and first guesses

This section aims to prove that it is enough to find a 2-approximation algorithm for the following problem:

SYMMETRIC DIRECTED VERTEX MULTICUT COMPRESSION

Input: A digraph D , a set of pair of vertices $C = \{(s_1, t_1), \dots, (s_\ell, t_\ell)\}$, an integer k , and a solution Y of the SYMMETRIC VERTEX MULTICUT instance (D, C, k) , of size at most $2k + 1$, with an ordering y_1, \dots, y_r of Y .

Parameter: $(k, |Y|)$

Output: Find, if there exists, a set X of at most k vertices disjoint from Y such that:

1. for every pair of terminals $(s, t) \in C$ with $s, t \notin X$, s and t are not strongly connected in $D - X$, and
2. there is no (y_j, y_i) -path in $D - X$ if $j > i$.

► **Proposition 8.** *Let $t(k, |Y|)$ be a positive function that is non decreasing if one parameter is fixed, and $c \geq 2$ a constant.*

If SYMMETRIC DIRECTED VERTEX MULTICUT COMPRESSION has a 2-approximation algorithm \mathcal{A}' with time complexity $t(k, |Y|)n^c$, then SYMMETRIC DIRECTED VERTEX MULTICUT has a 2-approximation algorithm \mathcal{A} with time complexity at most $(2k+2)^{2k+1}t(k, 2k+1)n^{c+1}$.

Proof. First, we directly apply Property 3 with $\alpha = 2$ and thus it is enough to reduce the compression problem of SYMMETRIC DIRECTED VERTEX MULTICUT to SYMMETRIC DIRECTED VERTEX MULTICUT COMPRESSION.

Consider an instance $I = (D, C, k, Y)$ of that compression problem which is supposed to be a yes-instance, with an optimal solution X_{OPT} . It is enough to show that a 2-approximation for I can be found with at most $(|Y| + 1)^{|Y|}$ calls to \mathcal{A}' . To do that, we guess the structure of Y in $D - X_{OPT}$. More precisely, we guess a partition of Y into Y_0, Y_1, \dots, Y_r such that:

1. $Y_0 = X_{OPT} \cap Y$, and
2. if $y, y' \in Y_i$ then y and y' are strongly connected in $D - X_{OPT}$, and
3. there is no (Y_j, Y_i) -path in $D - X_{OPT}$ if $j > i$.

Such a partition exists by taking the intersection of the strongly connected components of $D - X_{OPT}$ with Y . This guess multiplies the running time by at most $(|Y| + 1)^{|Y|} \leq (2k + 2)^{2k+1}$.

We now claim that the instance of the compression problem I' obtained by

1. removing Y_0 from D and decreasing k by $|Y_0|$, and
2. merging each Y_i into a single vertex y_i ,

is equivalent to I . More precisely, if I is a yes-instance, then I' too by taking $X_{OPT} \setminus Y$ as a solution. Reciprocally, if I' has a solution X' of size at most $2(k - |Y_0|)$ then $X' \cup Y_0$ is a solution for I of size at most $2(k - |Y_0|) + |Y_0| \leq 2k$. This proves the property. ◀

The remaining of this section shows that SYMMETRIC DIRECTED VERTEX MULTICUT COMPRESSION has a 2-approximation algorithm.

4.2 Finding a skew multicut of Y

The first step of our algorithm computes a set $X_0 \subseteq V(D) \setminus Y$ of at most k vertices such that there is no (y_j, y_i) -path in $D - X_0$ if $j > i$.

To do that, we use the problem SKEW VERTEX MULTICUT that is known to be FPT. We directly apply Theorem 6 to the instance $(D, ((y_1, y_2), (y_2, y_3), \dots, (y_{r-1}, y_r)), k)$ to compute a set X_0 of at most k vertices as wanted. Indeed, by definition of SKEW VERTEX MULTICUT, for every $j > i$, there is no (y_j, y_i) -path in $D - X_0$. This strong property will allow us to find in the next subsection a solution of size at most k in $D - X_0$.

4.3 Finding a solution in the simplified instance

This section shows how to compute a solution for $I = (D - X_0, C, k, Y)$. This will result in a set X_1 of size at most k such that there is no pair s_i, t_i strongly connected in $D - X_0 - X_1$, that is, $X_0 \cup X_1$ is a solution of size at most $2k$.

To do that, first note that any vertex $v \in V(D) \setminus Y$ can be strongly connected with at most one vertex in Y in $D - X_0$. Our first claim shows that we can assume that exactly one vertex in Y is strongly connected with v .

▷ **Claim 9.** If $v \in V(D) \setminus (X_0 \cup Y)$ is strongly connected to no vertex in Y in $D - X_0$, then $I' = (D - X_0 - v, C \setminus \{ab \in C \mid a = v \text{ or } b = v\}, k, Y)$ and I have the same set of solutions.

Proof. Clearly, if I has a solution X' , then X' is a solution for I' as every closed walk in $D - X_0 - v$ is also in $D - X_0$. Reciprocally, if X' is a solution for I' , then adding v to $D - X_0 - v - X'$ does not create any closed walk passing through at least one vertex in Y . But any closed walk passing through a cut request $(s, t) \in C$ must pass through at least one vertex in Y . It follows that no pair of terminals is strongly connected in $D - X_0 - X'$ and X' is a solution for I . \triangleleft

Thus, we can remove every vertex strongly connected to no vertex in Y . We now denote by $\ell(v)$ the unique integer such that v is strongly connected with $y_{\ell(v)}$.

\triangleright **Claim 10.** Let $(s, t) \in C$ be a terminal arc. If $\ell(s) \neq \ell(t)$, then $I'' = (D, C \setminus \{(s, t)\}, k, Y)$ and I' have the same set of solutions.

Proof. Clearly, if I' has a solution, then I'' too. Reciprocally, if I'' has a solution X'' , then every terminal arc different from s, t is not strongly connected in $D - X_0 - X''$. But s and t can not be strongly connected as s and t are not strongly connected in $D - X_0$. Thus, X'' is a solution for I' too. \triangleleft

We now assume that for every pair of terminal s, t , $\ell(s) = \ell(t)$. The next claim shows that we can process each strongly connected component in $D - X_0$ independently.

\triangleright **Claim 11.** If there is an arc uv with u and v not strongly connected in $D - X_0$, then $I'' = (D - uv, C, k, Y)$ and I' have the same set of solutions.

Proof. If I' has a solution X' , then X' is clearly a solution for I'' . Reciprocally, if X'' is a solution for I'' , then adding uv to $D - X_0 - uv$ does not create any closed walk, and thus X'' is a solution for I' too. \triangleleft

Now, we assume that $D - X_0$ has $|Y|$ weakly connected components Y_1, \dots, Y_r such that for every i , $V(Y_i) \cap Y = \{y_i\}$. Observe that now the weakly connected components are strongly connected. Let X_{OPT} be an optimal solution for I' . Then we guess the values $k_i = |X_{OPT} \cap Y_i|$, which multiplies the complexity of our algorithm by at most $(k+1)^{|Y|} = k^{\mathcal{O}(k)}$. Now, we solve each instance $I_i = (Y_i, C, k_i, \{y_i\})$ independently.

The key result is the following “pushing” claim, that shows how to construct X_1 as a union of important cuts. We denote by $X_{i,OPT} = X_{OPT} \cap Y_i$ a solution of I_i , that we suppose to exist.

\triangleright **Claim 12.** Let $(s, t) \in C$ be a terminal arc strongly connected in Y_i . Let $(a, b) \in \{(s, y_i), (y_i, s), (t, y_i), (y_i, t)\}$ be such that $X_{i,OPT}$ includes an (a, b) -cut.

- if $a = y_i$, let S be the set of vertices in $X_{i,OPT}$ with an in-neighbour reachable from y_i in $Y_i - X_{i,OPT}$ and S' be the anti-important (a, b) -cut given by Property 2. Then $X' = (X_{OPT} \setminus S) \cup S'$ is a solution for I_i too,
- symmetrically, if $b = y_i$, let S be the set of vertices in $X_{i,OPT}$ with an out-neighbour that reaches y_i in $Y_i - X_{i,OPT}$ and S' be the important (a, b) -cut given by Property 2. Then $X' = (X_{OPT} \setminus S) \cup S'$ is a solution for I_i too.

Proof. As s and t are not strongly connected in $Y_i - X_{i,OPT}$, $X_{i,OPT}$ must contain an (a, b) -cut for at least one $(a, b) \in \{(s, y_i), (y_i, s), (t, y_i), (y_i, t)\}$. It is enough to show the first point, as the second one is the first one applied to D^{op} the digraph obtained from D by reversing every arc.

First, as $|S'| \leq |S|$, we have $|X'| \leq |X_{i,OPT}| \leq k_i$. It remains to show that there is no pair $(s', t') \in C$ strongly connected in $Y_i - X'$. Suppose that such a counterexample (s', t') exists. Then there exists a closed walk P passing through y_i, s' and t' . This walk must pass

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through $S' \setminus S$ as it does not exist in $Y_i - X_{i,OPT}$. But then there exists $v \in S \setminus S'$ reachable from y_i in $Y_i - S'$, contradicting the fact that the set of vertices reachable from y_i in $Y_i - S$ includes the set of vertices reachable from y_i in $Y_i - S'$. \triangleleft

We can now give the algorithm that solves $I_i = (Y_i, C, k_i, \{y_i\})$ as Algorithm 1.

■ **Algorithm 1** Algorithm for single-terminal case $I_i = (Y_i, C, k_i, \{y_i\})$.

```

 $X_i \leftarrow \emptyset;$ 
while there exists  $(s, t) \in C \cap V(Y_i)^2$  strongly connected in  $Y_i - X_i$  do
    | guess a direction  $(a, b) \in \{(s, y_i), (y_i, s), (t, y_i), (y_i, t)\};$ 
    | if  $a = y_i$  then
    | | guess an anti-important  $(a, b)$ -cut  $S'$  of size at most  $k_i - |X_i|;$ 
    | else
    | | guess an important  $(a, b)$ -cut  $S'$  of size at most  $k_i - |X_i|;$ 
    | end
    | add  $S'$  to  $X_i;$ 
end
return  $X_i;$ 

```

If the algorithm returns a value, then it is clearly a solution. We now show that there exists a sequence of guesses that leads to a solution if it exists. More precisely, we show that the following invariant holds: At every iteration of the loop, there is a possible value of X_i such that X_i can be extended to a solution for I_i if it exists. This invariant initially holds. If the results holds at some iteration for a set X_i , let $X_{i,OPT}$ be a solution that contains X_i , and for the first guess take (a, b) such that $X_{i,OPT}$ contains an (a, b) -cut S . By Claim 12 there exists an important or anti-important (a, b) -cut S' of size at most $|S|$ such that $(X_{i,OPT} \setminus S) \cup S'$ is still a solution. Thus, there exists a solution that contains S' and we can safely add it to X_i .

To see that the algorithm works in time $8^k n^{\mathcal{O}(1)}$, consider the recursion tree formed by recursively branching over all possible values of a guess, for each guess made in the algorithm. We denote by $t(k)$ the number of leaves of this recursion tree in the worst case. We show by induction on k that $t(k)4^{-k} \leq 4^k$. If $k = 0$, the result is clear. Otherwise, if we assume the result for smaller values of k , then we have

$$t(k)4^{-k} \leq 4 \sum_{S \in \mathcal{S}_k} t(k - |S|)4^{-k} \leq \sum_{S \in \mathcal{S}_k} t(k - |S|)4^{-(k - |S|)} \leq \sum_{S \in \mathcal{S}_k} 4^{k - |S|} \leq 4^k \sum_{S \in \mathcal{S}_k} 4^{-|S|}$$

where \mathcal{S}_k is the set of important (or anti-important) (a, b) -cuts that is enumerated in the algorithm. It follows by Property 4 that $t(k) \leq 8^k$. We note that the algorithm can easily be made deterministic by replacing each guessing step by an exhaustive branching; we omit the details.

These two steps give us a 2-approximation algorithm.

► **Theorem 13.** *The exists an algorithm with running time $k^{\mathcal{O}(k)} n^{\mathcal{O}(1)}$ such that given an instance of SYMMETRIC DIRECTED VERTEX MULTICUT and an integer k , either it concludes that there is no solution of size at most k , or it returns a solution of size at most $2k$.*

Proof. Let $I = (D, C, k, Y)$ be a SYMMETRIC DIRECTED VERTEX MULTICUT COMPRESSION instance. First, compute a skew multicut of Y using Section 4.2. This gives a set X_0 of at most k vertices, if I has a solution. Then we apply Section 4.3 to find a set X_1 of at most k vertices that is a solution for $(D - X_0, C, k, Y)$. We can now conclude that $X_0 \cup X_1$ is a 2-approximation as $|X_0 \cup X_1| \leq 2k$. \blacktriangleleft

5 An exact algorithm for SYMMETRIC DIRECTED MULTIWAY CUT

In this section, we give an exact (i.e., non-approximate) FPT algorithm for a particular case of SYMMETRIC DIRECTED VERTEX MULTICUT.

SYMMETRIC DIRECTED MULTIWAY VERTEX CUT

Input: A digraph D , a set of terminals $T \subseteq V(D)$, $k \in \mathbb{N}$.

Parameter: k

Output: find, if there exists, $X \subseteq V(D)$ with $|X| \leq k$ such there is no pair of distinct terminals $t, t' \in T \setminus X$ strongly connected in $D - X$.

► **Theorem 14.** SYMMETRIC DIRECTED MULTIWAY VERTEX CUT can be solved in time $2^{\mathcal{O}(k^3)} n^{\mathcal{O}(1)}$.

Actually, we will prove that a more general problem very closely related to DIRECTED SUBSET FEEDBACK ARC SET is FPT. Chitnis et al. [5] proved that the problem DIRECTED SUBSET FEEDBACK ARC SET is FPT. We adapt here their method to the following problem.

ARC TERMINAL SYMMETRIC MULTIWAY CUT

Input: A digraph D having possibly loops, a list A_1, \dots, A_ℓ of arcs in D , such that for every i , $A_i = S_i \times T_i$ for some (not necessarily disjoint) sets S_i and T_i of vertices.

Parameter: k

Output: find, if there exists, a set X of at most k vertices such that any closed walk in $D - X$ intersects at most one A_i .

Note that we allow repetition in the list A_1, \dots, A_ℓ . In this case, if $A_i = A_j$ for some $i \neq j$, then every closed walk intersecting $A_i = A_j$ has to be cut. We will call the arcs in $\bigcup_i A_i$ the *terminal arcs*.

First we show that SYMMETRIC DIRECTED MULTIWAY VERTEX CUT reduces to ARC TERMINAL SYMMETRIC MULTIWAY CUT in FPT time. Indeed, given an instance $I = (D, T = \{t_1, \dots, t_\ell\}, k)$ of SYMMETRIC DIRECTED MULTIWAY VERTEX CUT, we consider the ARC TERMINAL SYMMETRIC MULTIWAY CUT instance $I' = (D, (A_1, \dots, A_\ell), k)$ where $A_i = \{t_i\} \times N_D^+(t_i)$. Now one can easily see that X is a solution for I if and only if it is a solution for I' . Hence it is enough to find an FPT algorithm for ARC TERMINAL SYMMETRIC MULTIWAY CUT.

5.1 Iterative compression and first guesses

By Property 3, it is enough to find an FPT algorithm for the compression problem associated to ARC TERMINAL SYMMETRIC MULTIWAY CUT. Thus suppose that a first solution Y of size $k + 1$ is given, and we want to find a solution X_{OPT} of size at most k . First, we guess the intersection $Y \cap X_{OPT}$, and we remove it. Now we assume that X_{OPT} is disjoint from Y . If two vertices $y, y' \in Y$ are strongly connected in $D - X_{OPT}$, then we can merge them without breaking the solution X_{OPT} , and without making the instance easier. Now we can suppose that no two vertices in Y are strongly connected in $D - X_{OPT}$. Hence there is a topological ordering $y_1, \dots, y_{|Y|}$ of Y such that there is no (y_j, y_i) -path in $D - X_{OPT}$ if $j > i$. Given this ordering, we can add the arc $y_i y_j$ for every $i < j$ without breaking the solution X_{OPT} , and without making the instance easier. To summarise, by multiplying the running time of the algorithm by at most $(k + 2)^{k+1} n^{\mathcal{O}(1)}$, it is enough to find an FPT algorithm for the following problem.

ARC TERMINAL SYMMETRIC MULTIWAY CUT COMPRESSION

Input: A digraph D (having possibly loops), a list A_1, \dots, A_ℓ of arcs in D , such that for every i , $A_i = S_i \times T_i$ for some (not necessarily disjoint) sets S_i and T_i of vertices, and an ordered set $Y = (y_1, \dots, y_r)$ of vertices such that:

1. for every $i \neq j$, no closed walk in $D - Y$ intersects both A_i and A_j , and
2. for every $1 \leq i < j \leq r$, $y_i y_j$ is an arc in D .

Parameter: $k + r$

Output: find, if there exists, a set X of at most k vertices such that

1. X is disjoint from Y ,
2. any closed walk in $D - X$ intersects at most one A_i , and
3. there is no (y_j, y_i) -path in $D - X$ if $j > i$.

5.2 Shadow removal

Let $I = (D, (A_1, \dots, A_\ell), k, Y)$ be an ARC TERMINAL SYMMETRIC MULTIWAY CUT COMPRESSION instance. To show that we can assume the solution to be shadowless, let \mathcal{F} be the family containing all closed walks intersecting at least two distinct sets A_i, A_j and all (y_j, y_i) -walks for $j > i$. Note that \mathcal{F} is Y -connected and that the problem is precisely to find an \mathcal{F} -transversal X disjoint from Y . We apply Theorem 5 with \mathcal{F} , giving us a family of $t = 2^{\mathcal{O}(k^2)} \log^2 n$ sets disjoint from Y , and we guess one of them, say Z , to be such that if I has a solution, then there exists a solution X disjoint from Z and with shadow contained in Z . As we consider the shadow from Y , vertices in Y can not be in the shadow of a solution, so we can assume Z and Y disjoint by replacing Z by $Z \setminus Y$.

We now define another instance $I/Z = (D', (A'_1, \dots, A'_\ell), k, Y)$ equivalent to I in the following sense:

1. if I has a solution that is disjoint from Z and with shadow contained in Z , then I/Z has a shadowless solution, and
2. if I/Z has a solution, then I does too.

The construction is the following. If $D[Z]$ contains a closed walk W such that at least two A_i, A_j intersects W , reject Z . Otherwise construct the following. Let a Z -walk be a walk in D with endpoints in $V(D')$ and internal vertices, if any, in Z .

- $V(D') = V(D) \setminus Z$;
- $E(D')$ is the set of all arcs uv such that there is a Z -walk from u to v in D ;
- for every $i = 1, \dots, \ell$, A'_i is the set of arcs uv such that there is a Z -walk from u to v intersecting A_i . In particular, $A_i \cap E(D') \subseteq A'_i$ as a Z -walk can have no internal vertices.

First, we need to check that I/Z is indeed an instance of ARC TERMINAL SYMMETRIC MULTIWAY CUT COMPRESSION

▷ **Claim 15.** For every $i = 1, \dots, \ell$, $A'_i = S'_i \times T'_i$ for some sets S'_i and T'_i of vertices.

Proof. It is enough to show that if $uv, u'v' \in A'_i$, then $uv' \in A'_i$. By definition, there exists a Z -walk W (resp. W') from u to v (resp. u' to v'), with possibly no internal vertices, which goes through a terminal arc $ab \in A_i$ (resp. $a'b' \in A_i$), where the terminal arc may be a loop. As $A_i = S_i \times T_i$, we have $ab' \in A_i$, and so by combining a prefix of W with a suffix of W' , there is a Z -walk from u to v' containing an arc in A_i . This shows that $uv' \in A'_i$. \triangleleft

▷ **Claim 16.** I/Z is an instance of ARC TERMINAL SYMMETRIC MULTIWAY CUT COMPRESSION.

Proof. By Claim 15, $A'_i = S'_i \times T'_i$ for every i , and the arcs $y_i y_j$, $i < j$ remain in D' . It remains to check that Y is a solution for D' . Assume to the contrary, and let W be a closed walk in $D' - Y$ intersecting two sets A_i and A_j , $i \neq j$. But then W expands into a closed walk W' in D by replacing every arc of W with a corresponding Z -walk. Since $Y \cap Z = \emptyset$, this is a closed walk in D intersecting A_i and A_j , disjoint from Y . This is a contradiction. \triangleleft

\triangleright Claim 17. If I has a solution disjoint from Z and with shadow contained in Z , then I/Z has a shadowless solution.

Proof. Let X be a solution of I disjoint from Z and with shadow contained in Z . We claim that X is a shadowless solution of I/Z .

First, let's see why X is a solution of I/Z . Suppose for contradiction that $D' - X$ contains a closed walk W' containing two terminal arcs $uv \in A'_i$ and $u'v' \in A'_j$ for some distinct indices i and j . Then we construct a closed walk W in $D - X$ intersecting both A_i and A_j : replace in W' the arc uv (resp. $u'v'$) by a Z -walk from u to v (resp. u' to v') intersecting A_i (resp. A_j), and for every other arc $xy \in W'$ which is not in D , replace xy by a Z -walk from x to y . This gives a closed walk W in $D - X$ intersecting both A_i and A_j , contradicting the fact that X is a solution of I . Similarly, if there is a (y_j, y_i) -path P' in $D' - X$ for some $j > i$, then we can expand P' into a (y_j, y_i) -walk W in $D - X$, which can be shortcut into a (y_j, y_i) -path P in $D - X$.

Now we show that X is shadowless in I' . For every vertex $u \in V(D) \setminus Z$, we know that there is a (u, Y) -path P^+ (resp. (Y, u) -path P^-) in $D - X$, as the shadow of X is included in Z . Then we replace every Z -walk in P^+ (resp. P^-) by the arc linking its endpoints. This gives a (u, Y) -path (resp. (Y, u) -path) in $D' - X$, and so v is not in the shadow. This proves that X is shadowless in D' . \triangleleft

\triangleright Claim 18. If I/Z has a solution then I too.

Proof. Suppose that I/Z has a solution X . We claim that X is a solution for I too.

Suppose for contradiction that $D - X$ has a closed walk W intersecting both A_i and A_j for some distinct indices i and j . Then construct the closed walk W' in $D' - X$ as follows: replace every Z -walk in W by the arc linking its endpoints. This creates a closed walk W' in $D' - X$ intersecting both A'_i and A'_j , contradicting the fact that X is a solution for I' . A similar step applies if $D - X$ contains a (y_j, y_i) -path for some $j > i$. \triangleleft

As a consequence, we are able to transform the original instance I into an equivalent instance I/Z which has a shadowless solution. Guessing Z multiplies the running time by at most $2^{\mathcal{O}(k^2)} \log^2 n$, and then computing I/Z is performed in polynomial time.

5.3 Finding a shadowless solution

We now suppose that $I = (D, (A_1, \dots, A_\ell), k, Y)$ has a shadowless solution X_{OPT} . Remember that y_1, \dots, y_r is an ordering of Y such that there is no (y_j, y_i) -path in $D - X_{OPT}$ if $j > i$, and for every $j > i$, $y_i y_j$ is an arc in D . As the solution X_{OPT} we are searching for is shadowless, every vertex in $D - X_{OPT}$ reaches Y , and so y_r (because y_r is dominated by $Y \setminus \{y_r\}$).

Another observation is that for at most one index i_0 , A_{i_0} contains a terminal arc strongly connected with y_r in $D - X_{OPT}$. In what follows, we implicitly suppose that i_0 exists, otherwise we can set by convention $A_{i_0} = \emptyset$. As X_{OPT} is shadowless, an arc uv is strongly connected with y_r in $D - X_{OPT}$ if and only if

1. y_r reaches u in $D - X_{OPT}$ and
2. $v \notin X_{OPT}$.

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The next claim allows us to find the set of vertices v which violates the second condition. Let R denote the set of vertices reachable from y_r in $D - X_{OPT}$ and note by shadowlessness that R precisely describes the strongly connected component of y_r in $D - X_{OPT}$. Say that A_i is *active in X_{OPT}* if $i \neq i_0$ and $S_i \cap R \neq \emptyset$ (and note that this implies $T_i \subseteq X_{OPT}$).

▷ **Claim 19** (Derived from Theorem 5.4 [5]). One can find in time $2^{\mathcal{O}(k)} n^{\mathcal{O}(1)}$ a collection of pairs (I, T_c) where $I \subseteq [\ell]$ and $T_c \subseteq V(D)$, such that the following hold:

1. the number of pairs (I, T_c) produced is $k^{\mathcal{O}(1)} \log n$
2. for every pair, $|I| + |T_c| \leq (2k + 1)4^{2k+1}$
3. for at least one pair (I, T_c) we have $i_0 \in I$ if $A_{i_0} \neq \emptyset$, and for every $i \in [\ell]$ such that A_i is active in X_{OPT} we have $T_i \subseteq T_c$

Proof. Assume that $A_{i_0} \neq \emptyset$ as otherwise the result is easier, and let $uv \in A_{i_0}$ with $u, v \in R$. We begin by computing a subset $U \subseteq V(D)$ such that $v \in U$ and $U \cap X_{OPT} = \emptyset$. This can be done randomly with success probability $\Theta(1/k)$ by sampling every vertex independently with probability $1/k$, but the process can also be derandomized by a (n, k, k^2) -splitter; see Naor et al. [18]. In particular, in polynomial time we can compute a family of subsets $U_i \subseteq V(D)$ such that the family contains $k^{\mathcal{O}(1)} \log n$ members and at least one member meets the conditions for U . We repeat the steps below for every member U_i in the family.

From now on, let us assume that we have such a set U . Create a graph D' as follows. For every $v \in V(D)$, create two vertices v^-, v^+ . For every $i \in [\ell]$, create a vertex z_i and add the arcs $\{u^+ z_i \mid u \in S_i\}$ and $\{z_i v^- \mid v \in T_i\}$. For every arc $uv \in E(D)$, add the arc $u^+ v^+$. Finally, add vertices s and t , the arc sy_r^+ , and the arc $v^- t$ for every $v \in V(D)$. Finally, for every vertex $v \in U$ give v^- capacity $2k + 2$ by replacing v^- by a set of $2k + 2$ false twins. Let T'_c be the union of all important (s, t) -cuts in D' of size at most $2k + 1$. By Property 4, T'_c can be computed in time $2^{\mathcal{O}(k)} n^{\mathcal{O}(1)}$ and $|T'_c| \leq (2k + 1)4^{2k+1}$. Finally we set $I = \{i \mid z_i \in T'_c\}$ and $T_c = \{v \in V(D) \mid v^- \in T'_c\}$. Clearly $|I| + |T_c| \leq |T'_c| \leq (2k + 1)4^{2k+1}$.

We claim that I contains i_0 , and that for every A_i that is active in X_{OPT} we have $T_i \subseteq T_c$. Indeed, define the set $X' = \{v^-, v^+ \mid v \in X_{OPT}\} \cup \{z_{i_0}\}$ and recall by assumption that $X_{OPT} \cap U = \emptyset$. Note that X' is an (s, t) -cut. Indeed, assume to the contrary that there is an (s, t) -path P in $D' - X'$. Then the last arcs of P must be $u^+ z_i$, $z_i v^-$ and $v^- t$ for some $i \in [\ell]$, $uv \in A_i$. We may also assume that the entire prefix of P before z_i visits only s and vertices w^+ , $w \in V(D)$. But then that prefix proves $u \in R$; $z_i \notin X'$ implies $i \neq i_0$; and $v^- \notin X'$ implies $v \notin X_{OPT}$. This contradicts that only A_{i_0} is strongly connected to y_r in $D - X_{OPT}$. Also note $|X'| \leq 2k + 1$. Now by Property 2 we can push X' to an important (s, t) -cut X'' of size at most $2k + 1$, hence $X'' \subseteq T'_c$.

We claim that $z_{i_0} \in X''$ and for every A_i active in X_{OPT} we have $\{v^- \mid v \in T_i\} \subseteq X''$. For the former, by assumption $u \in R$, hence either $z_{i_0} \in X''$ or the cut has been pushed closer to t . But since $v \in U$ and v has been given high capacity, pushing the cut past z_{i_0} would contradict the size bound of $2k + 1$. Hence $z_{i_0} \in X''$. For the latter, assume that A_i is active in X_{OPT} . Then there is a vertex $u' \in S_i \cap R$, hence $z_i \in R$, and the cut cannot push past the vertices $v^-, v \in T_i$ since $v^- t \in E(D')$. ◁

Now we can guess the correct pair (I, T_c) . Therefore, we can guess $i_0 \in I$ (or the case that $A_{i_0} = \emptyset$) and $X_{OPT} \cap T_c$, and remove these vertices from D . This multiplies the running time by at most $(2k + 1)4^{2k+1} \binom{(2k+1)4^{2k+1}}{k} \log n = 2^{\mathcal{O}(k^2)} \log n$, and now we can assume that for every $i \in [\ell]$ except i_0 , A_i is not active. Furthermore, if $A_{i_0} \neq \emptyset$ then we add all arcs $\{y_r\} \times T_{i_0}$ to the graph. Next claim shows how to start the construction of a solution using these assumptions.

▷ **Claim 20.** Adding the arcs $\{y_r\} \times T_{i_0}$ does not affect the solution. Furthermore, let S be the set of vertices in X_{OPT} which have an in-neighbour reachable from y_r in $D - X_{OPT}$. There exists an important $(\{y_r\}, Y \setminus \{y_r\} \cup \bigcup_{i \neq i_0} S_i)$ -cut S' of size at most $|S|$ such that $(X_{OPT} \setminus S) \cup S'$ is a solution to I .

Proof. We first note that since $R \cap S_{i_0} \neq \emptyset$, then for every $v \in T_{i_0}$ either $v \in R$ or $v \in X_{OPT}$ (for example due to blocking paths from y_r to some y_i , $i < r$). Hence adding the arcs $\{y_r\} \times T_{i_0}$ has no effect on the solution. However, it does simplify the important separator step below.

Now observe that S is a $(\{y_r\}, Y \setminus \{y_r\} \cup \bigcup_{i \neq i_0} S_i)$ -cut. By Property 2, there exists an important $(\{y_r\}, Y \setminus \{y_r\} \cup \bigcup_{i \neq i_0} S_i)$ -cut S' with $|S'| \leq |S|$ such that every vertex reachable from y_r in $D - S$ is still reachable from y_r in $D - S'$. We prove that $X' := (X_{OPT} \setminus S) \cup S'$ is a solution for I . Clearly $|X'| \leq k$, so we only need to show that X' cuts all the closed walks intersecting several of the sets A_1, \dots, A_ℓ and all (y_j, y_i) -paths, $j > i$.

Suppose for contradiction that there exists two distinct indices $i \neq j$ and a closed walk W such that W intersects both A_i and A_j . First, $i \neq i_0$ and $j \neq i_0$: since the arc $y_r v$ is added for every $v \in T_{i_0}$, either $v \in X_{OPT}$ or $v \in R$. Thus there is no path from T_{i_0} to S_i for any $i \neq i_0$ in $D - X'$ by the choice of the cut S' . Moreover, W must intersect S , as otherwise W is a closed walk in $D - X_{OPT}$, contradicting the fact that X_{OPT} is a solution. Let s be a vertex in $S \cap W$, then either $s \in S'$, and so S' intersects W ; or s is reachable from y_r in $D - S'$. But then S_i is reachable from y_r in $D - S'$, contradicting the fact that S' is an $(y_r, \bigcup_{i \neq i_0} S_i)$ -cut. This contradiction proves that X' is a solution. By a similar argument, X' also cuts all (y_j, y_i) -paths for $j > i$. ◁

Note that $(X_{OPT} \setminus S) \cup S'$ might have a non empty shadow. This is not a problem as we will apply the shadow removal procedure at each step.

We can now give the algorithm \mathcal{A}' on the instance $(D, (A_i), k, Y)$ of ARC TERMINAL SYMMETRIC DIRECTED MULTIWAY CUT COMPRESSION:

1. reduce to the shadowless case by applying Subsection 5.2;
2. compute (and guess) (I, T_c) with Claim 19, guess $i_0 \in I \cup \{0\}$ and $X_c := X_{OPT} \cap T_c \subseteq T_c$;
3. let $D' = D - X_c$, and if $i_0 \neq 0$, add all arcs $\{y_r\} \times T_{i_0}$;
4. guess an important $(\{y_r\}, Y \setminus \{y_r\} \cup \bigcup_{i \neq i_0} S_i)$ -cut S of size at most $k - |X_c|$ in D' ;
5. if $\mathcal{A}'(D - S - X_c, (A_i), k - |S| - |X_c|, Y \setminus \{y_r\})$ returns a solution X' , return $S \cup X_c \cup X'$; otherwise proceed with the next guess or return “no solution”.

First, it is easy to see that if this algorithm returns a set X , then X is a solution of the input instance. Moreover, by all the previous claims, if there exists a solution, then there exists a sequence of guesses which will find it. This algorithm explores a tree of depth at most k with maximum degree $2^{\mathcal{O}(k^2)} \log^3 n$, and each node is processed in time $2^{\mathcal{O}(k^2)} n^{\mathcal{O}(1)}$. Hence the total running time is at most

$$\left(2^{\mathcal{O}(k^2)} \log^3 n\right)^k 2^{\mathcal{O}(k^2)} n^{\mathcal{O}(1)} = 2^{\mathcal{O}(k^3)} n^{\mathcal{O}(1)}$$

using in particular Lemma 21 from the appendix. This completes the proof of Theorem 14.

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A Missing proofs

Proof of Proposition 3. Let $A'(D, T, k)$ be an algorithm solving the problem \mathcal{L}' in time $t(k, |Y|)n^c$. We now solve the original problem \mathcal{L} as follows. Consider an arbitrary ordering v_1, \dots, v_n of $V(D)$. We will compute iteratively a set $X_i \subseteq \{v_1, \dots, v_i\}$ of size at most αk which is a solution of the partial instance I_i induced by $\{v_1, \dots, v_i\}$.

We start with $X_0 = \emptyset$, which is a solution of I_0 by assumption. Then, if V_i is already computed, we apply \mathcal{A}' to $(D[\{v_1, \dots, v_{i+1}\}], T, X_i \cup \{v_{i+1}\}, k)$, which returns by assumption a solution of size at most αk , or says that there is no solution of size at most k , and in this latter case we return "no" directly. This call is valid because $X_i \cup \{v_{i+1}\}$ is a solution of $(D[\{v_1, \dots, v_{i+1}\}], T, X_i \cup \{v_{i+1}\})$ of size at most $\alpha k + 1$.

This algorithm consists in n calls to \mathcal{A}' with the solution to compress of size at most $\alpha k + 1$. Hence its running time is at most $t(k, \alpha k + 1)n^{c+1}$. ◀

► **Lemma 21.** *If $n \geq 2^{16}$ and $p \geq 0$, then $(\log n)^p \leq n + p^{2p}$.*

Proof. If $p \geq \sqrt{\log n}$ then $n \leq 2^{p^2}$ and $(\log n)^p \leq p^{2p}$.

Otherwise, $p < \sqrt{\log n}$. First, we show the following property:

$$n \geq 2^{16} \Rightarrow \sqrt{\log n} \leq \frac{\log n}{\log \log n}$$

To prove that, note that this property is equivalent to $2 \log N \leq N$ with $N = \sqrt{\log n}$. Then $N \geq 4$ is a sufficient condition, and $n \geq 2^{16}$ too. Now we apply this result and we get $p \leq \sqrt{\log n} \leq \frac{\log n}{\log \log n}$. It follows that $(\log n)^p \leq n$. ◀