Approximation Schemes for Min-Sum $k$-Clustering

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Abstract

We consider the Min-Sum $k$-Clustering ($k$-MSC) problem. Given a set of points in a metric which is represented by an edge-weighted graph $G = (V,E)$ and a parameter $k$, the goal is to partition the points $V$ into $k$ clusters such that the sum of distances between all pairs of the points within the same cluster is minimized.

The $k$-MSC problem is known to be APX-hard on general metrics. The best known approximation algorithms for the problem obtained by Behsaz, Friggstad, Salavatipour and Sivakumar [Algorithmica 2019] achieve an approximation ratio of $O(\log |V|)$ in polynomial time for general metrics and an approximation ratio $2 + \epsilon$ in quasi-polynomial time for metrics with bounded doubling dimension. No approximation schemes for $k$-MSC (when $k$ is part of the input) is known for any non-trivial metrics prior to our work. In fact, most of the previous works rely on the simple fact that there is a 2-approximate reduction from $k$-MSC to the balanced $k$-median problem and design approximation algorithms for the latter to obtain an approximation for $k$-MSC.

In this paper, we obtain the first Quasi-Polynomial Time Approximation Schemes (QPTAS) for the problem on metrics induced by graphs of bounded treewidth, graphs of bounded highway dimension, graphs of bounded doubling dimensions (including fixed dimensional Euclidean metrics), and planar and minor-free graphs. We bypass the barrier of 2 for $k$-MSC by introducing a new clustering problem, which we call min-hub clustering, which is a generalization of balanced $k$-median and is a trade off between center-based clustering problems (such as balanced $k$-median) and pair-wise clustering (such as Min-Sum $k$-clustering). We then show how one can find approximation schemes for Min-hub clustering on certain classes of metrics.

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1 Introduction

Clustering is a fundamental problem in many areas of data analysis and machine learning and has many applications across various fields. Given a set of points with a notion of similarity (distance) between every pair of points, in a typical $k$ clustering problem, the task is to partition the points into $k$ clusters to minimize dissimilarities of the points that fall into the same cluster.

In the well-known center-based $k$-clustering problems (such as $k$-center, $k$-median, $k$-means), the partition is obtained by selecting a set of $k$ centers and assigning each point to its nearest center. The clusters are then evaluated based on the distances between the points and their centers: in the case of $k$-center, the objective is to minimize the maximum distance of a point to its nearest center, while in the case of $k$-median ($k$-means), respectively, the
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Figure 1 Clustering of a set of points: (a) a possible center-based clustering induced by a Voronoi diagram of two cluster centers, and (b) a min-sum $k$-clustering solution for $k = 2$. Observe that the min-sum $k$-clustering solution in (b) places all outliers into a separate cluster.

Objective is to minimize the sum of distances (the sum of squared distances, respectively) between points and their centers. Compared to other clustering algorithms, center-based algorithms are efficient for clustering large datasets as the main task reduces to selecting $k$ centers; once we decide on the set of centers, points that are closest to a particular center are considered to be part of the cluster represented by that center. Center-based clustering algorithms are not always precise because they heavily rely on the assumption that each cluster has a spherical shape and hence can be represented by one center.

In pair-wise $k$-clustering, on the other hand, the goal of partitioning is to minimize the dissimilarity between pairs of points that are in the same cluster. For example, in the case of the $k$-diameter problem, the goal is to minimize the maximum distance between any two points in a cluster; or in the min-sum $k$-clustering problem, the goal is to minimize the sum of distances between all pairs of the points within the same cluster.

Unlike center-based clustering problems, min-sum $k$-clustering (which is the main focus of this paper) is less sensitive to the shape of clusters because it forms clusters based on the pair-wise distances between points rather than the distances of points to their cluster center. Also, as observed in [8], min-sum $k$-clustering can handle (detect) noises (outliers) in an effective way: in scenarios where data include well-defined clusters and a limited number of scattered noises (outliers), assigning an outlier to one of the clusters would be more costly than placing it in an outlier cluster that holds all the outliers. This results in a solution with a separate cluster specifically for outliers, avoiding the limitations of center-based clustering algorithms, which rely on Voronoi partitioning to divide the data space into clusters and are unable to handle overlapping cluster spaces. See Figure 1.

We now formally define the min-sum $k$-clustering problem. Given a metric space over a set of $n$ points $V$ with metric distances $d(u, v)$ between any two $u, v \in V$. We assume the metric is induced by an edge-weighted graph $G = (V, E)$. In the Min-Sum $k$-Clustering problem ($k$-MSC), the goal is to partition points $V$ into $k$ clusters $C_1, \ldots, C_k$ to minimize the sum of pairwise distances between points assigned to the same cluster: $\sum_{i=1}^{k} \sum_{(u, v) \subseteq C_i} d(u, v)$. This problem is closely related to the Balanced $k$-Median problem ($k$-BM), with the same input as in $k$-MSC. Here, the goal is to select $k$ points $c_1, \ldots, c_k \in V$ as the centers of the clusters and partition points $V$ into clusters $C_1, \ldots, C_k$ to minimize $\sum_{i=1}^{k} |C_i| \sum_{v \in C_i} d(v, c_i)$.

Related Works

Sahni and Gonzalez introduced $k$-MSC in 1976 [13]. They showed the problem is $NP$-hard and provided a polynomial time $k$-approximation algorithm for the $k$-Max Cut problem, which is the dual of $k$-MSC and involves partitioning points into $k$ clusters to maximize the distance between points in different clusters. Kann et al. [12] showed it is $NP$-Hard.
A key challenge in extending the framework of [4] to work directly for
Specifically, let represented by centers to represent a cluster. Our results show that a cluster in the
represented by a single center. To address this, we explore the possibility of using multiple
demonstrated in Figure 1 (see the outlier cluster in red), not all
scheme for the new objective (and hence one for
of bounded treewidth, or bounded doubling dimension), the objective of min-hub clustering is
clustering. We show that for metrics with a nice hierarchical decomposition (such as graphs
k
of metric spaces into hierarchically separated trees (HSTs). They also provided a bi-criteria
dimensions, leading to a
quasi-polynomial time approximation scheme for
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 approximation algorithm with a constant approximation factor with
k
is fixed. Bartal et al. [3] introduced the first polynomial time approximation algorithm for both
clusters and
k
k
-MSC
and
k
-SC
in metric spaces. They devised an algorithm with an approximation factor of
O(\frac{1}{\epsilon} \log^{1+\epsilon} n) and running time of \frac{n^2}{\epsilon} for
k
-SC
. The algorithm is based on the embedding of metric spaces into hierarchically separated trees (HSTs). They also provided a bi-criteria
approximation algorithm with a constant approximation factor with O(k) clusters. Later,
Behsaz et al. [4] improved the result by utilizing the properties of HSTs through a direct
dynamic programming approach, leading to a O(log n) approximation algorithm for both
k
-SC
and
k
-SC
. This is the current best result for general metrics. They also present a
quasi-polynomial time approximation scheme for
k
-SC
in metrics with constant doubling dimensions, leading to a (2 + \epsilon)-approximation algorithm for the min-sum k-clustering problem that runs in quasi-polynomial time. More recently Banerjee et al. [2] gave a bi-criteria approximation for
k
-SC
with outliers: for any \epsilon > 0, given an instance with n points and any integer n’ \leq n, their algorithm finds a solution that clusters at least (1 - \epsilon)n’ points whose cost is poly(1/\epsilon) times the optimum clustering of n’ points.

For small values of k, Vega et al. [9] introduced the first polynomial time approximation scheme for
k
-SC
in metric spaces. The running time of their algorithm is O(n^{3k2^{-k^2}}).
Czumaj and Sohler [8], presented a (4 + \epsilon) approximation algorithm for
k
-SC
in metric spaces with a running time of linear for
k = o(\log n/\log\log n).

Our Results and Techniques
As mentioned earlier, the previous methods for designing approximation for
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-SC
attempt to approximate the cost using a center-based clustering objective (such as
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-SC
[3, 4] or a capacitated version of
k
median [2]). Such methods have a barrier of 2 (even for tree metrics).
A key challenge in extending the framework of [4] to work directly for
k
-SC
is to develop a compact representation of the cluster types in a near-optimal solution that can capture the
essence of the cluster without relying on a center.

Here we introduce a new clustering objective that is in between the pair-wise distances
objective of
k
-SC
and the center-based objective of
k
-SC
, which we call min-hub clustering. We show that for metrics with a nice hierarchical decomposition (such as graphs of bounded treewidth, or bounded doubling dimension), the objective of min-hub clustering is a good (namely (1 + \epsilon)) approximation of
k
-SC
and how one can obtain an approximation scheme for the new objective (and hence one for
k
-SC
).

In center-based clustering, a cluster is represented by a single center. However, as demonstrated in Figure 1 (see the outlier cluster in red), not all
k
-SC
clusters can be represented by a single center. To address this, we explore the possibility of using multiple centers to represent a cluster. Our results show that a cluster in the
k
-SC
solution can be represented by O(1) centers, which we refer to as hubs, while incurring an error of (1 + \epsilon).
Specifically, let H be a set of hubs. The hub-distance between two points u and v in a cluster
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$C$ is defined as the shortest path between the points that pass through hub points in $H$. Our results show that there exists a set of $H$ of constant size (depending on $\epsilon$) such that the sum of distances between all pairs of points within $C$ is “almost” equal to the sum of hub-distances between pairs of points in $C$. This suggests that the network interconnecting the hubs, called the backbone structure, carries the majority of the connection flow in the cluster. We represent a cluster by the type of its backbone structure and the distribution of points around its hubs.

In Section 2, we consider the special case of tree metrics. We construct a dynamic program for $k$-MSC on tree metrics that have a logarithmic height. In Section 3, we extend our approach to cover metrics with bounded treewidth, thereby covering general trees as well.

► Theorem 1. There is a quasi-polynomial time algorithm that, given an instance of $k$-MSC on a metric of treewidth $f$, for any $\epsilon > 0$ finds a $(1 + \epsilon)$-approximate solution in time $n^{O(f^2 + (\frac{\log n}{\epsilon})^{\sigma+1})}$, where $\sigma$ depends on $\epsilon$.

It is worth pointing out that, if one tries to extend the result from trees to graphs with treewidth $f$ in a natural way, the algorithm will have a run time of the form $n^{O(f^2 + (\frac{\log n}{\epsilon})^{\sigma+1})}$, which is still quasi-polynomial for fixed $f$, but will not be quasi-polynomial if $f = \text{Polylog}(n)$. This is essential to obtain the next three theorems, as we use embeddings into graphs with treewidths $f = \text{Polylog}(n)$.

In Section 4, using frameworks from [14], [10], and [7], we expand our results to three additional metric classes: bounded doubling metrics, bounded highway dimension metrics, and minor-free metrics, respectively.

► Theorem 2. There is a quasi-polynomial time algorithm that, given an instance of $k$-MSC on a metric of doubling dimension $D$, for any $\epsilon > 0$ finds a $(1 + \epsilon)$ approximate solution in time $n^{O((\frac{\log n}{\epsilon})^{2D} + (\frac{\log n}{\epsilon})^{\sigma+1})}$.

► Theorem 3. There is a quasi-polynomial time algorithm that, given an instance of $k$-MSC on a metric highway dimension $D$ and violation $\lambda$, for any $\epsilon > 0$ finds a $(1 + \epsilon)$ approximate solution in time $n^{O((\log n)^{\alpha} + (\frac{\log n}{\epsilon})^{\sigma+1})}$, where $\alpha = O((\log^2(\frac{D}{\lambda})) / \lambda)$.

► Theorem 4. There is a quasi-polynomial time algorithm that, given an instance of $k$-MSC in minor-free metrics, for any $1/2 > \epsilon > 0$ finds a $(1 + \epsilon)$ approximate solution in time $n^{e^{-O(1)} \log^{O(1)} n}$.

2 The $k$-MSC Problem in Tree Metrics

In this section, we construct a dynamic program for $k$-MSC on trees. Consider metric $(V, d)$ induced by an edge-weighted tree $T = (V, E)$. Let $w(e)$ denote the weight of edge $e$ in $E$.

We let $T$ be rooted at an arbitrary vertex $r \in V$. The parent of a vertex $v \in V \setminus \{r\}$ is the vertex adjacent to $v$ on the path from $v$ to $r$. If $u$ is the parent of $v$ then $v$ is a child of $u$. A tree vertex is called a leaf if it has no children and is called an internal vertex otherwise. The level of each node is the number of edges on the path from it to $r$. The height of the tree is the level of the leaf node with the highest level. We use $T_v$ to denote the subtree rooted at $v$, $V(T_v)$ and $E(T_v)$ to denote the vertex set and the edge set of $T_v$, respectively. By introducing zero-weight edges and nodes, we convert the tree into an equivalent binary tree. Note that the resulting binary tree has at most $2|V|$ nodes.

We use $C \subseteq V$ to denote a cluster and $D(C)$ to denote the total sum of the distances between all pairs of points in $C$; i.e., $D(C) = \sum_{\{u, v\} \subseteq C} d(u, v)$. We use $H \subseteq V$ to indicate a set of points referred to as hubs. The distance between any two points $u$ and $v$ in $C$, when
Leonardo de Vinci, da Vinci, and the Da Vinci Code

Leonardo da Vinci was an Italian polymath who lived in the years of the Renaissance. His contributions were in various fields, including art, science, and technology. He is perhaps best known for his painting "The Last Supper," which is considered one of the greatest works of Western art. Da Vinci was also a prolific inventor, and many of his designs have inspired modern technology. His notebooks contain sketches and ideas for inventions such as the flying machine, the helicopter, and the submarine. His style of drawing was highly influential and is still studied and admired today.

Leonardo da Vinci also had a deep interest in natural science. He studied anatomy, botany, and zoology, and his observations were later used by other scientists. His observations on human anatomy, for example, were used by Michelangelo and other artists. Da Vinci's sketchbooks are a valuable source of information about the way he saw and thought about the world.

In conclusion, Leonardo da Vinci was a remarkable figure whose work continues to influence and inspire people today. His contributions to art, science, and technology make him one of the most significant figures of the Renaissance. His legacy is remembered in the form of the Da Vinci Code, a novel that has captivated readers with its blend of history, art, and mystery.
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Note that there is at most one edge between any two groups, so $|\delta(g)| = O(1/\nu)$, $\forall g \in C_\nu$. The subgraphs induced by $g_i$’s are connected by construction. Thus, the algorithm has constructed a partition with the desired properties, as shown in Figure 2.

Note that each cluster covers only a subset of points, however, the groups of the cluster always include all the nodes of $V$. Given a cluster $C \subseteq V$ and a constant $\nu > 0$, let $C_\nu = \{g_1, \ldots, g_\sigma\}$ be the groups obtained by applying Lemma 5 on $C$ with the given value of $\nu$. We let $H_\nu(C) = \bigcup_{i=1}^{\sigma} \delta(g_i)$ denote the $\nu$-proper hubs of the cluster. Notice that the size of $|H_\nu(C)|$ is constant, depending on $\nu$.

Given a cluster $C \subseteq V$ and a constant $\nu > 0$, consider the $\nu$-proper hubs of the cluster, $H_\nu(C)$. We refer to $\text{cost} \_H_{\nu}(C) = \sum_{i=1}^{\sigma} \sum_{j=i+1}^{\sigma} \sum_{u \in V \cap C \cap V \cap g_i \cap C} d_{H_\nu(C)}(u, v)$ as the $\nu$-approximate cost of the cluster. This represents the sum of hub-distances between all pairs of points of $C$ belonging to different groups. The following lemma shows that $\text{cost} \_H_{\nu}(C)$ is “almost” equal to $D(C)$, when the value of $\nu$ is sufficiently small.

- **Lemma 6.** For each such cluster $C$ and any $\nu > 0$, $\text{cost} \_H_{\nu}(C) \leq D(C) \leq (1 + O(\nu))\text{cost} \_H_{\nu}(C)$.

The proof is omitted due to page limitations.

To make the presentation of our dynamic programming algorithm simpler, we formulate a problem with the same input and objective as the min-sum $k$-clustering problem, but the cost of clusters is evaluated by $\text{cost} \_H_{\nu}(C)$ instead of $D(C)$: Given a constant $\nu > 0$ and an edge-weighted tree $T = (V, E)$. In the Min-Hub $k$-Clustering problem (k-MHC), we are asked to partition points $V$ into $k$ clusters $C_1, \ldots, C_k$ to minimize $\sum_{i=1}^{k} \text{cost} \_H_{\nu}(C_i)$.

- **Theorem 7.** Let $\epsilon > 0$. A $(1 + \epsilon)$-approximation for $k$-MHC will imply a $(1 + O(\epsilon))$-approximation for $k$-MSC on tree metrics.

The proof is omitted due to page limitations.

### 2.1 QPTAS for k-MHC on Trees with Logarithmic Heights

Theorem 7 tells us that if we try to find a clustering which optimizes the objective of $k$-MHC, then the same clustering has a good value for the objective of $k$-MSC. Suppose we are given a tree $T = (V, E)$ that has a logarithmic height and a constant $\nu > 0$. Let $\text{OPT}$ be the minimum cost of partitioning $V$ into $k$ clusters $C_1, C_2, \ldots, C_k$ with the total cost being $\sum_{i=1}^{k} \text{cost} \_H_{\nu}(C_i)$. Given $\epsilon > 0$, we will present a dynamic program that finds a $(1 + \epsilon)$-approximation of $\text{OPT}$. This, as a result of Theorem 7, leads to a $(1 + O(\epsilon))$ approximation solution for $k$-MSC on trees with logarithmic heights. Then, in the next section, we will extend the dynamic program to cover metrics with bounded treewidth, thereby covering general trees as well.

**Preprocessing.** We assume each node of the tree has a *token* on it and our goal is to cluster the tokens. We may modify the tree by adding dummy edges (with zero weight) and dummy nodes (that do not have tokens). Throughout this section, we refer to a node with a token as a *point* and a node without a token as a *vertex*. By introducing zero-weight edges and nodes, we convert the tree into an equivalent binary tree in which the points are only located on distinct leaves. We repeatedly remove leaves with no tokens until there is no such leaf in the tree. We also repeatedly remove internal vertices (with no token) of degree two by consolidating their incident edges into one edge of the total weight.
Cluster, Backbone Tree, and Partial Cluster Types. Let \( \nu > 0 \) and consider a cluster \( C \subseteq V \). Suppose \( C_\nu = \{g_1, \ldots, g_\sigma\} \) are the groups by Lemma 5. We define a tree called the backbone tree of \( C \), with nodes corresponding to groups \( g_1, \ldots, g_\sigma \). This tree has edges between nodes whose corresponding groups are connected by an edge. We use \( g_t \) to refer to both the group and the corresponding node in the backbone tree. According to Cayley’s formula [1], the number of different trees that can be formed by \( \tilde{n} \) labeled nodes is \( \tilde{n}^{\tilde{n}-2} \). Hence the cluster’s backbone tree has one of the types \( 1, 2, \ldots, \sigma^{\sigma-2} \).

Each cluster \( C \) is associated with a pair \( (t_v, \vec{w}) \) (referred to as the cluster type of \( C \)), where \( t_v \) is an integer between 1 and \( \sigma^{\sigma-2} \) and represents the type of the cluster’s backbone tree, and \( \vec{w} \) is a vector representing the weights of each node in the backbone tree, with \( w[i] = |g_i \cap C| \) being the number of points in the \( i \)-th group of the cluster; see Figure 3.

The maximum number of ways to assign weights to nodes of a backbone tree is \( n^\sigma \), where \( n = |V| \). To keep the number of different cluster types manageable, we store the group weights approximately by rounding them to the nearest threshold value. This reduces the number of possible ways to assign weights to nodes of a backbone tree to a poly-logarithmic number and so allows for a more compact representation of the cluster types.

Definition 8. Given \( \epsilon > 0 \), let \( c = \frac{\epsilon \log n}{1 - \log n} \). Let logarithmic threshold values be \( \Phi_{c,n} = \{\phi_1, \ldots, \phi_n\} \) where \( \phi_i = i \) for \( 1 \leq i \leq \lceil \frac{1}{c} \rceil \), and for \( i > \frac{1}{c} \) we have \( \phi_i = \lceil \phi_{i-1}(1+\epsilon) \rceil \). We define a mapping \( \phi \) which associates with each value \( 1 \leq i \leq n \) the minimum threshold value \( \phi_j \) for which \( i \leq \phi_j \) holds.

By rounding the weights of groups to the nearest threshold value, the number of different cluster types is reduced to \( O(\sigma^{\sigma-2}(\log n)^\sigma) \), where \( \sigma = O(1/\nu) \). We will show that, by choosing the number of thresholds appropriately large, the DP solution will have a multiplicative error of at most \( 1 + O(\epsilon) \) (provided that the tree has a logarithmic height).

For every cluster \( C \subset V \) and every node \( v \in V \), the part of cluster that falls into \( T_v \) is referred to as the partial cluster of \( C \) with respect to \( v \). To represent such a partial cluster, we associate it with a triple \( (t_v, \gamma_v, \vec{s}_v) \), where \( t_v \) is an integer between 1 and \( O(\sigma^{\sigma-2}(\log n)^\sigma) \) and represents the type of the cluster, \( \gamma_v \) is the split group of the partial cluster and specifies the group that includes the node \( v \), and \( \vec{s}_v \) is a vector representing the sizes of each group of the partial cluster that intersects with the tree \( T_v \), with \( s_v[i] = |(g_i \cap C) \cap V(T_v)| \) being the number of points in the \( i \)-th group that intersect with \( V(T_v) \); see Figure 3. Similar to the group weights, the group sizes are stored approximately by rounding them to the nearest threshold value. This results in a reduction of the number of partial cluster types to \( O(\sigma^{\sigma-2}(\log n)^\sigma) \). Observe that a partial cluster \( C \) with respect to root \( r \) is actually the full cluster \( C \). This means that for every group \( i \) in the cluster, the value \( s_v[i] \) is equal to \( w[i] \).
We let $\Gamma_v \subseteq \mathbb{C}_v$ indicate the groups, called the *inner groups*, of the partial cluster whose nodes are completely contained within the tree node $T_v$. For a specific partial cluster type $\ell$ at $v$, we use the notation $\gamma_\ell^\nu, \Gamma_\ell^\nu, \vec{s}_\ell^v$, and $\vec{w}_\ell^v$ to refer to its split group, inner groups, size, and weight vectors, respectively. It is important to note that both the weight vector $\vec{w}_\ell^v$ and the inner groups $\Gamma_\ell^v$ can be obtained from the triple $(t_c, \gamma_v, \vec{s}_v)$ that defines $\ell$.

A partial cluster type $\ell$ with respect to a node $v$ is considered valid if the following conditions are met: (i) the values of $\vec{s}_\ell^v[i]$ for each group $i$ of $\ell$ are between 0 and $\vec{w}_\ell^v[i]$, (ii) the value of $\vec{w}_\ell^v[i]$ for each group $i$ of $\ell$ is less than or equal to $\max\{\nu, \sum_v \vec{w}_\ell^v[i]\}$ (see Lemma 5), (iii) if $v$ is a leaf node of $T$, then $\gamma_\ell^v$ is a leaf node of the backbone tree of $\ell$ (from the definition of the backbone tree). A partial cluster type $\ell$ is considered a leaf partial cluster type at a node $v$ if $\gamma_\ell^v$ is a leaf node of the backbone tree of $\ell$ and $\vec{s}_\ell^v[\gamma_\ell^v] = 1$.

**Edge Load, Partial Cluster Cost, and Cluster Cost.** Consider a cluster $C$ together with its groups $\mathbb{C}_v = \{g_1, \ldots, g_n\}$ and hubs $H_v(C)$, and let $\ell$ be the type of this cluster with respect to $v$. Recall that, vectors $\vec{w}_\ell^v$ and $\vec{s}_\ell^v$ are used to show the weight and the size (with respect to the tree $T_v$) of the groups within the cluster $C$, and $\gamma_v$ is used to specify the group of the cluster that includes the node $v$. Here, we explain how to compute the $\nu$-approximate cost of the cluster, $\text{cost}_{H_v}(C)$, by utilizing the information provided by these vectors.

We define the load of edge $e$ with respect to the cluster $C$, its groups $\mathbb{C}_v$, and hubs $H_v(C)$ to be the number of paths $p_H(u, v)$ that include edge $e$ over all $(u, v) \in X$, where $X = \bigcup_{i=1}^p X_i$ and $X_i = \{(u, v) : u \in g_i, v \in C \setminus g_i\}$. Let $e_v$ denote the edge connecting $v$ to its parent in $T$. The load of edge $e_v$ with respect to $\ell$ can be calculated using the following formula, represented as $\text{load}^\ell(e_v)$:

$$\text{load}^\ell(e_v) = \left( \sum_{i=1}^n \vec{s}_v^i[i] \times \left( \sum_{i=1}^n (\vec{w}_\ell^v[i] - \vec{s}_v^i[i]) \right) \right) \text{#paths crossing } e_v \text{ s.t. one of its ends is below } \gamma_v + \vec{s}_v[\gamma_v] \times \left( \sum_{i \in \Gamma_\ell^v} \vec{w}_\ell^v[i] \right) \text{#paths crossing } e_v \text{ s.t. one of its ends is in } \gamma_v$$

We define and compute the cost of a partial cluster type $\ell$ with respect to a node $v$ (we denote it by $\text{cost}^\ell_v$) recursively as follows. For the base case, $\text{cost}^\ell_v = 0$, if $v$ is a leaf node. For the recurrence, $\text{cost}^\ell_v = \text{cost}^\ell_{v_1} + \text{cost}^\ell_{v_2} + \text{load}^\ell(e_v_1)w(e_v_1) + \text{load}^\ell(e_v_2)w(e_v_2)$, where $v_1, v_2$ are children of $v$. Note that the union of groups of each cluster always includes the root node $r$ (see Algorithm 1). One can verify that $\text{cost}^\ell_v = \text{cost}_{H_v}(C)$, if $\ell$ stores the exact weights and sizes of the groups of the cluster. However, here, $\ell$ stores weights and sizes approximately and therefore the edge load $\text{load}^\ell(e_v)$ might be overestimated by a factor of $(1 + \epsilon')$ (by choosing the number of thresholds appropriately large). In the next section, we will see how this affects our approximation solution and results in a multiplicative error of at most $1 + O(\epsilon)$ (provided that the tree has a logarithmic height).

**Dynamic Program**

The Dynamic Program (DP) starts at the leaves of $T$ and works its way up, exploring all possible ways to form clusters. For each node $v$ and each possible configuration $\mathbb{P}_v$ of partial clusters with respect to $v$, there is an entry in the DP table. A configuration $\mathbb{P}_v \in [k]^{O\left(\sigma^{\sigma-1}\left(\frac{\log n}{\log \log n}\right)^2\right)}$ at node $v$ lists the number of each type of partial cluster covering points within subtree $T_v$. We let $A[v, \mathbb{P}_v]$ store the minimum cost to form a set of partial clusters, which match the configuration $\mathbb{P}_v$, and cover all points in $T_v$. Observe that the number of such subproblems is at most $n^{O\left(\sigma^{\sigma-1}\left(\frac{\log n}{\log \log n}\right)^2\right)}$. 


Consider a node $v$ in the tree $T$. Assume for now that we have access to a table $\lambda[\mathbb{P}_v,\mathbb{P}_{v_1},\mathbb{P}_{v_2}]$, where $\mathbb{P}_v$ is the configuration at node $v$, and $\mathbb{P}_{v_1}$ and $\mathbb{P}_{v_2}$ are the configurations at its children nodes $v_1$ and $v_2$, respectively. The table $\lambda$ indicates whether the configurations $\mathbb{P}_v$, $\mathbb{P}_{v_1}$, and $\mathbb{P}_{v_2}$ are consistent, meaning that there is a solution where the descriptions of partial clusters below nodes $v$, $v_1$, and $v_2$ match the configurations $\mathbb{P}_v$, $\mathbb{P}_{v_1}$, and $\mathbb{P}_{v_2}$, respectively. We shall describe how to compute $\lambda$. We will compute the subproblems $A[v,\mathbb{P}_v]$ in a bottom-up manner: We will compute $A[v,\mathbb{P}_v]$ after we have computed the subproblems $A[v_1,\mathbb{P}_{v_1}]$ and $A[v_2,\mathbb{P}_{v_2}]$ for the children of $v$. The subproblems are computed as follows:

**Base Case.** For every leaf node $v$ and every configuration $\mathbb{P}_v$, set: $A[v,\mathbb{P}_v] = 0$ if there exists a type $\ell$ such that $\mathbb{P}_v[\ell] = 1$ and $\ell$ is a leaf partial cluster at $v$. Otherwise, set $A[v,\mathbb{P}_v] = \infty$.

**Recurrence.** Let $load(v) = \sum_{\ell} \mathbb{P}_v[\ell] load(\ell(v))$. For each internal node $v$ and its children, $v_1, v_2$, and every combination of configurations of $\mathbb{P}_v$ on $v$ and $\mathbb{P}_{v_1}$, $\mathbb{P}_{v_2}$:

$$A[v,\mathbb{P}_v] = \min_{\mathbb{P}_v,\mathbb{P}_{v_1},\mathbb{P}_{v_2}:\mathbb{P}_v[\mathbb{P}_{v_1},\mathbb{P}_{v_2}] = \text{True}} \sum_{i=1,2} (A[v_i,\mathbb{P}_{v_i}] + load(v_i))$$

The final solution is obtained by finding the minimum value of $A[r,\mathbb{P}_r]$ over all configurations $\mathbb{P}_r$, such that the sum of all $\mathbb{P}_r[\ell]$ values equals $k$; and $s^r[i] = s^v[i]$ holds, for each partial cluster type $\ell$ with $\mathbb{P}_r[\ell] > 0$, and for all $i$.

**Consistency Constraints.** Consider a node $v$ and its children $v_1, v_2$. Let $P_v = (t_c, \gamma_v, \bar{s}_v), P_{v_1} = (t_{c_1}, \gamma_{v_1}, \bar{s}_{v_1}), P_{v_2} = (t_{c_2}, \gamma_{v_2}, \bar{s}_{v_2})$ be some valid partial cluster types at $v, v_1, v_2$, respectively. We say $P_v$ is consistent with $P_{v_1}$ and $P_{v_2}$ if the following conditions are met:

- **Type Consistency.** The types of $P_v, P_{v_1}$, and $P_{v_2}$ must be the same, i.e. $t_c = t_{c_1} = t_{c_2}$.
- **Group Consistency.** The groups of $P_{v_1}$ and $P_{v_2}$ are consistent with those of $P_v$: Recall that $\gamma_v$ indicates the split group of a partial cluster $P_v$ and $\Gamma_v$ indicates the inner groups of $P_v$. Let $\delta^v_\gamma$ be the inner groups adjacent to $\gamma_v$ in the backbone tree; $\delta^v_\gamma = \delta(\{\gamma_v\}) \cap \Gamma_v$, where $\delta(\{\gamma_v\})$ indicates groups adjacent to $\gamma_v$ (in the backbone tree). Depending on the values of $\gamma_v, \gamma_{v_1}, \gamma_{v_2}$, one of the following cases holds:
  - If $\gamma_v = \gamma_{v_1} = \gamma_{v_2}$ (Figure 4a), then $\delta^v_{\gamma_1} \cup \delta^v_{\gamma_2} = \delta^v_{\gamma_1}, \delta^v_{\gamma_1} \cap \delta^v_{\gamma_2} = \emptyset$.
  - If $\gamma_v = \gamma_{v_1}$ and $\gamma_{v_2} \in \delta^v_{\gamma_1}$ (Figure 4b), then $\delta^v_{\gamma_1} = \delta^v_{\gamma_1} \setminus \{\gamma_{v_2}\}, \delta^v_{\gamma_2} = \delta(\{\gamma_{v_2}\}) \setminus \{\gamma_v\}$.
  - If $\gamma_v = \gamma_{v_2}$ and $\gamma_{v_1} \in \delta^v_{\gamma_1}$ (Figure 4c), then $\delta^v_{\gamma_2} = \delta^v_{\gamma_1} \setminus \{\gamma_{v_1}\}, \delta^v_{\gamma_1} = \delta(\{\gamma_{v_1}\}) \setminus \{\gamma_v\}$.
  - If $\gamma_v \neq \gamma_{v_1}$ and $\gamma_{v_2} \neq \gamma_{v_1}$ (Figure 4c), then $\delta^v_{\gamma_1} = \delta_{\gamma_1} \setminus \{\gamma_{v_1}\}, \delta^v_{\gamma_2} = \delta(\{\gamma_{v_2}\}) \setminus \{\gamma_v\}$. 

**Figure 4** Consider a node $v$ and its children $v_1, v_2$. There are three possible scenarios in which $v$, $v_1$, and $v_2$ may belong to one or two groups of a cluster. (a) is depicting the case where all three nodes are in the same group, (b) is depicting the case that $v$ and $v_1$ are in the same group, (c) is depicting the case that $v$ and $v_2$ are in the same group. Note that the case where all three nodes belong to different groups does not happen due to Algorithm 1.
= **Size Consistency.** The group sizes of $P_1$ and $P_2$ are consistent with those of $P$.

Depending on the values of $\gamma_v, \gamma_{v_1}, \gamma_{v_2}$, one of the following cases holds:

- If $\gamma_v = \gamma_v = \gamma_{v_1}$, then we ensure that $\phi(\bar{s}_{v_2}[\gamma_v] + \bar{s}_{v_2}[\gamma_{v_2}]) = \bar{s}_v[\gamma_v]$.
- If $\gamma_v = \gamma_v$ and $\gamma_{v_2} \in \delta_v^m$, then we ensure that $\bar{s}_{v_2}[\gamma_v] = w[\gamma_v]$ and $\bar{s}_{v_1}[\gamma_{v_1}] = \bar{s}_v[\gamma_{v_1}]$.
- If $\gamma_v = \gamma_{v_2}$ and $\gamma_{v_2} \in \delta_v^m$, then we ensure that $\bar{s}_{v_1}[\gamma_v] = w[\gamma_{v_1}]$ and $\bar{s}_{v_2}[\gamma_{v_2}] = \bar{s}_v[\gamma_{v_2}]$.

Note that the case that $\gamma_{v_1} = \gamma_{v_2}, \gamma_{v} \neq \gamma_{v_1}$ is impossible since each group of the cluster covers a connected subtree. Furthermore, the case when $\gamma_{v_1} \in \delta_v^b$ & $\gamma_{v_2} \in \delta_v^m$ is impossible using the fact that there is no point on the internal node $v$ (see the preprocessing step).

The value of $\lambda[P_v, P_{v_1}, P_{v_2}]$ is calculated recursively for every combination of configurations of $v$ and its children, $v_1$, $v_2$. For the base case $\lambda[0, 0, 0] = \text{True}$. Let $P_v - P_{v_i}$ indicate the configuration of $P_v$ with one less partial cluster of type $P_{v_i}$. For the recurrence, we consider all possible consistent valid partial cluster types $P_v, P_{v_1}$ and $P_{v_2}$:

$$\lambda[P_v, P_{v_1}, P_{v_2}] = \bigvee_{\forall \text{ consistent } P_v, P_{v_1}, P_{v_2}} \lambda[P_v - P_{v_i}, P_{v_1} - P_{v_i}, P_{v_2} - P_{v_i}]$$

### Analysis

In our DP, configurations store the rounded sizes (and weights) of the partial clusters’ groups. To ensure consistency between the sizes of the groups at node $v$ and its children $v_1$ and $v_2$, we allow the size of the group at $v$ to be a $(1 + \epsilon)$ upper bound for the combined size of the groups at $v_1$ and $v_2$. This results in a multiplicative error of at most $(1 + \epsilon)$ in the calculation of the edges’ loads and so the consistency of the partial clusters at each node of the tree when the sizes (weights) of merged partial clusters are rounded. Given that the height of the tree is $h$, it is not difficult to see that our dynamic programming approach finds a solution that is an $(1 + \epsilon)^h$-approximation to the problem.

The number of possible configurations $P_v$ for each node $v$ is at most $n^{O(\sigma^{-2}(\frac{\log n}{\log \log n}))}$, resulting in $n^{O(\sigma^{-2}(\frac{\log n}{\log \log n}))}$ dynamic program table entries. To compute each entry in the DP table, we iterate over all consistent configurations at $v$, $v_1$, and $v_2$, which takes $n^{O(\sigma^{-2}(\frac{\log n}{\log \log n}))}$ time. Hence, the overall running time of the algorithm is $n^{O(\sigma^{-2}(\frac{\log n}{\log \log n}))}$, which is still a quasi-polynomial time complexity in $n$. By setting $\epsilon' = \frac{\log n}{\log \log n}$ in the threshold mapping, the algorithm finds a $(1 + \epsilon)$ approximation solution in time $n^{O(\sigma^{-2}(\frac{\log n}{\log \log n}))}$.

**Theorem 9.** There is a QPTAS for the $k$-MSC problem on trees with logarithmic heights.

### 3 The $k$-MSC Problem in Metrics of Bounded Treewidth

In this section, we extend our algorithm from Section 2 to metrics of bounded treewidth. A tree decomposition of a graph $G = (V, E)$ is a tree $T = (V', E')$ on a new set of nodes $V'$, where each $i \in V'$ corresponds to a subset $b_i$, called a bag, of vertices of $V$ with the following properties: (i) $\cup_{i \in V'} b_i = V$, (ii) for every edge $uv \in E$, there exists a bag $t$ of $T$ such that $b_t$ contains both $u$ and $v$, (iii) if $b_i, b_j$ contain vertex $v$ then every bag on the path between $i$ and $j$ in $T$ contains $v$. The width of a tree decomposition $T$ is the size of the largest bag of $T$ minus one; this is $\max_{i \in V'} |b_i| - 1$. The treewidth of a graph $G$ is the minimum width over all possible tree decompositions of $G$. The authors of [5] showed that any graph $G = (V, E)$ with treewidth $f$ has a tree decomposition $T$ of width at most $3f + 2$ that has the following two extra properties: (i) $T$ is binary, (ii) the height of $T$ is $O(\log |V|)$.

Given a graph $G = (V, E)$ with a treewidth of $f$, we create a binary decomposition tree $T = (V', E')$ with a width of no more than $3f + 2$ and a height of logarithmic in $|V|$ (see [5]). Let $f$ be the width of $T$. We will refer to $G$ as the graph and $T$ as the tree. We will refer to vertices in $V$ as nodes and vertices in $V'$ as bags. We will refer to edges in $G$ as edges and
edges in $T$ as super-edges. Let $T$ be rooted at an arbitrary bag $r \in V'$. We use $T_b$ to denote the subtree rooted at the bag $b$, $V'(T_b)$ to denote the bag set of $T_b$, and $E'(T_b)$ to denote the super-edge set of $T_b$. Each node $u \in V$ can appear in multiple bags of $V'$, and these bags form a subtree of $T$. To ensure that each point is covered only once, we consider the point as a token placed at the node. We place the token of a node at the bag closest to the root of $T$ that contains the node. This bag is marked as the one containing the point.

We further modify the tree to make sure that (i) only the leaf bags contain the tokens and (ii) each bag contains at most one token: for any bag $A$ that violates these two rules, create two new bags $B$ and $C$ that are identical copies of $A$. Move one of the tokens from the original bag $A$ to bag $C$ and place any remaining tokens in bag $B$. Connect the children of the original bag $A$ to the newly created bag $B$. Connect both bags $B$ and $C$ to $A$. Finally, we remove all leaf bags without any tokens. This process results in a binary tree decomposition with a height of $O(\log n)$. We call this tree decomposition with these properties the proper tree decomposition of the graph. For each point $u \in V$, we let $B_u \in V'$ denote the bag that contains point $u$. For each $C \subseteq V$, let $B_C = \{B_u : u \in C\}$.

Consider a mapping $p : V' \to V'$ that maps each bag to its parent bag and maps $r$ to itself. Let $e_b$ be the super-edge between $b$ and $p(b)$ in $T$. The edges $(s, t)$ where $s \in b$ and $t \in p(b)$ are referred to as the bridge-edges with respect to the super-edge $e_b$. We use the notation $e_b^{s, t}$ to refer to these edges. An edge between such vertices $s \in b$ and $t \in p(b)$ is added in $G$ with a weight of $d(s, t)$ if it does not already exist. For any pair of points $u$ and $v$ in $V$, one can verify that there exists a path between $u \in B_u$ and $v \in B_v$ in the tree $T$ consisting only of bridge-edges over the super-edges which is equivalent to the shortest path between $u$ and $v$ in the graph. This path connects the bags $B_u$ and $B_v$ in $T$ and only uses the bridge-edges over the super-edges of the unique path connecting these bags in the tree. The length of this path is equal to $d(u, v)$, the distance between $u$ and $v$ in the graph $G$. This path is referred to as $p_B(u, v)$.

For each bag $b \in V'$, let $V_b' = \cup_{r \in V'(T_b)} b_r$ denote the union of nodes in bags of $V'(T_b)$. For a tree decomposition $T = (V', E')$ and a subset of bags $\hat{V} \subseteq V'$, we use $\delta(\hat{V}) = \{b_r \in \hat{V} : b_r \in E' \land b_r \notin \hat{V}\}$ to denote the border bags of $\hat{V}$. The proof of the following lemma is analogous to that of Lemma 5.

**Lemma 10.** Given a graph $G = (V, E)$ of bounded treewidth, a proper tree decomposition $T = (V', E')$ of $G$, a set of points $C \subseteq V$, for any $\nu > 0$, there exists a partition of $V'$ into a set of groups $C_\nu = \{g_1, \ldots, g_\alpha\}$ such that all of the following properties hold: (i) The subgraph induced by each group $g \in C_\nu$ is connected in $T$. (ii) For each group $g \in C_\nu$, $|g \cap B_C| \in [1, \max\{1, \nu|C|\}]$. (iii) $\sigma = O(1/\nu)$. (iv) $\forall g \in C_\nu$, $|\delta(g)| = O(1/\nu)$.

Let $\nu > 0$. Consider a cluster $C \subseteq V$. Let $C_\nu = \{g_1, \ldots, g_\alpha\}$ be the groups obtained by Lemma 10. For each such cluster $C$ and any constant $\nu > 0$, we let $H_\nu(C) = \cup_{i=1}^\nu \cup_{j \in \delta(g_i)} b_j$ denote the border hubs of the cluster and $\text{cost}_{H_\nu}(C) = \sum_{i=1}^\nu \sum_{j=1}^\alpha \sum_{u \in V(g_i)} \sum_{v \in V(g_j)} \in C \in C \cdot d_{H_\nu}(C)(u, v)$ be the $\nu$-approximate cost of the cluster. Notice that for any two points $u$ and $v$ in $C$ that belong to different groups of $C_\nu$, the path $p_B(u, v)$ passes through the hubs $H_\nu(C)$, implying $d(u, v) = d_{H_\nu}(C)(u, v)$. The proof of the following is analogous to that of Theorem 7.

**Theorem 11.** Given $\epsilon > 0$, a $(1 + \epsilon)$-approximation for $k$-MHC, will imply a $(1 + O(\epsilon))$-approximation for $k$-MHC on bounded treewidth graphs.
### 3.1 QPTAS for $k$-MHC on Graphs of Bounded Treewidth

Given $\nu > 0$ and a graph $G(V,E)$ that has a proper decomposition tree $T = (V',E')$ with a logarithmic height and a treewidth of $f$. Let $OPT$ be the minimum cost of partitioning $V$ into $k$ clusters $C_1, C_2, \ldots, C_k$ with the total cost being $\sum_{i=1}^{k} \text{cost}_{H_\nu}(C_i)$. Given $\epsilon > 0$, we will present a dynamic program that finds a $(1+\epsilon)$ approximation of $OPT$. This, as a result of Theorem 11, leads to a $(1+O(\epsilon))$ approximation solution for the $k$-MSC problem.

Consider a cluster $C \subseteq V$. Let $\mathbb{C}_\nu = \{g_1, \ldots, g_\sigma\}$ be the groups obtained by Lemma 10 on $C$. We define a backbone tree associated with the cluster $C$. This tree is made up of $O(1/\nu)$ nodes that correspond to the groups of $\mathbb{C}_\nu$ and there are edges between the nodes in the tree if the corresponding groups in $\mathbb{C}_\nu$ are connected by a super-edge in the tree $T$. A cluster type is defined as a node-weighted backbone tree where each node in the tree is assigned a weight from the threshold values $\Phi_{\epsilon,n}$ (see Definition 8) which represents the number of points in the corresponding group rounded up to the nearest threshold value.

For each cluster $C$ and bag $b$ in tree $T$, we associate a partial cluster type to it. This is represented by a triple $(t_\epsilon, \gamma_b, \vec{s}_b)$ and includes: the type of the cluster, $t_\epsilon$; the group of the cluster that has bag $b$, $\gamma_b$; and a vector $\vec{s}_b$, where $\vec{s}_b[i]$ denotes the number of points in the $i$th group located in tree $T_b$. It is not hard to verify that the number of possible partial clusters is $O(\sigma^{\sigma-2}\log(1+\epsilon)n) = O((\frac{\log n}{\epsilon})^{\sigma+1})$, where we fix $\sigma = (1/\nu)$.

We use $\ell \in \{1,2,\ldots, O((\frac{\log n}{\epsilon})^{\sigma+1})\}$ to refer to a specific partial cluster type. A partial cluster type $\ell$ with respect to a vertex $b$ is considered valid if: the values of $\vec{s}_b[i]$ for each group $i$ of $\ell$ are between 0 and $\bar{w}^f[i]$, the value of $\bar{w}^f[i]$ for each group $i$ of $\ell$ is less than or equal to $\max\{\nu, \sum_i \bar{w}^f[i], 1\}$, and if $v$ is a leaf vertex of $T$, then $\gamma_b^v$ is a leaf node of the backbone tree of $\ell$. A partial cluster type $\ell$ is considered a leaf partial cluster type at a vertex $b$ if $\gamma_b^v$ is a leaf node of the backbone tree of $\ell$ and $\vec{s}_b[\gamma_b][v] = 1$.

Consider a cluster $C$ together with its groups $\mathbb{C}_\nu = \{g_1, \ldots, g_\sigma\}$ and hubs $H_\nu(C)$, and let $\ell$ be the type of this cluster with respect to bag $b$. Here, we explain how to compute the $\nu$-approximate cost of the cluster, $\text{cost}_{H_\nu}(C)$. Let $X = \bigcup_{i=1}^{\sigma} X_i$ and $X_i = \{(u,v) : u \in V(g_i), v \in C \setminus V(g_i)\}$. Let $e_b$ denote the super edge connecting $b$ to its parent bag $p(b)$ in $T$. We define load of a bridge-edge $e_b^{\sigma,t}$ with respect to the cluster $C$, its groups $\mathbb{C}_\nu$, and hubs $H_\nu(C)$ to be the number of paths $p_{P}(u,v)$ that contain this edge over all $\{(u,v) \in X\}$. We use $\text{load}^d(e_b^{\sigma,t})$ to represent the load of bridge-edge $e_b^{\sigma,t}$ with respect to partial cluster type $\ell$ and bag $b$. Similarly, we use $\text{load}^d(e_b)$ to represent the load of super-edge $e_b$ with respect to partial cluster type $\ell$ and bag $b$.

Similarly to the case of the tree, the load of the super-edge $e_b$ with respect to $\ell$ can be calculated using the following formula: $\text{load}^d(e_b) = (\sum_{i=1}^{\sigma} s_b[i]) \times (\sum_{i \in \Gamma_b} \bar{w}^f[i]) \times \vec{s}_b[\gamma_b] \times (\sum_{i \in \Gamma_b} \bar{w}^f[i])$. Note that $\text{load}^d(e_b)$ computes the number of paths $p_{P}(u,v)$ in $G$ that cross the cut-set $(b,p(b))$ for all pairs of points $(u,v)$ in the set $X$.

When computing the cost of a cluster type, it is necessary to take into account the load among the bridge-edges. However, the load of a bridge-edge cannot be calculated simply from the sizes and weights of the clusters within the cluster, unlike the load of the super-type.

To address this issue, for each partial cluster type $\ell$ and each $b$, we have defined a vector $\psi_b^\ell$ with a dimension of $f^2$ (where $f$ is the treewidth of the graph), that $\psi_b^\ell[e_b^{\sigma,t}]$ specifies the load of each bridge-edge $e_b^{\sigma,t}$ with respect to $\ell$. One can now compute the cost of a partial cluster type $\ell$ at bag $b$, denoted by $\text{cost}^\ell_b$, recursively as follows. For the base case, $\text{cost}^\ell_b = 0$, if $b$ is a leaf bag. For the recurrence, $\text{cost}^\ell_b = \text{cost}^\ell_{b_1} + \text{cost}^\ell_{b_2} + \sum_{(s,t) \in e_b \times b} \psi_b^\ell(e_b^{s,t})w(e_b^{s,t}) + \sum_{(s,t) \in e_{b_1} \times b_1} \psi_b^\ell(e_b^{s,t})w(e_b^{s,t})$, where $b_1, b_2$ are children of $b$. 


We could attach \( \psi_b \) (with a dimension of \( f^2 \) which approximately stores the flow of the bridge edges) to the vectors we store for each cluster type \( \ell \) to obtain a QPTAS for the problem on graphs with bounded treewidth. However, this QPTAS cannot be extended to include graphs with bounded highway dimension or graphs with bounded doubling dimensions (as \( f \) becomes logarithmic in these cases). To address this issue, in the next section we propose that at each bag \( v \), it is sufficient to store information about the total flow of the partial clusters that passes through the bridge edges, in addition to the information about the type of partial cluster covering the points within the subtree. This eliminates the need to separately store the flow of each partial cluster.

**Dynamic program**

The Dynamic Program (DP) traverses \( T \) starting at the leaves and moving upward and considers all ways partial clusters can be made. At each bag \( b \), a configuration \( b, P_b, \psi_b \) is defined. In this configuration, \( P_b \) specifies the number of partial clusters of each type covering points within \( T_b \), and \( \psi_b \) specifies the total load for each bridge-edge over all the partial cluster types \( \ell \) specified in \( P_b \); namely, \( \psi_b = \sum_\ell P_b[\ell].\psi_b^\ell. \)

**Valid Configuration.** The validity check of a configuration involves ensuring the feasibility of the load distributions among partial clusters. For a given bag \( b \) and configuration \( (P_b, \psi_b) \), we can use the loads of super edges to get the total loads crossing \( b \): \( \Psi_b = \sum_\ell P_b[\ell]\text{load}(e_b). \) We say the configuration \( (P_b, \psi_b) \) is valid if the following holds: \( \phi(\Psi_b) = \phi(\sum_{e \in b \times p(b)} \psi[e_{s,t}^b]) \); this is, the total load of the partial clusters crossing super-edge \( e_b \) (this can be obtained via \( P_b \) as described in the previous section) must be equal to the total load of the partial clusters crossing all the bridge-edges with respect to the super-edge \( e_b \). Note that when \( b \) is a leaf, this condition implies that, \( \phi(\sum_{e \in b \times p(b)} \psi[e_{s,t}^b]) = \phi(\sum_{i} w[i] - 1). \)

Assume for now that we have access to an inner table \( \varphi([P, \psi], (P_1, \psi_1), (P_2, \psi_2)) \) that for every combination of configurations \( (P, \psi) \) on \( b \) and \( (P_1, \psi_1), (P_2, \psi_2) \) on its children, \( b_1, b_2 \), indicates whether they are consistent or not. The representation of \( \perp \) is used to indicate the empty configurations for handling the cases when \( b \) is a leaf or has one child.

Let \( A[b, P_b, \psi_b] \) be the minimum cost solution for subproblem \( b, P_b, \psi_b \) in which points in \( V_b' \) are covered by a set of partial clusters whose types (and loads) are consistent with the configuration \( P_b, \psi_b \) (recall that \( V_b' = \cup_{i \in T_b} b_i \)).

We will compute the subproblems \( A[b, P_b, \psi_b] \) in a bottom-up manner:

**Base Case.** For each leaf vertex \( b \): \( A[b, P_b, \psi_b] = 0 \) if \( \varphi([P_b, \psi_b], \perp, \perp) = \text{True} \) and otherwise it is \( \infty \).

**Recurrence.** For each internal vertex \( b \) and its children, \( b_1, b_2 \):

\[
A[b, P_b, \psi_b] = \min_{\varphi([P_b, \psi_b], (P_{b_1}, \psi_{b_1}), (P_{b_2}, \psi_{b_2})) \neq \text{True}} \left\{ \sum_{i=1,2} A[b_i, P_{b_i}, \psi_{b_i}] + \sum_{(s,t) \in e_b \times e_b} \psi_b[e_{s,t}^b] w(e_{s,t}^b) \right\}
\]

The case of \( b \) having one child is similar. The final solution is obtained by finding the minimum value of \( A[b, P_b, \psi_b] \) over all valid configurations \( b, P_b, \psi_b \) such that the sum of all \( P_b[\ell] \) values equals \( k \).
Approximation Schemes for Min-Sum $k$-Clustering

Consistency Constraints

Consider a bag $b$ and its two children $b_1$ and $b_2$. Let $\langle P_b, \psi_b \rangle, \langle P_{b_1}, \psi_{b_1} \rangle, \langle P_{b_2}, \psi_{b_2} \rangle$ be some configurations at $b, b_1$, and $b_2$, respectively. To check the consistency of them, there are two steps to follow: (1) verify the feasibility of partial cluster types; if the types of the partial clusters in $P_b$ match those in $P_{b_1}$ and $P_{b_2}$, (2) ensure the feasibility of load distributions; if the load distribution of the clusters in $\psi_b$ aligns with the load distributions of the clusters in $\psi_{b_1}$ and $\psi_{b_2}$. If these two conditions are met, $\varphi([P_b, \psi_b], (P_{b_1}, \psi_{b_1}), (P_{b_2}, \psi_{b_2}))$ will be set to True. Otherwise, it will be set to False.

Feasibility of Partial Cluster Types. Here we check if there is a solution where the descriptions of partial clusters below nodes $b, b_1$, and $b_2$ match the configurations $P_b, P_{b_1},$ and $P_{b_2}$, respectively. This step guarantees that the final clustering covers all the points and is therefore a valid solution. This check is very similar to the consistency verification we performed in the case of the tree. There are three cases, depending on whether $b$ is a leaf, a bag with one child, or a bag with two children:

- when $b$ is a leaf: $P_b[\ell] = 1$ must hold for some $\ell$, where $\ell$ is a leaf partial cluster at $b$.
- when $b$ has one child, say bag $b_1$: since there is no point (token) on internal bags, $b$ and $b_1$ must belong to the same group. In this case, we must ensure the following: $b = b_1$, (type consistency); $\gamma_b = \gamma_{b_1}$, $\delta^m_1 = \delta^m_2$ (group consistency); and $\bar{s}_b = \bar{s}_{b_1}$ (size consistency).
- when $b$ has two children, $b_1, b_2$. Let $P = (P_{c_1}, \gamma_b, \bar{s}_b), P_1 = (P_{c_1}, \gamma_{b_1}, \bar{s}_{b_1}), P_2 = (P_{c_2}, \gamma_{b_2}, \bar{s}_{b_2})$ be considered partial cluster types at $b, b_1, b_2$, respectively. Note that the type of a cluster is made up of backbone tree $T_b$ and weights $\bar{w}$. Recall that similar to trees, $\delta(\{\gamma_b\})$ stands for the adjacent bags of $\gamma_b$ and $\delta^m_1$ stands for the adjacent bags of $\gamma_b$ inside $T_b$. We say the partial cluster type $P$ (with respect to $T_b$) is consistent with the two partial clusters $P_1$ and $P_2$ (with respect to $T_{b_1}$ and $T_{b_2}$, respectively) if the following holds: (i) (type consistency) $t_c = t_{c_1} = t_{c_2}$. (ii) (group consistency) If $\gamma_b = \gamma_{b_1} = \gamma_{b_2}$, then we ensure that $\delta^m_1 \cup \delta^m_2 = \delta^m_1$ and $\delta^m_2 \cap \delta^m_1 = \emptyset$. If $\gamma_b = \gamma_{b_1}$ and $\gamma_{b_2} \in \delta^m_1$, then we ensure that $\delta^m_{b_1} = \delta^m_{b_2} \cup \{\gamma_{b_1}\}$ and $\delta_{b_2}^m = \delta(\{\gamma_{b_2}\}) \{\gamma_{b_1}\}$. If $\gamma_b = \gamma_{b_1}$ and $\gamma_{b_2} \in \delta^m_2$, then we ensure that $\delta^m_{b_2} = \delta^m_{b_1} \cup \{\gamma_{b_2}\}$ and $\delta^m_{b_1} = \delta(\{\gamma_{b_1}\}) \{\gamma_{b_2}\}$ (iii) (size consistency) If $\gamma_b = \gamma_{b_1} = \gamma_{b_2}$, then we ensure that $\bar{s}_b[\gamma_b] + \bar{s}_{b_1}[\gamma_{b_1}] = \bar{s}_{b_2}[\gamma_{b_2}]$. If $\gamma_b = \gamma_{b_1}$ and $\gamma_{b_2} \in \delta^m_1$, then we ensure that $\bar{s}_{b_2}[\gamma_{b_2}] = w[\gamma_{b_2}]$ and $\bar{s}_b[\gamma_b] = \bar{s}_{b_1}[\gamma_{b_1}]$. If $\gamma_b = \gamma_{b_2}$ and $\gamma_{b_1} \in \delta^m_2$, then we ensure that $\bar{s}_{b_1}[\gamma_{b_1}] = w[\gamma_{b_1}]$ and $\bar{s}_{b_2}[\gamma_{b_2}] = \bar{s}_b[\gamma_b]$.

For every combination of configurations on $b$ and its children, $b_1, b_2$, $\lambda[P_b, P_{b_1}, P_{b_2}]$ is computed recursively as below. For the base case $\lambda[0, 0, 0] = True$. For the recurrence, we consider all possible consistent partial cluster types $P_b, P_{b_1}$, and $P_{b_2}$

\[
\lambda[P_b, P_{b_1}, P_{b_2}] = \bigvee_{\text{consistent } P_b, P_{b_1}, P_{b_2}} \lambda[P_b - P_b, P_{b_1} - P_b, P_{b_2} - P_b]
\]

where $P_b - P_b$ indicates the configuration of $P_b$ with one less partial cluster of type $P_b$.

Feasibility of Load Distributions. This ensures that the sum of all flows through the bridge edges into bag $b$ and the sum of all flows out of it are consistent, and that the flow originates only from points that have tokens. This confirms the accuracy of the solution cost calculated using these bridge-edge load distributions. There are three cases, depending on whether $b$ is a leaf, a bag with one child, or a bag with two children:

- when $b$ is a leaf. Suppose $y \in b$ is the only point of bag $b$, we must ensure that:

  \[
  \forall s : s \in b, t \in p(b), s \neq y, \psi_s[e_s^{t,y}] = 0
  \]
when $b$ has one child, say $b_1$. Loads of configurations $\psi_b, \psi_{b_1}$ are consistent if and only if, for each vertex of $b$, the load coming from $b_1$ into each vertex of $b$ is equal to the load going upwards, formulated as following: $\forall t \in b$. $\sum_{s \in \mathcal{P}(b)} \psi[b_{s,t}] = \sum_{u \in \mathcal{P}(b)} \psi[e_{t,u}]$

when $b$ has two children, $b_1, b_2$. For each $t \in b$ let $L_t = \sum_{s \in \mathcal{P}(b)} \psi[b_{s,t}], R_t = \sum_{s \in \mathcal{P}(b)} \psi[e_{t,s}], U_t = \sum_{s \in \mathcal{P}(b)} \psi[b_{s,t}].$ Load vectors of configurations $\psi_b, \psi_{b_1}, \psi_{b_2}$ are consistent if and only if for each $u \in b$ one of the following constraints must hold: $L_b + R_b = U_b$ or $|L_b - R_b| = U_b$.

Proof of Theorem 1. There are $O((\frac{\log n}{\epsilon})^{\sigma+1})$ possible partial clusters, so the number of subproblem configurations, $\mathcal{P}_b$, at bag $b$ is $n^{O((\frac{\log n}{\epsilon})^{\sigma+1})}$. The number of the possible values for $\psi$, is $n^{f^2}$, resulting in a number of DP table entries of $n^{O(f^2 + (\frac{\log n}{\epsilon})^{\sigma+1})}$.

Deciding configurations $(\mathcal{P}_b, \psi_b), (\mathcal{P}_{b_1}, \psi_{b_1}), (\mathcal{P}_{b_2}, \psi_{b_2})$ are consistent requires iterating over all consistent configurations which are at most equal $n^{O(f^2 + (\frac{\log n}{\epsilon})^{\sigma+1})}$. Therefore the running time is $n^{O(f^2 + (\frac{\log n}{\epsilon})^{\sigma+1})}$, which is quasi-polynomial in $n$. Notice that even if treewidth is poly-logarithmic, the running time stays quasi-polynomial.

We lose a factor of $(1 + \epsilon/\log n)$ when computing $A[b, \mathcal{P}_b]$ at each level of recursion. Since the height of the tree is at most $\sigma \log n$, the approximation factor of the solution is $1 + \epsilon$.

4 Bounded Doubling, Highway Dimension, and Minor-Free Metrics

We assume that the aspect ratio of a given metric in a $k$-MSC instance is polynomially bounded (the details are omitted). We use our QPTAS for $k$-MSC on graphs with bounded treewidth as a black box and combine it with embeddings into polylogarithmic-treewidth graphs [7, 10, 14] to develop QPTASs for $k$-MSC on metric spaces with bounded doubling dimension\(^1\), bounded highway dimension, and minor-free metrics. The details are omitted in this version of the paper.

References


\(^1\) To obtain a QPTAS for Euclidean Min-Sum clustering, we could adopt the approach we proposed for tree-like metrics. This involves using a $(1 + \epsilon)$-reduction from Euclidean Min-Sum clustering to Euclidean Min-Hub clustering, achieved by placing hubs of constant size in suitable locations for each cluster. We could then apply Arora’s scheme to get a QPTAS for Euclidean Min-Hub clustering, with cluster types determined by the backbone structure of the hubs. We skip the details since this can be derived from the reduction from doubling metrics to bounded treewidth metrics.


