

# Subexponential Parameterized Odd Cycle Transversal on Planar Graphs

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## Abstract

In the ODD CYCLE TRANSVERSAL (OCT) problem we are given a graph  $G$  on  $n$  vertices and an integer  $k$ , the objective is to determine whether there exists a vertex set  $O$  in  $G$  of size at most  $k$  such that  $G \setminus O$  is bipartite. Reed, Smith and Vetta [Oper. Res. Lett., 2004] gave an algorithm for OCT with running time  $3^k n^{O(1)}$ . Assuming the exponential time hypothesis of Impagliazzo, Paturi and Zane, the running time can not be improved to  $2^{o(k)} n^{O(1)}$ . We show that OCT admits a randomized algorithm running in  $O(n^{O(1)} + 2^{O(\sqrt{k} \log k)} n)$  time when the input graph is planar. As a byproduct we also obtain a linear time algorithm for OCT on planar graphs with running time  $O(2^{O(k \log k)} n)$  time. This improves over an algorithm of Fiorini et al. [Disc. Appl. Math., 2008].

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## 1 Introduction

We consider the ODD CYCLE TRANSVERSAL (OCT) problem where we are given as input a graph  $G$  with  $n$  vertices and  $m$  edges, together with an integer  $k$ . The objective is to determine whether there exists a vertex set  $O$  of size at most  $k$  such that  $G \setminus O$  is bipartite. This classical optimization problem was proven NP-complete already in 1978 by Yannakakis [28] and has been studied extensively both within approximation algorithms [1, 16] and parameterized algorithms [12, 17, 20, 22, 24, 26].

It was a long-standing open problem whether OCT is *fixed-parameter tractable (FPT)*, that is solvable in time  $f(k)n^{O(1)}$  for some function  $f$  depending only on  $k$ . In 2004 Reed, Smith and Vetta [26] resolved the question positively, and gave an  $O(4^k kmn)$  time algorithm for the problem. It was later observed by Hüffner [17] that the running time of the algorithm of Reed et al. is actually  $O(3^k kmn)$ .

Improving over the algorithm of Reed et al. [26], both in terms of the dependence on  $k$ , and in terms of the dependence on input size remain interesting research directions. For the dependence on input size, Reed et al. [26] point out that using techniques from the Graph Minors project of Robertson and Seymour one could improve the  $nm$  factor in the running time of their algorithm to  $n^2$ , at the cost of worsening the dependence on  $k$ . They pose the existence of a linear time algorithm for OCT for every fixed value of  $k$  as an open problem. Fiorini et al [12] showed that if the input graph is required to be planar, then OCT has a  $2^{O(k^6)} n$  time algorithm. Later Kawarabayashi and Reed [20] gave an “almost” linear time



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algorithm for OCT, that is an algorithm with running time  $f(k)n\alpha(n)$  where  $\alpha(n)$  is the inverse ackermann function and  $f$  is some computable function of  $k$ .

When it comes to the dependence of the running time on  $k$ , the  $O(3^k nm)$  algorithm of Reed et al. [26] remained the best known until a recent manuscript of Lokshtanov et al. [24] (see also Narayanaswamy et al. [25]) giving an algorithm with running time  $O(2.32^k n^{O(1)})$  using linear programming techniques. It is tempting to ask how far down one may push the dependence of the running time on  $k$ . Should we settle for  $c^k$  for a reasonably small constant  $c$ , or does there exist a *subexponential* parameterized algorithm for OCT, that is an algorithm with running time  $2^{o(k)} n^{O(1)}$ ? It turns out that assuming the *Exponential Time Hypothesis* of Impagliazzo, Paturi and Zane [18] there can not be a subexponential parameterized algorithm for OCT. In this paper we show that restricting the input to planar graphs circumvents this obstacle – in particular we give an  $O(n^{O(1)} + 2^{O(\sqrt{k} \log k)} n)$  time algorithm for OCT on planar graphs (we will refer to OCT on planar graphs as PL-OCT). As a corollary of our main result we also obtain a simple  $O(k^{O(k)} n)$  time algorithm for PL-OCT, improving over the dependence on  $k$  in the algorithm of Fiorini et al. [12] while keeping the linear dependence on  $n$ .

**Methods.** There are many NP-complete graph problems that remain NP-complete even when restricted to planar graphs [15] but admit much better approximation algorithms and faster parameterized algorithms on planar graphs than on general graphs. The *bidimensionality theory* of Demaine et al. [7, 10] aims to explain this phenomenon. Specifically, using bidimensionality one can give fast parameterized algorithms [6], approximation schemes [8, 13] and efficient polynomial time pre-processing algorithms [14], called kernelization algorithms, for a host of problems on planar graphs, and more generally on classes excluding a forbidden minor. The main driving force behind bidimensionality is that for many parameterized problems on planar graphs one can bound the *treewidth* of the input graph as a *sublinear* function of the parameter  $k$ . For some problems, including OCT, this approach seems not to be amenable as there is no apparent connection between the parameter  $k$  and the treewidth of the input graph. Nevertheless, a variant of this idea is still the engine of the subexponential time parameterized algorithms of Dorn et al. [9] and Tazari [27], the linear time algorithm of Fiorini et al. [12] and also of our algorithm.

Fiorini et al. show that after a linear time pre-processing step, the treewidth of the input graph is bounded by  $O(k^2)$ . Well-known algorithms for finding tree decompositions [3] and an algorithm for OCT on graphs of bounded treewidth then do the job. To obtain our  $O(k^{O(k)} n)$  time algorithm for PL-OCT, we give a linear time *branching* step inspired by Baker’s layering approach [2] that produces  $O(k)$  instances, each of treewidth  $O(k)$ , such that the input instance is a “yes” instance if and only if at least one of the output instances is a “yes” instance. We then show that one can make a trade-off between the number of output instances of the branching process and the treewidth of the output graphs. In particular we show that we can output  $k^{O(\sqrt{k})}$  instances each of treewidth  $O(\sqrt{k})$ , such that the input instance is a “yes” instance if and only if at least one of the output instances is a “yes” instance. The parameters involved in this trade-off are rather delicate, and to make the trade-off go through we need to first pre-process the graph using the recent sophisticated methods of Kratsch and Wahlström [22, 23]. This pre-processing step is the only part of our algorithm which takes superlinear time, and so we obtain an algorithm with running time  $O(n^{O(1)} + 2^{O(\sqrt{k} \log k)} n)$ . It remains an interesting open problem whether there is a subexponential parameterized algorithm for PL-OCT with linear dependence on  $n$ .

## 2 Preliminaries

Throughout this paper we use  $n$  to denote the size of the vertex set of the input graph  $G$ . For a graph  $G$  we denote its vertex set by  $V(G)$  and the edge set by  $E(G)$ . An edge between vertices  $u$  and  $v$  is denoted by  $uv$ , and is identical to the edge  $vu$ . We use  $G[V']$  to denote the subgraph of  $G$  induced by  $V'$ , i.e., the graph on vertex set  $V'$  and edge set  $\{uv \in E(G) \mid u, v \in V'\}$ . We use  $G \setminus Z$  as an abbreviation for  $G[V(G) \setminus Z]$ . The open neighborhood of a vertex  $v$  in graph  $G$  contains the vertices adjacent to  $v$ , and is written as  $N_G(v)$ . The open neighborhood of a set  $S \subseteq V(G)$  is defined as  $\bigcup_{v \in S} N_G(v) \setminus S$ . We omit the subscript  $G$  when it is clear from the context. A graph  $G$  is *bipartite* if there exists a partition of  $V(G)$  into two sets  $A$  and  $B$  such that every edge of  $G$  has one endpoint in  $A$  and one in  $B$ . The sets  $A$  and  $B$  are called bipartitions of  $G$ . A set  $W$  of  $V(G)$  is called an *odd cycle transversal* of  $G$  if  $G \setminus W$  is bipartite. A *plane* embedding of a graph  $G$  is an embedding of  $G$  in the plane with no edge crossings. A graph  $G$  that has a plane embedding is called *planar*. A *plane* graph is a graph  $G$  together with a plane embedding of it. For a plane graph  $G$ ,  $F(G)$  is the set of faces of  $G$ .

### 2.1 Tree-width

Let  $G$  be a graph. A *tree decomposition* of a graph  $G$  is a pair  $(T, \mathcal{X} = \{X_t\}_{t \in V(T)})$  (here  $T$  is a tree) such that

1.  $\bigcup_{t \in V(T)} X_t = V(G)$ ,
  2. for every edge  $\{x, y\} \in E(G)$  there is a  $t \in V(T)$  such that  $\{x, y\} \subseteq X_t$ , and
  3. for every vertex  $v \in V(G)$  the subgraph of  $T$  induced by the set  $\{t \mid v \in X_t\}$  is connected.
- The *width* of a tree decomposition is  $(\max_{t \in V(T)} |X_t|) - 1$  and the *treewidth* of  $G$  is the minimum width over all tree decompositions of  $G$ . We use  $\text{tw}(G)$  to denote the treewidth of the input graph  $G$ .

A tree decomposition  $(T, \mathcal{X})$  is called a *nice tree decomposition* if  $T$  is a tree rooted at some node  $r$  where  $X_r = \emptyset$ , each node of  $T$  has at most two children, and each node is of one of the following kinds:

1. *Introduce node*: a node  $t$  that has only one child  $t'$  where  $X_t \supset X_{t'}$  and  $|X_t| = |X_{t'}| + 1$ .
2. *Forget node*: a node  $t$  that has only one child  $t'$  where  $X_t \subset X_{t'}$  and  $|X_t| = |X_{t'}| - 1$ .
3. *Join node*: a node  $t$  with two children  $t_1$  and  $t_2$  such that  $X_t = X_{t_1} = X_{t_2}$ .
4. *Leaf node*: a node  $t$  that is a leaf of  $t$ , is different than the root, and  $X_t = \emptyset$ .

Notice that, according to the above definition, the root  $r$  of  $T$  is either a forget node or a join node. It is well-known that any tree decomposition of  $G$  can be transformed into a nice tree decomposition in time  $O(|V(G)| + |E(G)|)$  maintaining the same width [21]. We use  $G_t$  to denote the graph induced on the vertices  $\bigcup_{t'} X_{t'}$ , where  $t'$  ranges over all descendants of  $t$ , including  $t$ . We use  $H_t$  to denote  $G_t[V(G_t) \setminus X_t]$ .

## 3 Subexponential Time FPT Algorithm for PL-OCT

In this section we outline our algorithms for PL-OCT – (a) an algorithm running in time  $O(k^{O(k)}n)$  and (b) an algorithm running in time  $O(n^{O(1)} + 2^{O(\sqrt{k} \log k)}n)$ . To do so we reduce the problem to a “Steiner tree-like” problem on graphs of small treewidth and then use an algorithm for this Steiner tree-like problem on graphs of bounded treewidth to obtain our results.

### 3.1 Reducing PL-OCT to a “Steiner tree-like” problem

It is well-known that a plane graph is bipartite if and only if every face is even. Here we say that a face is even if the cyclic walk enclosing the face has even length. This fact allows us to interpret the OCT problem on a plane graph  $G$  as the “Steiner tree-like”  $L$ -JOIN problem on the face-vertex incidence graph of  $G$ . The *face-vertex incidence graph* of a plane graph  $G$  is the graph  $G^+$  with vertex set  $V(H) = V(G) \cup F(G)$  and an edge between a face  $f \in F(G)$  and vertex  $v \in V(G)$  if  $v$  is incident to  $f$  in the embedding of  $G$ . Clearly  $G^+$  is planar, and also it is bipartite with bipartitions  $V(G)$  and  $F(G)$ . For subsets  $L \subseteq F(G)$  and  $O \subseteq V(G)$  we will say that  $O$  is an  $L$ -join in  $G^+$  if every connected component of  $G^+[F(G) \cup O]$  contains an even number of vertices from  $L$ . The following observation plays a crucial role in our algorithm.

► **Proposition 1** ([12]). A subset  $O$  of  $V(G)$  is an odd cycle transversal of  $G$  if and only if every connected component of  $G^+[F(G) \cup O]$  has an even number of vertices of  $L$ . Here,  $L$  is the set of odd faces of  $G$ .

Observe that the notion of an  $L$ -join can be defined for any bipartite graph  $H$  with bipartitions  $A$  and  $B$ . Specifically for subsets  $L \subseteq A$  and  $O \subseteq B$  we say that  $O$  is an  $L$ -join in  $H$  if every connected component of  $H[A \cup O]$  contains an even number of vertices from  $L$ . In the  $L$ -JOIN problem we are given a bipartite graph  $H$  with bipartitions  $A$  and  $B$ , together with a subset  $L \subseteq A$  and an integer  $k$ . The task is to determine whether there is an  $L$ -join  $W \subseteq B$  in  $H$  of size at most  $k$ . The PL- $L$ -JOIN problem is just  $L$ -JOIN, but with the input graph  $H$  required to be planar. Proposition 1 directly implies the following lemma.

► **Lemma 2.** *If there is an algorithm for PL- $L$ -JOIN with running time  $O(f(k)n^c)$  for a function  $f$  and constant  $c \geq 1$  then there is an algorithm for PL-OCT with running time  $O(f(k)n^c)$ .*

In Section 3.2 we will give an algorithm for PL- $L$ -JOIN with running time  $O(2^{O(k \log k)}n)$ , yielding an algorithm for PL-OCT with the same running time. To get a subexponential time algorithm for PL-OCT we will reduce to a *promise* variant of PL- $L$ -JOIN where we additionally are given a set  $S$  of size  $k^{O(1)}$  with the promise that an optimal solution can be found inside  $S$ . We now formally define the promise variant of PL- $L$ -JOIN that we will reduce to.

PROMISE PLANAR- $L$ -JOIN (PRPL- $L$ -JOIN)	
<i>Input:</i>	A bipartite planar graph $H$ with bipartitions $A$ and $B$ , a set of terminals $L \subseteq A$ , a set of annotated vertices $S \subseteq B$ and an integer $k$
<i>Parameter:</i>	$ S , k$
<i>Question:</i>	Is there an $L$ -join $O \subseteq B$ of size at most $k$ ?
<i>Promise:</i>	If an $L$ -join $O \subseteq B$ of size at most $k$ exists then there is an $L$ -join $O' \subseteq S$ of size at most $ O $ .

In order to be able to reduce PL-OCT to PRPL- $L$ -JOIN we show the following lemma.

► **Lemma 3** (Small Relevant Set Lemma). *Let  $(G, k)$  be a yes instance to PL-OCT. Then in polynomial time we can find a set  $S$  such that*

- $|S| = k^{O(1)}$ ; and
- with probability  $(1 - \frac{1}{2^n})$ ,  $G$  has an oct of size  $k$  if and only if there is an oct contained in  $S$  of size  $k$ .

Here  $n = |V(G)|$ .

**Proof.** This follows from [22, 23], but for completeness we sketch the proof here. First, we find in polynomial time an approximate solution of size at most  $\frac{9}{4}k$  by applying the  $\frac{9}{4}$ -approximation algorithm for PL-OCT by Goemans and Williamson [16]. Let  $X$  be such an approximate solution. Next, we create an auxiliary graph  $G'$  from  $G$  and  $X$  as in the algorithm of Reed, Smith, and Vetta [26]; the vertex set of  $G'$  is  $(V \setminus X) \cup X'$ , where  $X'$  is a set of  $2|X|$  terminals corresponding to  $X$ . It is a consequence of [26], made explicit in [22, Lemma 4.1], that a minimum oct can be found by taking the union of a subset of  $X$  and a minimum  $S$ - $T$  vertex cut in  $G' \setminus R$  for  $S, T, R \subseteq X'$  (it may be assumed that all minimum cuts are disjoint from  $X'$ , by modifying  $R$ ). By [23, Corollary 1], there exists a set  $Z \subseteq V(G')$  with  $|Z| = O(|X|^3)$  which includes such a min-cut for all choices of  $S, T, R$ , and we can find it in polynomial time, with success probability as stated, using the tools of representative sets from matroid theory; see [23]. ◀

Proposition 1 together with Lemma 3 directly imply the following lemma.

► **Lemma 4.** *If there is an algorithm for PRPL- $L$ -JOIN with running time  $O(f(k)n^c)$  for a function  $f$  and constant  $c \geq 1$  then there is a randomized algorithm for PL-OCT with running time  $O(n^{O(1)} + f(k)n^c)$  and success probability at least  $(1 - \frac{1}{2^n})$ .*

At this point we make a remark about results in [22, 23]. In [22, 23], Kratsch and Wahlström obtain a polynomial kernel for OCT. That is, given an input  $(G, k)$  they output an equivalent instance  $(G', k')$  such that  $G$  has an odd cycle transversal of size  $k$  if and only if  $G'$  has and  $k' \leq k$ . It is very tempting to use this result directly at the place of Lemma 3. However, for our subexponential algorithm for PL-OCT we not only need that  $k' \leq k$ ,  $G'$  has small size but also that  $G'$  is a planar graph. However, it is not clear that the algorithms described in [22, 23] could be easily modified to get both  $k' \leq k$  and  $G'$  is planar. Thus we resort to Lemma 3 which is sufficient for our purpose.

### 3.2 Algorithms for PL- $L$ -JOIN, PRPL- $L$ -JOIN and PL-OCT

In this section we will give fast parameterized algorithms for PL- $L$ -JOIN and PRPL- $L$ -JOIN. The algorithms are based on the following decomposition lemma.

► **Lemma 5.** *There is an algorithm that given a planar bipartite graph  $H$  with bipartitions  $A$  and  $B$  and an integer  $t$ , runs in time  $O(n)$  and computes a partition of  $B$  into  $B = B_1 \cup B_2 \dots \cup B_t$  such that  $\mathbf{tw}(G \setminus B_i) = O(t)$  for every  $i \leq t$ . Furthermore, for every  $i \leq t$  a tree-decomposition of  $G \setminus B_i$  of width  $O(t)$  can be computed in time  $O(tn)$ .*

**Proof.** Select a vertex  $r \in A$  and do a breadth first search in  $H$  starting from  $r$ . We call  $\{r\}$  the first BFS layer,  $N(r)$  the second BFS layer,  $N(N(r)) \setminus \{r\}$  the third BFS layer etc. Let  $L_1, L_2, \dots, L_\ell$  be the BFS layers of  $H$ . Since  $H$  is bipartite we have that for every odd  $i$ ,  $L_i \subseteq A$  while for every even  $i$  we have  $L_i \subseteq B$ . For every  $i$  from 1 to  $t$  set  $B_i = \bigcup_{j \geq 0} L_{2i+2tj}$ . It is easy to see that  $B_1, \dots, B_t$  indeed form a partition of  $B$ . Furthermore, for every  $i$ , every connected component  $C$  of  $H \setminus B_i$  is a subset of at most  $2t$  consecutive BFS layers of  $H$ . Contracting all of the BFS layers preceding  $C$  in  $H$  into a single vertex shows that  $C$  is an induced subgraph of a planar graph of diameter  $O(t)$ . Thus it follows from [4, 11] that a tree decomposition of  $C$  of width  $O(t)$  can be computed in time  $O(t|C|)$ . Hence for every  $i \leq t$  a tree-decomposition of  $G \setminus B_i$  of width  $O(t)$  can be computed in time  $O(tn)$ . ◀

In Section 4 we will prove the following lemma.

► **Lemma 6.** *There is an algorithm that given an bipartite graph  $H$  with bipartitions  $A$  and  $B$ , together with a set  $L \subseteq A$ , an integer  $k$  and a tree-decomposition of  $H$  of width  $w$ , determines whether there is an  $L$ -join  $W \subseteq B$  of size at most  $k$  in time  $O(w^{O(w)}n)$ .*

Lemmata 5 and 6 yield the  $O(2^{O(k \log k)}n)$  time algorithm for PL- $L$ -JOIN.

► **Lemma 7.** *There is a  $O(2^{O(k \log k)}n)$  time algorithm for PL- $L$ -JOIN.*

**Proof.** Given as input a planar bipartite graph  $H$  with bipartitions  $A$  and  $B$ , a set  $L \subseteq A$  and an integer  $k$  the algorithm applies Lemma 5 with  $t = k + 1$ . Now, if  $H$  has an  $L$ -join  $W$  of size at most  $k$  then there is an  $i \leq t$  such that  $W \cap B_i = \emptyset$ , and so  $W$  is an  $L$ -join in  $H \setminus B_i$ . Furthermore, for any  $j$  an  $L$ -join in  $H \setminus B_j$  is also an  $L$ -join in  $H$ . We loop over every  $i$  and return the smallest  $L$ -join of  $H \setminus B_i$ . By Lemma 5, for each  $i$  we can compute a tree-decomposition of  $H \setminus B_i$  of width  $O(t)$  in  $O(tn)$  time. By Lemma 6 we can find a smallest  $L$ -join of  $H \setminus B_i$  in time  $O(2^{O(k \log k)}n)$ . ◀

The algorithm for PRPL- $L$ -JOIN goes along the same lines as the algorithm in Lemma 7, but is slightly more involved.

► **Lemma 8.** *There is an  $O(|S|^{\sqrt{k}} \cdot 2^{O(\sqrt{k} \log k)} \cdot n)$  time algorithm for PRPL- $L$ -JOIN.*

**Proof.** Given as input a planar bipartite graph  $H$  with bipartitions  $A$  and  $B$ , a set  $L \subseteq A$  of terminals and a set  $S \subseteq B$  of annotated vertices together with an integer  $k$  the algorithm applies Lemma 5 with  $t = \sqrt{k}$ . For every  $i \leq t$  define  $W_i = W \cap B_i$ . Now, if  $H$  has an  $L$ -join  $W$  of size at most  $k$  then without loss of generality  $W \subseteq S$ . Furthermore there is an  $i \leq t$  such that  $|W_i| \leq \sqrt{k}$ . Observe that  $W$  is also an  $L$ -join in  $H \setminus (B_i \setminus W_i)$ . Furthermore, for any subset  $B'$  of  $B$ , an  $L$ -join in  $H \setminus B'$  is also an  $L$ -join in  $H$ . The algorithm loops over every  $i$ , and every choice of  $W_i^* \subseteq B_i \cap S$  with  $|W_i^*| \leq \sqrt{k}$ . There are  $\sqrt{k}$  choices for  $i$  and at most  $|S|^{\sqrt{k}}$  choices for  $W_i^*$ . For each choice of  $i$  and  $W_i^*$  the algorithm finds the smallest  $L$ -join of  $H \setminus (B_i \setminus W_i^*)$ . Correctness follows from the fact that we will loop over the choice  $W_i^* = W_i$ .

In order to find the smallest  $L$ -join of  $H \setminus (B_i \setminus W_i^*)$  we will apply Lemma 6, but in order to do that we need a tree decomposition of  $H \setminus (B_i \setminus W_i^*)$  of small width. However, by Lemma 5 we can find a tree decomposition of  $H \setminus B_i$  of width  $O(\sqrt{k})$  in linear time for every  $i$ . Adding  $W_i^*$  to every bag of this tree decomposition yields a tree decomposition of  $H \setminus (B_i \setminus W_i^*)$  of width  $O(\sqrt{k}) + |W_i^*| = O(\sqrt{k})$ . Thus, by Lemma 6 we can find the smallest  $L$ -join of  $H \setminus (B_i \setminus W_i^*)$  in time  $O(2^{O(\sqrt{k} \log k)} \cdot n)$  for every choice of  $i$  and  $W_i^*$ . Since there are  $|S|^{\sqrt{k}}$  choices for  $W_i^*$  and  $\sqrt{k}$  choices for  $i$  this concludes the proof. ◀

We are now ready to prove our main theorems. In particular, Lemmata 2 and 7 imply our linear time parameterized algorithm for PL-OCT.

► **Theorem 9.** *There is a  $O(2^{O(k \log k)}n)$  time algorithm for PL-OCT.*

Similarly, Lemmata 4 and 8 imply our subexponential parameterized algorithm for PL-OCT.

► **Theorem 10.** *There is an  $O(n^{O(1)} + 2^{O(\sqrt{k} \log k)}n)$  time randomized algorithm for PL-OCT.*

## 4 An algorithm for MINIMUM $L$ -JOIN on graphs of bounded treewidth

In this section we give a dynamic programming algorithm on graphs of bounded treewidth for the following problem.

MINIMUM  $L$ -JOIN

*Input:* A bipartite graph  $G$  with bipartitions  $C$  and  $D$  and a set  $L \subseteq C$ .  
*Parameter:*  $\mathbf{tw}(G)$   
*Question:* Find a minimum sized set  $W \subseteq D$  (if it exists) such that every connected component of  $G[C \cup W]$  has an even number of vertices of  $L$ .

Observe that finding  $W$  is equivalent to finding a forest  $F$  of  $G$  such that  $L \subseteq V(F)$  and each tree of  $F$  contains an even number of vertices of  $L$ .

## 4.1 Description of the Algorithm

The idea of our algorithm is to do dynamic programming starting from leaf to root. We set

$$|X_t| = w \quad L_t = L \cap V(G_t) \quad C_t = C \cap V(G_t)$$

For a node  $t$  and any solution, say  $F$ , intersection with  $G_t$  and  $X_t$  (a partial solution) could be described as follows:

- A tree  $F_i$  of  $F$  is contained inside  $V(G_t) \setminus X_t$  and in this case we have that  $|V(F_i) \cap L|$  is even.
- A tree  $F_i$  of  $F$  does not contain any vertex of  $V(G_t)$ .
- A tree  $F_i$  of  $F$  contains vertices from both  $V(G_t)$  and  $V(G) \setminus V(G_t)$ . In this case we have that  $F_i$  contains vertices from  $X_t$  and either contains an even or an odd number of vertices from  $L$ .

We would like to keep representatives for all partial solutions for the graph  $G_t$ . Towards this we first introduce the following definition.

► **Definition 11.** A set  $P$  is a *partition* of  $X$  if, and only if, it does not contain the empty set unless  $X = \emptyset$  and: (a) the union of the elements of  $P$  is equal to  $X$ ; and (b) the intersection of any two elements of  $P$  is empty. (We say the elements of  $P$  are pairwise disjoint.) We call an element of  $P$  as *piece*. A partition is called *signed partition* if for every piece  $A \in P$ , we assign either 0 or 1. The sign of a piece  $A$  is denoted by  $sign(A)$ . That is,  $sign$  is a function from  $P$  to  $\{0, 1\}$ . A signed partition is denoted by  $(P, sign)$ , that is, a pair consisting of the partition  $P$  and a function  $sign : P \rightarrow \{0, 1\}$ .

For each node  $i \in V(T)$  we compute a table  $A_i$ , the rows of which are 3-tuples  $[S, (P, sign), val]$ . Table  $A_i$  contains one row for each combination of the first two components which denote the following:

- $S$  is a subset of  $X_i$ .
- $(P, sign)$ , where  $P$  is a partition of  $S$  into at most  $|S|$  labelled pieces.

We use  $P(v)$  to denote the piece of the partition  $P$  that contains the vertex  $v$ . We let  $|P|$  denote the number of pieces in the partition  $P$ . The set  $S$  denotes the intersection of our solution with the vertices in the bag  $X_i$ .

The last component  $val$ , also denoted as  $A_i[S, (P, sign)]$ , is the size of a smallest forest  $F_i(S, (P, sign))$  of  $G_i$  which satisfies the following properties:

- $C_i \subseteq V(F_i(S, (P, sign)))$  – all the vertices of  $C$  lying in  $G_i$  are contained in the forest;
- $(X_i \setminus S) \cap V(F_i(S, (P, sign))) = \emptyset$  – only vertices in  $S$  from  $X_i$  are contained in the forest;
- for every non-empty part  $A$  of  $P$  there exists a tree, say  $F_A$  in  $F_i(S, (P, sign))$ , such that  $A \subseteq V(F_A)$  and  $|L_i \cap V(F_A)| \bmod 2 = sign(A)$  and for every  $A \neq B$ ,  $F_A \neq F_B$  (that is, trees associated with distinct parts are distinct); and

- if there exists a tree  $F''$  in  $F_i(S, (P, \text{sign}))$  such that  $V(F'') \cap X_i = \emptyset$  then  $|L_i \cap V(F'')| \bmod 2 = 0$ .

If there is no such forest  $F_i(S, (P, \text{sign}))$ , then the last component of the row is set to  $\infty$ . Given a node  $i$  of the tree  $T$  and a pair  $(S, (P, \text{sign}))$  of  $X_i$ , a forest  $F$  in  $G_i$  satisfying the above properties is called *consistent* with  $(S, (P, \text{sign}))$ .

We compute the tables  $A_i$  starting from the leaf nodes of the tree decomposition and going up to the root.

**Leaf Nodes.** Let  $i$  be a leaf node of the tree decomposition. We compute the table  $A_i$  as follows. We set  $A_i[\emptyset, (\emptyset, 0)] = 0$  and  $A_i[\emptyset, (\emptyset, 1)] = 0$ .

**Introduce Nodes.** Let  $i$  be an introduce node and  $j$  its unique child. Let  $x \in X_i \setminus X_j$  be the introduced vertex. For each pair  $(S, (P, \text{sign}))$ , we compute the entry  $A_i[S, (P, \text{sign})]$  as follows.

**Case 1.**  $x \in S$ . Check whether  $N(x) \cap S \subseteq P(x)$ ; if not, set  $A_i[S, (P, \text{sign})] = \infty$ .

**Subcase 1:**  $P(x) = \{x\}$ . If  $(x \in L_i \text{ and } \text{sign}(P(x)) = 0)$  or  $(x \notin L_i \text{ and } \text{sign}(P(x)) = 1)$  then set  $A_i[S, (P, \text{sign})] = \infty$ .

Else, we set  $A_i[S, (P, \text{sign})] = A_j[S \setminus \{x\}, (P \setminus P(x), \text{sign}')] + 1$ . Here  $\text{sign}'$  is the restriction of  $\text{sign}$  to  $P \setminus P(x)$ .

**Subcase 2:**  $|P(x)| \geq 2$  and  $N(x) \cap P(x) = \emptyset$ . Set  $A_i[S, (P, \text{sign})] = \infty$ , as no extension of  $P(x)$  in  $G_i$  is connected.

**Subcase 3:**  $|P(x)| \geq 2$  and  $N(x) \cap P(x) \neq \emptyset$ . Let  $\mathcal{A}$  be the set of all rows  $[S', (P', \text{sign}')] of the table  $A_j$  that satisfy the following conditions:$

- $S' = S \setminus \{x\}$ .
- $P' = (P \setminus P(x)) \cup Q$ , where  $Q$  is a partition of  $P(x) \setminus \{x\}$  such that each piece of  $Q$  contains an element of  $N(x) \cap P(x)$ .
- $\text{sign}'$  is such that it agrees with  $\text{sign}$  on  $P \setminus P(x)$  and if  $x \in L_i$  then

$$\left( 1 + \sum_{Q_\ell \in Q} \text{sign}'(Q_\ell) \right) \bmod 2 = \text{sign}(P(x),$$

else

$$\left( \sum_{Q_\ell \in Q} \text{sign}'(Q_\ell) \right) \bmod 2 = \text{sign}(P(x)).$$

Set  $A_i[S, (P, \text{sign})] = \min_{[S', (P', \text{sign}')] \in \mathcal{A}} \{A_j[S', (P', \text{sign}')]\} + 1$ .

**Case 2.**  $x \notin S$ . If  $x \in C_i$  then set  $A_i[S, (P, \text{sign})] = \infty$ . Else set  $A_i[S, (P, \text{sign})] = A_j[S, (P, \text{sign})]$ .

**Forget Nodes.** Let  $i$  be a forget node and  $j$  its unique child node. Let  $x \in X_j \setminus X_i$  be the forgotten vertex. For each pair  $(S, (P, \text{sign}))$  in the table  $A_i$ , let  $\mathcal{A}$  be the set of all rows  $[S', (P', \text{sign}')] of the table  $A_j$  that satisfy the following conditions:$

- $S' = S \cup \{x\}$ , and
- $P'(x) = P(y) \cup \{x\}$  for some  $y \in S$  and all other parts remain the same. Essentially,  $P'$  has been obtained by adding  $x$  to some part of  $P$ .
- $\text{sign}'$  is same as  $\text{sign}$  on all other parts of  $P'$  but  $P'(x)$  and  $\text{sign}(P'(x)) = \text{sign}(P(y))$ .

Set

$$A_i[S, (P, \text{sign})] = \min_{[S', (P', \text{sign}') \in \mathcal{A}} \left\{ A_j[S', (P', \text{sign}')] \right\}.$$

**Join Nodes.** Let  $i$  be a join node and  $j$  and  $l$  its children. For each triple  $(S, (P, \text{sign}))$  we compute  $A_i[S, (P, \text{sign})]$  as follows.

Let  $\mathcal{A}$  denote the set of all pairs  $\langle (S, (P_1, \text{sign}_1)), (S, (P_2, \text{sign}_2)) \rangle$ , where  $(S, (P_1, \text{sign}_1)) \in A_j$  and  $(S, (P_2, \text{sign}_2)) \in A_l$  with the following property:

Starting with the partitions  $Q_p = P_1$  and the sign function  $\text{sign}_p = \text{sign}_1$  and repeatedly applying the following operation, we reach the stable partition that is identical to  $(P, \text{sign})$ . The operation that we apply is:

If there exist vertices  $u, v \in S$  such that they are in different pieces of  $Q_p$  but are in the same piece of  $P_2$ , delete  $Q_p(u)$  and  $Q_p(v)$  from  $Q_p$  and add  $Q_p(u) \cup Q_p(v)$ . Furthermore make  $\text{sign}_p(Q_p(u) \cup Q_p(v)) := (\text{sign}_p(P(u)) + \text{sign}_p(P(v))) \bmod 2$ .

Set

$$A_i[S, (P, \text{sign})] = \min_{\langle (S, (P_1, \text{sign}_1)), (S, (P_2, \text{sign}_2)) \rangle \in \mathcal{A}} \left\{ A_j[S, (P_1, \text{sign}_1)] + A_l[S, (P_2, \text{sign}_2)] - |S| \right\}.$$

The stated conditions ensure that  $u, v \in S$  are in the same piece of  $P$  if and only if for each  $\langle (S, (P_1, \text{sign}_1)), (S, (P_2, \text{sign}_2)) \rangle \in \mathcal{A}$ , they are in the same piece of  $P_1$  or of  $P_2$  (or both). Given this, it is easy to verify that the above computation correctly determines  $A_i[S, (P, \text{sign})]$ .

**Root Node.** We obtain the size of a smallest  $L$ -join of  $G$  from any row of the table  $A_r$  for the root node  $r$ . That is, if the size of the forest we have stored is  $\eta$ , then the size of the smallest  $L$ -join of  $G$  is  $\eta - |C|$ .

**Extracting the solution at the root node.** We can compute the optimum solution, that is the set  $W$ , by standard backtracking or by storing a set of vertices for each row and each bag.

## 4.2 Correctness and the Time analysis of the algorithm

We are now ready to discuss the algorithm's running time and prove that it correctly computes an optimal solution.

**Proof.** (of Lemma 6) We first upper-bound the running time of the algorithm we described earlier. The running time mainly depends on the size of the tables and the combination of tables during the bottom-up traversal of the decomposition tree. Let  $\zeta$  be the size of the number of signed partitions of size at most  $w + 1$ . The number  $\zeta$  is upper bounded by  $(w + 1)^{w+1} \times 2^{w+1}$ . Thus the size of the table at any node is upper bounded by  $2^{w+1} \times \zeta = 4^{w+1}(w + 1)^{w+1} = w^{O(w)}$ . Furthermore time taken to compute the value for any row is upper bounded by  $w^{O(w)}$ . Thus the total time taken by the algorithm is upper bounded by  $w^{O(w)} \cdot n = 2^{O(w \log w)} \cdot n$ .

The algorithm's correctness can be shown by a standard inductive proof on the decomposition tree. This completes the proof. For an example see [5] for similar proof for the Steiner tree problem parameterized by treewidth of the input graph. ◀

## 5 Open Problems and Conclusions

In this paper we gave the first subexponential time algorithm for PL-OCT combining the recent matroid based kernelization for OCT and a reformulation of PL-OCT in terms of  $T$ -joins. On the way we also obtained an algorithm for PL-OCT running in time  $O(k^{O(k)}n)$ , improving over the previous linear time FPT algorithm for PL-OCT by Fiorini et al. [12]. Let us remark that Fiorini et al. [12] do not compute the dependence of the running time on  $k$  of their algorithm, and the running time of their algorithm depends on how one implements a particular step, where one needs to compute a tree-decomposition of width  $O(k^2)$  of a particular planar graph. Naively using Bodlaender's algorithm [3] gives an  $O(2^{O(k^6)}n)$  time algorithm. By using more clever tricks, such as using Kammer and Tholey's [19] recent linear time constant factor approximation algorithm for treewidth of planar graphs, one may get an  $O(2^{O(k^2)}n)$  time algorithm. This is still quite a bit slower than our  $O(k^{O(k)}n)$  running time.

We conclude with two interesting problems that remain open. First, is there a subexponential parameterized algorithm for PL-OCT with linear dependence on  $n$ ? Second, is there an algorithm for PL-OCT running in time  $2^{O(\sqrt{k})}n^{O(1)}$ ?

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