# Finding Small Weight Isomorphisms with Additional Constraints is Fixed-Parameter **Tractable**\*<sup>†</sup>

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## - Abstract

Lubiw showed that several variants of Graph Isomorphism are NP-complete, where the solutions are required to satisfy certain additional constraints [12]. One of these, called ISOMORPHISM WITH RESTRICTIONS, is to decide for two given graphs  $X_1 = (V, E_1)$  and  $X_2 = (V, E_2)$  and a subset  $R \subseteq V \times V$  of forbidden pairs whether there is an isomorphism  $\pi$  from  $X_1$  to  $X_2$  such that  $i^{\pi} \neq j$  for all  $(i, j) \in R$ . We prove that this problem and several of its generalizations are in fact in FPT:

- The problem of deciding whether there is an isomorphism between two graphs that moves k vertices and satisfies Lubiw-style constraints is in FPT, with k and |R| as parameters. The problem remains in FPT even if a CNF of such constraints is allowed. As a consequence of the main result it follows that the problem to decide whether there is an isomorphism that moves exactly k vertices is in FPT. This solves a question left open in [1].
- When the number of moved vertices is unrestricted, finding isomorphisms that satisfy a CNF of Lubiw-style constraints is in  $\mathsf{FPT}^{\mathrm{GI}}$ .
- Checking if there is an isomorphism between two graphs that has complexity t is also in FPT with t as parameter, where the complexity of a permutation  $\pi$  is the Cayley measure defined as the minimum number t such that  $\pi$  can be expressed as a product of t transpositions.
- We consider a more general problem in which the vertex set of a graph X is partitioned into -RED and BLUE, and we are interested in an automorphism that stabilizes RED and BLUE and moves exactly k vertices in BLUE, where k is the parameter. This problem was introduced in [5], and in [1] we showed that it is W[1]-hard even with color classes of size 4 inside RED. Now, for color classes of size at most 3 inside RED, we show the problem is in FPT.

In the non-parameterized setting, all these problems are NP-complete. Also, they all generalize in several ways the problem to decide whether there is an isomorphism between two graphs that moves at most k vertices, shown to be in FPT by Schweitzer [13].

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# 1 Introduction

The Graph Isomorphism problem (GI) consists in deciding whether two given input graphs are isomorphic, i.e., whether there is a bijection between the vertex sets of the two graphs that preserves the adjacency relation. It is an intensively researched algorithmic problem for over four decades, culminating in Babai's recent quasi-polynomial time algorithm [2].

There is also considerable work on the parameterized complexity of GI. For example, already in 1980 it was shown [7] that GI, parameterized by color class size, is fixed-parameter tractable (FPT). It is also known that GI, parameterized by the eigenvalue multiplicity of the input graph, is in FPT [3]. More recently, GI, parameterized by the treewidth of the input graph, is shown to be in FPT [11].

In a different line of research, Lubiw [12] has considered the complexity of GI with additional constraints on the isomorphism. Exploring the connections between GI and the NP-complete problems, Lubiw defined the following version of GI.

ISOMORPHISM WITH RESTRICTIONS: Given two graphs  $X_1 = (V_1, E_1)$  and  $X_2 = (V_2, E_2)$ and a set of forbidden pairs  $R \subseteq V_1 \times V_2$ , decide whether there is an isomorphism  $\pi$ from  $X_1$  to  $X_2$  such that  $i^{\pi} \neq j$  for all  $(i, j) \in R$ .

When  $X_1 = X_2$ , the problem is to check if there is an automorphism that satisfies these restrictions. Lubiw showed that the special case of testing for *fixed-point-free automorphisms* is NP-complete. Klavík et al. recently reexamined ISOMORPHISM WITH RESTRICTIONS [10]. They show that it remains NP-complete when restricted to graph classes for which GI is as hard as for general graphs. Conversely, they show that it can be solved in polynomial time for several graph classes for which the isomorphism problem is known to be solvable in polynomial time by combinatorial algorithms, e.g. planar graphs and bounded treewidth graphs. However, they also show that the problem remains NP-complete for bounded color class graphs, where an efficient group theoretic isomorphism algorithm is known.

A different kind of constrained isomorphism problem was introduced by Schweitzer [13]. The weight (or support size) of a permutation  $\pi \in \text{Sym}(V)$  is  $|\{i \in V \mid i^{\pi} \neq i\}|$ . Schweitzer showed that the problem of testing if there is an isomorphism  $\pi$  of weight at most k between two n-vertex input graphs in the same vertex set can be solved in time  $k^{\mathcal{O}(k)}$  poly(n). Hence, the problem is in FPT with k as parameter. Schweitzer's algorithm exploits interesting properties of the structure of an isomorphism  $\pi$ . Based on Lubiw's reductions [12], it is not hard to see that the problem is NP-complete when k is not treated as parameter.

In this paper we consider the problem of finding isomorphisms with additional constraints in the parameterized setting. In our main result we formulate a graph isomorphism/automorphism problem with additional constraints that generalizes Lubiw's setting as follows. For a graph X = (V, E), let  $\pi \in \text{Aut}(X)$  be an automorphism of X. We say that a permutation  $\pi \in \text{Sym}(V)$  satisfies a formula F over the variables in  $\text{Var}(V) = \{x_{u,v} \mid u, v \in V\}$  if F is satisfied by the assignment that has  $x_{u,v} = 1$  if and only if  $u^{\pi} = v$ . For example, the conjunction  $\bigwedge_{u \in V} \neg x_{uu}$  expresses the condition that  $\pi$  is fixed-point-free. We define:

EXACT-CNF-GI: Given two graphs  $X_1 = (V, E_1)$  and  $X_2 = (V, E_2)$ , a CNF formula F over Var(V), and  $k \in \mathbb{N}$ , decide whether there is an isomorphism from  $X_1$  to  $X_2$  that has

weight exactly k and satisfies F. The parameter is |F| + k, where |F| is the number of variables used in F.

In Section 4, we first give an FPT algorithm for EXACT-CNF-GA, the automorphism version of this problem. The algorithm uses an orbit shrinking technique that allows us to transform the input graph into a graph with bounded color classes, preserving the existence of an exact weight k automorphism that satisfies the formula F. The bounded color class version is easy to solve using color coding; see Section 3 for details. Building on this, we show that EXACT-CNF-GI is also in FPT. In particular, this allows us to efficiently find isomorphisms of weight exactly k, a problem left open in [1], and extends Schweitzer's result mentioned above to the *exact* case. In our earlier paper [1] we have shown that the problem of *exact weight* k automorphisms. In this paper, we use some extra group-theoretic machinery to obtain a more versatile orbit shrinking.

In Section 5, we consider the problem of computing graph isomorphisms of *complexity* exactly t: The complexity of a permutation  $\pi \in \text{Sym}(V)$  is the minimum number of transpositions whose product is  $\pi$ . Checking for automorphisms or isomorphisms of complexity exactly t is NP-complete in the non-parameterized setting. We show that the problem is in FPT with t as parameter. Again, the "at most t" version of this problem was already shown to be in FPT by Schweitzer [13] as part of his algorithmic strategy to solve the weight at most k problem. Our results in Sections 4 and 5 also hold for hypergraphs when the maximum hyperedge size is taken as additional parameter.

In Section 6, we examine a different restriction on the automorphisms being searched for. Consider graphs X = (V, E) with vertex set partitioned into RED and BLUE. The Colored Graph Automorphism problem (defined in [5]; we denote it COL-GA), is to check if X has an automorphism that respects the partition and moves exactly k BLUE vertices. We showed in [1] that this problem is W[1]-hard. In our hardness proof the orbits of the vertices in the RED part of the graph have size at most 4, while the ones for the BLUE vertices have size 2. We show here that this cannot be restricted any further: If the input graph has RED further partitioned into color classes of size at most 3 each, then the problem to test whether there is an automorphism moving exactly k BLUE vertices can be solved in FPT (with parameter k). The BLUE part of the graph remains unconstrained. Observe that Schweitzer's problem [13] coincides with the special case of this problem where there are no RED vertices. This implies that the non-parameterized version of CoL-GA is NP-complete (even when X has only BLUE vertices). Similarly, finding weight k automorphisms of a hypergraph reduces to CoL-GA by taking the incidence graph, where the original vertices become BLUE and the vertices for hyperedges are RED; note that this yields another special case, where both RED and BLUE induce the empty graph, respectively.

# 2 Preliminaries

We use standard permutation group terminology, see e.g. [4]. Given a permutation  $\sigma \in$ Sym(V), its support is supp $(\sigma) = \{u \in V \mid u^{\sigma} \neq u\}$  and its (Hamming) weight is  $|\text{supp}(\sigma)|$ . The complexity of  $\sigma$  (sometimes called its Cayley weight) is the minimum number t such that  $\sigma$  can be written as the product of t transpositions.

Let  $G \leq \text{Sym}(V)$  and  $\pi \in \text{Sym}(V)$ ; this includes the case  $\pi = \text{id.}$  A permutation  $\sigma \in G\pi \setminus \{\text{id}\}$  has *minimal complexity in*  $G\pi$  if for every way to express  $\sigma$  as the product of a minimum number of transpositions  $\sigma = \tau_1 \cdots \tau_{\text{compl}(\sigma)}$  and every  $i \in \{2, \ldots, \text{compl}(\sigma)\}$  it holds that  $\tau_i \cdots \tau_{\text{compl}(\sigma)} \notin G\pi$ . The following lemma observes that every element of  $G\pi$  can be decomposed into minimal-complexity factors.

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▶ Lemma 2.1 [1, Lemma 2.2]. Let  $G\pi$  be a coset of a permutation group G and let  $\sigma \in G\pi \setminus \{id\}$ . Then for some  $\ell \geq 1$  there are  $\sigma_1, \ldots, \sigma_{\ell-1} \in G$  with minimal complexity in G and  $\sigma_\ell \in G\pi$  with minimal complexity in  $G\pi$  such that  $\sigma = \sigma_1 \cdots \sigma_\ell$  and  $\operatorname{supp}(\sigma_i) \subseteq \operatorname{supp}(\sigma)$  for each  $i \in \{1, \ldots, \ell\}$ .

An action of a permutation group  $G \leq \text{Sym}(V)$  on a set V' is a group homomorphism  $h: G \to \text{Sym}(V')$ ; we denote the image of G under h by G(V'). For  $u \in V$ , we denote its stabilizer by  $G_u = \{\pi \in G \mid u^{\pi} = u\}$ . For  $U \subseteq V$ , we denote its pointwise stabilizer by  $G_{[U]} = \{\pi \in G \mid \forall u \in U : u^{\pi} = u\}$  and its setwise stabilizer by  $G_{\{U\}} = \{\pi \in G \mid U^{\pi} = U\}$ . For  $S \subseteq \mathcal{P}(V)$ , we let  $G_S = \{\pi \in G \mid \forall U \in S : U^{\pi} = U\}$ .

A hypergraph X = (V, E) consists of a vertex set V and a hyperedge set  $E \subseteq \mathcal{P}(V)$ . Graphs are the special case where |e| = 2 for all  $e \in E$ . The degree of a vertex  $v \in V$  is  $|\{e \in E | v \in e\}|$ . A (vertex) coloring of X is a partition of V into color classes  $\mathcal{C} = (C_1, \ldots, C_m)$ . The color classes  $\mathcal{C}$  are b-bounded if  $|C_i| \leq b$  for all  $i \in [m]$ . An isomorphism between two hypergraphs X = (V, E) and X' = (V', E') (with color classes  $\mathcal{C} = (C_1, \ldots, C_m)$  and  $\mathcal{C}' = (C'_1, \ldots, C'_m)$ ) is a bijection  $\pi \colon V \to V'$  such that  $E' = \{\{\pi(v) | v \in e\} | e \in E\}$  (and  $C'_i = \{\pi(v) | v \in C_i\}$ ). The isomorphisms from X to X' form a coset that we denote by  $\mathrm{Iso}(X, X')$ . The automorphisms of a hypergraph X are the isomorphisms from X to itself; they form a group which we denote by  $\mathrm{Aut}(X)$ .

# 3 Bounded color class size

To show that EXACT-CNF-GA for hypergraphs with b-bounded color classes can be solved in FPT, we recall our algorithm for exact weight k automorphisms of bounded color class hypergraphs [1] and show how it can be adapted to the additional constraints given by the input formula.

▶ **Definition 3.1.** Let X = (V, E) be a hypergraph with color class set  $C = \{C_1, \ldots, C_m\}$ .

- (a) For a subset  $\mathcal{C}' \subseteq \mathcal{C}$ , we say that a color-preserving permutation  $\pi \in \text{Sym}(V) \mathcal{C}'$ -satisfies a CNF formula F over Var(V) if every clause of F contains a literal  $x_{u,v}$  or  $\neg x_{u,v}$  with  $u \in \bigcup \mathcal{C}'$  that is satisfied by  $\pi$ .
- (b) For a color-preserving permutation  $\pi \in \text{Sym}(V)$ , let  $\mathcal{C}[\pi] = \{C_i \in \mathcal{C} \mid \exists v \in C_i : v^{\pi} \neq v\}$ be the subset of color classes that intersect  $\text{supp}(\pi)$ . For a subset  $\mathcal{C}' \subseteq \mathcal{C}[\pi]$ , we define the permutation  $\pi_{\mathcal{C}'} \in \text{Sym}(V)$  as

$$\pi_{\mathcal{C}'}(v) = \begin{cases} v^{\pi}, & \text{if } v \in \bigcup \mathcal{C}', \\ v, & \text{if } v \notin \bigcup \mathcal{C}'. \end{cases}$$

Note that  $\pi_{\mathcal{C}[\pi]} = \pi$ .

(c) A color-preserving automorphism  $\sigma \neq id$  of X is said to be *color-class-minimal*, if for every set  $\mathcal{C}'$  with  $\varnothing \subsetneq \mathcal{C}' \subsetneq \mathcal{C}[\sigma]$ , the permutation  $\sigma_{\mathcal{C}'}$  is not in Aut(X).

Lemma 3.2. Let X = (V, E) be a hypergraph with color class set C = {C<sub>1</sub>, C<sub>2</sub>,..., C<sub>m</sub>}. For Ø ≠ C' ⊆ C and a CNF formula F over Var(V), the following statements are equivalent:
There is a nontrivial automorphism σ of X with C[σ] = C' that satisfies F.

• C' can be partitioned into  $C_1, \ldots, C_{\ell}$  and F (seen as a set of clauses) can be partitioned into CNF formulas  $F_0, \ldots, F_{\ell}$  such that  $F_0$  is  $(C \setminus C')$ -satisfied by id and for each  $i \in \{1, \ldots, \ell\}$  there is a color-class-minimal automorphism  $\sigma_i$  of X with  $C[\sigma_i] = C_i$  that  $C_i$ -satisfies  $F_i$ . Moreover, the automorphisms  $\sigma$  and  $\sigma_i$  can be chosen to satisfy  $\sigma_i = \sigma_{C_i}$  for  $1 \leq i \leq \ell$ , respectively.

In [1] an algorithm is presented that, when given a hypergraph X on vertex set V with b-bounded color classes and  $k \in \mathbb{N}$ , computes all color-class-minimal automorphisms of X that have weight exactly k in  $\mathcal{O}((kb!)^{\mathcal{O}(k^2)} \operatorname{poly}(N))$  time. We use it as a building block for the following algorithm (see line 5).

**Algorithm 1** Color-Exact-CNF-HGA<sub>b</sub>(X, C, k, F)

- 1 **Input**: A hypergraph X = (V, E) with b-bounded color classes  $C = \{C_1, \ldots, C_m\}$ , a parameter  $k \in \mathbb{N}$ , and a CNF formula F over Var(V)
- 2 **Output**: A color-preserving automorphism  $\sigma$  of X with  $|\text{supp}(\sigma)| = k$  that satisfies F, or  $\perp$  if none exists

 $A_0 = \{ id \}$ 3 for  $i \in \{1, ..., k\}$  do 4 $A_i \leftarrow \{\sigma \in \operatorname{Aut}(X) \mid \sigma \text{ is color-class-minimal and has weight } i\} // see [1]$  $\mathbf{5}$ for  $h \in \mathcal{H}_{\mathcal{C},k}$  do //  $\mathcal{H}_{\mathcal{C},k}$  is the perfect family of hash functions  $h: \mathcal{C} \to [k]$  from [6] 6 for  $\ell \in \{1, \ldots, k\}, h' \colon [k] \to [\ell]$  do  $\overline{7}$ for  $(k_1, \ldots, k_\ell) \in \{0, \ldots, k\}^\ell$  with  $\sum_{i=1}^\ell k_i = k$  do 8 for each partition of the clauses of F into  $F_0, \ldots, F_\ell$  do 9 if  $\forall i \in \{1, \ldots, \ell\}$ :  $\exists \sigma_i \in A_{k_i}$ : supp $(\sigma_i) \subseteq \bigcup (h' \circ h)^{-1}(i)$  and  $F_i$  is 10  $\mathcal{C}[\sigma_i]$ -satisfied by  $\sigma_i$ , and  $F_0$  is  $(\mathcal{C} \setminus \bigcup_{i=1}^{\ell} \mathcal{C}[\sigma_i])$ -satisfied by id then return  $\sigma = \sigma_1 \cdots \sigma_\ell$ 11 return  $\perp$ 12

▶ **Theorem 3.3.** Given a hypergraph X = (V, E) with b-bounded color classes C, a CNF formula F over  $\operatorname{Var}(V)$ , and  $k \in \mathbb{N}$ , the algorithm Color-Exact-CNF-HGA<sub>b</sub>(X, C, k, F) computes a color-preserving automorphism  $\sigma$  of X of weight k that satisfies F in  $(kb!)^{\mathcal{O}(k^2)}k^{\mathcal{O}(|F|)} \operatorname{poly}(N)$ time (where N is the size of X), or determines that none exists.

**Proof.** If the algorithm returns  $\sigma = \sigma_1 \cdots \sigma_\ell$ , we know  $\sigma_i \in A_{k_i}$  and  $\operatorname{supp}(\sigma_i) \subseteq \bigcup (h' \circ h)^{-1}(i)$ . As these sets are disjoint, we have  $|\operatorname{supp}(\sigma)| = \sum_{i=1}^{\ell} |\operatorname{supp}(\sigma_i)| = k$ , and Lemma 3.2 implies that  $\sigma$  satisfies F.

We next show that the algorithm does not return  $\perp$  if there is an automorphism  $\pi$  of X that has weight k and satisfies F. By Lemma 3.2, we can partition  $\mathcal{C}[\pi]$  into  $\mathcal{C}_1, \ldots, \mathcal{C}_\ell$  and the clauses of F into  $F_0 \ldots, F_\ell$  such that  $F_0$  is  $(\mathcal{C} \setminus \mathcal{C}[\pi])$ -satisfied by id and, for  $1 \leq i \leq \ell$ , the permutation  $\pi_i = \pi_{\mathcal{C}_i}$  is a color-class-minimal automorphism of X that  $\mathcal{C}[\pi_i]$ -satisfies F. Now consider the iteration of the loop where h is injective on  $\mathcal{C}[\pi]$ ; such an h must exist as it is chosen from a perfect hash family. Now let  $h' \colon [k] \to [\ell]$  be a function with  $h'(h(\mathcal{C})) = i$  if  $\mathcal{C} \in \mathcal{C}[\pi_i]$ ; such an h' exists because h is injective on  $\mathcal{C}[\pi]$ . In the loop iterations where h' and the partition of F into  $F_0 \ldots, F_\ell$  is considered, the condition on line 10 is true (at least) with  $\sigma_i = \pi_i$ , so the algorithm does not return  $\perp$ .

Line 5 can be implemented by using the algorithm  $ColoredAut_{k,b}(X)$  from [1] which runs in  $\mathcal{O}((kb!)^{\mathcal{O}(k^2)} \operatorname{poly}(N))$  time, and this also bounds  $|A_i|$ . As  $|\mathcal{C}| \leq n$ , the perfect hash family  $\mathcal{H}_{\mathcal{C},k}$  has size  $2^{\mathcal{O}(k)} \log^2 n$ , and can also be computed in this time. The inner loops take at most  $k^k$ ,  $k^k$  and  $(k+1)^{|F|}$  iterations, respectively. Together, this yields a runtime of  $(kb!)^{\mathcal{O}(k^2)} k^{\mathcal{O}(|F|)} \operatorname{poly}(N)$ .

## 4 Exact weight

In this section, we show that finding isomorphisms that have an exactly prescribed weight and satisfy a CNF formula is fixed parameter tractable. In fact, we show that this is true even for hypergraphs, when the maximum hyperedge size d is taken as additional parameter.

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EXACT-CNF-HGI: Given two hypergraphs  $X_1 = (V, E_1)$  and  $X_2 = (V, E_2)$  with hyperedge size bounded by d, a CNF formula F over Var(V), and  $k \in \mathbb{N}$ , decide whether there is an isomorphism from  $X_1$  to  $X_2$  of weight k that satisfies F. The parameter is |F| + k + d.

Our approach is to reduce EXACT-CNF-HGI to EXACT-CNF-HGA (the analogous problem for automorphisms), which we solve first.

We require some permutation group theory definitions. Let  $G \leq \text{Sym}(V)$  be a permutation group. The group G partitions V into orbits:  $V = \Omega_1 \cup \Omega_2 \cdots \cup \Omega_r$ . On each orbit  $\Omega_i$ , the group G acts transitively. A subset  $\Delta \subseteq \Omega_i$  is a *block* of the group G if for all  $\pi \in G$ either  $\Delta^{\pi} = \Delta$  or  $\Delta^{\pi} \cap \Delta = \emptyset$ . Clearly,  $\Omega_i$  is itself a block, and so are all singleton sets. These are *trivial* blocks. Other blocks are *nontrivial*. If G has no nontrivial blocks it is *primitive*. If G is not primitive, we can partition  $\Omega_i$  into blocks  $\Omega_i = \Delta_1 \cup \Delta_2 \cup \cdots \cup \Delta_s$ , where each  $\Delta_j$  is a *maximal nontrivial block*. Then the group G acts primitively on the block system  $\{\Delta_1, \Delta_2, \ldots, \Delta_s\}$ . In this action, a permutation  $\pi \in G$  maps  $\Delta_i$  to  $\Delta_i^{\pi} = \{u^{\pi} \mid u \in \Delta_i\}$ .

The following two theorems imply that every primitive group on a sufficiently large set V contains the alternating group  $Alt(V) = \{\pi \in Sym(G) \mid compl(\pi) \text{ is even}\}.$ 

▶ Theorem 4.1 [4, Theorem 3.3A]. Suppose  $G \leq \text{Sym}(V)$  is a primitive subgroup of Sym(V). If G contains an element  $\pi$  such that  $|\text{supp}(\pi)| = 3$  then G contains Alt(V). If G contains an element  $\pi$  such that  $|\text{supp}(\pi)| = 2$  then G = Sym(V).

▶ Theorem 4.2 [4, Theorem 3.3D]. If  $G \leq \text{Sym}(V)$  is primitive with  $G \notin \{\text{Alt}(V), \text{Sym}(V)\}$ and contains an element  $\pi$  such that  $|\text{supp}(\pi)| = m$  (for some  $m \geq 4$ ) then  $|V| \leq (m-1)^{2m}$ .

The following lemma implies that the alternating group in a large orbit survives fixing vertices in a smaller orbit.

▶ Lemma 4.3. Let  $G \leq \text{Sym}(\Omega_1 \cup \Omega_2)$  be a permutation group such that  $\Omega_1$  is an orbit of G, and  $|\Omega_1| \geq 5$ . Recall that  $G(\Omega_i)$  denotes the image of G under its action on  $\Omega_i$ . Suppose  $G(\Omega_1) \in {\text{Alt}(\Omega_1), \text{Sym}(\Omega_1)}$  and  $|G(\Omega_1)| > |G(\Omega_2)|$ . Then for some subgroup H of  $G(\Omega_2)$ , the group G contains the product group  $\text{Alt}(\Omega_1) \times H$ . In particular, the pointwise stabilizer  $G_{[\Omega_2]}$  contains the subgroup  $\text{Alt}(\Omega_1) \times {\text{id}}$ .

The effect of fixing vertices of some orbit on other orbits of the same size depends on how the group relates these orbits to each other.

▶ **Definition 4.4.** Two orbits  $\Omega_1$  and  $\Omega_2$  of a permutation group  $G \leq \text{Sym}(V)$  are *linked* if there is a group isomorphism  $\sigma: G(\Omega_1) \to G(\Omega_2)$  with  $G(\Omega_1 \cup \Omega_2) = \{(\varphi, \sigma(\varphi)) | \varphi \in G(\Omega_1)\}$ . (This happens if and only if both  $G(\Omega_1)$  and  $G(\Omega_2)$  are isomorphic to  $G(\Omega_1 \cup \Omega_2)$ .)

We next show that two large orbits where the group action includes the alternating group are (nearly) independent unless they are linked.

▶ Lemma 4.5. Suppose  $G \leq \text{Sym}(V)$  where  $V = \Omega_1 \cup \Omega_2$  is its orbit partition such that  $|\Omega_i| \geq 5$  and  $G(\Omega_i) \in {\text{Alt}(\Omega_i), \text{Sym}(\Omega_i)}$  for i = 1, 2. Then either  $\Omega_1$  and  $\Omega_2$  are linked in G, or G contains  $\text{Alt}(\Omega_1) \times \text{Alt}(\Omega_2)$ .

The last ingredient for our algorithm is the observation that when there are two linked orbits where the group action includes the alternating group, fixing a vertex in one orbit is equivalent to fixing some vertex of the other orbit.

▶ Lemma 4.6 [4, Theorem 5.2A]. Let n = |V| > 9. Suppose G is a subgroup of Alt(V) of index strictly less than  $\binom{n}{2}$ . Then, for some point  $u \in V$ , the group G is the pointwise stabilizer subgroup Alt(V)<sub>u</sub>.

▶ Corollary 4.7. Let  $\Omega_1$  and  $\Omega_2$  be two linked orbits of a permutation group  $G \leq \text{Sym}(V)$ with  $\text{Alt}(\Omega_1) \leq G(\Omega_1)$  and  $|\Omega_1| = |\Omega_2| > 9$ . Then for each  $u \in \Omega_1$  there is a  $v \in \Omega_2$  such that  $G_u = G_v$ .

**Algorithm 2** Exact-CNF-HGA<sub>d</sub>(X, k, F)

**Input**: A hypergraph X with hyperedge size bounded by d, a parameter k and a formula F 1  $\mathbf{2}$ **Output:** An automorphism  $\sigma$  of X with  $|\operatorname{supp}(\sigma)| = k$  that satisfies F, or  $\perp$  if none exists  $T \leftarrow$  the vertices of X that are mentioned in F 3  $G \leftarrow \langle \{\sigma \in \operatorname{Aut}(X) \mid \sigma \text{ has minimal complexity in } \operatorname{Aut}(X) \text{ and } |\operatorname{supp}(\sigma)| \leq k \} \rangle$ 4 // see [1, Algorithm 3]  $b \leftarrow \frac{k}{2} \cdot \max\{(k-1)^{2k}, |T|+k, 9\}$  $\mathbf{5}$ while G contains an orbit of size more than b do 6 7 repeat  $\mathcal{O} \leftarrow$  the set of all *G*-orbits 8 for  $\Omega \in \mathcal{O}$  do 9  $\mathcal{B}(\Omega) \leftarrow a \text{ maximal block system of } \Omega \text{ in } G$ 10if  $\exists \Delta \in \mathcal{B}(\Omega) : |\Delta| > \frac{k}{2}$  or  $|\mathcal{B}(\Omega)| > (k-1)^{2k} \wedge \operatorname{Alt}(\mathcal{B}(\Omega)) \notin G(\mathcal{B}(\Omega))$  then 11  $G \leftarrow G_{\mathcal{B}(\Omega)} // \text{ the setwise stabilizer of all } \Delta \in \mathcal{B}(\Omega)$ 12until G remains unchanged 13choose  $\Omega_{\max} \in \mathcal{O}$  such that  $|\mathcal{B}(\Omega_{\max})| \ge |\mathcal{B}(\Omega)|$  for all  $\Omega \in \mathcal{O}$ 14if  $|\mathcal{B}(\Omega_{\max})| > \max\{(k-1)^{2k}, |T|+k, 9\}$  then 15 $H \leftarrow G_{[T]} // the pointwise stabilizer of T$ 16 $\Omega_H \leftarrow$  the largest *H*-orbit that is contained in  $\Omega_{\max}$ 17  $\mathcal{B}_H \leftarrow \left\{ \Delta \in \mathcal{B}(\Omega_{\max}) \mid \Delta \subseteq \Omega_H \right\}$ 18 choose  $\Delta \in \mathcal{B}_H$ 19  $G \leftarrow G_{\{\Delta\}} // the setwise stabilizer of \Delta$ 20 $\mathcal{O} \leftarrow$  the set of all *G*-orbits 21return Color-Exact-CNF-HGA<sub>b</sub> $(X, \mathcal{O}, k, F)$  // see Algorithm 1 22

# ▶ Theorem 4.8. Algorithm 2 solves EXACT-CNF-HGA in time $(d(k^k + |F|)!)^{\mathcal{O}(k^2)}$ poly(N).

**Proof sketch.** Suppose there is some  $\pi \in \operatorname{Aut}(X)$  of weight exactly k that satisfies F. By Lemma 2.1, the automorphism  $\pi$  can be decomposed as a product of minimal-complexity automorphisms of weight at most k, which implies  $\pi \in G$  after line 4. To show that whenever the algorithm shrinks G, some weight k automorphism of X that satisfies F survives, we first consider the shrinking in line 12: If  $\Omega$  is an orbit with  $|\Delta| > k/2$  for some (and thus all)  $\Delta \in \mathcal{B}(\Omega)$ , then no block of  $\Omega$  is moved by  $\pi$ . If  $|\mathcal{B}(\Omega)| > (k-1)^{2k}$  and  $G(\mathcal{B}(\Omega))$  does not contain  $\operatorname{Alt}(\mathcal{B}(\Omega))$ , then Theorems 4.1 and 4.2 imply that  $\pi$  setwise stabilizes all  $\Delta \in \mathcal{B}(\Omega)$ and thus survives the shrinking. The other shrinking of G, which occurs in line 20, can only happen if  $\operatorname{Alt}(\mathcal{B}(\Omega_{\max})) \leq G(\mathcal{B}(\Omega_{\max}))$ . Let  $\mathcal{T} = \bigcup_{\Omega \in \mathcal{O}} \{\Delta \in \mathcal{B}(\Omega) \mid \Delta \cap T \neq \emptyset\}$  be the set of all blocks with vertices from T and let  $R = G_{\mathcal{T}}$  be the setwise stabilizer of these blocks. Note that  $H \leq R \leq G$ . Using Lemmas 4.3 and 4.5 and Corollary 4.7, it can be shown that a sufficiently large part of  $\operatorname{Alt}(\mathcal{B}(\Omega_{\max}))$  survives in R and also in H.

► Claim.  $\mathcal{B}_H$  is a maximal block system for the orbit  $\Omega_H$  in H. Moreover,  $|\mathcal{B}_H| > k$  and  $\operatorname{Alt}(\mathcal{B}_H) \leq H(\mathcal{B}_H)$ .

Building on this, it can be shown that when G and  $\Delta$  are as in line 20, then for any  $\pi \in G$  of weight k that satisfies F, there is a  $\pi' \in G_{\{\Delta\}}$  of weight k that satisfies F.

## 2:8 Finding Constrained Small Weight Isomorphisms in FPT

We now turn to EXACT-CNF-HGI. Given a formula F over Var(V) and  $\psi \in Sym(V)$ , let  $\psi(F)$  denote the formula obtained from F by replacing each variable  $x_{uv}$  by  $x_{u\psi(v)}$ .

▶ Lemma 4.9. A product  $\sigma = \varphi \pi \in \text{Sym}(V)$  satisfies a formula F over Var(V) if and only if  $\varphi$  satisfies  $\pi^{-1}(F)$ .

**Algorithm 3** Exact-CNF-HGI<sub>d</sub> $(X_1, X_2, k, F)$ 

- 1 **Input**: Two hypergraphs  $X_1$  and  $X_2$  on vertex set V with hyperedge size bounded by d, a parameter  $k \in \mathbb{N}$  and a CNF formula F over Var(V)
- 2 **Output**: An isomorphism  $\sigma$  from  $X_1$  to  $X_2$  with  $|\text{supp}(\sigma)| = k$  that satisfies F, or  $\perp$  if none exists

3  $\pi \leftarrow$  some isomorphism from  $X_1$  to  $X_2$  with  $|\operatorname{supp}(\pi)| \leq k // \text{ see } [1, \text{ Theorem 3.8}]$ 

4 for  $U \subseteq \operatorname{supp}(\pi)$  do // we will force  $u \notin \operatorname{supp}(\varphi \pi)$  for  $u \in U$ 

5 for  $M \subseteq \operatorname{supp}(\pi) \setminus U$  do // we will force  $u \in \operatorname{supp}(\varphi) \cap \operatorname{supp}(\varphi\pi)$  for  $u \in M$ 

 $I \leftarrow \operatorname{supp}(\pi) \setminus (U \cup M) // we will force u \notin \operatorname{supp}(\varphi) for u \in I$ 

 $F' \leftarrow \pi^{-1}(F) \land \bigwedge_{u \in U} x_{u\pi^{-1}(u)} \land \bigwedge_{u \in M} (\neg x_{u\pi^{-1}(u)} \land \neg x_{u,u}) \land \bigwedge_{u \in I} x_{uu}$ 

8  $k' \leftarrow k - |I| + |U|$ 

 $\varphi \leftarrow \texttt{Exact-CNF-HGA}_d(X_1, k', F') // see Algorithm 2$ 

10 **if**  $\varphi \neq \bot$  **then return**  $\sigma = \varphi \pi$ 

```
11 return \perp
```

 $\mathbf{6}$ 

 $\overline{7}$ 

9

► Theorem 4.10. Algorithm 3 solves EXACT-CNF-HGI in time  $(d(k^k + |F|)!)^{\mathcal{O}(k^2)} \operatorname{poly}(N)$ .

**Proof.** Suppose Algorithm 3 returns a permutation  $\sigma = \varphi \pi$ . Then  $\pi$  is an isomorphism from  $X_1$  to  $X_2$  and  $\varphi$  is an automorphism of  $X_1$  that satisfies F' and has weight k'. As  $\varphi$  satisfies  $\pi^{-1}(F)$ , Lemma 4.9 implies that  $\sigma$  satisfies F. The additional literals in F' ensure  $\operatorname{supp}(\sigma) = (\operatorname{supp}(\varphi) \setminus U) \cup I$  and thus  $|\operatorname{supp}(\sigma)| = k' - |U| + |I| = k$ .

Now suppose there is an isomorphism  $\sigma$  from  $X_1$  to  $X_2$  that satisfies F and has weight k. Let  $\pi$  be the isomorphism computed on line 3. Then  $\varphi = \sigma \pi^{-1}$  is an automorphism of  $X_1$ ; it satisfies  $\pi^{-1}(F)$  by Lemma 4.9. In the iteration of the loops where  $U = \{u \in \operatorname{supp}(\pi) \cap \operatorname{supp}(\varphi) | u^{\varphi \pi} = u\}$  and  $M = (\operatorname{supp}(\pi) \cap \operatorname{supp}(\varphi)) \setminus U$ , it holds that  $\varphi$  has weight k' and satisfies F'. Thus Exact-CNF-HGA<sub>d</sub> $(X_1, k', F')$  does not return  $\bot$ .

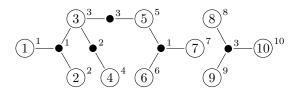
The isomorphism  $\pi$  can be found in  $(dk)^{\mathcal{O}(k^2)}$  poly(N) time [1, Theorem 3.8]. The loops have at most  $3^k$  iterations, and Exact-CNF-HGA<sub>d</sub> takes  $(d(k^k + |F|)!)^{\mathcal{O}(k^2)}$  poly(N) time.

# 5 Exact complexity

The complexity of a permutation  $\pi \in \text{Sym}(V)$  can be bounded by functions of its weight:  $|\text{supp}(\pi)| - 1 \leq \text{compl}(\pi) \leq 2 \cdot |\text{supp}(\pi)|$ . However, there is no direct functional dependence between these two parameters. And while the algorithms of Sections 3 and 4 can be modified to find isomorphisms of exactly prescribed complexity, we give an independent and more efficient algorithm in this section.

The main ingredient is an analysis of decompositions  $\sigma = \sigma_1 \cdots \sigma_\ell$  of  $\sigma \in \text{Sym}(V)$ into  $\sigma_i \in \text{Sym}(V) \setminus \{\text{id}\}$  (for  $1 \leq i \leq \ell$ ) with  $\text{compl}(\sigma) = \sum_{i=1}^{\ell} \text{compl}(\sigma_i)$ ; we call such decompositions *complexity-additive*. For example, the decomposition into complexity-minimal permutations provided by Lemma 2.1 is complexity-additive.

For a sequence of permutations  $\sigma_1, \ldots, \sigma_\ell \in \text{Sym}(V)$  and a coloring  $c: V \to [k]$ , its colored cycle graph  $\text{CG}_c(\sigma_1, \ldots, \sigma_\ell)$  is the incidence graph between  $\bigcup_{i=1}^{\ell} \text{supp}(\sigma_i)$  and the  $\sigma_i$ -orbits



**Figure 1** The colored cycle graph  $CG_{id}((1,2,3)(5,6,7),(3,4),(3,5)(8,9,10))$ ; the colors are depicted next to the vertices.

of size at least 2, i.e., the cycles of  $\sigma_i$ , for  $1 \leq i \leq \ell$ . We call the former *primal vertices* and the latter *cycle-vertices*. Each primal vertex  $v \in V$  is colored by c(v), and each cycle-vertex that corresponds to a cycle of  $\sigma_i$  is colored by *i*. (Note that a vertex of this graph is a cycle-vertex if and only if it has odd distance to some leaf.) See Figure 1 for an example.

▶ Lemma 5.1. Let  $\sigma \in \text{Sym}(V)$ , let  $\sigma = \sigma_1 \cdots \sigma_\ell$  be a complexity-additive decomposition, and let  $c: V \to [k]$  be a coloring. Then  $\text{CG}_c(\sigma_1, \ldots, \sigma_\ell)$  is a forest.

A cycle pattern P is a colored cycle graph  $\operatorname{CG}_c(\sigma_1, \ldots, \sigma_\ell)$  where all primal vertices have different colors. A complexity-additive decomposition  $\sigma' = \sigma'_1 \cdots \sigma'_\ell$  of a permutation  $\sigma' \in$  $\operatorname{Sym}(V)$  weakly matches P if there is a coloring  $c' \colon V \to [k]$  and a surjective color-preserving homomorphism  $\varphi$  from  $\operatorname{CG}_{c'}(\sigma'_1, \ldots, \sigma'_\ell)$  to P where  $\varphi(u) = \varphi(v)$  for  $u \neq v$  implies that u and v are both primal vertices and belong to different  $\sigma'$ -orbits.

▶ Lemma 5.2. For any  $t \in \mathbb{N}$ , there is a set  $\mathcal{P}_t$  of  $t^{\mathcal{O}(t)}$  cycle patterns such that a permutation  $\sigma \in \text{Sym}(V)$  has complexity t if and only if it has a complexity-additive decomposition  $\sigma = \sigma_1 \cdots \sigma_\ell$  that weakly matches a pattern in  $\mathcal{P}_t$ . Moreover,  $\mathcal{P}_t$  can be computed in  $t^{\mathcal{O}(t)}$  time.

For a pattern P, let  $P_i$  denote the subgraph of P induced by the cycle-vertices of color iand their neighbors. A permutation  $\sigma \in \text{Sym}(V)$  and a coloring  $c: V \to [k]$  realize color iof P if there is an isomorphism  $\varphi$  from  $\text{CG}_c(\sigma)$  to  $P_i$  that preserves colors of primal vertices.

**Algorithm 4** Exact-Complexity-HGI<sub>d</sub>(X, Y, t)

1 **Input**: Two hypergraphs X and Y on vertex set V with hyperedge size bounded by d, and  $t \in \mathbb{N}$ 

2 **Output**: An isomorphism  $\sigma$  from X to Y with  $compl(\sigma) = t$ , or  $\perp$  if none exists

3  $A \leftarrow \{\sigma \in \operatorname{Aut}(X) \mid \sigma \text{ has minimal complexity in } \operatorname{Aut}(X) \text{ and } |\operatorname{supp}(\sigma)| \le 2t\}$ // see [1, Algorithm 3]

4 **if** X = Y then  $I \leftarrow A$  else

5  $I \leftarrow \{\sigma \in \text{Iso}(X, Y) \mid \sigma \text{ has minimal complexity in } \text{Iso}(X, Y) \text{ and } |\text{supp}(\sigma)| \le 2t\}$ // see [1, Algorithm 2]

6 for  $P \in \mathcal{P}_t$  do // see Lemma 5.2

7  $k \leftarrow$  the number of primal vertices in P

8  $\ell \leftarrow$  the number of colors of cycle-vertices in P

9 for  $h \in \mathcal{H}_{V,k}$  do  $//\mathcal{H}_{V,k}$  is the perfect family of hash functions  $h: V \to [k]$  from [6] 10 if there are  $\sigma_1, \ldots, \sigma_{\ell-1} \in A$  and  $\sigma_\ell \in I$  s.t.  $(\sigma_i, h)$  realize color i of P then

**return** 
$$\sigma = \sigma_1 \cdots \sigma_\ell$$

12 return  $\perp$ 

11

▶ Theorem 5.3. Given two hypergraphs X and Y of hyperedge size at most d and  $t \in \mathbb{N}$ , the algorithm Exact-Complexity-HGI<sub>d</sub>(X,Y,t) finds  $\sigma \in \text{Iso}(X,Y)$  with  $\text{compl}(\sigma) = t$  (or determines that there is none) in  $\mathcal{O}((dt)^{\mathcal{O}(t^2)} \text{ poly}(N))$  time.

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**Proof.** Suppose there is some  $\sigma \in \text{Iso}(X, Y)$  with  $\text{compl}(\sigma) = t$ . Lemma 2.1 gives the complexity-additive decomposition  $\sigma = \sigma_1 \cdots \sigma_\ell$  into minimal-complexity permutations  $\sigma_1, \ldots, \sigma_{\ell-1} \in \text{Aut}(X)$  and  $\sigma_\ell \in \text{Iso}(X, Y)$ ; all of them have complexity at most t. By the correctness of the algorithms from [1], we have  $\sigma_1, \ldots, \sigma_{\ell-1} \in A$  and  $\sigma_\ell \in I$ . As  $\mathcal{H}_{V,k}$  is a perfect hash family, it contains some function h whose restriction to  $\text{supp}(\sigma)$  is injective. Then  $\text{CG}_h(\sigma_1, \ldots, \sigma_\ell)$  is isomorphic to some  $P \in \mathcal{P}_t$  by Lemma 5.2. Thus  $(\sigma_i, h)$  realize color i of P, for  $1 \leq i \leq \ell$ , so the algorithm does not return  $\perp$ .

Now suppose that the algorithm returns  $\sigma = \sigma_1 \cdots \sigma_\ell$  with  $\sigma_1, \ldots, \sigma_{\ell-1} \in A \subseteq \operatorname{Aut}(X)$ and  $\sigma_\ell \in I \subseteq \operatorname{Iso}(X, Y)$ . This clearly implies  $\sigma \in \operatorname{Iso}(X, Y)$ . To show  $\operatorname{compl}(\sigma) = t$ , we observe that the algorithm only returns  $\sigma$  if there is a pattern  $P \in \mathcal{P}_t$  whose cycle-vertices have  $\ell$  colors and which contains k primal vertices such that there is a hash function  $h \in \mathcal{H}_{V,k}$ with the property that  $\sigma_i$  and h realize color i of P, for  $i \in [\ell]$ . In particular, there is an isomorphism  $\varphi_i$  from  $\operatorname{CG}_h(\sigma_i)$  to  $P_i$  that preserves colors of primal vertices. As the primal vertices of P all have different colors and as P is a forest by Lemma 5.1, it follows that the decomposition  $\sigma = \sigma_1 \cdots \sigma_\ell$  is complexity-additive. Now consider the function  $\varphi = \bigcup_{i=1}^{\ell} \varphi_i$ ; it is well-defined, as  $v \in \operatorname{supp}(\varphi_i) \cap \operatorname{supp}(\varphi_j)$  implies  $\varphi_i(v) = \varphi_j(v)$  because P contains only one primal vertex of color h(v). It is surjective, as every vertex of P occurs in at least one  $P_i$ . It is a homomorphism from  $P_{\sigma} = \operatorname{CG}_h(\sigma_1, \ldots, \sigma_\ell)$  to P, as every edge occurs in the support of one of the isomorphisms  $\varphi_i$ . Also,  $\varphi(u) = \varphi(v)$  for  $u \neq v$  implies that u and vare in different connected components of  $P_{\sigma}$ , as P is a forest; consequently u and v are in different orbits of  $\sigma$ . Thus  $\sigma = \sigma_1 \cdots \sigma_\ell$  weakly matches P. By Lemma 5.2 it follows that  $\operatorname{compl}(\sigma) = t$ .

It remains to analyze the runtime. The algorithms used to compute A and I each take  $\mathcal{O}((dt)^{\mathcal{O}(t^2)} \operatorname{poly}(N))$  time [1]. The pattern set  $\mathcal{P}_t$  can be computed in  $t^{\mathcal{O}(t)}$  time by Lemma 5.2. As  $k \leq 2t$ , the perfect hash family  $\mathcal{H}_{V,k}$  has size  $2^{\mathcal{O}(t)} \log^2 n$ . As  $\ell \leq t$ , this gives a total runtime of  $\mathcal{O}((dt)^{\mathcal{O}(t^2)} \operatorname{poly}(N))$ .

# 6 Colored Graph Automorphism

In [1] we showed that the following parameterized version of Graph Automorphism is W[1]-hard. It was first defined in [5] and is a generalization of the problem studied by Schweitzer [13].

COL-GA: Given a graph X with its vertex set partitioned as  $\text{RED} \cup \text{BLUE}$ , and a parameter k, decide if there is a partition-preserving automorphism that moves exactly k BLUE vertices.

For an automorphism  $\pi \in \operatorname{Aut}(X)$ , we will refer to the number of BLUE vertices moved by  $\pi$  as the BLUE weight of  $\pi$ . In this section, we show that COL-GA is in FPT when restricted to colored graphs where the color classes inside RED have size at most 3.

Given an input instance X = (V, E) with vertex partition  $V = \text{RED} \cup \text{BLUE}$  such that RED is refined into color classes of size at most 3 each, our algorithm proceeds as follows.

**Step 1: color-refinement.** X already comes with a color classification of vertices (RED and BLUE, and within RED color classes of size at most 3 each; within BLUE there may be color classes of arbitrary size). The color refinement procedure keeps refining the coloring in steps until no further refinement of the vertex color classes is possible. In a refinement step, if two vertices have identical colors but differently colored neighborhoods (with the multiplicities of colors counted), then these vertices get new different colors.

At the end of this refinement, each color class C induces a regular graph X[C], and each pair (C, D) of color classes induces a semiregular bipartite graph X[C, D].

**Step 2: local complementation.** We complement the graph induced by a color class if this reduces the number of its edges; this does not change the automorphism group of X. Similarly, we complement the induced bipartite graph between two color classes if this reduces the number of its edges.

Now each color class within RED induces the empty graph. Similarly, for  $b \in \{2, 3\}$ , the bipartite graph between any two color classes of size b is empty or a perfect matching. (Note that this does not necessarily hold for  $b \ge 4$ .) Color refinement for graphs of color class size at most 3 has been used in earlier work [8, 9].

Let  $C \subseteq \text{RED}$  and  $D \subseteq \text{BLUE}$  be color classes after Step 1. Because of the complementations we have applied, |C| = 1 implies that X[C, D] is empty, and if  $|C| \in \{2, 3\}$  then X[C, D] is either empty or the degree of each D-vertex in X[C, D] is 1.

Step 3: fix vertices that cannot move. For a color class  $C \subseteq \text{RED}$  whose elements have more than k BLUE neighbors, give different new colors to each vertex in C (because of Step 2, each non-isolated RED vertex is in a color class with more than one vertex). Afterwards, rerun Steps 1 and 2 so we again have a stable coloring.

Fixing the vertices in C does not lose any automorphism of X that has BLUE-weight at most k. Indeed, as every BLUE vertex has at most one neighbor in C, any automorphism that moves some  $v \in C$  has to move all (more than k) BLUE neighbors of v.

- Step 4: remove edges in the red part. We already observed that each color class in RED induces the empty graph. Let  $\mathcal{X}$  be the graph whose vertices are the color classes in RED, where two of them are adjacent iff there is a perfect matching between them in X. For each  $b \in \{1, 2, 3\}$ , the color classes in RED of size b get partitioned into components of  $\mathcal{X}$ . We consider each connected component  $\mathcal{C}$  of  $\mathcal{X}$  that consists of more than one color class. Let X' be the subgraph of X induced by vertices in  $\bigcup \mathcal{C}$  and their neighbors in BLUE. Because of Step 3, the graph X' has color class size at most 3k, so we can compute its automorphism group  $H = \operatorname{Aut}(X')$  in  $2^{\mathcal{O}(k^2)} \operatorname{poly}(N)$  time [7]. We distinguish several cases based on the action of H on an arbitrary color class  $C \in \mathcal{C}$ :
  - **Case 1:** If H(C) is not transitive, we split the color class C into the orbits of H(C) and start over with Step 1.
  - **Case 2:** If H(C) = Sym(C), we drop all vertices in  $(\bigcup C) \setminus C$  from X. And for each color class D within BLUE that has neighbors in at least one  $C' \in C$ , we replace the edges between a vertex  $u \in D$  and  $\bigcup C$  by the single edge (u, v), where v is the vertex in C that is reachable via the matching edges from the neighbor of u in C'.
  - **Case 3:** If H(C) is generated by a 3-cycle  $(v_1v_2v_3)$ , we first proceed as in Case 2. Additionally, we add directed edges within each color class D within BLUE that now has neighbors in C. Let  $D_i \subseteq D$  be the neighbors of  $v_i$ . We add *directed edges* from all vertices in  $D_i$  to all vertices in  $D_{(i+1) \mod 3}$  and color these directed edges by C.

After this step, there are no edges induced on the RED part of X. Moreover, we have not changed the automorphisms on the induced subgraph, so the modified graph X still has the same automorphism group as before.

Step 5: turn red vertices into hyperedges. We encode X as a hypergraph  $X' = (BLUE \cup NEW, E')$  in which each vertex in RED is encoded as a hyperedge on the vertex set  $BLUE \cup NEW$ . Let  $NEW = \{v_C \mid C \subseteq RED$  is a color class}. Let  $v \in C \subseteq RED$  be any red vertex. We encode v as the hyperedge  $e_v = \{v_C\} \cup \{u \in BLUE \mid (v, u) \in E(X)\}$ .

In the hypergraph X' we give distinct colors to each vertex in NEW in order to ensure that each color class  $\{v_{\mathcal{C},1}, v_{\mathcal{C},2}, v_{\mathcal{C},3}\}$  in RED is preserved by the automorphisms of X'. Clearly, there is a 1-1 correspondence between the color-preserving automorphisms of Xand those of X'. Note that the hyperedges of X' have size bounded by k + 1, as each RED vertex in X has at most k BLUE neighbors after Step 3.

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**Step 6: bounded hyperedge size automorphism.** We seek a weight k automorphism of X' using the algorithm of [1, Corollary 6.4];<sup>1</sup> this is possible in  $d^{\mathcal{O}(k)}2^{\mathcal{O}(k^2)}$  poly(N) time.

This algorithm gives us the following.

▶ **Theorem 6.1.** The above algorithm solves COL-GA when the RED part of the input graph is refined into color classes of size at most 3. It runs in  $d^{\mathcal{O}(k)}2^{\mathcal{O}(k^2)}$  poly(N) time.

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<sup>&</sup>lt;sup>1</sup> There is a caveat that in addition to hyperedges in the graph X'[BLUE] we also have colored directed edges. However, the algorithm of [1, Corollary 6.4] needs only minor changes to handle this.

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