On a Problem of Danzer

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Abstract -

Let C be a bounded convex object in \mathbb{R}^d , and P a set of n points lying outside C. Further let c_p, c_q be two integers with $1 \le c_q \le c_p \le n - \left\lfloor \frac{d}{2} \right\rfloor$, such that every $c_p + \left\lfloor \frac{d}{2} \right\rfloor$ points of P contains a subset of size $c_q + \left\lfloor \frac{d}{2} \right\rfloor$ whose convex-hull is disjoint from C. Then our main theorem states the existence of a partition of P into a small number of subsets, each of whose convex-hull is disjoint from C. Our proof is constructive and implies that such a partition can be computed in polynomial time.

In particular, our general theorem implies polynomial bounds for Hadwiger-Debrunner (p,q) numbers for balls in \mathbb{R}^d . For example, it follows from our theorem that when $p>q\geq (1+\beta)\cdot \frac{d}{2}$ for $\beta>0$, then any set of balls satisfying the $\mathrm{HD}(p,q)$ property can be hit by $O\left(q^2p^{1+\frac{1}{\beta}}\log p\right)$ points. This is the first improvement over a nearly 60-year old exponential bound of roughly $O\left(2^d\right)$.

Our results also complement the results obtained in a recent work of Keller *et al.* where, apart from improvements to the bound on $\mathrm{HD}(p,q)$ for convex sets in \mathbb{R}^d for various ranges of p and q, a polynomial bound is obtained for regions with low union complexity in the plane.

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1 Introduction

Given a finite set \mathcal{C} of geometric objects in \mathbb{R}^d , we say that \mathcal{C} satisfies the $\mathrm{HD}(p,q)$ property if for any set $\mathcal{C}' \subseteq \mathcal{C}$ of size p, there exists a point in \mathbb{R}^d common to at least q objects of \mathcal{C}' . The goal then is to show that there exists a small set Q of points in \mathbb{R}^d such that each object of \mathcal{C} contains some point of Q; such a Q is called a hitting set for \mathcal{C} .

These bounds for a set \mathcal{C} of convex sets in \mathbb{R}^d have been studied since the 1950s (see the surveys [7, 8, 15]), and it was only in 1991 that Alon and Kleitman [1], in a breakthrough result, gave an upper-bound that is *independent* of $|\mathcal{C}|$. Unfortunately it depends exponentially on p, q and d. For the case where \mathcal{C} consists of arbitrary convex objects, the current best bounds remain exponential in p, q and d.

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▶ **Theorem A** ([1, 9]). Let C be a finite set of convex objects in \mathbb{R}^d satisfying the HD(p,q) property, where p,q are two integers with $p \geq q \geq d+1$. Then there exists a hitting set for C of size

$$\begin{cases} O\left(p^{d\frac{q-1}{q-d}} \cdot \log^{c'd^3 \log d} p\right), \\ (p-q) + O\left(\left(\frac{p}{q}\right)^d \log^{c'd^3 \log d} \left(\frac{p}{q}\right)\right), & \text{for } q \ge \log p \\ p-q+2, & \text{for } q \ge p^{1-\frac{1}{d}+\epsilon}, p \ge p(d,\epsilon). \end{cases}$$

where c' is an absolute constant independent of |C|, p, q and d, and $p(d, \epsilon)$ is a function depending only on d and ϵ .

Consider the basic case where \mathcal{C} is a set of balls in \mathbb{R}^d satisfying the $\mathrm{HD}(p,q)$ property. Theorem A implies – ignoring logarithmic factors and for general values of p and q – the existence of a hitting set of size no better than $O\left(p^d\right)$. Furthermore, it requires $q \geq d+1$ – a necessary condition for arbitrary convex objects² but not for balls.

Almost 60 years ago, Danzer [4, 5] considered the $\mathrm{HD}(p,q)$ problem for balls. The best bound that we are aware of, derived from the survey of Eckhoff [7] by combining inequalities (4.2), (4.4) and (4.5), is stated below. It is better than the one from Theorem A quantitatively, but also in that it gives a bound requiring only that $q \geq 2$. Further, for a very specific case – namely when p = q and (d - q) is $O(\log d)$ – it succeeds in giving polynomial bounds.

▶ **Theorem B** ([7]). Let \mathcal{B} be a finite set of balls in \mathbb{R}^d . If \mathcal{B} satisfies the HD(p,q) property for some $d \geq p \geq q \geq 2$, then there exists a hitting set for \mathcal{B} of size at most

$$\sqrt{\frac{3\pi}{2}} \cdot 2^{d-q} \cdot \left((p-q) \cdot 2^q \cdot d^{\frac{3}{2}} \cdot g(d) + 4 \left(d-q+2 \right)^{\frac{3}{2}} \cdot g(d-q+2) \right)$$

where $g(x) = \log x + \log \log x + 1$. Ignoring logarithmic terms, the above bound is of the form $\Theta\left((p-q)\cdot 2^d\cdot d^{\frac{3}{2}} + 2^{d-q}\cdot (d-q)^{\frac{3}{2}}\right)$. If $p\neq q$ the first term dominates, otherwise the second term dominates.

Turning towards the lower-bound for the case where \mathcal{C} is a set of unit balls in \mathbb{R}^d , Bourgain and Lindenstrauss [2] proved a lower-bound of 1.0645^d when p=q=2 in \mathbb{R}^d , i.e., one needs at least 1.0645^d points to hit all pairwise intersecting unit balls in \mathbb{R}^d .

Our Result

We consider a more general set up for the HD(p,q) problem, as follows.

Let C be a convex object in \mathbb{R}^d , and P a set of n points lying outside C. For each $p \in P$, let H_p be the set of hyperplanes separating p from C. Let C_p be the set of points in \mathbb{R}^d dual to the hyperplanes in H_p (see [12, Chapter 5.1]), and let $S = \{C_p : p \in P\}$.

Our goal is to study the $\mathrm{HD}(p,q)$ property for \mathcal{S} – namely, that out of every p objects of \mathcal{S} , there exists a point in \mathbb{R}^d common to at least q of them. This is equivalent to the property of C and P that out of every p-sized set $P' \subseteq P$, there exists a hyperplane separating C from a q-sized subset $P'' \subset P'$ – or equivalently, $\mathrm{conv}(P'')$ is disjoint from C.

Our main theorem is the following. For a simpler expression, let c_q, c_p be two positive integers such that $p = c_p + \left\lfloor \frac{d}{2} \right\rfloor$ and $q = c_q + \left\lfloor \frac{d}{2} \right\rfloor$.

² There are easy examples, e.g. when the convex objects are hyperplanes in \mathbb{R}^d .

▶ Theorem 1. Let C be a bounded convex object in \mathbb{R}^d and P a set of n points lying outside C. Further let c_p, c_q be two integers, with $1 \le c_q \le c_p \le n - \left\lfloor \frac{d}{2} \right\rfloor$, such that for every $c_p + \left\lfloor \frac{d}{2} \right\rfloor$ points of P, there exists a subset of size $c_q + \left\lfloor \frac{d}{2} \right\rfloor$ whose convex-hull is disjoint from C. Then the points of P can be partitioned into

$$\lambda_{d}\left(c_{p},c_{q}\right) = K_{2} \frac{d}{c_{q}} \cdot \left(\sqrt{2}K_{1}\right)^{\frac{d}{c_{q}}} \cdot \left(\lfloor d/2 \rfloor + c_{q}\right)^{2} \cdot \left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2 \rfloor + c_{p}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_{q}}} \cdot \log\left(\lfloor d/2$$

sets, each of whose convex-hull is disjoint from C. Here K_1, K_2 are absolute constants independent of n, d, c_p and c_q . Furthermore, such a partition can be computed in polynomial time.

The proof, presented in Section 2, is a combination of three ingredients: the Alon-Kleitman technique [1], bounds on independent sets in hypergraphs [9] and bounds on $(\leq k)$ -sets for half-spaces [3]. It is an extension of the proof in [14] which studied Carathéodory's theorem in this setting.

- ▶ Remark. The restriction that $q \ge \left\lfloor \frac{d}{2} \right\rfloor + 1$ is necessary as can be seen when P form the vertices of a cyclic polytope in \mathbb{R}^d and C is a slightly shrunk copy of conv(P).
- ▶ Remark. Note that when $c_q \geq \beta \cdot \frac{d}{2}$ for any absolute constant $\beta > 0$, the above bound is polynomial in the dimension d it is upper-bounded by $O\left(q^2p^{1+\frac{1}{\beta}}\log p\right)$.
- ▶ Remark. It was shown in [13] that C_p is a convex object in \mathbb{R}^d and thus the bounds of Theorem A apply. As before, Theorem 1 substantially improves upon this, as the bounds following from Theorem A are exponential in d and furthermore, require $q \geq d+1$.

As an immediate corollary of Theorem 1, we obtain the first improvements to the old bound on the (p,q) problem for balls in \mathbb{R}^d . The bound in Theorem B is exponential in d – except in special cases where p=q and (d-q) is $O(\log d)$. On the other hand, our result gives polynomial bounds as long as $q \geq \beta d$ for any constant $\beta > \frac{1}{2}$.

▶ Corollary 2 (Hadwiger-Debrunner (p,q) bound for balls in \mathbb{R}^d). Let \mathcal{B} be collection of balls in \mathbb{R}^d such that for every subset of $c_p + \lfloor \frac{d+1}{2} \rfloor$ balls in \mathcal{B} , some $c_q + \lfloor \frac{d+1}{2} \rfloor$ have a common intersection, where c_p and c_q are integers such that $1 \leq c_q \leq c_p \leq n - \lfloor \frac{d+1}{2} \rfloor$. Then there exists a set X of $\lambda_{d+1}(c_p, c_q)$ points that form a hitting set for the balls in \mathcal{B} . Here $\lambda_{d+1}(\cdot, \cdot)$ is the function defined in the statement of Theorem 1.

Proof. Observe that one can stereographically 'lift' balls in \mathbb{R}^d to caps of a sphere S in \mathbb{R}^{d+1} , where a cap of a sphere is a portion of the sphere contained in a half-space that doesn't contain the center of the sphere. Thus we will prove a slightly more general result where \mathcal{B} consists of caps of a d-dimensional sphere S embedded in \mathbb{R}^{d+1} .

For a point $x \in S$, let h_x denote the hyperplane tangent to S at x. For any point y lying outside S, define the separating set of y to be

$$S_y = \{z \in S : h_z \text{ separates } y \text{ and } S\}.$$

Geometrically, S_y is the set of points of S 'visible' from y, and form a cap of S. Furthermore, for any cap K of S, there is a unique point w such that $K = S_w$. We denote this point w by apex(K).

³ Recall that Theorem B assumes $q \le p \le d$.

Given the set of caps \mathcal{B} on S, consider the point set

$$apex(\mathcal{B}) = \{apex(B) \colon B \in \mathcal{B}\}.$$

Observe that for a point $x \in S$ and a cap $B \in \mathcal{B}$, $x \in B$ if and only if $x \in S_{apex(B)}$. As \mathcal{B} satisfies the (p,q) property – namely that for every p-sized subset \mathcal{B}' of \mathcal{B} , there exists a point $x \in S$ lying in some q elements of \mathcal{B}' – we have that for every p-sized subset A' of apex(\mathcal{B}), there exists a point $x \in S$ lying in the separating set of some q points of A'. In other words, h_x separates these q points from S.

Applying Theorem 1 with C = S and $P = \operatorname{apex}(\mathcal{B})$ in dimension d+1, we conclude that P can be partitioned into a family Ξ of $\lambda_{d+1}(c_p, c_q)$ sets, each of whose convex hull is disjoint from S. Consider a set $P' \in \Xi$. Since the convex hull of P' is disjoint from S, we can find a hyperplane h_x tangent to S at x such that h_x separates P' from S. This implies that all the caps in \mathcal{B} corresponding to the points in P' contain the point x. Thus for each set of Ξ we obtain a point which is contained in all the caps corresponding to the points in that set. These $|X| = \lambda_{d+1}(c_p, c_q)$ points form the required set X.

Our results complement the recent results of Keller, Smorodinsky and Tardos [9, 10] who obtain polynomial bounds for regions of low union complexity in the plane.

2 Proof of Theorem 1

Given a set P of points in \mathbb{R}^d and an integer $k \geq 1$, a set $P' \subseteq P$ is called a k-set of P if |P'| = k and if there exists a half-space h in \mathbb{R}^d such that $P' = P \cap h$. A set $P' \subseteq P$ is called a $(\leq k)$ -set if P' is a l-set for some $l \leq k$. The next well-known theorem gives an upper-bound on the number of $(\leq k)$ -sets in a point set (see [17]).

▶ **Theorem 3** (Clarkson-Shor [3]). For any integer $k \ge \lfloor \frac{d}{2} \rfloor + 1$, the number of $(\le k)$ -sets of any set of n points in \mathbb{R}^d is at most

$$\kappa_{d}\left(n,k\right) = 2\left(\frac{K_{1}}{\lceil d/2 \rceil}\right)^{\lceil d/2 \rceil} \binom{n}{\lfloor d/2 \rfloor} \left(k + \lceil d/2 \rceil\right)^{\lceil d/2 \rceil} \leq \kappa'_{d}\left(k\right) \cdot n^{\lfloor d/2 \rfloor},\tag{1}$$

where $\kappa_d'(k) = 2K_1^d \lfloor d/2 \rfloor^{-\lfloor d/2 \rfloor} \left(1 + \frac{k}{\lceil d/2 \rceil}\right)^{\lceil d/2 \rceil}$ and $K_1 \geq e$ is an absolute constant independent of n, d and k.

▶ **Definition 4** (Depth). Given a set P of n points in \mathbb{R}^d and any set $Q \subseteq P$, define the depth of Q with respect to P, denoted depth_P(Q), to be the minimum number of points of P contained in any half-space containing Q.

For two parameters $l \ge k \ge 2$, let $\tau_d(n, k, l)$ denote the maximum number of subsets of size k and depth at most l with respect to P in any set P of n points in \mathbb{R}^d :

$$\tau_{d}\left(n,k,l\right) = \max_{\substack{P \subseteq \mathbb{R}^{d} \\ |P| = n}} \left| \left\{ Q \subseteq P \colon |Q| = k \text{ and depth}_{P}\left(Q\right) \leq l \right\} \right|.$$

The following statement is easily implied by an application of the Clarkson-Shor technique [3] (e.g., see [16]).

▶ Theorem 5. For parameters $l \ge k \ge \lfloor \frac{d}{2} \rfloor + 1$,

$$\tau_d(n, k, l) \le e \cdot \kappa_d(n, k) \cdot l^{k - \lfloor d/2 \rfloor},$$

where the function $\kappa(\cdot,\cdot)$ is as defined in Equation (1).

Proof. Let P be any set of n points in \mathbb{R}^d . Let t be the number of sets of P of size k and depth at most l. Pick each element of P independently with probability $\rho = \frac{1}{l}$ to get a random sample R. The expected number of k-sets in R satisfies

$$\begin{split} \rho^k \cdot (1-\rho)^{l-k} \cdot t &\leq \mathbb{E} \left[\text{ number of } k\text{-sets in } R \right] \\ &\leq 2 \left(\frac{K_1}{\lceil d/2 \rceil} \right)^{\left\lceil \frac{d}{2} \right\rceil} \mathbb{E} \left[\binom{|R|}{\left\lfloor \frac{d}{2} \right\rfloor} \right] \left(k + \left\lceil \frac{d}{2} \right\rceil \right)^{\left\lceil \frac{d}{2} \right\rceil} \\ &= 2 \left(\frac{K_1}{\lceil d/2 \rceil} \right)^{\left\lceil \frac{d}{2} \right\rceil} \binom{n}{\left\lfloor \frac{d}{2} \right\rfloor} \rho^{\left\lfloor \frac{d}{2} \right\rfloor} \left(k + \left\lceil \frac{d}{2} \right\rceil \right)^{\left\lceil \frac{d}{2} \right\rceil} \\ &= \kappa_d(n,k) \cdot \rho^{\left\lfloor \frac{d}{2} \right\rfloor} \\ &\Longrightarrow t \leq \frac{\kappa_d(n,k) \cdot \rho^{\left\lfloor \frac{d}{2} \right\rfloor}}{\rho^k \cdot (1-\rho)^{l-k}} \leq e \cdot \kappa_d(n,k) \cdot l^{k-\lfloor d/2 \rfloor}, \end{split}$$

as
$$\left(1 - \frac{1}{l}\right)^{-(l-k)} \le e$$
 for any $l \ge k \ge 2$.

▶ Lemma 6. Let C be a bounded convex object in \mathbb{R}^d , and P a set of n points lying outside C. Let $p \geq q \geq \left\lfloor \frac{d}{2} \right\rfloor + 1$ be parameters such that for every subset $Q \subseteq P$ of size p, there exists a set $Q' \subset Q$ of size q such that Q' can be separated from C by a hyperplane. Then there exists a hyperplane separating at least

$$(2qp^{q-1} \cdot e \kappa'_d(q))^{\frac{1}{\lfloor d/2 \rfloor - q}}$$

fraction of the points of P from C.

Proof. From [6, 9], it follows that the number of distinct q-tuples of P that can be separated from C by a hyperplane is, assuming that $n \ge 2p$, at least

$$\frac{n-p+1}{n-q+1} \frac{\binom{n}{q}}{\binom{p-1}{q-1}} \ge \frac{n^q}{2q \, p^{q-1}}.$$

Let l be the maximum depth (Definition 4) of any of these separable q-tuples. The number of such tuples is therefore at most $\tau_d(n,q,l)$. Thus by Theorem 5 we must have

$$\frac{n^{q}}{2q\,p^{q-1}} \leq \tau_{d}\left(n,q,l\right) \leq e\,\kappa_{d}\left(n,q\right)\,l^{q-\left\lfloor d/2\right\rfloor}.$$

Re-arranging the terms and from inequality (1), we get

$$\begin{split} l \geq \left(\frac{n^{q}}{2\,q\,p^{q-1}\cdot e\,\kappa_{d}\left(n,q\right)}\right)^{\frac{1}{q-\left\lfloor d/2\right\rfloor}} \geq \left(\frac{n^{q}}{2\,q\,p^{q-1}\cdot e\,\kappa_{d}'\left(q\right)\,n^{\left\lfloor \frac{d}{2}\right\rfloor}}\right)^{\frac{1}{q-\left\lfloor d/2\right\rfloor}} \\ &= n\cdot\left(2\,q\,p^{q-1}\cdot e\,\kappa_{d}'\left(q\right)\right)^{\frac{1}{\left\lfloor d/2\right\rfloor-q}}\,. \end{split}$$

Thus one of the separable q-tuples, say $P' \subseteq P$, must have depth at least l; in other words, the hyperplane separating P' from C must contain at least l points of P. This is the required hyperplane.

We now prove a weighted version of the above statement.

▶ Corollary 7. Let C be a bounded convex object in \mathbb{R}^d , and P a weighted set of n points lying outside C, where the weight of each point $p \in P$ is a non-negative rational number. Let $p \geq q \geq \left\lfloor \frac{d}{2} \right\rfloor + 1$ be parameters such that for every subset $Q \subseteq P$ of size p, there exists a set $Q' \subseteq Q$ of size p such that p can be separated from p by a hyperplane. Then there exists a hyperplane separating a set of points whose weight is at least

$$\alpha_d(p,q) = \left(2e \,\kappa_d'(q) \,q^q \,p^{q-1}\right)^{\frac{1}{\lfloor d/2\rfloor - q}}$$

fraction of the total weight of the points in P.

Proof. By appropriately scaling all the rational weights, we may assume that each weight is a non-negative integer and we replace a point with weight m by m unweighted copies of the point. Let P' be the new set of points. Observe that any set S of pq points in P' either contains q copies of some point in P or it contains p distinct points from P. In either case, there is hyperplane separating q points of S from C. Thus, we can apply Lemma 6 to the point set P' with the parameter p in the lemma replaced by pq. The result follows.

Finally we return to the proof of the main theorem.

▶ **Theorem 1.** Let C be a bounded convex object in \mathbb{R}^d and P a set of n points lying outside C. Further let c_p, c_q be two integers, with $1 \leq c_q \leq c_p \leq n - \left\lfloor \frac{d}{2} \right\rfloor$, such that for every $c_p + \left\lfloor \frac{d}{2} \right\rfloor$ points of P, there exists a subset of size $c_q + \left\lfloor \frac{d}{2} \right\rfloor$ whose convex-hull is disjoint from C. Then the points of P can be partitioned into

$$\lambda_{d}\left(c_{p},c_{q}\right)=K_{2}\ \frac{d}{c_{q}}\cdot\left(\sqrt{2}K_{1}\right)^{\frac{d}{c_{q}}}\cdot\left(\left\lfloor d/2\right\rfloor+c_{q}\right)^{2}\ \cdot\left(\left\lfloor d/2\right\rfloor+c_{p}\right)^{1+\frac{\left\lfloor d/2\right\rfloor-1}{c_{q}}}\cdot\log\left(\left\lfloor d/2\right\rfloor+c_{p}\right)^{2}$$

sets, each of whose convex-hull is disjoint from C. Here K_1, K_2 are absolute constants independent of n, d, c_p and c_q . Furthermore, such a partition can be computed in polynomial time.

Proof. Let $p = c_p + \lfloor d/2 \rfloor$ and $q = c_q + \lfloor d/2 \rfloor$. Let \mathcal{H} be the set of all hyperplanes separating a distinct subset of points of P from C. As the number of subsets of P is finite, one can assume that \mathcal{H} is also finite. Consider the following linear program on $|\mathcal{H}|$ variables $\{u_h \geq 0 : h \in \mathcal{H}\}$:

$$\min \sum_{h \in \mathcal{H}} u_h, \quad \text{such that} \quad \forall r \in P \colon \sum_{\substack{h \in \mathcal{H} \\ h \text{ separates } r \text{ from } C}} u_h \ge 1. \tag{2}$$

The LP-dual to the above program, on |P| variables $\{w_r \geq 0 : r \in P\}$, is:

$$\max \sum_{p \in P} w_p, \quad \text{such that} \quad \forall h \in \mathcal{H} : \sum_{\substack{r \in P \\ h \text{ separates } r \text{ from } C}} w_r \le 1.$$
(3)

Consider an optimal solution w^* of the dual linear program and interpret w_r^* as the weight of each $r \in P$. Since the weights are rational, by Corollary 7, there exists a hyperplane $h \in \mathcal{H}$ separating a subset of P of combined weight at least $\epsilon = \alpha_d(p,q)$ fraction of the total weight of all the points. Since the total weight of the points in any half-space is constrained to be at most 1 by the linear program, the total weight of all the points of P must be at most $\frac{1}{\epsilon}$. In other words, the optimal value of linear program (3) is at most $\frac{1}{\epsilon}$. Since the optimal values of both linear programs are equal due to strong duality, the optimal value of linear program (2) is also at most $\frac{1}{\epsilon}$.

Let u^* be the optimal solution of linear program (2). If we interpret u_h as the weight of the hyperplane h, the constraints of the program imply that each point is separated by a set of hyperplanes in \mathcal{H} whose combined weight is at least 1 out of a total weight of at most $\frac{1}{\epsilon}$ – in other words, at least ϵ -th fraction of the total weight of \mathcal{H} . By associating with each hyperplane the half-space bounded by it and not containing C, and using the ϵ -net theorem for half-spaces in \mathbb{R}^d (see [11]), there exists a set of $O\left(\frac{d}{\epsilon}\log\frac{1}{\epsilon}\right)$ hyperplanes which together separate all points of P from C. Recalling that

$$\frac{1}{\epsilon} = \frac{1}{\alpha_d(p,q)} = \left(2e\,\kappa_d'(q)\,q^q\,p^{q-1}\right)^{\frac{1}{q-\lfloor d/2\rfloor}} = \left(2e\,\kappa_d'(q)\,q^q\,p^{q-1}\right)^{\frac{1}{c_q}}.$$

and that
$$\kappa_d'\left(q\right) = 2K_1^d \lfloor d/2 \rfloor^{-\lfloor d/2 \rfloor} \left(1 + \frac{q}{\lceil d/2 \rceil}\right)^{\lceil d/2 \rceil}$$
, we get

$$\begin{split} &\frac{1}{\epsilon} = \left(4K_1^d e \lfloor d/2 \rfloor^{-\lfloor d/2 \rfloor} \left(1 + \frac{q}{\lceil d/2 \rceil} \right)^{\lceil d/2 \rceil} q^q \, p^{q-1} \right)^{\frac{1}{c_q}} \\ &\leq \left(4K_1^{d+1} \lfloor d/2 \rfloor^{-d} \left(c_q + d\right)^{\lceil d/2 \rceil} q^q \, p^{q-1} \right)^{\frac{1}{c_q}} \quad \text{(using } e \leq K_1 \text{ and } q = c_q + \lfloor d/2 \rfloor) \\ &\leq \left(4K_1^{d+1} \lfloor d/2 \rfloor^{-d} \left(c_q + d\right)^{\lceil d/2 \rceil} q^{c_q + \lfloor d/2 \rfloor} p^{c_q + \lfloor d/2 \rfloor - 1} \right)^{\frac{1}{c_q}} \\ &= O\left(K_1^{\frac{d}{c_q}} \lfloor d/2 \rfloor^{-\frac{d}{c_q}} \left(c_q + d\right)^{\frac{\lceil d/2 \rceil}{c_q}} \left(c_q + \lfloor d/2 \rfloor\right)^{1 + \frac{\lfloor d/2 \rfloor}{c_q}} \left(c_p + \lfloor d/2 \rfloor\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right) \\ &= O\left(K_1^{\frac{d}{c_q}} d^{2 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \left(1 + \frac{c_q}{d}\right)^{\frac{\lceil d/2 \rceil}{c_q}} \left(1 + \frac{c_q}{\lfloor d/2 \rfloor}\right)^{1 + \frac{\lfloor d/2 \rfloor}{c_q}} \left(1 + \frac{c_p}{\lfloor d/2 \rfloor}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right) \\ &= O\left(K_1^{\frac{d}{c_q}} d^{2 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \, e^{\frac{c_q}{d} \cdot \frac{\lceil d/2 \rceil}{c_q}} \, \left(1 + \frac{c_p}{\lfloor d/2 \rfloor}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right) \\ &= O\left(K_1^{\frac{d}{c_q}} d^{2 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \, \left(1 + \frac{c_q}{\lfloor d/2 \rfloor}\right) \, \left(1 + \frac{c_p}{\lfloor d/2 \rfloor}\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right) \\ &= O\left(K_1^{\frac{d}{c_q}} d^{2 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \, \left(\lfloor d/2 \rfloor + c_q\right) \, \left(\lfloor d/2 \rfloor + c_p\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right) \\ &= O\left(\left(\sqrt{2}K_1\right)^{\frac{d}{c_q}} \, \left(\lfloor d/2 \rfloor + c_q\right) \, \left(\lfloor d/2 \rfloor + c_p\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}} \right). \end{split}$$

The Big-Oh notation here does not hide dependencies on d – namely we do not treat d as a constant. From the above it follows that

$$\log \frac{1}{\epsilon} = O\left(c_q^{-1}\left(\lfloor d/2\rfloor + c_q\right)\log\left(\lfloor d/2\rfloor + c_p\right)\right).$$

Thus, $\frac{d}{\epsilon} \log \frac{1}{\epsilon}$ is

$$O\left(d \cdot \left(\left(\sqrt{2}K_1\right)^{\frac{d}{c_q}} \left(\lfloor d/2 \rfloor + c_q\right) \left(\lfloor d/2 \rfloor + c_p\right)^{1 + \frac{\lfloor d/2 \rfloor - 1}{c_q}}\right) \cdot \left(c_q^{-1} \left(\lfloor d/2 \rfloor + c_q\right) \log \left(\lfloor d/2 \rfloor + c_p\right)\right)\right)$$

which simplifies to

$$O\left(\frac{d}{c_q}\left(\sqrt{2}K_1\right)^{\frac{d}{c_q}}\left(\lfloor d/2\rfloor + c_q\right)^2 \left(\lfloor d/2\rfloor + c_p\right)^{1 + \frac{\lfloor d/2\rfloor - 1}{c_q}}\log(\lfloor d/2\rfloor + c_p)\right).$$

Since linear programs can be solved in polynomial time and epsilon nets can be computed in polynomial time, the partition of P into the above number of sets can be achieved in polynomial time. The theorem follows.

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