Counting Homomorphisms Modulo a Prime Number

Amirhossein Kazeminia

Simon Fraser University, Canada amirhossein.kazeminia@sfu.ca

Andrei A. Bulatov

Simon Fraser University, Canada abulatov@sfu.ca

Abstract

Counting problems in general and counting graph homomorphisms in particular have numerous applications in combinatorics, computer science, statistical physics, and elsewhere. One of the most well studied problems in this area is $\#\mathsf{GraphHom}(H)$ – the problem of finding the number of homomorphisms from a given graph G to the graph H. Not only the complexity of this basic problem is known, but also of its many variants for digraphs, more general relational structures, graphs with weights, and others. In this paper we consider a modification of $\#\mathsf{GraphHom}(H)$, the $\#_p\mathsf{GraphHom}(H)$ problem, p a prime number: Given a graph G, find the number of homomorphisms from G to H modulo p. In a series of papers Faben and Jerrum, and Göbel et al. determined the complexity of $\#_2\mathsf{GraphHom}(H)$ in the case H (or, in fact, a certain graph derived from H) is square-free, that is, does not contain a 4-cycle. Also, Göbel et al. found the complexity of $\#_p\mathsf{GraphHom}(H)$ when H is a tree for an arbitrary prime p. Here we extend the above result to show that the $\#_p\mathsf{GraphHom}(H)$ problem is $\#_p\mathsf{P}$ -hard whenever the derived graph associated with H is square-free and is not a star, which completely classifies the complexity of $\#_p\mathsf{GraphHom}(H)$ for square-free graphs H.

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1 Introduction

A homomorphism from a graph G to a graph H is an edge-preserving mapping from the vertex set of G to that of H. Graph homomorphisms provide a powerful framework to model a wide range of combinatorial problems in computer science, as well as a number of phenomena in combinatorics and graph theory, such as graph parameters [13, 14]. Two of the most natural problems related to graph homomorphisms is $\operatorname{GraphHom}(H)$: Given a graph G, decide whether there is a homomorphism from G to a fixed graph H, and its counting version $\#\operatorname{GraphHom}(H)$ of finding the number of such homomorphisms. Special cases of these problems include the k-Colouring and #k-Colouring problems (H is a k-clique), Bipartiteness (H is an edge), counting independent sets (H is an edge with a loop at one vertex) and many others.

In general the $\mathsf{GraphHom}(H)$ and $\#\mathsf{GraphHom}(H)$ problems are NP-complete and $\#\mathsf{P}$ -complete, respectively. However, for certain graphs H these problems are significantly easier. Hell and Nesetril [12] were the first to address this phenomenon in a systematic way. They proved that the $\mathsf{GraphHom}(H)$ problem is polynomial time solvable if and only if H has a loop or is bipartite, and $\mathsf{GraphHom}(H)$ is NP-complete otherwise. In the counting case a similar result was obtained by Dyer and Greenhill [3], in this case the $\#\mathsf{GraphHom}(H)$ problem

is solvable in polynomial time if and only if H a complete graph with all loops present or a complete bipartite graph, otherwise the problem is #P-complete. This result was later generalized to computing partition functions for weighted graphs with nonnegative weights by Bulatov and Grohe [1], graphs with real weights by Goldberg et al. [10], and finally for complex weights by Cai et al. [2]. There have also been major attempts to find approximation algorithms for the number of homomorphisms and other related graph parameters, see, e.g. [5, 6, 11].

The modification of the $\#\mathsf{GraphHom}(H)$ problem we consider in this paper concerns finding the number of homomorphisms modulo a natural number k. The corresponding problem will be denoted by $\#_k \mathsf{GraphHom}(H)$. Although modular counting has been considered by Valiant [17] in the context of holographic algorithms, Faben and Jerrum [4] were the first who systematically considered the problem $\#_2\mathsf{GraphHom}(H)$. In particular, they posed a conjecture stating that this problem is polynomial time solvable if and only if a certain graph H^{*2} derived from H (to be defined later in this section) contains at most one vertex, and is complete in the class $\oplus P = \#_2 P$ otherwise. Note that hardness results in this area usually show completeness in a complexity class $\#_k P$ of counting the number of accepting paths in polynomial time nondeterministic Turing machines modulo k. The standard notion of reduction in this case is Turing reduction. Faben and Jerrum proved their conjecture in the case when H is a tree. This result has been extended by Göbel et al. first to the class of cactus graphs [7] and then to square-free graphs [8] (a graph is a square-free if it does not contain a 4-cycle).

In this paper we follow the lead of Göbel et al. [9] and consider the problem $\#_p\mathsf{GraphHom}(H)$ for a prime number p. We only consider loopless graphs without parallel edges. There are similarities with the (mod 2) case. In particular, the derived graph constructed in [4] can also be constructed following the same principles, it is denoted H^{*p} , and it suffices to study $\#_p\mathsf{GraphHom}(H)$ for this only. On the other hand, the problem is richer, as, for example, the polynomial time solvable cases include complete bipartite graphs. Göbel et al. [9] considered the case when H is a tree. Recall that a star is a complete bipartite graph of the form $K_{1,n}$. Stars are the only complete bipartite graphs that are trees, and also the only complete bipartite graphs that are square-free. The main result of [9] establishes that $\#_p\mathsf{GraphHom}(H)$, H is a tree, is polynomial time solvable if and only if H^{*p} is a star. We generalize this result to arbitrary square-free graphs.

▶ **Theorem 1.** Let H be a square-free graph and p a prime number. $\#_p$ GraphHom(H) problem is solvable in polynomial time if and only if the graph H^{*p} is a star, and is $\#_pP$ -complete otherwise.

We now explain the main ideas behind our result, as well as, the majority of results in this area. As it was observed by Faben and Jerrum [4], the automorphism group Aut(H) of graph H plays a very important role in solving the $\#_p\mathsf{GraphHom}(H)$ problem. Let φ be a homomorphism from a graph G to H. Then composing φ with an element from Aut(H) we again obtain a homomorphism from G to H. The set of all such homomorphisms forms the orbit of φ under the action of Aut(H). If Aut(H) contains an automorphism π of order p (that is, p is the smallest number such that π^p is the identity permutation), the cardinality of the orbit of φ is divisible by p, unless $\pi \circ \varphi = \varphi$, that is, the range of φ is the set of fixed points $Fix(\pi)$ of π $(a \in V(H))$ is a fixed point of π if $\pi(a) = a$). Let H^{π} denote the subgraph of H induced by $Fix(\pi)$. We write $H \Rightarrow_p H'$ if there is $\pi \in Aut(H)$ such that H' is isomorphic to H^{π} . We also write $H \Rightarrow_{p}^{*} H'$ if there are graphs H_{1}, \ldots, H_{k} such that H is isomorphic to H_1 , H' is isomorphic to H_k , and $H_1 \Rightarrow_p H_2 \Rightarrow_p \cdots \Rightarrow_p H_k$.

▶ **Lemma 2** ([4]). Let H be a graph and p a prime. Up to an isomorphism there is a unique smallest (in terms of the number of vertices) graph H^{*p} such that $H \Rightarrow_p^* H^{*p}$, and for any graph G it holds

$$|\mathsf{Hom}(G, H)| \equiv |\mathsf{Hom}(G, H^{*p})| \pmod{p}.$$

Moreover, H^{*p} does not have automorphisms of order p.

The easiness part of Theorem 1 follows from the classification of the complexity of $\#\mathsf{GraphHom}(H)$ by Dyer and Greenhill [3]. Since whenever $\#\mathsf{GraphHom}(H)$ is polynomial time solvable, so is $\#_p\mathsf{GraphHom}(H)$ for any p, Lemma 2 implies that if H^{*p} is a complete graph with all loops present or a complete bipartite graph the problem $\#_p\mathsf{GraphHom}(H)$ is also solvable in polynomial time. We restrict ourselves to loopless square-free graphs, therefore, as H^{*p} is isomorphic to an induced subgraph of H, [3] only guarantees polynomial time solvability when H^{*p} is a star.

Another ingredient in our result is the $\#_p$ P-hard problem we reduce to $\#_p$ GraphHom(H). In most of the cited works the hard problem used to prove the hardness of $\#_2$ GraphHom(H) is the problem $\#_2IS$ of finding the parity of the number of independent sets. This problem was shown to be $\#_2$ P-complete by Valiant [17]. We use a slightly different problem. For two positive real numbers λ_1, λ_2 , let $\#_pBIS_{\lambda_1, \lambda_2}$ denote the following problem of counting weighted independent sets in bipartite graphs

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Name: \#_pBIS_{\lambda_1,\lambda_2}
Input: a bipartite graph G
Output: Z_{\lambda_1,\lambda_2}(G) = \sum_{I \in \mathcal{IS}(G)} \lambda_1^{|V_L \cap I|} \lambda_2^{|V_R \cap I|} \pmod{p}.
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It was shown by Göbel et al. in [9] that $\#_pBIS_{\lambda_1,\lambda_2}$ is $\#_pP$ -complete for any λ_1,λ_2 , unless one of them is equal to 0 (mod p). The main technical statement we prove here is the following

▶ Theorem 3. Let H be a square-free graph such that H^{*p} is not a star. Then there are $\lambda_1, \lambda_2 \not\equiv 0 \pmod{p}$ such that $\#_pBIS_{\lambda_1,\lambda_2}$ is polynomial time reducible to the $\#_pGraphHom(H)$ problem.

We note that the requirement of being square-free is present in all results on modular counting of graph homomorphisms, explicitly or implicitely (when the graph class in question consists of square-free graphs). Clearly, this is an artifact of the techniques used in all these works, and so overcoming this requirement would be a substantial achievement.

2 Preliminaries

We use [n] to denote the set $\{1, 2, 3, ..., n\}$. Also, we usually abbreviate $A \setminus \{x\}$ to A - x. Let k be a positive integer, then for a function f its k-fold composition is denoted by $f^{(k)} = f \circ f \circ \cdots \circ f$.

Graphs. In this paper, graphs are undirected, and have no parallel edges or loops. For a graph G, the set of vertices of G is denoted by V(G), and the set of edges is denoted by E(G). We use uv to denote an edge of G. The set of neighbours of a vertex $v \in V(G)$ is denoted by $N_G(v) = \{u \in V(G) : uv \in E(G)\}$, and the degree of v is denoted by $\deg(v)$.

A set $I \subseteq V(G)$ is an *independent set* of G if and only if uv is an edge of G for no $u, v \in I$. The set of all independent sets of G is denoted by $\mathcal{IS}(G)$. If G is a bipartite graph, the parts of a bipartition of V(G) will be denoted $V_R(G)$ and $V_L(G)$ in no particular order. **Homomorphisms.** A homomorphism from a graph G to a graph H is a mapping φ from V(G) to V(H) which preserves edges, i.e. for any $uv \in E(G)$ the pair $\varphi(u)\varphi(v)$ is an edge of H. The set of all homomorphisms from G to H, is denoted by $\mathsf{Hom}(G,H)$. For a graph H the problem of counting homomorphism from a graph G to H is denoted by $\#\mathsf{GraphHom}(H)$. The problem of finding the number of homomorphisms from a given graph G to H modulo K is denoted by $\#_{K}\mathsf{GraphHom}(H)$:

Name: $\#_k GraphHom(H)$

Input: a graph G

Output: $|\mathsf{Hom}(G,H)| \pmod{k}$.

It will be convenient to denote the vertices of the graph H by lowercase Greek letters.

A homomorphism φ from G to H is an isomorphism if it is bijective and for all $u, v \in V(G)$, $uv \in E(G)$ if and only if $\varphi(u)\varphi(v) \in E(H)$. An automorphism of G is an isomorphism from graph G to itself. The automorphism group of G is denoted by $\operatorname{Aut}(G)$. An automorphism π is an automorphism of $order\ k$ if k is the smallest positive integer such that $\pi^{(k)}$ is the identity transformation. A $fixed\ point$ of an automorphism π of G is a vertex $v \in V(G)$ such that $v = \pi(v)$.

Partially labelled graphs. A partial function from X to Y is a function $f: X' \to Y$ for a subset $X' \subseteq X$. For a graph H, a partial H-labelled graph $\mathcal G$ is a graph G (called the underlying graph of $\mathcal G$) equipped with a pinning function τ , which is a partial function from V(G) to V(H). A homomorphism from a partial H-labelled graph $\mathcal G = (G,\tau)$ to a graph H is a homomorphism $\sigma: G \to H$ that extends the pinning function τ , that is, for all $v \in \text{dom}(\tau)$, $\sigma(v) = \tau(v)$. The set of all such homomorphisms is denoted by $\text{Hom}(\mathcal G, H)$.

In certain situations it will be convenient to use a slightly different view on collections of homomorphisms of H-labelled graphs. A set of homomorphisms φ from a graph G to H that maps vertices $x_1, x_2, ..., x_r \in V(G)$ to the vertices $y_1, y_2, ..., y_r \in V(H)$ such that $\varphi(x_i) = y_i$ for $i \in [r]$ is denoted by $\mathsf{Hom}((G, x_1, x_2, ..., x_r), (H, y_1, y_2, ..., y_r))$.

Counting complexity classes. The class #P is defined to be the class of problems of counting the accepting paths in a polynomial time nondeterministic Turing machine. This means every problem in NP has an associated counting problem in #P, so for $A \in NP$, an associated counting problem will be denoted by #A. (Strictly speaking for every such problem the corresponding counting one is not uniquely defined, but in our case there will always be the "natural" one.) Classes $\#_k P$, where k is a natural number are defined in a similar way, as counting the accepting paths in a polynomial time nondeterministic Turing machine modulo k. For $A \in NP$ the corresponding problem in $\#_k P$ is denoted by $\#_k A$.

Several kinds of reductions between counting problems have appeared in the literature. The first one, parsimonious, was introduced in the foundational papers [15, 16] by Valiant. A counting problem A is parsimoniously reducible to a counting problem B, denoted $A \leq B$, if there is a polynomial time algorithm that, given an instance I of A, produces an instance J of B such that the answers to I and J are the same. The other type of reduction frequently used for counting problems is Turing reduction. Counting problem A is Turing reducible to problem B, denoted $A \leq_T B$, if there exists a polynomial time algorithm solving A and using B as an oracle.

These two types of reductions can be applied to modular counting as well. Turing reduction does not require any modifications. For parsimonious reduction we say that a problem A from $\#_k P$ is parsimoniously reducible to a problem B from $\#_k P$ if there is a

polynomial time algorithm that, given an instance I of A, produces an instance J of B such that the answers to I and J are congruent modulo k. In this paper we mostly claim Turing reducibility, although our main technical result constructs a parsimonious reduction. However, the proof of Theorem 1 involves other reductions that are not always parsimonious. Problem $\#_k A$ is said to be $\#_k P$ -complete if it belongs to $\#_k P$ and every problem from $\#_k P$ is Turing reducible to $\#_k A$.

3 Outline of the proof

In this section we outline our proof strategy and formally introduce all the necessary intermediate problems and existing results. Fix a prime number p.

As it was observed in the introduction, Lemma 2 proved by Faben and Jerrum [4] combined with the classification by Dyer and Greenhill [3] proves the easiness part of Theorem 1. We therefore focus on proving the hardness part. Again, by Lemma 2 we may assume that H does not have automorphisms of order p.

For the hardness part, we use two auxiliary problems. The first one is the problem $\#_p BIS_{\lambda_1,\lambda_2}$ mentioned in the introduction. Let $\lambda_1,\lambda_2\in\{0,\ldots p-1\}$, and let $G=(V_L\cup V_R,E)$ be a bipartite graph. Define the following weighted sum over independent sets of G:

$$Z_{\lambda_1,\lambda_2}(G) = \sum_{I \in \mathcal{IS}(G)} \lambda_1^{|V_L \cap I|} \lambda_2^{|V_R \cap I|}.$$

The problem of computing function $Z_{\lambda_1,\lambda_2}(G)$ for a given bipartite graph G, prime number p and $\lambda_1,\lambda_2 \in \{0,\ldots p-1\}$, is defined as follows:

Name: $\#_pBIS_{\lambda_1,\lambda_2}$

Input: a bipartite graph GOutput: $Z_{\lambda_1,\lambda_2}(G) \pmod{p}$.

The complexity of $\#_pBIS_{\lambda_1,\lambda_2}$ was determined by Göbel, Lagodzinski and Seidel [9].

▶ **Theorem 4.** [9] If $\lambda_1 \equiv 0 \pmod{p}$ or $\lambda_2 \equiv 0 \pmod{p}$ then the problem $\#_pBIS_{\lambda_1,\lambda_2}$ is solvable in polynomial time, otherwise it is $\#_pP$ -complete.

The second auxiliary problem has been used in all works on $\#_p\mathsf{GraphHom}(H)$ starting from the initial paper by Faben and Jerrum [4]. It is the problem of counting homomorphisms from a given partially H-labelled graph \mathcal{G} to a fixed graph H modulo prime p.

Name: $\#_p$ PartHom(H)

Input: a partial *H*-labelled graph $\mathcal{G} = (G, \tau)$

Output: $|\mathsf{Hom}(\mathcal{G}, H)| \pmod{p}$.

The chain of reductions we use to prove the hardness part of Theorem 1 is the following:

$$\#_pBIS_{\lambda_1,\lambda_2} \leq_T \#_p\mathsf{PartHom}(H^{*p}) \leq_T \#_p\mathsf{GraphHom}(H^{*p}) \leq_T \#_p\mathsf{GraphHom}(H).$$
 (1)

The last reduction is by Lemma 2. Acually, the two last problems in the chain are polynomial time interreducible through (modular) parsimonious reduction. The second step, the reduction from $\#_p\mathsf{PartHom}(H)$ to $\#_p\mathsf{GraphHom}(H)$ was proved by Göbel, Lagodzinski and Seidel [9].

▶ Theorem 5. [9] Let p be a prime number and let H be a graph that does not have any automorphism of order p. Then $\#_p\mathsf{PartHom}(H)$ can be reduced to $\#_p\mathsf{GraphHom}(H)$ through a polynomial time Turing reduction.

Finally, the first reduction in the chain is our main contribution. We show it in three steps. Recall that we are reducing the problem of finding of the number of (weighted) independent sets in a bipartite graph to the problem of finding the number of extensions of a partial homomorphism from a given graph to H. First, in Section 4 starting from a bipartite graph G we replace its vertices and edges with gadgets, whose exact structure we do not specify at that point. We call those gadget the vertex and edge gadgets. Then we show that if the vertex and edge gadgets satisfy certain conditions, in terms of the number of homomorphisms of certain kind from the gadgets to H (Theorem 7), then $Z_{\lambda_1,\lambda_2}(G) \pmod{p}$ can be found in polynomial time from $|\mathsf{Hom}(\mathcal{G},H)| \pmod{p}$, where \mathcal{G} is the partially H-labelled graph constructed in the reduction. In the second step, Section 5, we introduce several variants of vertex and edge gadgets and show some of their properties. Finally, in Section 6 we consider several cases depending on the degree sequence of the graph H. In every case we construct vertex and edge gadgets that satisfy the conditions of Theorem 7, thus completing the reduction.

Hardness gadgets

Our goal in this section is to describe a general scheme of a reduction from $\#_pBIS_{\lambda_1,\lambda_2}$ to $\#_p$ PartHom(H), where p is prime and H is a square-free graph.

The general idea is, given a bipartite graph $G = (V_L \cup V_R, E)$, where V_L, V_R is the bipartition of G, to construct a new partially H-labelled graph \mathcal{G}' , which is obtained from G by adding a copy of a vertex gadget \mathcal{J} to every vertex of G, and replacing every edge from E with a copy of an edge gadget K. The gadgets are partially H-labelled graphs and their pinning functions will define the pinning function of \mathcal{G}' . Since G is a bipartite graph, the vertex gadget comes in two versions, left, \mathcal{J}_L , and right, \mathcal{J}_R . Also, both vertex gadgets have a distinguished vertex, s for \mathcal{J}_L and t for \mathcal{J}_R . The edge gadget \mathcal{K} has two distinguished vertices, s and t. These distinguished vertices will be identified with the vertices of the original graph G, as shown in Fig. 1.

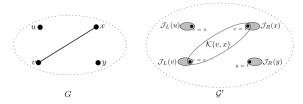


Figure 1 The structure of graph \mathcal{G}' . The original graph G is on the left. The resulting graph \mathcal{G}' is on the right: vertex gadgets $\mathcal{J}_L, \mathcal{J}_R$ are added to every vertex, and the only edge vx of G is replaced with a copy of gadget K.

The gadgets \mathcal{J}_L , \mathcal{J}_R are associated with sets Δ_1 , $\Delta_2 \subseteq V(H)$ and vertices $\delta_1 \in \Delta_1$, $\delta_2 \in \Delta_2$, respectively. The pinning functions of $\mathcal{J}_L, \mathcal{J}_R$ will be defined in such a way that for any homomorphism φ of \mathcal{J}_L (\mathcal{J}_R) to H, vertex s (respectively, t) is forced to be mapped to Δ_1 (respectively, Δ_2). For $x \in V_L$ let $\mathcal{J}_L(x)$ denote the copy of \mathcal{J}_L connected to x, that is, s in $\mathcal{J}_L(x)$ is identified with x. For $y \in V_R$ the copy $\mathcal{J}_R(y)$ is defined in the same way. The vertices δ_1, δ_2 will help to encode independent sets of G. Specifically, with every independent set I of G we will associate a set of homomorphisms $\varphi: \mathcal{G}' \to H$ such that for every vertex $x \in V_L, x \in I$ if and only if $\varphi(x) \neq \delta_1$ (recall that x is also a vertex of \mathcal{G}' identified with s in $\mathcal{J}_L(x)$; and similarly, for every $y \in V_R$, $y \in I$ if and only if $\varphi(y) \neq \delta_2$. Finally, the edge gadgets K(x,y) replacing every edge $xy \in E$ make sure that every homomorphism from \mathcal{G}' to H is associated with an independent set.

Note that just an association of independent sets with collections of homomorphisms is not enough, the number of homomorphisms in those collections have to allow one to compute the function $Z_{\lambda_1,\lambda_2}(G)$.

Next we introduce conditions such that if for the graph H there are vertex and edge gadgets satisfying these conditions, then $\#_pBIS_{\lambda_1,\lambda_2}$ for some nonzero (modulo p) λ_1,λ_2 is reducible to $\#_p\mathsf{PartHom}(H)$.

- ▶ **Definition 6** (Hardness gadget). A graph H has hardness gadgets if there are $\Delta_1, \Delta_2 \subseteq V(H)$, vertices $\delta_1 \in \Delta_1$ and $\delta_2 \in \Delta_2$, and three partially H-labelled graphs \mathcal{J}_L , \mathcal{J}_R , and \mathcal{K} that satisfy the following properties:
 - (i) $|\Delta_1| 1 \not\equiv 0 \pmod{p}$, $|\Delta_2| 1 \not\equiv 0 \pmod{p}$;
 - (ii) for any homomorphism $\sigma: \mathcal{J}_L \to H \ (\sigma: \mathcal{J}_R \to H)$ it holds that $\sigma(s) \in \Delta_1$ (respectively, $\sigma(t) \in \Delta_2$); for any homomorphism $\sigma: \mathcal{K} \to H$ it holds that $\sigma(s) \in \Delta_1, \sigma(t) \in \Delta_2$;
- (iii) for any $\gamma_1 \in \Delta_1, \gamma_2 \in \Delta_2$, it holds $|\mathsf{Hom}((\mathcal{J}_L, s), (H, \gamma_1))| \equiv |\mathsf{Hom}((\mathcal{J}_R, t), (H, \gamma_2))| \equiv 1 \pmod{p}$, and
 - for any $\gamma_1 \notin \Delta_1, \gamma_2 \notin \Delta_2$, it holds $\mathsf{Hom}((\mathcal{J}_L, s), (H, \gamma_1)) = \mathsf{Hom}((\mathcal{J}_L, s), (H, \gamma_1)) = \emptyset$;
- (iv) for any $\alpha_1 \in \Delta_1 \delta_1, \alpha_2 \in \Delta_2 \delta_2$, it holds $\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_1, \alpha_2)) = \emptyset$;
- (v) for any $\alpha_1 \in \Delta_1 \delta_1$, it holds $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_1, \delta_2))| \equiv 1 \pmod{p}$;
- (vi) for any $\alpha_2 \in \Delta_2 \delta_2$, it holds $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \delta_1, \alpha_2))| \equiv 1 \pmod{p}$;
- (vii) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \delta_1, \delta_2))| \equiv 1 \pmod{p}$.

Now we are ready to state the main result of this section.

▶ Theorem 7. If H has hardness gadgets, then for some $\lambda_1, \lambda_2 \not\equiv 0 \pmod{p}$ the problem $\#_pBIS_{\lambda_1,\lambda_2}$ is polynomial time reducible to $\#_pPartHom(H)$. In particular, $\#_pPartHom(H)$ is $\#_pP$ -complete.

5 Hardness gadgets and nc-walks

In this section we make the next iteration in constructing hardness gadgets and give a generic structure of such gadgets that will later be adapted to specific types of the graph H.

These gadgets make use of the square-freeness of graph H that we will apply in the following form.

▶ **Observation 8.** *Let* H *be a square-free graph. Then for any* $\alpha, \beta \in H$, $|N_H(\alpha) \cap N_H(\beta)| \leq 1$.

Proof. If there are two different elements γ, δ in $N_H(\alpha) \cap N_H(\beta)$, then $\alpha, \gamma, \beta, \delta$ form a 4-cycle.

We call a walk in H a non-consecutive-walk or nc-walk, if it does not traverse an edge forth and then immediately back. More formally, an nc-walk is a walk v_0, v_1, \ldots, v_k such that for no $i \in [k-1]$ we have $v_{i-1} = v_{i+1}$.

5.1 Edge gadget

Let $W = \gamma_0 \gamma_1 \cdots \gamma_k$ be an nc-walk in H of length at least one. Then the edge gadget K is a path $sv_1v_2\cdots v_{k-1}t$, where each v_i is connected to another vertex u_i which is pinned to γ_i . More formally, the gadget $K = (K, \tau)$ is defined as follows

$$\begin{split} V(K) &= \{s,t\} \cup \{v_i, u_i : i \in [k-1]\}, \\ E(K) &= \{v_i v_{i+1} : i \in [k-2]\} \cup \{v_i u_i : i \in [k-1]\} \cup \{s v_1, v_{k-1} t\}. \end{split}$$

The pinning function is $\tau(u_i) = \gamma_i$ for all $i \in [k-1]$.

The next two lemmas give some of the properties listed in Definition 6.

- ▶ Lemma 9 (Shifting). Let $H, W = \gamma_0 \gamma_1 \cdots \gamma_k$, and K be as above. Then
- (1) For every $\theta \in N_H(\gamma_0) \gamma_1$ and $\sigma \in \text{Hom}((\mathcal{K}, s), (H, \theta))$, we have $\sigma(v_i) = \gamma_{i-1}$ for all $i \in [k-1].$
- (2) For every $\theta \in N_H(\gamma_k) \gamma_{k-1}$ and $\sigma \in \text{Hom}((\mathcal{K}, t), (H, \theta))$, we have $\sigma(v_i) = \gamma_{i+1}$ for all $i \in [k-1].$

Proof. If k = 1, then both cases are trivial. We prove item (1) by induction on $j \in [k-1]$, item (2) can be proved using $\gamma_k \gamma_{k-1} \cdots \gamma_0$ instead of $\gamma_0 \gamma_1 \cdots \gamma_k$.

For j=1, the vertex v_1 must be mapped to a common neighbour of θ and γ_1 because $\tau(u_1) = \gamma_1$. It means $\sigma(v_1) \in N_H(\theta) \cap N_H(\gamma_1) = \{\gamma_0\}$, because $\gamma_0 \in N_H(\theta) \cap N_H(\gamma_1)$ and H is a square-free graph.

Now assume that $\sigma(v_{i-1}) = \gamma_{i-2}$. Similar to the base case, $\sigma(v_i) \in N_H(\gamma_{i-2}) \cap N_H(\gamma_i)$. By the same argument, the only member of this intersection is γ_{i-1} . Thus, $\sigma(v_i) = \gamma_{i-1}$.

- ▶ Lemma 10 (Counting). Let H be a square-free graph and let $W = \gamma_0 \gamma_1 \cdots \gamma_k, k \ge 1$ be an nc-walk in H. For any $\alpha_s \in N_H(\gamma_0) - \gamma_1$ and $\alpha_t \in N_H(\gamma_k) - \gamma_{k-1}$ the following equalities hold
- (1) $\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_s, \alpha_t)) = \emptyset,$
- (2) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \alpha_t))| = 1$,
- (3) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_s, \gamma_{k-1}))| = 1,$
- $\textbf{(4)} \ |\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \gamma_{k-1}))| = 1 + \sum_{i=1}^{k-1} (\deg(\gamma_i) 1).$

5.2 Vertex gadgets

In this section we construct a vertex gadget. The main role of these gadgets is to restrict the possible images of the designated vertices s and t as required in Definition 6(ii), and then do it in such a way that property (iii) in Definition 6 is also satisfied. We present vertex gadgets of two types.

For the graph H and vertices $\alpha, \beta \in V(H)$, we define gadgets $\mathcal{J}_L = (J_L, \tau_L)$ and $\mathcal{J}_R = (J_R, \tau_R)$ as follows: Graphs J_L, J_R are just edges sx and ty, respectively. The pinning functions are given by $\tau_L(x) = \alpha$, $\tau_R(y) = \beta$.

The next lemma follows straightforwardly from the definitions and guarantees that these gadgets satisfy items (iii) and (ii) of Definition 6 (note that (1) is a direct implication of (3)).

- ▶ Lemma 11. For graph H, vertices $\alpha, \beta \in V(H)$, and $\Delta_1 = N_H(\alpha), \Delta_2 = N_H(\beta)$ the following hold
- (1) if $\sigma \in \text{Hom}(\mathcal{J}_L, H)$ then $\sigma(s) \in \Delta_1$, and if $\sigma \in \text{Hom}(\mathcal{J}_R, H)$ then $\sigma(t) \in \Delta_2$,
- (2) for any $\gamma_1 \in \Delta_1$ and $\gamma_2 \in \Delta_2$, it holds that $|\mathsf{Hom}((\mathcal{J}_L, s), (H, \gamma_1))| =$ $|\mathsf{Hom}((\mathcal{J}_R,t),(H,\gamma_2))|=1,$
- (3) for any $\gamma_1' \notin \Delta_1$ and $\gamma_2' \notin \Delta_2$, it holds that $\operatorname{Hom}((\mathcal{J}_L, s), (H, \gamma_1')) =$ $\mathsf{Hom}((\mathcal{J}_R,t),(H,\gamma_2'))=\emptyset.$

The other type of a vertex gadget uses a cycle in H.

Let $C = \theta \gamma_1 \gamma_2 \cdots \gamma_k \theta$ be a cycle in H of length at least three. Gadgets $\mathcal{J}_{CL} = (J_{CL}, \tau_{CL})$ and $\mathcal{J}_{CR} = (J_{CR}, \tau_{CR})$ are defined as follows

$$V(J_{CL}) = \{s\} \cup \{v_i, u_i : i \in [k]\} \cup \{x\},$$

$$E(J_{CL}) = \{v_i v_{i+1} : i \in [k-1]\} \cup \{v_i u_i : i \in [k]\} \cup \{sv_1, v_k s, sx\}.$$

The pinning function is given by $\tau(u_i) = \gamma_i$ for all $i \in [k]$ and $\tau(x) = \theta$. The gadget \mathcal{J}_{CR} is defined in the same way, except s is replaced with t.

- ▶ **Lemma 12.** For a square-free graph H, a cycle $C = \theta \gamma_1 \gamma_2 \cdots \gamma_k \theta$ in H of length at least three, and $\Delta = \{\gamma_1, \gamma_k\}$ the following hold
- (1) if $\sigma \in \text{Hom}(\mathcal{J}_{CL}, H)$ or $\sigma \in \text{Hom}(\mathcal{J}_{CR}, H)$, then $\sigma(s) \in \{\gamma_1, \gamma_k\}$ and $\sigma(t) \in \{\gamma_1, \gamma_k\}$, respectively;
- (2) for any $\gamma \in \Delta$ it holds $|\mathsf{Hom}((J_{CL}, s), (H, \gamma))| = |\mathsf{Hom}((J_{CR}, t), (H, \gamma))| = 1$,
- (3) for any $\gamma' \notin \Delta$ it holds $\mathsf{Hom}((\mathcal{J}_{CL}, s), (H, \gamma')) = \mathsf{Hom}((\mathcal{J}_{CR}, t), (H, \gamma')) = \emptyset$,

6 The hardness of $\#_p$ PartHom(H)

In this section we prove the hardness part of Theorem 1. More specifically we will apply Theorem 7 and the constructions from Section 5 to show that $\#_pBIS_{\lambda_1,\lambda_2}$ is Turing reducible to $\#_p\mathsf{PartHom}(H)$.

We consider three cases depending on the existence of vertices of certain degree in H. In each of the three cases we use slightly different variations of vertex and edge gadgets.

Case 1. The graph H has at least two vertices α and β such that $deg(\alpha), deg(\beta) \not\equiv 1 \pmod{p}$.

Let $S = \{ \gamma \in V(H) : \deg(\gamma) \not\equiv 1 \mod p \}$; we know that S contains at least two elements. Pick $\alpha, \beta \in S$ such that the distance between them is minimal. Let $W = \alpha \gamma_1 \cdots \gamma_{k-1} \gamma_k \beta$ be a shortest path between α, β . By the choice of W, $\deg(\gamma_i) \equiv 1 \pmod{p}$ for all $i \in [k]$.

We make an edge gadget $\mathcal{K} = (K, \tau)$ for this case based on this path as defined in Section 5.1. More precisely,

$$V(K) = \{s, t\} \cup \{v_i, u_i : i \in [k]\},$$

$$E(K) = \{\{v_i, v_{i+1}\} : i \in [k-1]\} \cup \{\{v_i, u_i\} : i \in [k]\} \cup \{\{s, v_1\}, \{v_k, t\}\}.$$

The labelling function is given by $\tau(u_i) = \gamma_i$ for all $i \in [k]$.

Any path is a nc-walk, so we can apply Lemma 10 to W. For the gadgets we use $\Delta_1 = N_H(\alpha), \Delta_2 = N_H(\beta)$ and $\delta_1 = \gamma_1, \delta_2 = \gamma_k$. This satisfies property (i) of hardness gadgets, because $\deg(\alpha), \deg(\beta) \not\equiv 1 \pmod{p}$. Then for any $\alpha_s \in \Delta_1 - \delta_1$ and $\alpha_t \in \Delta - \delta_2$ we have

- (1) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_s, \alpha_t))| = 0;$
- (2) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \alpha_t))| \equiv 1 \pmod{p}$;
- (3) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_s, \gamma_k))| \equiv 1 \pmod{p}$

Also, for any $i \in [k]$ we have $deg(\gamma_i) \equiv 1 \pmod{p}$, and so

$$|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \gamma_k))| = 1 + \sum_{i=1}^k (\mathsf{deg}(\gamma_i) - 1) = 1 + 0 \equiv 1 \pmod{p}.$$

Hence.

(4) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \gamma_k))| \equiv 1 \pmod{p}$.

Thus K satisfies properties (iv), (v), (vi), and (vii) of hardness gadgets.

For a vertex gadget we use the first type, that is $\mathcal{J}_L, \mathcal{J}_R$ are just edges sx and ty, respectively, see Fig. 2. By Lemma 11 these gadgets satisfy properties (ii) and (iii) of hardness gadgets.

Thus Theorem 7 yields a required reduction.

Figure 2 Vertex and edge gadgets based on the path $W = \alpha \gamma_1 \cdots \gamma_k \beta$. The vertex gadgets \mathcal{J}_L and \mathcal{J}_R are shown as dot-dashed boxes. The pinning function is shown by dashed lines.

Case 2. Graph H has exactly one vertex θ such that $deg(\theta) \not\equiv 1 \pmod{p}$.

In this case we further split into two subcases. However, before we proceed with that we rule out the case of trees.

▶ **Lemma 13.** Let H be a tree that has no automorphism of order p and is not a star. Then H has at least two vertices α and β such that $\deg(\alpha), \deg(\beta) \not\equiv 1 \pmod{p}$.

Thus, we may assume that H is not a tree.

Case 2.1. The vertex θ , $deg(\theta) \not\equiv 1 \pmod{p}$, is on a cycle C.

In this case the edge gadget is based on the cycle C. More precisely, let $C = \theta \gamma_1 \gamma_2 \cdots \gamma_k \theta$ be a cycle in H of length at least 3 and such that for all $i \in [k]$ it holds that $\deg(v_i) \equiv 1 \pmod{p}$ and $\deg(\theta) \not\equiv 1 \pmod{p}$. We define gadget $\mathcal{K} = (K, \tau)$ as follows:

- $V(K) = \{s, t\} \cup \{v_i, u_i : i \in [k]\};$
- $E(K) = \{v_i v_{i+1} : i \in [k-1]\} \cup \{v_i u_i : i \in [k]\} \cup \{sv_1, v_k t\};$
- the labeling function is given by $\tau(u_i) = \gamma_i$ for all $i \in [k]$.

Set $\Delta_1 = \Delta_2 = N_H(\theta)$ and $\delta_1 = \gamma_1, \delta_2 = \gamma_k$. These parameters satisfy property (i) of a hardness gadget, because $\deg(\theta) \not\equiv 1 \pmod{p}$. A cycle is a nc-walk, so we can apply Lemma 10 to obtain the following

- ▶ **Lemma 14.** Let H be a square-free graph and K an edge gadget based on the cycle $C = \theta \gamma_1 \gamma_2 \cdots \gamma_k \theta$ in H. For any $\alpha_s \in \Delta_1 \delta_1$ and $\alpha_t \in \Delta_2 \delta_2$,
- (1) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha_s, \alpha_t))| = 0;$
- (2) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \delta_1, \alpha_t))| \equiv 1 \pmod{p}$;
- (3) $|Hom((\mathcal{K}, s, t), (H, \alpha_s, \delta_2))| \equiv 1 \pmod{p}$;
- **(4)** $|Hom((K, s, t), (H, \delta_1, \delta_2))| \equiv 1 \pmod{p}$.

Proof. The cycle C is a nc-walk. Therefore by Lemma 10 items (1), (2), and (3) hold. For item (4) note that $\deg(\gamma_i) \equiv 1 \pmod{p}$ for all $i \in [k]$, therefore

$$|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_1, \gamma_k))| = 1 + \sum_{i=1}^k (\mathsf{deg}(\gamma_i) - 1) = 1 + 0 \equiv 1 \pmod{p}.$$

By Lemma 14 gadget K satisfies properties (iv), (v), (vi), and (vii) of hardness gadgets. For vertex gadgets we take \mathcal{J}_L , \mathcal{J}_R (which are just edges) defined in Section 5.2, with $\alpha = \beta = \theta$, see Fig 3. By Lemma 11, these gadgets satisfy properties (ii) and (iii) of hardness gadgets.

Finally, by Theorem 7 $\#_pBIS_{\lambda_1,\lambda_2}$ is Turing reducible to $\#_p\mathsf{PartHom}(H)$.

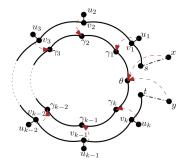


Figure 3 Hardness gadgets corresponding to a cycle $C = \theta \gamma_1 \cdots \gamma_k \theta$. The vertex gadgets \mathcal{J}_L and \mathcal{J}_R are shown by dot-dashed lines. The pinning function is shown by dashed lines.

Case 2.2. The vertex θ is not on any cycle.

Since H is not a tree, it contains at least one cycle; let C be such a cycle. Let $P = \gamma_0 \gamma_{k+1} \gamma_{k+2} \cdots \gamma_{k+k'} \theta$ be a shortest path from a vertex γ on cycle $C = \gamma_0 \gamma_1 \gamma_2 \cdots \gamma_k \gamma_0$ to θ . Note that $\deg(\gamma_i) \equiv 1 \pmod{p}$ for all γ_i , $i \in \{0, \dots, k+k'\}$. Edge gadget \mathcal{K} in this case is based on the walk $W = \theta \gamma_{k+k'} \cdots \gamma_{k+2} \gamma_{k+1} \gamma_0 \gamma_1 \gamma_2 \cdots \gamma_k \gamma_{k+1} \gamma_{k+2} \cdots \gamma_{k+k'} \theta$. Note that, W is an nc-walk. More precisely, the gadget $\mathcal{K} = (K, \tau)$ is defined as follows:

- $V(K) = \{s, t\} \cup \{v_i, u_i : i \in [k + 2k' + 2]\};$
- $E(K) = \{v_i v_{i+1} : i \in [k+2k'+1]\} \cup \{v_i u_i : i \in [k+2k'+2]\} \cup \{sv_1, v_{k+2k'+1}t\};$
- the pinning function is given by

$$\tau(u_i) = \begin{cases} \gamma_{k+k'+1-i} & 1 \le i \le k', \\ \gamma_{i-k'-1} & k'+1 \le i \le k+k'+1, \\ \gamma_0 & i = k+k'+2, \\ \gamma_{i-k'-2} & k+k'+3 \le i \le k+2k'+2. \end{cases}$$

Set $\delta_1 = \delta_2 = \gamma_{k+k'}$ and $\Delta_1 = \Delta_2 = N_H(\theta)$. These parameters satisfy property (i) of hardness gadgets, because $\deg(\theta) \not\equiv 1 \pmod{p}$. As W is an nc-walk, by Lemma 10 for any $\alpha \in N_H(\theta) - \gamma_{k+k'}$, we have

- (1) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha, \alpha))| = 0;$
- (2) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_{k+k'}, \alpha))| \equiv 1 \pmod{p};$
- (3) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \alpha, \gamma_{k+k'}))| \equiv 1 \pmod{p}$.

Also, $deg(\gamma_i) \equiv 1 \pmod{p}$ for all $i \in [k + k'] \cup \{0\}$. Therefore

$$|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_{k+k'}, \gamma_{k+k'}))| = 1 + \sum_{i=1}^{k+k'} (\mathsf{deg}(\gamma_i) - 1) = 1 + 0 \equiv 1 \pmod{p}.$$

Hence,

(4) $|\mathsf{Hom}((\mathcal{K}, s, t), (H, \gamma_{k+k'}, \gamma_{k+k'}))| \equiv 1 \pmod{p}$.

Thus the gadget K satisfies properties (iv), (v), (vi), and (vii) of hardness gadgets.

Finally, for vertex gadgets we again use gadgets \mathcal{J}_L , \mathcal{J}_R introduced in Section 5.2, with $\alpha=\beta=\theta$, see Fig 4. By Lemma 11, these gadgets satisfy properties (ii) and (iii) of hardness gadgets. Thus, by Theorem 7 $\#_pBIS_{\lambda_1,\lambda_2}$, $\lambda_1=\lambda_2=|N_H(\theta)|-1$ is Turing reducible to $\#_p\mathsf{PartHom}(H)$.

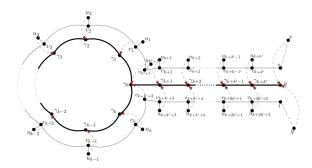


Figure 4 Gadget \mathcal{K} based on the nc-walk $W = \theta \gamma_{k+k'} \cdots \gamma_{k+2} \gamma_{k+1} \gamma_0 \gamma_1 \gamma_2 \cdots \gamma_k \gamma_{k+1} \gamma_{k+2} \cdots \gamma_{k+k'} \theta$. The vertex gadgets \mathcal{J}_L and \mathcal{J}_R corresponding to θ are shown by dot-dashed lines. The pinning function is shown by dashed lines.

Case 3. For every vertex $\gamma \in V(H)$ it holds $deg(\gamma) \equiv 1 \pmod{p}$.

By Lemma 13, H is not a tree, therefore it contains a cycle $C = \theta \gamma_1 \gamma_2 \cdots \gamma_k \theta$ such that $k \geq 3$. Set $\delta_1 = \gamma_1, \delta_2 = \gamma_k$ and $\Delta_1 = \Delta_2 = \{\gamma_1, \gamma_k\}$. These parameters satisfy property (i) of hardness gadget, because $|\Delta_1| = |\Delta_2| \not\equiv 1 \pmod{p}$. An edge gadget $\mathcal K$ is based on this cycle C. Since $\deg(\gamma) \equiv 1 \pmod{p}$ for every $\gamma \in V(H)$, as in Lemma 14 by Lemma 10 $\mathcal K$ satisfies properties (iv), (v), (vi), and (vii) of hardness gadgets.

For vertex gadgets we choose \mathcal{J}_{CL} , \mathcal{J}_{CR} defined in Section 5.2, see Fig 5. By Lemma 12, these gadgets satisfy properties (ii) and (iii) of hardness gadgets. Therefore, by Theorem 7, $\#_pBIS_{\lambda_1,\lambda_2}$, $\lambda_1=\lambda_2=|\{\gamma_1,\gamma_k\}|-1=1$ is Turing reducible to $\#_p\mathsf{PartHom}(H)$.

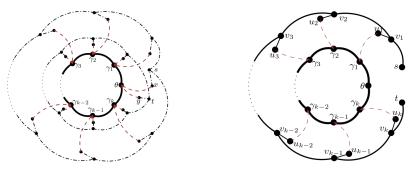


Figure 5 Hardness gadgets based on cycle $C = \theta \gamma_1 \cdots \gamma_k \theta$.

On the left are the vertex gadgets \mathcal{J}_{CL} and \mathcal{J}_{CR} shown by dot-dashed lines. \mathcal{J}_{CL} is the cycle containing vertex s, and \mathcal{J}_{CR} is the cycle containing vertex t. The remaining vertices of the gadgets are not labelled. The pinning function is shown by dashed lines.

On the right, the edge gadget K is highlighted. Again, the pinning function is represented by dashed lines.

Note that the reduction in Case 3 can also be used to prove the result in Case 2.2, as it only depends on the existence of a cycle all of whose vertices have degrees $\equiv 1 \pmod{p}$. We, however, believe that the construction in Case 2.2 is simple, more transparent and deserves being considered.

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