# Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

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#### — Abstract

In this paper, we consider the following problem: given a connected graph G, can we reduce the domination number of G by one by using only one edge contraction? We show that the problem is NP-hard when restricted to  $\{P_6, P_4 + P_2\}$ -free graphs and that it is coNP-hard when restricted to subcubic claw-free graphs and  $2P_3$ -free graphs. As a consequence, we are able to establish a complexity dichotomy for the problem on H-free graphs when H is connected.

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# 1 Introduction

A blocker problem asks whether given a graph G, a graph parameter  $\pi$ , a set  $\mathcal{O}$  of one or more graph operations and an integer  $k \ge 1$ , G can be transformed into a graph G' by using at most k operations from  $\mathcal{O}$  such that  $\pi(G') \leq \pi(G) - d$  for some threshold  $d \geq 0$ . Such a designation follows from the fact that the set of vertices or edges involved can be viewed as "blocking" the parameter  $\pi$ . Identifying such sets may provide information on the structure of the input graph; for instance, if  $\pi = \alpha$ , k = d = 1 and  $\mathcal{O} = \{$ vertex deletion $\}$ , the problem is equivalent to testing whether the input graph contains a vertex that is in every maximum independent set (see [18]). Blocker problems have received much attention in the recent literature (see for instance [1, 2, 3, 4, 5, 7, 8, 9, 11, 12, 13, 15, 16, 17, 18, 19]) and have been related to other well-known graph problems such as HADWIGER NUMBER, CLUB CONTRACTION and several graph transversal problems (see for instance [7, 17]). The graph parameters mainly considered in the literature so far include the chromatic number, the independence number, the clique number, the matching number and the vertex cover number while the set  $\mathcal{O}$  is always a singleton consisting of a vertex deletion, edge contraction, edge deletion or edge addition. In this paper, we focus on the domination number  $\gamma$ , let  $\mathcal{O}$ consist of an edge contraction and set the threshold d to one.

Formally, let G = (V, E) be a graph. The *contraction* of an edge  $uv \in E$  removes vertices u and v from G and replaces them by a new vertex that is made adjacent to precisely those vertices which were adjacent to u or v in G (without introducing self-loops nor multiple edges). We say that a graph G can be k-contracted into a graph G', if G can be transformed into G' by a sequence of at most k edge contractions, for an integer  $k \ge 1$ . The problem we consider is then the following (note that contracting an edge cannot increase the domination number).



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### 21:2 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

*k*-EDGE CONTRACTION( $\gamma$ ) *Instance:* A connected graph G = (V, E). *Question:* Can G be k-contracted into a graph G' such that  $\gamma(G') \leq \gamma(G) - 1$ ?

Reducing the domination number using edge contractions was first considered in [10]. The authors proved that for a connected graph G such that  $\gamma(G) \geq 2$ , we have  $ct_{\gamma}(G) \leq 3$ , where  $ct_{\gamma}(G)$  denotes the minimum number of edge contractions required to transform G into a graph G' such that  $\gamma(G') \leq \gamma(G) - 1$  (note that if  $\gamma(G) = 1$  then G is a NO-instance for k-EDGE CONTRACTION( $\gamma$ ) independently of the value of k). Thus, if G is a connected graph with  $\gamma(G) \geq 2$ , then G is always a YES-instance for k-EDGE CONTRACTION( $\gamma$ ) when  $k \geq 3$ . It was later shown in [9] that k-EDGE CONTRACTION( $\gamma$ ) is coNP-hard for  $k \leq 2$  and so, restrictions on the input graph to some special graph classes were considered. In particular, the authors in [9] proved that for k = 1, 2, the problem is polynomial-time solvable for  $P_5$ -free graphs while for k = 1, it remains NP-hard when restricted to  $P_9$ -free graphs and  $\{C_3, \ldots, C_\ell\}$ -free graphs, for any  $\ell \geq 3$ .

In this paper, we continue the systematic study of the computational complexity of 1-EDGE CONTRACTION( $\gamma$ ) initiated in [9]. Ultimately, the aim is to obtain a complete classification for 1-EDGE CONTRACTION( $\gamma$ ) restricted to *H*-free graphs, for any (not necessarily connected) graph *H*, as it has been done for other blocker problems (see for instance [8, 18, 19]). As a step towards this end, we prove the following three theorems.

▶ **Theorem 1.** 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard when restricted to  $\{P_6, P_4 + P_2\}$ -free graphs.

▶ **Theorem 2.** 1-EDGE CONTRACTION( $\gamma$ ) is coNP-hard when restricted to subcubic claw-free graphs.

▶ **Theorem 3.** 1-EDGE CONTRACTION( $\gamma$ ) is coNP-hard when restricted to 2P<sub>3</sub>-free graphs.

Note that Theorems 1 and 2 lead to a complexity dichotomy for *H*-free graphs when *H* is connected. Indeed, since 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard when restricted to  $\{C_3, \ldots, C_\ell\}$ -free graphs, for any  $\ell \geq 3$ , it follows that 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard for *H*-free graphs when *H* contains a cycle. If *H* is a tree with a vertex of degree at least three, we conclude by Theorem 2 that 1-EDGE CONTRACTION( $\gamma$ ) is coNP-hard for *H*-free graphs; and Theorem 1 shows that if *H* is a path of length at least 6, then 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard for *H*-free graphs. Finally, since in [9] 1-EDGE CONTRACTION( $\gamma$ ) is shown to be polynomial-time solvable on  $\{P_5 + pK_1\}$ -free graphs for any  $p \geq 0$ , it follows that 1-EDGE CONTRACTION( $\gamma$ ) is polynomial-time solvable on *H*-free graphs if  $H \subseteq_i P_5$ . We therefore obtain the following result.

▶ Corollary 4. Let H be a connected graph. If  $H \subseteq_i P_5$  then 1-EDGE CONTRACTION( $\gamma$ ) is polynomial-time solvable on H-free graphs, otherwise it is NP-hard or coNP-hard.

If the graph H is not required to be connected, we know the following. As previously mentioned, 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard (resp. coNP-hard) on H-free graphs when H contains a cycle (resp. an induced claw). Thus, there remains to consider the case where His a linear forest, that is, a disjoint union of paths. Theorems 1 and 3 show that if H contains either a  $P_6$ , a  $P_4 + P_2$  or a  $2P_3$  as an induced subgraph, then 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard or coNP-hard on H-free graphs. Since it is known that 1-EDGE CONTRACTION( $\gamma$ ) is polynomial-time solvable on H-free graphs if  $H \subseteq_i P_5 + pK_1$ , there remains to determine the complexity status of the problem restricted to H-free graphs when  $H = P_3 + qP_2 + pK_1$ , for  $q \ge 1$  and  $p \ge 0$ .

# 2 Preliminaries

Throughout the paper, we only consider finite, undirected and connected graphs that have no self-loops or multiple edges. We refer the reader to [6] for any terminology and notation not defined here.

For  $n \ge 1$ , the path and cycle on *n* vertices are denoted by  $P_n$  and  $C_n$  respectively. The *claw* is the complete bipartite graph with one partition of size one and the other of size three.

Let G be a graph, with vertex set V(G) and edge set E(G), and let  $u \in V(G)$ . We denote by  $N_G(u)$ , or simply N(u) if it is clear from the context, the set of vertices that are adjacent to u i.e., the *neighbors* of u, and let  $N[u] = N(u) \cup \{u\}$ . The *degree* of a vertex u, denoted by  $d_G(u)$  or simply d(u) if it is clear from the context, is the size of its neighborhood i.e., d(u) = |N(u)|. The maximum degree in G is denoted by  $\Delta(G)$  and G is *subcubic* if  $\Delta(G) \leq 3$ .

For a family  $\{H_1, \ldots, H_p\}$  of graphs, G is said to be  $\{H_1, \ldots, H_p\}$ -free if G has no induced subgraph isomorphic to a graph in  $\{H_1, \ldots, H_p\}$ ; if p = 1, we may write  $H_1$ -free instead of  $\{H_1\}$ -free. For a subset  $V' \subseteq V(G)$ , we let G[V'] denote the subgraph of G induced by V', which has vertex set V' and edge set  $\{uv \in E(G) \mid u, v \in V'\}$ .

A subset  $S \subseteq V(G)$  is called an *independent set* or is said to be *independent*, if no two vertices in S are adjacent. A subset  $D \subseteq V(G)$  is called a *dominating set*, if every vertex in  $V(G) \setminus D$  is adjacent to at least one vertex in D; the *domination number*  $\gamma(G)$  is the number of vertices in a minimum dominating set. For any  $v \in D$  and  $u \in N[v]$ , v is said to *dominate* u (in particular, v dominates itself). We say that D contains an edge (or more) if the graph G[D] contains an edge (or more). A dominating set D of G is efficient if for every vertex  $v \in V$ ,  $|N[v] \cap D| = 1$  that is, v is dominated by exactly one vertex.

In the following, we consider those graphs for which one edge contraction suffices to decrease their domination number by one. A characterization of this class is given in [10].

▶ Theorem 5 ([10]). For a connected graph G,  $ct_{\gamma}(G) = 1$  if and only if there exists a minimum dominating set in G that is not independent.

In order to prove Theorems 2 and 3, we introduce the following two problems.

ALL EFFICIEN	All Efficient MD	
Instance:	A connected graph $G = (V, E)$ .	
Question:	Is every minimum dominating set of $G$ efficient?	
<u></u>		

ALL INDEPENDENT MD		
	Instance:	A connected graph $G = (V, E)$ .
	Question:	Is every minimum dominating set of $G$ independent?

The following is then a straightforward consequence of Theorem 5.

 $\triangleright$  Fact 1. Given a graph G, G is a YES-instance for 1-EDGE CONTRACTION( $\gamma$ ) if and only if G is a NO-instance for ALL INDEPENDENT MD.

## **3** The proof of Theorem 1

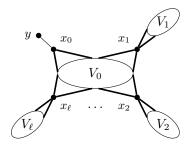
In this section, we show that 1-EDGE CONTRACTION( $\gamma$ ) is NP-hard when restricted to  $\{P_6, P_4 + P_2\}$ -free graphs.

#### 21:4 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

To this end, we give a reduction from DOMINATING SET. Given an instance  $(G, \ell)$  for DOMINATING SET, we construct an instance G' for 1-EDGE CONTRACTION $(\gamma)$  as follows. We denote by  $\{v_1, \ldots, v_n\}$  the vertex set of G. The vertex set of the graph G' is given by  $V(G') = V_0 \cup \ldots \cup V_\ell \cup \{x_0, \ldots, x_\ell, y\}$ , where each  $V_i$  is a copy of the vertex set of G. We denote the vertices of  $V_i$  by  $v_1^i, v_2^i, \ldots, v_n^i$ . The adjacencies in G' are then defined as follows:  $V_0 \cup \{x_0\}$  is a clique;

$$yx_0 \in E(G');$$

- and for any  $1 \leq i \leq \ell$ ,
- $V_i$  is an independent set;
- $x_i$  is adjacent to all the vertices in  $V_0 \cup V_i$ ;
- $v_j^i$  is adjacent to  $\{v_a^0 \mid v_a \in N_G[v_j]\}$  for any  $1 \le j \le n$ .



**Figure 1** The graph G' (thick lines indicate that the vertex  $x_i$  is adjacent to every vertex in  $V_0$  and  $V_i$ , for  $i = 0, ..., \ell$ ).

 $\triangleright \text{ Claim 1.} \quad \gamma(G') = \min\{\gamma(G) + 1, \ell + 1\}.$ 

Proof. It is clear that  $\{x_0, x_1, \ldots, x_\ell\}$  is a dominating set of G'; thus,  $\gamma(G') \leq \ell+1$ . If  $\gamma(G) \leq \ell$  and  $\{v_{i_1}, \ldots, v_{i_k}\}$  is a minimum dominating set of G, it is easily seen that  $\{v_{i_1}^0, \ldots, v_{i_k}^0, x_0\}$  is a dominating set of G'. Thus,  $\gamma(G') \leq \gamma(G) + 1$  and so,  $\gamma(G') \leq \min\{\gamma(G) + 1, \ell + 1\}$ . Now, suppose to the contrary that  $\gamma(G') < \min\{\gamma(G) + 1, \ell + 1\}$  and consider a minimum dominating set D' of G'. We first make the following simple observation.

 $\triangleright$  Observation 1. For any dominating set D of  $G', D \cap \{y, x_0\} \neq \emptyset$ .

Now, since  $\gamma(G') < \ell + 1$ , there exists  $1 \leq i \leq \ell$  such that  $x_i \notin D'$  (otherwise,  $\{x_1, \ldots, x_\ell\} \subset D'$  and combined with Observation 1, D' would be of size at least  $\ell + 1$ ). But then,  $D'' = D' \cap V_0$  must dominate every vertex in  $V_i$ , and so  $|D''| \geq \gamma(G)$ . Since  $|D''| \leq |D'| - 1$  (recall that  $D' \cap \{y, x_0\} \neq \emptyset$ ), we then have  $\gamma(G) \leq |D'| - 1$ , a contradiction. Thus,  $\gamma(G') = \min\{\gamma(G) + 1, \ell + 1\}$ .

We now show that  $(G, \ell)$  is a YES-instance for DOMINATING SET if and only if G' is a YES-instance for 1-EDGE CONTRACTION $(\gamma)$ .

Assume first that  $\gamma(G) \leq \ell$ . Then  $\gamma(G') = \gamma(G) + 1$  by the previous claim, and if  $\{v_{i_1}, \ldots, v_{i_k}\}$  is a minimum dominating set of G, then  $\{v_{i_1}^0, \ldots, v_{i_k}^0, x_0\}$  is a minimum dominating set of G' which is not independent. Hence, by Theorem 5, G' is a YES-instance for 1-EDGE CONTRACTION $(\gamma)$ .

Conversely, assume that G' is a YES-instance for 1-EDGE CONTRACTION $(\gamma)$  i.e., there exists a minimum dominating set D' of G' which is not independent (see Theorem 5). Then, Observation 1 implies that there exists  $1 \leq i \leq \ell$  such that  $x_i \notin D'$ ; indeed, if it weren't the case, by Claim 1 we would then have  $\gamma(G') = \ell + 1$  and thus, D' would consist of  $x_1, \ldots, x_\ell$ 

and either y or  $x_0$ . In both cases, D' would be independent, a contradiction. It follows that  $D'' = D' \cap V_0$  must dominate every vertex in  $V_i$  and thus,  $|D''| \ge \gamma(G)$ . But  $|D''| \le |D'| - 1$  (recall that  $D' \cap \{y, x_0\} \ne \emptyset$ ) and so by Claim 1,  $\gamma(G) \le |D'| - 1 \le (\ell + 1) - 1$  that is,  $(G, \ell)$  is a YES-instance for DOMINATING SET.

We next show that G' is a  $P_6$ -free graph. Let P be an induced path of G'. First observe that since  $V_0$  is a clique,  $|V(P) \cap V_0| \leq 2$ . If  $|V(P) \cap V_0| = 0$ , since each  $V_i$  is independent and the same holds for  $\{x_0, \ldots, x_\ell\}$ , we have that  $|V(P)| \leq 3$ . We now consider the following two cases.

- **Case 1.**  $|V(P) \cap V_0| = 2$ . Let  $u, v \in V_0$  be the vertices of  $V(P) \cap V_0$ . Since P is an induced path, u and v appear consecutively in P, that is,  $uv \in E(P)$ . Furthermore,  $V(P) \cap \{x_0, \ldots, x_\ell\} = \emptyset$  since u and v are adjacent to all the vertices of  $\{x_0, \ldots, x_\ell\}$ . If u has another neighbor  $w \in V_i$  in P, for some i > 0, then since  $N(w) \subset V_0 \cup \{x_i\}$ , w can have no neighbor in P other than u, that is, w is an endpoint of the path. Symmetrically, the same holds for a neighbor of v in P different from u. Hence, we conclude that  $|V(P)| \leq 4$ .
- **Case 2.**  $|V(P) \cap V_0| = 1$ . Let  $u \in V_0$  be the vertex of  $V(P) \cap V_0$ . If  $V(P) \cap \{x_0, \dots, x_\ell\} = \emptyset$ , then it is easy to see that  $|V(P)| \leq 3$ , since any neighbor of u in the path must belong to  $\bigcup_{1 \leq i \leq \ell} V_i$  and, by the same argument as in Case 1, such a neighbor would have to be an endpoint of the path. If  $V(P) \cap \{x_0, \dots, x_\ell\} \neq \emptyset$ , let  $x_i$  be a vertex that is in P. Since  $ux_i \in E(G')$ , we necessarily have that  $ux_i \in E(P)$ . Suppose that  $x_i$  has another neighbor w in P. Then  $w \in V_i$  since  $N(x_i) = V_0 \cup V_i$ . By the argument used above, wmust then be an endpoint of the path; and since u can have at most two neighbors in  $\{x_0, \dots, x_\ell\}$ , we conclude that  $|V(P)| \leq 5$ .

Finally, to see that G' is also a  $\{P_4 + P_2\}$ -free graph, it suffices to note that any induced  $P_4$  of G' contains at least one vertex of the clique  $V_0$ . This concludes the proof of Theorem 1.

# 4 The proof of Theorem 2

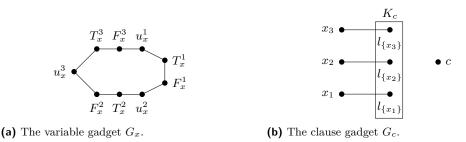
In this section, we show that 1-EDGE CONTRACTION( $\gamma$ ) is coNP-hard when restricted to subcubic claw-free graphs. To this end, we first prove the following.

#### ▶ Lemma 6. ALL EFFICIENT MD is NP-hard when restricted to subcubic graphs.

**Proof.** We reduce from POSITIVE EXACTLY 3-BOUNDED 1-IN-3 3-SAT, where each variable appears in exactly three clauses and only positively, each clause contains three positive literals, and we want a truth assignment such that each clause contains exactly one true literal. This problem is shown to be NP-complete in [14]. Given an instance  $\Phi$  of this problem, with variable set X and clause set C, we construct an equivalent instance of ALL EFFICIENT MD as follows. For any variable  $x \in X$ , we introduce a copy of  $C_9$ , which we denote by  $G_x$ , with three distinguished true vertices  $T_x^1$ ,  $T_x^2$  and  $T_x^3$ , and three distinguished false vertices  $F_x^1$ ,  $F_x^2$  and  $F_x^3$  (see Fig. 2a). For any clause  $c \in C$  containing variables  $x_1$ ,  $x_2$  and  $x_3$ , we introduce the gadget  $G_c$  depicted in Fig. 2b which has one distinguished clause vertex c and three distinguished variable vertices  $x_1$ ,  $x_2$  and  $x_3$  (note that  $G_c$  is not connected). For every  $j \in \{1, 2, 3\}$ , we then add an edge between  $x_j$  and  $F_{x_j}^i$  and between c and  $T_{x_j}^i$  for some  $i \in \{1, 2, 3\}$  so that  $F_{x_j}^i$  (resp.  $T_{x_j}^i$ ) is adjacent to exactly one variable vertex (resp. clause vertex). We denote by  $G_{\Phi}$  the resulting graph. Note that  $\Delta(G_{\Phi}) = 3$ .

▷ Observation 1. For any dominating set D of  $G_{\Phi}$ ,  $|D \cap V(G_x)| \ge 3$  for any  $x \in X$  and  $|D \cap V(G_c)| \ge 1$  for any  $c \in C$ . In particular,  $\gamma(G_{\Phi}) \ge 3|X| + |C|$ .

#### 21:6 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions



**Figure 2** Construction of the graph  $G_{\Phi}$  (the rectangle indicates that the corresponding set of vertices induces a clique).

Indeed, for any  $x \in X$ , since  $u_x^1$ ,  $u_x^2$  and  $u_x^3$  must be dominated and their neighborhoods are pairwise disjoint and contained in  $G_x$ , it follows that  $|D \cap V(G_x)| \ge 3$ . For any  $c \in C$ , since the vertices of  $K_c$  must be dominated and their neighborhoods are contained in  $G_c$ ,  $|D \cap V(G_c)| \ge 1$ .

 $\triangleright$  Observation 2. For any  $x \in X$ , if D is a minimum dominating set of  $G_x$  then either  $D = \{u_x^1, u_x^2, u_x^3\}, D = \{T_x^1, T_x^2, T_x^3\}$  or  $D = \{F_x^1, F_x^2, F_x^3\}$ .

 $\triangleright$  Claim 1.  $\Phi$  is satisfiable if and only if  $\gamma(G_{\Phi}) = 3|X| + |C|$ .

Proof. Assume that  $\Phi$  is satisfiable and consider a truth assignment satisfying  $\Phi$ . We construct a dominating set D of  $G_{\Phi}$  as follows. For any variable  $x \in X$ , if x is true, add  $T_x^1, T_x^2$  and  $T_x^3$  to D; otherwise, add  $F_x^1, F_x^2$  and  $F_x^3$  to D. For any clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3$ , exactly one variable is true, say  $x_1$  without loss of generality; we then add  $l_{\{x_1\}}$  to D. Clearly, D is dominating and we conclude by Observation 1 that  $\gamma(G_{\Phi}) = 3|X| + |C|$ .

Conversely, assume that  $\gamma(G_{\Phi}) = 3|X| + |C|$  and consider a minimum dominating set D of  $G_{\Phi}$ . Then by Observation 1,  $|D \cap V(G_x)| = 3$  for any  $x \in X$  and  $|D \cap V(G_c)| = 1$  for any  $c \in C$ . Now, for a clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3$ , if  $D \cap \{c, x_1, x_2, x_3\} \neq \emptyset$  then  $D \cap V(K_c) = \emptyset$  and so, at least two vertices from  $K_c$  are not dominated; thus,  $D \cap \{c, x_1, x_2, x_3\} = \emptyset$ . It follows that for any  $x \in X$ ,  $D \cap V(G_x)$  is a minimum dominating set of  $G_x$  which by Observation 2 implies either  $\{T_x^1, T_x^2, T_x^3\} \subset D$  or  $D \cap \{T_x^1, T_x^2, T_x^3\} = \emptyset$ ; and we conclude similarly that either  $\{F_x^1, F_x^2, F_x^3\} \subset D$  or  $D \cap \{F_x^1, F_x^2, F_x^3\} = \emptyset$ . Now given a clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3$ , since  $D \cap \{c, x_1, x_2, x_3\} = \emptyset$ , at least one true vertex adjacent to the clause vertex c must belong to D, say  $T_{x_1}^i$  for some  $i \in \{1, 2, 3\}$  without loss of generality. It then follows that  $\{T_{x_1}^1, T_{x_1}^2, T_{x_1}^3\} \subset D$  and  $D \cap \{F_{x_1}^1, F_{x_1}^2, F_{x_1}^3\} = \emptyset$  which implies that  $l_{\{x_1\}} \in D$  (either  $x_1$  or a vertex from  $K_c$  would otherwise not be dominated). But then, since  $x_j$  for  $j \neq 1$ , must be dominated, it follows that  $\{F_{x_j}^1, F_{x_j}^2, F_{x_j}^3\} \subset D$ . We thus construct a truth assignment satisfying  $\Phi$  as follows: for any variable  $x \in X$ , if  $\{T_x^1, T_x^2, T_x^3\} \subset D$ , set x to true, otherwise set x to false.

 $\triangleright$  Claim 2.  $\gamma(G_{\Phi}) = 3|X| + |C|$  if and only if every minimum dominating set of  $G_{\Phi}$  is efficient.

Proof. Assume that  $\gamma(G_{\Phi}) = 3|X| + |C|$  and consider a minimum dominating set D of  $G_{\Phi}$ . Then by Observation 1,  $|D \cap V(G_x)| = 3$  for any  $x \in X$  and  $|D \cap V(G_c)| = 1$  for any  $c \in C$ . As shown previously, it follows that for any clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3, D \cap \{c, x_1, x_2, x_3\} = \emptyset$ ; and for any  $x \in X$ , either  $\{T_x^1, T_x^2, T_x^3\} \subset D$  or  $D \cap \{T_x^1, T_x^2, T_x^3\} = \emptyset$ (we conclude similarly with  $\{F_x^1, F_x^2, F_x^3\}$  and  $\{u_x^1, u_x^2, u_x^3\}$ ). Thus, for any  $x \in X$ , every

vertex in  $G_x$  is dominated by exactly one vertex. Now given a clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3$ , since the clause vertex c does not belong to D, there exists at least one true vertex adjacent to c which belongs to D. Suppose to the contrary that c has strictly more than one neighbor in D, say  $T_{x_1}^i$  and  $T_{x_2}^j$  without loss of generality. Then,  $\{T_{x_k}^1, T_{x_k}^2, T_{x_k}^3\} \subset D$  for k = 1, 2 which implies that  $D \cap \{F_{x_1}^1, F_{x_1}^2, F_{x_1}^3, F_{x_2}^1, F_{x_2}^2, F_{x_2}^3\} = \emptyset$ as  $|D \cap V(G_{x_k})| = 3$  for k = 1, 2. It follows that the variable vertices  $x_1$  and  $x_2$  must be dominated by some vertices in  $G_c$ ; but  $|D \cap V(G_c)| = 1$  and  $N[x_1] \cap N[x_2] = \emptyset$  and so, either  $x_1$  or  $x_2$  is not dominated. Thus, c has exactly one neighbor in D, say  $T_{x_1}^i$  without loss of generality. Then, necessarily  $D \cap V(G_c) = \{l_{x_1}\}$  for otherwise either  $x_1$  or some vertex in  $K_c$  would not be dominated. But then, it is clear that every vertex in  $G_c$  is dominated by exactly one vertex; thus, D is efficient.

Conversely, assume that every minimum dominating set of  $G_{\Phi}$  is efficient and consider a minimum dominating set D of  $G_{\Phi}$ . If for some  $x \in X$ ,  $|D \cap V(G_x)| \ge 4$ , then clearly at least one vertex in  $G_x$  is dominated by two vertices in  $D \cap V(G_x)$ . Thus,  $|D \cap V(G_x)| \le 3$  for any  $x \in X$  and we conclude by Observation 1 that in fact, equality holds. The next observation immediately follows from the fact that D is efficient.

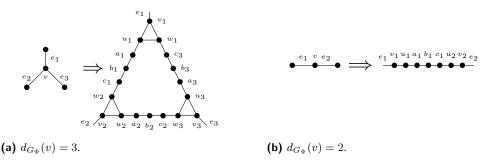
▷ Observation 3. For any  $x \in X$ , if  $|D \cap V(G_x)| = 3$  then either  $\{u_x^1, u_x^2, u_x^3\} \subset D$ ,  $\{T_x^1, T_x^2, T_x^3\} \subset D$  or  $\{F_x^1, F_x^2, F_x^3\} \subset D$ .

Now, consider a clause  $c \in C$  containing variables  $x_1$ ,  $x_2$  and  $x_3$  and suppose without loss of generality that  $T_{x_1}^1$  is adjacent to c (note that then the variable vertex  $x_1$  is adjacent to  $F_{x_1}^1$ ). If the clause vertex c belongs to D then, since D is efficient,  $T_{x_1}^1 \notin D$  and  $u_{x_1}^1, F_{x_1}^1 \notin D$  $(T_{x_1}^1$  would otherwise be dominated by at least two vertices) which contradicts Observation 3. Thus, no clause vertex belongs to D. Similarly, suppose that there exists  $i \in \{1, 2, 3\}$ such that  $x_i \in D$ , say  $x_1 \in D$  without loss of generality. Then, since D is efficient,  $F_{x_1}^1 \notin D$ and  $T_{x_1}^1, u_{x_1}^2 \notin D$   $(F_{x_1}^1$  would otherwise be dominated by at least two vertices) which again contradicts Observation 3. Thus, no variable vertex belongs to D. Finally, since D is efficient,  $|D \cap V(K_c)| \leq 1$  and so,  $|D \cap V(G_c)| = 1$  by Observation 1.

Now by combining Claims 1 and 2, we obtain that  $\Phi$  is satisfiable if and only if every minimum dominating set of  $G_{\Phi}$  is efficient, that is,  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD.

▶ **Theorem 7.** All INDEPENDENT MD is NP-hard when restricted to subcubic claw-free graphs.

**Proof.** We give a reduction from POSITIVE EXACTLY 3-BOUNDED 1-IN-3 3-SAT, where each variable appears in exactly three clauses and only positively, each clause contains three positive literals, and we want a truth assignment such that each clause contains exactly one true literal. This problem is shown to be NP-complete in [14]. Given an instance  $\Phi$  of this problem, with variable set X and clause set C, we construct an equivalent instance of ALL INDEPENDENT MD as follows. Consider the graph  $G_{\Phi} = (V, E)$  constructed in the proof of Lemma 6 and let  $V_i = \{v \in V : d_{G_{\Phi}}(v) = i\}$  for i = 2, 3 (note that no vertex in  $G_{\Phi}$  has degree one). Then, for any  $v \in V_3$ , we replace the vertex v by the gadget  $G_v$  depicted in Fig. 3a; and for any  $v \in V_2$ , we replace the vertex v by the gadget  $G_v$  depicted in Fig. 3b. We denote by  $G'_{\Phi}$  the resulting graph. Note that  $G'_{\Phi}$  is claw-free and  $\Delta(G'_{\Phi}) = 3$  (also note that no vertex in  $G'_{\Phi}$  has degree one). It is shown in the proof of Lemma 6 that  $\Phi$  is satisfiable if and only if  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD; we here show that  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD if and only if  $G'_{\Phi}$  is a YES-instance for ALL INDEPENDENT MD. To this end, we first prove the following.



**Figure 3** The gadget  $G_v$ .

 $\rhd \text{ Claim 3.} \quad \gamma(G'_{\Phi}) = \gamma(G_{\Phi}) + 5|V_3| + 2|V_2|.$ 

Proof. Let D be a minimum dominating set of  $G_{\Phi}$ . We construct a dominating set D' of  $G'_{\Phi}$  as follows. For any  $v \in D$ , if  $v \in V_3$ , add  $v_1$ ,  $v_2$ ,  $v_3$ ,  $b_1$ ,  $b_2$  and  $b_3$  to D'; otherwise, add  $v_1$ ,  $v_2$  and  $b_1$  to D'. For any  $v \in V \setminus D$ , let  $u \in D$  be a neighbor of v, say  $e_1 = uv$  without loss of generality. Then, if  $v \in V_3$ , add  $a_1$ ,  $c_3$ ,  $w_2$ ,  $u_3$  and  $b_2$  to D'; otherwise, add  $a_1$  and  $u_2$  to D'. Clearly, D' is dominating and  $|D'| = \gamma(G_{\Phi}) + 5|V_3| + 2|V_2| \geq \gamma(G'_{\Phi})$ .

 $\triangleright$  Observation 4. For any dominating set D' of  $G'_{\Phi}$ , the following holds.

- (i) For any  $v \in V_2$ ,  $|D' \cap V(G_v)| \ge 2$ . Moreover, if equality holds then  $D' \cap \{v_1, v_2\} = \emptyset$ and there exists  $j \in \{1, 2\}$  such that  $u_j \notin D'$ .
- (ii) For any  $v \in V_3$ ,  $|D' \cap V(G_v)| \ge 5$ . Moreover, if equality holds then  $D' \cap \{v_1, v_2, v_3\} = \emptyset$ and there exists  $j \in \{1, 2, 3\}$  such that  $D' \cap \{u_j, v_j, w_j\} = \emptyset$ .

(i) Clearly,  $D' \cap \{v_1, u_1, a_1\} \neq \emptyset$  and  $D' \cap \{c_1, u_2, v_2\} \neq \emptyset$  as  $u_1$  and  $u_2$  must be dominated. Thus,  $|D' \cap V(G_v)| \geq 2$ . Now, suppose that  $D' \cap \{v_1, v_2\} \neq \emptyset$  say  $v_1 \in D'$  without loss of generality. Then  $D' \cap \{u_1, a_1, b_1\} \neq \emptyset$  as  $a_1$  must be dominated which implies that  $|D' \cap V(G_v)| \geq 3$  (recall that  $D' \cap \{c_1, u_2, v_2\} \neq \emptyset$ ). Similarly, if both  $u_1$  and  $u_2$  belong to D', then  $|D' \cap V(G_v)| \geq 3$  as  $D' \cap \{a_1, b_1, c_1\} \neq \emptyset$  (b<sub>1</sub> would otherwise not be dominated).

(ii) Clearly, for any  $i \in \{1, 2, 3\}$ ,  $D' \cap \{a_i, b_i, c_i\} \neq \emptyset$  as  $b_i$  must be dominated. Now, if there exists  $j \in \{1, 2, 3\}$  such that  $D' \cap \{u_j, v_j, w_j\} = \emptyset$ , say j = 1 without loss of generality, then  $a_1, c_3 \in D'$  (one of  $u_1$  and  $w_1$  would otherwise not be dominated). But then,  $D' \cap \{b_1, c_1, w_2\} \neq \emptyset$  as  $c_1$  must be dominated, and  $D' \cap \{a_3, b_3, u_3\} \neq \emptyset$  as  $a_3$  must be dominated; and so,  $|D' \cap V(G_v)| \geq 5$  (recall that  $D' \cap \{a_2, b_2, c_2\} \neq \emptyset$ ). Otherwise, for any  $j \in \{1, 2, 3\}$ ,  $D' \cap \{u_j, v_j, w_j\} \neq \emptyset$  which implies that  $|D' \cap V(G_v)| \geq 6$ .

Now suppose that  $D' \cap \{v_1, v_2, v_3\} \neq \emptyset$ , say  $v_1 \in D'$  without loss of generality. If there exists  $j \neq 1$  such that  $D' \cap \{u_j, v_j, w_j\} = \emptyset$ , say j = 2 without loss of generality, then  $c_1, a_2 \in D'$  (one of  $u_2$  and  $w_2$  would otherwise not be dominated). But then,  $D' \cap \{a_1, b_1, u_1\} \neq \emptyset$  as  $a_1$  should be dominated, and  $D' \cap \{b_2, c_2, w_3\} \neq \emptyset$  as  $c_2$  must be dominated. Since  $D' \cap \{a_3, b_3, c_3\} \neq \emptyset$ , it then follows that  $|D' \cap V(G_v)| \geq 6$ . Otherwise,  $D' \cap \{u_j, v_j, w_j\} \neq \emptyset$  for any  $j \in \{1, 2, 3\}$  and so,  $|D' \cap V(G_v)| \geq 6$  (recall that  $D' \cap \{a_i, b_i, c_i\} \neq \emptyset$  for any  $i \in \{1, 2, 3\}$ ).

 $\triangleright$  Observation 5. If D' is a minimum dominating set of  $G'_{\Phi}$ , then  $|D' \cap V(G_v)| \leq 3$  for any  $v \in V_2$  and  $|D' \cap V(G_v)| \leq 6$  for any  $v \in V_3$ .

Indeed, if  $v \in V_2$  then  $\{v_1, b_1, v_2\}$  is a dominating set of  $V(G_v)$ ; and if  $v \in V_3$ , then  $\{v_1, v_2, v_3, b_1, b_2, b_3\}$  is a dominating set of  $V(G_v)$ .

Now, consider a minimum dominating set D' of  $G'_{\Phi}$  and let  $D_3 = \{v \in V_3 : |D' \cap V(G_v)| = 6\}$  and  $D_2 = \{v \in V_2 : |D' \cap V(G_v)| = 3\}$ . We claim that  $D = D_3 \cup D_2$  is a dominating set of  $G_{\Phi}$ . Indeed, consider a vertex  $v \in V \setminus D$ . We distinguish two cases depending on whether  $v \in V_2$  of  $v \in V_3$ .

- **Case 1.**  $v \in V_2$ . Then  $|D' \cap V(G_v)| = 2$  by construction, which by Observation 4(i) implies that there exists  $j \in \{1, 2\}$  such that  $D' \cap \{v_j, u_j\} = \emptyset$ , say j = 1 without loss of generality. Since  $v_1$  must be dominated,  $v_1$  must then have a neighbor  $x_i$  belonging to D', for some vertex x adjacent to v in  $G_{\Phi}$ . But then, it follows from Observation 4 that  $|D' \cap V(G_x)| > 2$  if  $x \in V_2$ , and  $|D' \cap V(G_x)| > 5$  if  $x \in V_3$  (indeed,  $x_i \in D'$ ); thus,  $x \in D$ .
- **Case 2.**  $v \in V_3$ . Then  $|D' \cap V(G_v)| = 5$  by construction, which by Observation 4(ii) implies that there exists  $j \in \{1, 2, 3\}$  such that  $D' \cap \{u_j, v_j, w_j\} = \emptyset$ , say j = 1 without loss of generality. Since  $v_1$  must be dominated,  $v_1$  must then have a neighbor  $x_i$  belonging to D', for some vertex x adjacent to v in  $G_{\Phi}$ . But then, it follows from Observation 4 that  $|D' \cap V(G_x)| > 2$  if  $x \in V_2$ , and  $|D' \cap V(G_x)| > 5$  if  $x \in V_3$  (indeed,  $x_i \in D'$ ); thus,  $x \in D$ .

Hence, D is a dominating set of  $G_{\Phi}$ . Moreover, it follows from Observations 4 and 5 that  $|D'| = 6|D_3| + 5|V_3 \setminus D_3| + 3|D_2| + 2|V_2 \setminus D_2| = |D| + 5|V_3| + 2|V_2|$ . Thus,  $\gamma(G'_{\Phi}) = |D'| \ge \gamma(G_{\Phi}) + 5|V_3| + 2|V_2|$  and so,  $\gamma(G'_{\Phi}) = \gamma(G_{\Phi}) + 5|V_3| + 2|V_2|$ . Finally note that this implies that the constructed dominated set D is in fact minimum.

We next show that  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD if and only if  $G'_{\Phi}$  is a YES-instance for ALL INDEPENDENT MD. Since  $\Phi$  is satisfiable if and only if  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD, as shown in the proof of Lemma 6, this would conclude the proof.

Assume first that  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD and suppose to the contrary that  $G'_{\Phi}$  is a NO-instance for ALL INDEPENDENT MD that is,  $G'_{\Phi}$  has a minimum dominating set D' which is not independent. Denote by D the minimum dominating set of  $G_{\Phi}$  constructed from D' according to the proof of Claim 3. Let us show that D is not efficient. Consider two adjacent vertices  $a, b \in D'$ . If a and b belong to gadgets  $G_x$  and  $G_v$  respectively, for two adjacent vertices x and v in  $G_{\Phi}$ , that is, a is of the form  $x_i$  and b is of the form  $v_j$ , then by Observation 4  $x, v \in D$  and so, D is not efficient. Thus, it must be that a and b both belong the same gadget  $G_v$ , for some  $v \in V_2 \cup V_3$ . We distinguish cases depending on whether  $v \in V_2$  or  $v \in V_3$ .

**Case 1.**  $v \in V_2$ . Suppose that  $|D' \cap V(G_v)| = 2$ . Then by Observation 4(i),  $D' \cap \{v_1, v_2\} = \emptyset$ and there exists  $j \in \{1, 2\}$  such that  $u_j \notin D'$ , say  $u_1 \notin D'$  without loss of generality. Then, necessarily  $a_1 \in D'$  ( $u_1$  would otherwise not be dominated) and so,  $b_1 \in D'$  as  $D' \cap V(G_v)$  contains an edge and  $|D' \cap V(G_v)| = 2$  by assumption; but then,  $u_2$  is not dominated. Thus,  $|D' \cap V(G_v)| \geq 3$  and we conclude by Observation 5 that in fact, equality holds. Note that consequently,  $v \in D$ . We claim that then,  $|D' \cap \{v_1, v_2\}| \leq 1$ . Indeed, if both  $v_1$  and  $v_2$  belong to D', then  $b_1 \in D'$  (since  $|D' \cap V(G_v)| = 3$ , D' would otherwise not be dominating) which contradicts that fact that  $D' \cap V(G_v)$  contains an edge. Thus,  $|D' \cap \{v_1, v_2\}| \leq 1$  and we may assume without loss of generality that  $v_2 \notin D'$ . Let  $x_i \neq u_2$  be the other neighbor of  $v_2$  in  $G'_{\Phi}$ , where x is a neighbor of v in  $G_{\Phi}$ .

Suppose first that  $x \in V_2$ . Then,  $|D' \cap V(G_x)| = 2$  for otherwise x would belong to D and so, D would contain the edge vx. It then follows from Observation 4(i) that there exists  $j \in \{1, 2\}$  such that  $D' \cap \{x_j, y_j\} = \emptyset$ , where  $y_j$  is the neighbor of  $x_j$  in  $V(G_x)$ .

#### 21:10 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

We claim that  $j \neq i$ ; indeed, if j = i, since  $v_2, x_i, y_i \notin D'$ ,  $x_i$  would not be dominated. But then,  $x_j$  must have a neighbor  $t_k \neq y_j$ , for some vertex t adjacent to x in  $G_{\Phi}$ , which belongs to D'; it then follows from Observation 4 and the construction of D that  $t \in D$ and so, x has two neighbors in D, namely v and t, a contradiction.

Second, suppose that  $x \in V_3$ . Then,  $|D' \cap V(G_x)| = 5$  for otherwise x would belong to Dand so, D would contain the edge vx. It then follows from Observation 4(ii) that there exists  $j \in \{1, 2, 3\}$  such that  $D' \cap \{x_j, y_j, z_j\} = \emptyset$ , where  $y_j$  and  $z_j$  are the two neighbors of  $x_j$  in  $V(G_x)$ . We claim that  $j \neq i$ ; indeed, if j = i, since  $v_2, x_i, y_i, z_i \notin D'$ ,  $x_i$  would not be dominated. But then,  $x_j$  must have a neighbor  $t_k \neq y_j, z_j$ , for some vertex t adjacent to x in  $G_{\Phi}$ , which belongs to D'; it then follows from Observation 4 and the construction of D that  $t \in D$  and so, x has two neighbors in D, namely v and t, a contradiction.

**Case 2.**  $v \in V_3$ . Suppose that  $|D' \cap V(G_v)| = 5$ . Then, by Observation 4(ii),  $D' \cap \{v_1, v_2, v_3\} = \emptyset$  and there exists  $j \in \{1, 2, 3\}$  such that  $D' \cap \{u_j, v_j, w_j\} = \emptyset$ , say j = 1 without loss of generality. Then,  $a_1, c_3 \in D'$  (one of  $u_1$  and  $w_1$  would otherwise not be dominated),  $D' \cap \{c_1, w_2, u_2\} \neq \emptyset$  ( $w_2$  would otherwise not be dominated),  $D' \cap \{a_3, u_3, w_3\} \neq \emptyset$  ( $u_3$  would otherwise not be dominated) and  $D' \cap \{a_2, b_2, c_2\} \neq \emptyset$  ( $b_2$  would otherwise not be dominated); in particular,  $b_1, b_3 \notin D'$  as  $|D' \cap V(G_v)| = 5$  by assumption. Since  $D' \cap V(G_v)$  contains an edge, it follows that either  $u_2, a_2 \in D'$  or  $c_2, w_3 \in D'$ ; but then, either  $c_1$  or  $a_3$  is not dominated, a contradiction. Thus,  $|D' \cap V(G_v)| \geq 6$  and we conclude by Observation 5 that in fact, equality holds. Note that consequently,  $v \in D$ . It follows that  $\{v_1, v_2, v_3\} \notin D'$  for otherwise  $D' \cap V(G_v) = \{v_1, v_2, v_3, b_1, b_2, b_3\}$  and so,  $D' \cap V(G_v)$  contains no edge. Thus, we may assume without loss of generality that  $v_1 \notin D'$ . Denoting by  $x_i \neq u_1, w_1$  the third neighbor of  $v_1$ , where x is a neighbor of v in  $G_{\Phi}$ , we then proceed as in the previous case to conclude that x has two neighbors in D.

Thus, D is not efficient, which contradicts the fact that  $G_{\Phi}$  is a YES-instance for ALL EFFICIENT MD. Hence, every minimum dominating set of  $G'_{\Phi}$  is independent i.e.,  $G'_{\Phi}$  is a YES-instance for ALL INDEPENDENT MD.

Conversely, assume that  $G'_{\Phi}$  is a YES-instance for ALL INDEPENDENT MD and suppose to the contrary that  $G_{\Phi}$  is a NO-instance for ALL EFFICIENT MD that is,  $G_{\Phi}$  has a minimum dominating set D which is not efficient. Let us show that D either contains an edge or can be transformed into a minimum dominating set of  $G_{\Phi}$  containing an edge. Since any minimum dominating of  $G'_{\Phi}$  constructed according to the proof of Claim 3 from a minimum dominating set of  $G_{\Phi}$  containing an edge, also contains an edge, this would lead to a contradiction and thus conclude the proof.

Suppose that D contains no edge. Since D is not efficient, there must then exist a vertex  $v \in V \setminus D$  such that v has two neighbors in D. We distinguish cases depending on which type of vertex v is.

**Case 1.** v is a variable vertex. Suppose that  $v = x_1$  in some clause gadget  $G_c$ , where  $c \in C$  contains variables  $x_1, x_2$  and  $x_3$ , and assume without loss of generality that  $x_1$  is adjacent to  $F_{x_1}^1$ . By assumption,  $F_{x_1}^1, l_{\{x_1\}} \in D$  which implies that  $D \cap \{l_{\{x_2\}}, l_{\{x_3\}}, T_{x_1}^1, u_{x_1}^2\} = \emptyset$  (D would otherwise contain an edge). We may then assume that  $F_{x_2}^i$  and  $F_{x_3}^j$ , where  $F_{x_2}^i x_2, F_{x_3}^j x_3 \in E(G_{\Phi})$ , belong to D; indeed, since  $x_2$  (resp.  $x_3$ ) must be dominated,  $D \cap \{F_{x_2}^i, x_2\} \neq \emptyset$  (resp.  $D \cap \{F_{x_3}^j, x_3\} \neq \emptyset$ ) and since  $l_{\{x_1\}} \in D$ ,  $(D \setminus \{x_2\}) \cup \{F_{x_2}^i\}$  (resp.  $(D \setminus \{x_3\}) \cup \{F_{x_3}^j\}$ ) remains dominating. We may then assume that  $T_{x_2}^i, T_{x_3}^j \notin D$  for otherwise D would contain an edge. It follows that  $c \in D$  (c would otherwise not be dominated); but then, it suffices to consider  $(D \setminus \{c\}) \cup \{T_{x_1}^1\}$  to obtain a minimum dominating set of  $G_{\Phi}$  containing an edge.

- **Case 2.**  $v = u_x^i$  for some variable  $x \in X$  and  $i \in \{1, 2, 3\}$ . Assume without loss of generality that i = 1. Then  $T_x^1, F_x^3 \in D$  by assumption, which implies that  $F_x^1, T_x^3 \notin D$  (D would otherwise contain an edge). But then,  $|D \cap \{u_x^2, F_x^2, T_x^2, u_x^3\}| \ge 2$  as  $u_x^2$  and  $u_x^3$  must be dominated; and so,  $(D \setminus \{u_x^3, F_x^2, T_x^2, u_x^2\}) \cup \{F_x^2, T_x^2\}$  is a dominating set of  $G_{\Phi}$  of size at most that of D which contains an edge.
- **Case 3.** v is a clause vertex. Suppose that v = c for some clause  $c \in C$  containing variables  $x_1, x_2$  and  $x_3$ , and assume without loss of generality that c is adjacent to  $T_{x_i}^1$  for any  $i \in \{1, 2, 3\}$ . By assumption c has two neighbors in D, say  $T_{x_1}^1$  and  $T_{x_2}^1$  without loss of generality. Since D contains no edge, it follows that  $F_{x_1}^1, F_{x_2}^1 \notin D$ ; but then,  $|D \cap \{x_1, x_2, l_{\{x_1\}}, l_{\{x_2\}}\}| \ge 2$  (one of  $x_1$  and  $x_2$  would otherwise not be dominated) and so,  $(D \setminus \{x_1, x_2, l_{\{x_1\}}, l_{\{x_2\}}\}) \cup \{l_{\{x_1\}}, l_{\{x_2\}}\}$  is a dominating set of  $G_{\Phi}$  of size at most that of D which contains an edge.
- **Case 4.**  $v \in V(K_c)$  for some clause  $c \in C$ . Denote by  $x_1, x_2$  and  $x_3$  the variables contained in c and assume without loss of generality that  $v = l_{\{x_1\}}$ . Since  $l_{\{x_1\}}$  has two neighbors in D and D contains no edge, necessarily  $x_1 \in D$ . Now assume without loss of generality that  $x_1$  is adjacent to  $F_{x_1}^1$  (note that by construction, c is then adjacent to  $T_{x_1}^1$ ). Then,  $F_{x_1}^1 \notin D$ (*D* would otherwise contain an edge) and  $T_{x_1}^1, u_{x_1}^2 \notin D$  for otherwise  $(D \setminus \{x_1\}) \cup \{F_{x_1}^1\}$ would be a minimum dominating set of  $G_{\Phi}$  containing an edge (recall that by assumption,  $D \cap V(K_c) \neq \emptyset$ ). It follows that  $T_{x_1}^2 \in D(u_{x_1}^2 \text{ would otherwise not be dominated})$  and so,  $F_{x_1}^2 \notin D$  as D contains no edge. It follows that  $|D \cap \{u_{x_1}^1, F_{x_1}^3, T_{x_1}^3, u_{x_1}^3\}| \ge 2$  as  $u_{x_1}^1$  and  $u_{x_1}^3$  must be dominated. Now if c belongs to D, then  $(D \setminus \{u_{x_1}^1, F_{x_1}^3, T_{x_1}^3, u_{x_1}^3\}) \cup \{F_{x_1}^3, T_{x_1}^3\}$ is a dominating set of  $G_{\Phi}$  of size at most that of D which contains an edge. Thus, we may assume that  $c \notin D$  which implies that  $u_{x_1}^1 \in D$   $(T_{x_1}^1$  would otherwise not be dominated) and that there exists  $j \in \{2,3\}$  such that  $T^i_{x_i} \in D$  with  $cT^i_{x_i} \in E(G_{\Phi})$  (c would otherwise not be dominated). Now, since  $u_{x_1}^3$  must be dominated and  $F_{x_1}^2 \notin D$ , it follows that  $D \cap \{u_{x_1}^3, T_{x_1}^3\} \neq \emptyset$  and we may assume that in fact  $T_{x_1}^3 \in D$  (recall that  $T_{x_1}^2 \in D$ and so,  $F_{x_1}^2$  is dominated). But then, by considering the minimum dominating set  $(D \setminus \{u_{x_1}^1\}) \cup \{T_{x_1}^1\}$ , we fall back into Case 3 as c is then dominated by both  $T_{x_1}^1$  and  $T_{x_2}^i$ .
- **Case 5.** v is a true vertex. Assume without loss of generality that  $v = T_x^1$  for some variable  $x \in X$ . Suppose first that  $u_x^1 \in D$ . Then since D contains no edge,  $F_x^3 \notin D$ ; furthermore, denoting by  $t \neq u_x^1, T_x^3$  the variable vertex adjacent to  $F_x^3$ , we also have  $t \notin D$  for otherwise  $(D \setminus \{u_x^1\}) \cup \{F_x^3\}$  would be a minimum dominating set containing an edge (recall that  $T_x^1$ has two neighbors in D by assumption). But then, since t must be dominated, it follows that the second neighbor of t must belong to D; and so, by considering the minimum dominating set  $(D \setminus \{u_x^1\}) \cup \{F_x^3\}$ , we fall back into Case 1 as the variable vertex t is then dominated by two vertices. Thus, we may assume that  $u_x^1 \notin D$  which implies that  $F_x^1, c \in D$ , where c is the clause vertex adjacent to  $T_x^1$ . Now, denote by  $x_1 = x, x_2$  and  $x_3$  the variables contained in c (note that by construction,  $x_1$  is then adjacent to  $F_{x_1}^1$ ). Then,  $x_1 \notin D$  (D would otherwise contain the edge  $F_{x_1}^1 x_1$ ) and we may assume that  $l_{\{x_1\}} \notin D$  (we otherwise fall back into Case 1 as  $x_1$  would then have two neighbors in D). It follows that  $D \cap V(K_c) \neq \emptyset$  ( $l_{\{x_1\}}$  would otherwise not be dominated) and since D contains no edge, in fact  $|D \cap V(K_c)| = 1$ , say  $l_{\{x_2\}} \in D$  without loss of generality. Then,  $x_2 \notin D$  as D contains no edge and we may assume that  $F_{x_2}^j \notin D$ , where  $F_{x_2}^j$  is the false vertex adjacent to  $x_2$ , for otherwise we fall back into Case 1. In the following, we assume without loss of generality that j = 1, that is,  $x_2$  is adjacent to  $F_{x_2}^1$  (note that by construction, c is then adjacent to  $T_{x_2}^1$ ). Now, since the clause vertex c belongs to D by assumption, it follows that  $T_{x_2}^1 \notin D(D)$  would otherwise contain the edge  $cT_{x_2}^1$ ; and as shown previously, we may assume that  $u_{x_2}^1 \notin D$  (indeed,  $T_{x_2}^1$  would otherwise have two neighbors in D, namely c and  $u_{x_2}^1$ , but this case has already been dealt with). Then,

#### 21:12 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

since  $u_{x_2}^1$  and  $F_{x_2}^1$  must be dominated, necessarily  $F_{x_2}^3$  and  $u_{x_2}^2$  belong to D (recall that  $D \cap \{x_2, F_{x_2}^1, T_{x_2}^1, u_{x_2}^1\} = \emptyset$ ) which implies that  $T_{x_2}^3, T_{x_2}^2 \notin D$  (D would otherwise contain an edge). Now since  $u_{x_2}^3$  must be dominated,  $D \cap \{u_{x_2}^3, F_{x_2}^2\} \neq \emptyset$  and we may assume without loss of generality that in fact,  $F_{x_2}^2 \in D$ . But then, by considering the minimum dominating set  $(D \setminus \{u_{x_2}^2\}) \cup \{F_{x_2}^1\}$ , we fall back into Case 1 as  $x_2$  is then dominated by two vertices.

**Case 6.** v is a false vertex. Assume without loss of generality that  $v = F_{x_1}^1$  for some variable  $x_1 \in X$  and let  $c \in C$  be the clause whose corresponding clause vertex is adjacent to  $T_{x_1}^1$ . Denote by  $x_2$  and  $x_3$  the two other variables contained in c. Suppose first that  $x_1 \in D$ . Then, we may assume that  $D \cap V(K_c) = \emptyset$  for otherwise either D contains an edge (if  $l_{\{x_1\}} \in D$ ) or we fall back into Case 4  $(l_{\{x_1\}}$  would indeed have two neighbors in D). Since every vertex of  $K_c$  must be dominated, it then follows that  $x_2, x_3 \in D$ ; but then, by considering the minimum dominating set  $(D \setminus \{x_1\}) \cup \{l_{\{x_1\}}\}$  (recall that  $F_{x_1}^1$  has two neighbors in D by assumption), we fall back into Case 4 as  $l_{\{x_2\}}$  is then dominated by two vertices. Thus, we may assume that  $x_1 \notin D$  which implies that  $T_{x_1}^1, u_{x_1}^2 \in D$  and  $T_{x_1}^2, u_{x_1}^1 \notin D$  as D contains no edge. Now, denote by c' the clause vertex adjacent to  $T_{x_1}^2$ . Then, we may assume that  $c' \notin D$  for otherwise not be dominated) and by considering the minimum dominating set  $(D \setminus \{u_{x_1}\}) \cup \{T_{x_1}^2\}$ , we then fall back into Case 5  $(T_{x_1}^2 \text{ would} \text{ indeed have two neighbors in <math>D$ ); but then, there must exist a true vertex, different from  $T_{x_1}^2$ , adjacent to c' and belonging to D (c' would otherwise not be dominated) and by considering the minimum dominating set  $(D \setminus \{u_{x_1}^2\}) \cup \{T_{x_1}^2\}$ , we then fall back into Case 3 (c' would indeed be dominated by two vertices).

Consequently,  $G_{\Phi}$  has a minimum dominating set which is not independent which implies that  $G'_{\Phi}$  also has a minimum dominating set which is not independent, a contradiction which concludes the proof.

Theorem 2 now easily follows from Fact 1 and Theorem 7.

# 5 The proof of Theorem 3

In this section, we show that 1-EDGE CONTRACTION( $\gamma$ ) is coNP-hard when restricted to  $2P_3$ -free graphs. To this end, we prove the following.

**Theorem 8.** ALL INDEPENDENT MD is NP-hard when restricted to  $2P_3$ -free graphs.

**Proof.** We reduce from 3-SAT: given an instance  $\Phi$  of this problem, with variable set X and clause set C, we construct an equivalent instance of ALL INDEPENDENT MD as follows. For any variable  $x \in X$ , we introduce a copy of  $C_3$ , which we denote by  $G_x$ , with one distinguished *positive literal vertex* x and one distinguished *negative literal vertex*  $\bar{x}$ ; in the following, we denote by  $u_x$  the third vertex in  $G_x$ . For any clause  $c \in C$ , we introduce a *clause vertex* c; we then add an edge between c and the (positive or negative) literal vertices whose corresponding literal occurs in c. Finally, we add an edge between any two clause vertices so that the set of clause vertices induces a clique denoted by K in the following. We denote by  $G_{\Phi}$  the resulting graph.

 $\triangleright$  Observation 1. For any dominating set D of  $G_{\Phi}$  and any variable  $x \in X$ ,  $|D \cap V(G_x)| \ge 1$ . In particular,  $\gamma(G_{\Phi}) \ge |X|$ .

 $\triangleright$  Claim 1.  $\Phi$  is satisfiable if and only if  $\gamma(G_{\Phi}) = |X|$ .

Proof. Assume that  $\Phi$  is satisfiable and consider a truth assignment satisfying  $\Phi$ . We construct a dominating set D of  $G_{\Phi}$  as follows. For any variable  $x \in X$ , if x is true, add the positive literal vertex x to D; otherwise, add the negative variable vertex  $\bar{x}$  to D. Clearly, D is dominating and we conclude by Observation 1 that  $\gamma(G_{\Phi}) = |X|$ .

Conversely, assume that  $\gamma(G_{\Phi}) = |X|$  and consider a minimum dominating set D of  $G_{\Phi}$ . Then by Observation 1,  $|D \cap V(G_x)| = 1$  for any  $x \in X$ . It follows that  $D \cap K = \emptyset$  and so, every clause vertex must be adjacent to some (positive or negative) literal vertex belonging to D. We thus construct a truth assignment satisfying  $\Phi$  as follows: for any variable  $x \in X$ , if the positive literal vertex x belongs to D, set x to true; otherwise, set x to false.  $\triangleleft$ 

 $\triangleright$  Claim 2.  $\gamma(G_{\Phi}) = |X|$  if and only if every minimum dominating set of  $G_{\Phi}$  is independent.

Proof. Assume that  $\gamma(G_{\Phi}) = |X|$  and consider a minimum dominating set D of  $G_{\Phi}$ . Then by Observation 1,  $|D \cap V(G_x)| = 1$  for any  $x \in X$ . It follows that  $D \cap K = \emptyset$  and since  $N[V(G_x)] \cap N[V(G_{x'})] \subset K$  for any two  $x, x' \in X$ , D is independent.

Conversely, consider a minimum dominating set D of  $G_{\Phi}$ . Since D is independent,  $|D \cap V(G_x)| \leq 1$  for any  $x \in X$  and we conclude by Observation 1 that in fact, equality holds. Now suppose that there exists  $c \in C$ , containing variables  $x_1, x_2$  and  $x_3$ , such that the corresponding clause vertex c belongs to D (note that since D is independent,  $|D \cap K| \leq 1$ ). Assume without loss of generality that  $x_1$  occurs positively in c, that is, c is adjacent to the positive literal vertex  $x_1$ . Then,  $x_1 \notin D$  since D is independent and so, either  $u_{x_1} \in D$ or  $\bar{x_1} \in D$ . In the first case, we immediately obtain that  $(D \setminus \{u_{x_1}\}) \cup \{x_1\}$  is a minimum dominating set of  $G_{\Phi}$  containing an edge, a contradiction. In the second case, since  $c \in D$ , any vertex dominated by  $\bar{x_1}$  is also dominated by c; thus,  $(D \setminus \{\bar{x_1}\}) \cup \{x_1\}$  is a minimum dominating set of  $G_{\Phi}$  containing an edge, a contradiction. Consequently,  $D \cap K = \emptyset$  and so,  $\gamma(G_{\Phi}) = |D| = |X|$ .

Now by combining Claims 1 and 2, we obtain that  $\Phi$  is satisfiable if and only if every minimum dominating set of  $G_{\Phi}$  is independent, that is,  $G_{\Phi}$  is a YES-instance for ALL INDEPENDENT MD. There remains to show that  $G_{\Phi}$  is  $2P_3$ -free. To see this, it suffices to observe that any induced  $P_3$  of  $G_{\Phi}$  contains at least one vertex in the clique K. This concludes the proof.

Theorem 3 now easily follows from Fact 1 and Theorem 8.

## 6 Conclusion

In this work, we establish a complexity dichotomy for 1-EDGE CONTRACTION( $\gamma$ ) on *H*-free graphs when *H* is a connected graph. If we do not require *H* to be connected, there only remains to settle the complexity status of 1-EDGE CONTRACTION( $\gamma$ ) restricted to *H*-free graphs when  $H = P_3 + qP_2 + pK_1$ , with  $q \ge 1$  and  $p \ge 0$ .

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## 21:14 Blocking Dominating Sets for *H*-Free Graphs via Edge Contractions

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