

Hardness of Approximation of (Multi-)LCS over Small Alphabet

Amey Bhangale

University of California Riverside, CA, USA
ameyrbh@gmail.com

Diptarka Chakraborty

National University of Singapore, Singapore
diptarka@comp.nus.edu.sg

Rajendra Kumar

IIT Kanpur, India
National University of Singapore, Singapore
rjndr2503@gmail.com

Abstract

The problem of finding longest common subsequence (LCS) is one of the fundamental problems in computer science, which finds application in fields such as computational biology, text processing, information retrieval, data compression etc. It is well known that (decision version of) the problem of finding the length of a LCS of an arbitrary number of input sequences (which we refer to as Multi-LCS problem) is NP-complete. Jiang and Li [SICOMP'95] showed that if Max-Clique is hard to approximate within a factor of s then Multi-LCS is also hard to approximate within a factor of $\Theta(s)$. By the NP-hardness of the problem of approximating Max-Clique by Zuckerman [ToC'07], for any constant $\delta > 0$, the length of a LCS of arbitrary number of input sequences of length n each, cannot be approximated within an $n^{1-\delta}$ -factor in polynomial time unless $P=NP$. However, the reduction of Jiang and Li assumes the alphabet size to be $\Omega(n)$. So far no hardness result is known for the problem of approximating Multi-LCS over sub-linear sized alphabet. On the other hand, it is easy to get $1/|\Sigma|$ -factor approximation for strings of alphabet Σ .

In this paper, we make a significant progress towards proving hardness of approximation over small alphabet by showing a polynomial-time reduction from the well-studied *densest k -subgraph* problem with *perfect completeness* to approximating Multi-LCS over alphabet of size $\text{poly}(n/k)$. As a consequence, from the known hardness result of densest k -subgraph problem (e.g. [Manurangsi, STOC'17]) we get that no polynomial-time algorithm can give an $n^{-\sigma(1)}$ -factor approximation of Multi-LCS over an alphabet of size $n^{\sigma(1)}$, unless the Exponential Time Hypothesis is false.

2012 ACM Subject Classification Theory of computation \rightarrow Problems, reductions and completeness

Keywords and phrases Longest common subsequence, Hardness of approximation, ETH-hardness, Densest k -subgraph problem

Digital Object Identifier 10.4230/LIPIcs.APPROX/RANDOM.2020.38

Category APPROX

Related Version A full version of the paper is available at <https://arxiv.org/abs/2006.13449>.

Funding *Diptarka Chakraborty*: Supported in part by NUS ODPRT Grant, WBS No. R-252-000-A94-133.

Rajendra Kumar: Supported in part by National Research Foundation Singapore under its AI Singapore Programme [Award Number: AISG-RP-2018-005].

Acknowledgements Authors would like to thank anonymous reviewers for providing helpful comments on an earlier version of this paper and especially for pointing out a small technical mistake in the proof of Lemma 16. Authors would also like to thank Pasin Manurangsi for pointing out that for certain regimes no hardness result is known for the densest k -subgraph problem.



© Amey Bhangale, Diptarka Chakraborty, and Rajendra Kumar;
licensed under Creative Commons License CC-BY

Approximation, Randomization, and Combinatorial Optimization. Algorithms and Techniques (APPROX/RANDOM 2020).

Editors: Jarosław Byrka and Raghu Meka; Article No. 38; pp. 38:1–38:16



Leibniz International Proceedings in Informatics

Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

1 Introduction

Finding *longest common subsequence* (LCS) of a given set of strings over some alphabet is one of the fundamental problems of computer science. The computational problem of finding (the length of a) LCS has been intensively studied for the last five decades (see [16] and the references therein). This problem finds many applications in the fields of computational biology, data compression, pattern recognition, text processing and others. LCS is often considered among two strings, and in that case it is considered to be one of the classic string similarity measures (see [5]). The general case, when the number of input strings is unrestricted, is also very interesting and well-studied. To avoid any confusion we refer to this general version of the LCS problem as *Multi-LCS* problem. One of the major applications of Multi-LCS is to find similar regions of a set of DNA sequences. Multi-LCS is also a special case of the multiple sequence alignment and consensus subsequence discovery problem (e.g. [27]). Interested readers may refer to the chapter entitled “Multi String Comparison—the Holy Grail” of the book [13] for a comprehensive study on this topic. Other applications of Multi-LCS include text processing, syntactic pattern recognition [22] etc.

Using a basic dynamic programming algorithm [30] we can find a LCS between two strings of length n in quadratic time. However the general version, i.e., the Multi-LCS problem is known to be NP-hard [23] even for the binary alphabet. This problem remains NP-hard even with certain restrictions on input strings (e.g. [7]). For m input strings a generalization of the basic dynamic programming algorithm finds LCS in time $O(mn^m)$. Recently, Abboud, Backurs and Williams [2] showed that an $O(n^{m-\varepsilon})$ time (for any $\varepsilon > 0$) algorithm for this problem would refute the Strong Exponential Time Hypothesis (SETH) even for alphabet of size $O(m)$.

Due to the computational hardness of exact computation of a LCS, an interesting problem is what is the best approximation factor that we can achieve within a reasonable time bound. A c -approximate solution (for some $0 < c \leq 1$) of a LCS is a common subsequence of length at least $c \cdot |LCS|$, where $|LCS|$ denotes the length of a LCS. For the Multi-LCS problem, Jiang and Li [18] showed that if Max-Clique is hard to approximate within a factor of s then Multi-LCS is also hard to approximate within a factor of $\Theta(s)$. By the NP-hardness of the problem of approximating Max-Clique by Zuckerman [31], for any constant $\delta > 0$, the length of a LCS of arbitrary number of input sequences of length n each, cannot be approximated within an $n^{1-\delta}$ -factor in polynomial time unless $P=NP$. However, the result of Jiang and Li [18] is only true for alphabets of size $\Omega(n)$. For smaller alphabets (even for size sublinear in n) we do not know any such hardness result. Jiang and Li [18] conjectured that Multi-LCS for even binary alphabet is MAX-SNP-hard (see [26] for the definition of MAX-SNP-hardness). To the best of our knowledge no progress has been done so far on the direction of showing any conditional hardness for smaller alphabets. On the other hand, it is very easy to get a $1/|\Sigma|$ -approximation algorithm for the Multi-LCS problem over any alphabet Σ . The algorithm just outputs the best subsequence among the subsequences of the same symbol.

In this paper, we make a significant progress towards showing hardness of approximation of Multi-LCS by refuting the existence of a polynomial time constant factor approximation algorithm under the Exponential Time Hypothesis (ETH).

► **Theorem 1.** *There exists a growing function $f(n) = n^{o(1)}$ such that assuming ETH, there is no polynomial time $\frac{1}{f(n)}$ -factor approximation algorithm for the Multi-LCS problem over $n^{o(1)}$ -sized alphabet.*

This rules out *any* efficient poly-logarithmic factor approximation algorithm for the Multi-LCS problem over any $n^{o(1)}$ -sized alphabet. We show the above theorem by providing a polynomial time reduction from the well-studied *densest k -subgraph* problem with *perfect completeness* and its gap version γ -DkS (for the definition see Section 2).

► **Theorem 2.** *Let $\frac{k}{n} = \frac{\beta(n)}{\gamma(n)}$ for $\beta < \gamma \leq 1$. If there is no polynomial time algorithm that solves $(\gamma^2/4)$ -DkS(k, n), then there is no polynomial time algorithm that solves 2γ -approximate Multi-LCS problem over some alphabet of size $O(\frac{1}{\beta^6})$.*

The above reduction together with the ETH-based hardness result for the densest k -subgraph problem given by Manurangsi [24] implies Theorem 1. We refer to Appendix 1.2 for the previous works related to the LCS problem and the densest k -subgraph problem.

1.1 Techniques

Our reduction starts with the reduction from the Max-Clique problem to Multi-LCS given by [18]. Given a graph G on n vertices the reduction outputs a Multi-LCS instance \mathcal{I} over an alphabet $\{a_1, a_2, \dots, a_n\}$ of size n with $2n$ strings. The reduction has a guarantee that the maximum LCS size of \mathcal{I} is *equal* to the size of the maximum clique in G .

A natural way to reduce the alphabet size is to replace each symbol a_i in a string with a string $S_i \in \Sigma^m$ over a smaller alphabet Σ . Let us denote this new instance by \mathcal{I}' . The hope is that the only way to get a large LCS in \mathcal{I}' is to match the corresponding strings whenever the respective symbols in \mathcal{I} are matched. But this wishful thinking is not true when the alphabet size is much smaller than the original alphabet size as one might get a large common subsequence by matching parts of strings S_i, S_j corresponding to the different symbols a_i, a_j in the original strings.

We get away with this issue by using a special collection of strings $\{S_1, S_2, \dots, S_n\}$ with the guarantee that for every pair $i \neq j$, $\text{LCS}(S_i, S_j)$ is much smaller than m . We can construct such a set deterministically by using the known deterministic construction of the so called *long-distance synchronization strings* [14, 9]. There is also a much simpler randomized construction (see Theorem 11). It is easy to see that if the original strings have a LCS of size t , then the new Multi-LCS instance \mathcal{I}' over alphabet Σ has an LCS of size at least tm .

The interesting direction is to prove the converse i.e., if the LCS of \mathcal{I}' is large then the LCS of \mathcal{I} is also large. We do not know if this is true in general. So we rely on the starting problem of Max-Clique from which the instance \mathcal{I} (and hence \mathcal{I}') was created. We show that if \mathcal{I}' has large LCS, then we can find a large subgraph of G which has a non trivial density (instead of finding a large clique). Thus, the reduction relies on hardness of approximation of the DkS problem with *perfect completeness*. Then we use the result of Manurangsi [24] which shows that given a graph G with a guarantee that there is a clique of size k , there is no polynomial time algorithm which finds a subgraph of G of size k with density at least $\gamma(n)$ for some $\gamma(n) = o(n)$, assuming the ETH.

1.2 Related works

1.2.1 Results on LCS problem

Finding LCS between two strings is an important problem in computer science. Wagner and Fischer [30] gave a quadratic time algorithm, which is in fact prototypical to dynamic programming. The running time was later improved to (slightly) sub-quadratic, more specifically $O(\frac{n^2 \log \log n}{\log^2 n})$ [25, 12]. Abboud, Backurs and Williams [2] showed that a truly

sub-quadratic algorithm ($O(n^{2-\varepsilon})$ for some $\varepsilon > 0$) would imply a $2^{(1-\delta)n}$ time algorithm for CNF-satisfiability, contradicting the Strong Exponential Time Hypothesis (SETH). They in fact showed that for m input strings an algorithm with running time $O(n^{m-\varepsilon})$ would refute SETH. Abboud et al. [3] later further strengthened the barrier result by showing that even shaving an arbitrarily large polylog factor from n^2 would have the plausible, but hard-to-prove, consequence that NEXP does not have non-uniform NC^1 circuits. In case of approximation algorithm for LCS over arbitrarily large alphabets a simple sampling based technique achieves $O(n^{-x})$ -approximation in $O(n^{2-2x})$ time. Very recently, an $O(n^{-0.497956})$ factor approximation (breaking $O(\sqrt{n})$ barrier) linear time algorithm is provided by Hajiaghayi et al. [15]. For binary alphabets another very recent result breaks $1/2$ -approximation factor barrier in subquadratic time [29]. (Note, $1/|\Sigma|$ -approximation over any alphabet Σ is trivial.) The only hardness (or barrier) results for approximating LCS in subquadratic time are presented in [1, 4].

For the general case (which we also refer as Multi-LCS), when the number of input strings is unrestricted, the decision version of the problem is known to be NP-complete [23] even for the binary alphabet. The problem remains NP-complete even with further restriction like bounded *run-length* on input strings [7]. As cited earlier, Jiang and Li [18] (along with the result of Zuckerman [31]) showed that for every constant $\delta > 0$, there is no polynomial time algorithm that achieves $n^{1-\delta}$ -approximation factor, unless $P=NP$. One interesting aspect of the reduction in [18] is that in any input string any particular symbol appears at most twice. It is worth mentioning that if we restrict ourselves to the input strings where a symbol appears exactly once, then we can find a LCS in polynomial time. The algorithm is just an extension of the dynamic programming algorithm that finds a longest increasing subsequence of an input sequence. It is also not difficult to show that the decision version of the Multi-LCS problem with the above restrictions on the input strings can be solved even in non-deterministic logarithmic space. To see this consider a LCS as a certificate. Then the verification algorithm makes single pass on the certificate, and check whether every two consecutive symbols in the certificate appears in the same order in all the input strings. Clearly, the above verification algorithm uses only logarithmic space. Since we know that each symbol appears exactly once in a string, the above verification algorithm correctly decides whether the given certificate is a valid LCS or not.

1.2.2 Hardness results related to densest k -subgraph problem

Our starting point of the reduction is the hardness of approximating the densest k -subgraph problem. In the densest k -subgraph problem (DkS), we are given a graph $G(V, E)$ and an integer $1 \leq k \leq |V|$. The task is to find a subgraph of G of size k with maximum density. Various approximation algorithms are known for DkS [21, 10], and the current best known is by [6] which gives $n^{1/4+\varepsilon}$ -approximation algorithm for any constant $\varepsilon > 0$.

A special case of DkS is when it is guaranteed that G has a clique of size k and the task is to find a subgraph of size¹ k with density at least γ for $0 < \gamma \leq 1$. In this *perfect completeness* case, Feige and Seltser [11] gave an algorithm which finds a k sized subgraph with density $(1 - \varepsilon)$ in time $n^{O((1+\log \frac{n}{k})/\varepsilon)}$.

There are several inapproximability results known for DkS based on worst-case assumptions. Khot [19] ruled out a PTAS assuming $NP \not\subseteq BPTIME(2^{n^\varepsilon})$ for some constant $\varepsilon > 0$. Raghavendra and Steurer [28] showed that DkS is hard to approximate to within any constant ratio assuming the Unique Games Conjecture where the constraint graph satisfies a small set expansion property.

¹ Note, here *size* of a subgraph refers to the number of vertices present in that subgraph.

Assuming the Exponential Time Hypothesis, Braverman et al. [8], showed that for some constant $\varepsilon > 0$, there is no polynomial time algorithm which when given a graph with a k -clique finds a k sized subgraph with density $(1 - \varepsilon)$. This result is significantly improved by Manurangsi [24] in which he showed that assuming ETH, no polynomial time algorithm can distinguish between the cases when G has a clique of size k and when every k sized subgraph has density at most $n^{-1/(\log \log n)^c}$ for some constant $c > 0$.

2 Preliminaries

Notations

We use $[n]$ to denote the set $\{1, 2, \dots, n\}$. For any string S we use $|S|$ to denote its length. By abuse of notation, for any set V we also use the notation $|V|$ to denote the size of V . For any string S of length n and two indices $i, j \in [n]$, $S[i, j]$ denotes the substring of S that starts at index i and ends at index j . We use $\alpha(n), \beta(n), \gamma(n)$ to denote that α, β, γ are allowed to depend on n .

2.1 Longest Common Subsequence

Given m sequences S_1, \dots, S_m of length n over an alphabet Σ , the longest common subsequence is the longest sequence S such that $\forall i \in [m], S$ is a subsequence of S_i .

We will refer to the computational problem of finding or deciding the length of LCS as a Multi-LCS problem. In this paper, we consider the decision variant of this problem: Given an integer $\ell \leq n$, we have to decide whether LCS has a length greater than equal to ℓ , or less than ℓ . For the approximation, we consider the following gap-version of this problem.

► **Problem 3.** For any $0 < \kappa < 1$, the κ -approximate Multi-LCS problem is defined as: Given sequences S_1, \dots, S_m of length n over an alphabet Σ and an integer ℓ , the goal is to distinguish between the following two cases

- YES instance: A LCS of S_1, \dots, S_m has length greater than or equal to ℓ .
- NO instance: A LCS of S_1, \dots, S_m has length less than $\kappa \cdot \ell$.

We use the following definition of alignment.

► **Definition 4 (Alignment).** Given two strings S_1 and S_2 of lengths n and m respectively, alignment σ is a function from $[n]$ to $[m] \cup \{*\}$ which satisfies $\forall i \in [n]$, if $\sigma(i) \neq *$ then $S_1[i] = S_2[\sigma(i)]$ and for any i and j if $\sigma(i) \neq *, \sigma(j) \neq *$ then for $i > j$, $\sigma(i) > \sigma(j)$.

For an alignment σ between two strings S_1 and S_2 we say σ aligns some subsequence $T_1 = S_1[i_1]S_1[i_2] \cdots S_1[i_{\ell_1}]$ of S_1 with some subsequence $T_2 = S_2[j_1]S_2[j_2] \cdots S_2[j_{\ell_2}]$ of S_2 if and only if for all $p \in [\ell_1]$, $\sigma(i_p) \in \{j_1, j_2, \dots, j_{\ell_2}\}$.

2.2 Exponential Time Hypothesis

The Exponential Time Hypothesis (ETH) was introduced by Impagliazzo and Paturi [17]. It refutes the possibility of getting much faster algorithm to decide satisfiability of a 3-CNF formula (also referred as 3-SAT problem) than that by the trivial brute force method.

► **Hypothesis 5 (ETH).** There is no $2^{o(n)}$ time algorithm for the 3-SAT problem over n variables.

2.3 Densest k -Subgraph problem and related hardness results

For any graph, the density is defined as the ratio of the number of edges present in it and the number of edges in any complete graph of the same size. So given a graph $G = (V, E)$, the density of G is $\frac{2|E|}{|V|^2 - |V|}$.

The Densest k -Subgraph (DkS) problem is the following: Given a graph G on n vertices and a positive integer $k \leq n$, the goal is to find a subgraph of G with k vertices which has maximum density.

In this paper we will consider the following gap-version of densest k -subgraph, which in the literature is sometimes referred as densest k -subgraph with *perfect completeness*.

► **Problem 6.** For any $\gamma \leq 1$, γ -DkS(k, n) is defined as: Given a graph G on n vertices and a positive integer $k \leq n$, the goal is to distinguish between the following two cases

- YES instance: There exists a clique of size k .
- NO instance: All subgraphs of size k have density at most γ .

We say that an algorithm solves γ -DkS(k, n) if given any input it can distinguish whether the input is a YES instance or a NO instance. If the algorithm is randomized then it should succeed with probability at least $2/3$.

In this paper we use the following hardness result by Manurangsi [24].

► **Theorem 7** ([24]). *There exists a constant $c_0 > 0$ such that assuming the Exponential Time Hypothesis, for all constants $\varepsilon > 0$, there is no polynomial time algorithm for γ -DkS(k, n) where $\gamma = n^{-O(\frac{1}{(\log \log n)^{c_0}})}$ and $\frac{k}{n} \in [n^{-\varepsilon}, n^{-\Omega(\frac{1}{\log \log n})}]$.*

3 Reduction

In this section we provide a reduction from the densest k -subgraph problem to the problem of approximating Multi-LCS and prove Theorem 2. Note that, Theorem 2 and Theorem 7 together immediately imply Theorem 1 by plugging $\gamma(n) = n^{-O(\frac{1}{(\log \log n)^{c_0}})}$, $\beta(n) = \gamma(n)^2$.

► **Remark 8.** If we want to get the hardness of Multi-LCS for a constant sized alphabet using Theorem 2 then k must be $\Omega(n)$. However, when $k = \Omega(n)$ Theorem 7 does not imply any hardness result. In fact, when $k = \Omega(n)$, there is a polynomial time algorithm for $(1 - \varepsilon)$ -DkS(k, n) for any constant $\varepsilon > 0$ [11]. Therefore our reduction will not give any hardness for constant sized alphabet. However, if one can improve Theorem 7 for $k/n = 1/\text{poly}(\log n)$ and $\gamma(n) = 1/\text{poly}(\log n)$, then our main reduction in Theorem 2 will imply Multi-LCS hardness for $\text{poly}(\log n)$ sized alphabet!

Our reduction involves two steps: First, we use the reduction from the Max-Clique problem to the Multi-LCS problem over large alphabet given in [18]. Next we perform alphabet reduction by replacing each character by a “short” string over a small-sized alphabet.

Revisiting the reduction from Max-Clique to Multi-LCS

We first recall the reduction from [18]. We are given a graph $G = (V, E)$ on n vertices and an integer $k \leq n$. Fix an arbitrary labeling on the vertices of V as v_1, \dots, v_n . For every vertex v_i , partition its neighbors into two subsets: $\mathcal{N}_{<}(v_i)$ contains all the neighboring vertices v_j with $j < i$; and $\mathcal{N}_{>}(v_i)$ contains all the neighboring vertices v_j with $j > i$.

Consider an alphabet Σ containing a separate symbol for each vertex. We use v_i to denote both the vertex and its corresponding symbol in Σ . Now for each vertex $v_i \in V$, construct the following two strings X_i and X'_i

$$X_i = v_1 \dots v_{i-1} v_{i+1} \dots v_n v_i v_{i_r} \dots v_{i_s} \text{ and } X'_i = v_{i_p} \dots v_{i_q} v_i v_1 \dots v_{i-1} v_{i+1} \dots v_n$$

where $\mathcal{N}_>(v_i) = \{v_{i_r}, \dots, v_{i_s}\}$ with $i_r < \dots < i_s$, and $\mathcal{N}_<(v_i) = \{v_{i_p}, \dots, v_{i_q}\}$ with $i_p < \dots < i_q$. The following proposition is immediate from the above construction.

► **Proposition 9** ([18]). *If there is a clique of size c in G , then there is a common subsequence of $X_1, \dots, X_n, X'_1, \dots, X'_n$ of length c .*

The converse has also been shown in [18].

► **Proposition 10** ([18]). *For any common subsequence S of $X_1, \dots, X_n, X'_1, \dots, X'_n$, all the v_i 's present in S form a clique in G .*

The proofs of these propositions follow from the facts that any common subsequence is of the form $v_{i_1}, v_{i_2}, \dots, v_{i_t}$ where $i_1 < i_2 < \dots < i_t$ and that there must be an edge between v_{i_j} and $v_{i_{j'}}$ for $1 \leq j < j' \leq t$.

Reducing the size of the alphabet

For some parameter $\alpha(n) < 1$, let $\{S_1, \dots, S_n\}$ be a set of strings of length m over some alphabet Σ' such that: for all $i \neq j$ $|LCS(S_i, S_j)| \leq \alpha m$. We will fix the value of m and $|\Sigma'|$ later. The following theorem (Theorem 1 of [20]) shows that if we pick strings from Σ'^m uniformly at random then for $|\Sigma'| = O(1/\alpha^2)$, with high probability the sampled strings will satisfy the above desired property.

► **Theorem 11** ([20]). *For every $\varepsilon > 0$ there exists $c > 0$ such that for large enough sized alphabet Σ' for any m if two strings S_1, S_2 are picked uniformly at random from Σ'^m then*

$$\Pr \left[\left| |LCS(S_1, S_2)| - \frac{2m}{\sqrt{|\Sigma'|}} \right| \geq \varepsilon \frac{2m}{\sqrt{|\Sigma'|}} \right] \leq e^{-cm/\sqrt{|\Sigma'|}}.$$

Now by suitably choosing ε, m the following lemma directly follows from a union bound over every pair of n chosen strings.

► **Lemma 12.** *For any $\alpha \in (0, 1)$, and $n \in \mathbb{N}$ there exists an alphabet Σ' of size $O(\alpha^{-2})$ such that for any $m \geq c\alpha^{-1} \log n$ (for some suitably chosen constant $c > 0$), if we choose a set of strings S_1, \dots, S_n uniformly at random from Σ'^m then with probability at least $1 - 1/n$ for each $i \neq j$, $|LCS(S_i, S_j)| \leq \alpha m$.*

The above lemma gives us a randomized reduction. However we can deterministically find such a collection (with a slight loss in the parameters) using the known construction of *synchronization strings*. The proof of the following Lemma is deferred to Appendix A.

► **Lemma 13.** *For any $\alpha \in (0, 1)$, and $n \in \mathbb{N}$ there exists an alphabet Σ' of size $O(\alpha^{-3})$ such that for any $m > 2\alpha^{-2} \log n$, there is a deterministic construction of a set of strings $S_1, \dots, S_n \in \Sigma'^m$ such that for each $i \neq j$, $|LCS(S_i, S_j)| \leq \alpha m$. Moreover, all the strings can be generated in time $O(\alpha^{-2}nm)$.*

► **Remark 14.** One advantage of using the randomized construction is the alphabet size (as well as the length of strings); randomized construction has only a quadratic loss whereas the deterministic construction has a cubic loss in the alphabet size. However this will not matter much for the parameters we need to prove our main theorem.

Now let us continue with the description of our reduction. We replace each $v_j \in \Sigma$ by the string S_j . After the replacement we get the following two strings Y_i and Y'_i respectively from X_i and X'_i .

$$Y_i = S_1 \dots S_{i-1} S_{i+1} \dots S_n S_i S_{i_r} \dots S_{i_s} \text{ and } Y'_i = S_{i_p} \dots S_{i_q} S_i S_1 \dots S_{i-1} S_{i+1} \dots S_n$$

Note, Y_i and Y'_i 's are over the alphabet Σ' . For notational convenience we use $S_{\mathcal{N}_{>i}}$ to denote the substring $S_{i_r} \dots S_{i_s}$, and $S_{\mathcal{N}_{<i}}$ to denote the substring $S_{i_p} \dots S_{i_q}$. From now on, for simplicity, we will refer to these S_i 's as *blocks*. Note, due to deterministic construction of strings S_i 's by Lemma 13 our whole reduction is deterministic and polynomial time.

It follows directly from Proposition 9 that:

► **Lemma 15 (Completeness).** *If graph G is a YES instance of $\frac{\gamma^2}{4}$ -DkS (with clique of size k), then a LCS of $Y_1, \dots, Y_n, Y'_1, \dots, Y'_n$ is of length at least km .*

We devote the rest of this section to proving the soundness of our reduction.

► **Lemma 16 (Soundness).** *Let $\alpha \in (0, 1/8)$ and $\beta = \sqrt{8\alpha}$. If graph G is a NO instance of $\frac{\gamma^2}{4}$ -DkS (every subgraph of size k has density less than $\frac{\gamma^2}{4}$), then a LCS of $Y_1, \dots, Y_n, Y'_1, \dots, Y'_n$ has length at most $2\beta mn$.*

3.1 Proof of Soundness

Let L be an (arbitrary) LCS of $Y_1, \dots, Y_n, Y'_1, \dots, Y'_n$ of size greater than $2\beta mn$. By the construction $Y_n = S_1 \dots S_n$ (since $\mathcal{N}_{>n}(v_n) = \emptyset$). So we can partition the subsequence L as Z_1, \dots, Z_n where $\forall i \in [n]$ Z_i is a subsequence of S_i . (Z_i can be an empty string). Now consider all the Z_i of length at least βm , and let \mathcal{W} denote the set of all such Z_i 's, i.e., $\mathcal{W} = \{Z_i \mid |Z_i| \geq \beta m\}$. Suppose L_1 is the string formed by removing all $Z_i \notin \mathcal{W}$ from L . Clearly, $|L_1| \geq |L| - \beta mn \geq \beta mn$.

For all $i, j \in [n]$ such that $i < j$, define $C[i, j]$ as: $C[i, j] := \{Z_t \in \mathcal{W} \mid i \leq t \leq j\}$. Note, $\mathcal{W} = C[1, n]$. Next we show that either the size of $C[1, n]$ is small or there exists a subgraph in G which has large density.

Let us consider the set of vertices $V_H := \{v_i \mid Z_t \in \mathcal{W}\}$. So $|V_H| = |\mathcal{W}| \geq \frac{|L|}{m} - \beta n \geq \beta n$. If we could show that the subgraph H of G induced by the set of vertices V_H has high density (ideally, a clique), then that will imply Lemma 16.

Now consider an (arbitrary) alignment between L_1 and $Y_1, \dots, Y_n, Y'_1, \dots, Y'_n$. Let us denote the alignment between L_1 and Y_i (Y'_i) by σ_i (σ'_i). From now on whenever we will talk about alignment we will refer to these particular alignments (σ_i or σ'_i depending on strings under consideration) without specifying them explicitly. Consider a $Z_t \in \mathcal{W}$. We say Z_t is ε -aligned (for some $\varepsilon \in [0, 1]$) with some substring S' of some Y_i (or Y'_i) if and only if either the first or the last ε fraction of symbols of Z_t is aligned by the alignment σ'_i (or σ_i) with some subsequence of S' . Throughout this proof we will set $\varepsilon = 1/2$. Note that, if we partition Y_i into (any) two parts Y_i^l and Y_i^r then Z_t is $1/2$ -aligned to at least one of Y_i^l and Y_i^r , and this justifies our setting of parameter ε .

By following the argument of the proof of Proposition 10 given in [18], it is possible to show that if σ aligns all Z_t with some subsequence of S_t in all strings Y_i (and Y'_i), then the subgraph H induced by vertices in V_H has high density (actually forms a clique). Unfortunately we do not know whether all the Z_t 's are aligned with their corresponding S_t 's in all the Y_i 's (and Y'_i 's). Following are the different cases of mapping $Z_i \in \mathcal{W}$ with Y_i :

1. Z_i is 1/2-aligned with the substring $S_1 \dots S_{i-1}$ of Y_i .
 2. Z_i is 1/2-aligned with $S_{i+1} \dots S_n S_i S_{N_{>i}}$ of Y_i and there exists a $j > i$ such that a symbol of Z_j in L_1 is aligned with some symbol of S_j in the substring $S_{i+1} \dots S_n S_i$.
 3. Z_i is 1/2-aligned with the substring $S_{i+1} \dots S_n S_i S_{N_{>i}}$ in Y_i and there exists no $j > i$ such that a symbol of $Z_j \in \mathcal{W}$ is aligned with some symbol of S_j in the substring $S_{i+1} \dots S_n$.
- Similarly, we will also consider the mapping with Y'_i 's. We will categorize first and second case as *sparse case* and the third one as the *dense case*. Next we analyze these cases.

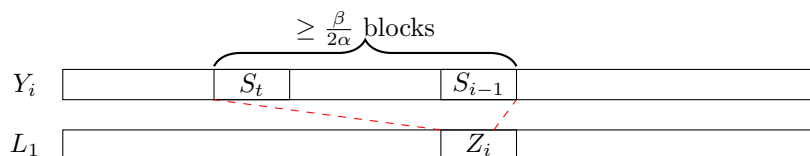
3.1.1 Sparse Case: Improper mapping leads to small LCS locally

Let us recall that $Y_i = S_1 \dots S_{i-1} S_{i+1} \dots S_n S_i S_{N_{>i}}$ and $Y'_i = S_{N_{<i}} S_i S_1 \dots S_{i-1} S_{i+1} \dots S_n$. The next two claims demonstrate that if Z_i is not mapped to S_i in Y_i (or Y'_i) then there is a portion $C[j, i]$ (or $C[i, j]$) in L_1 such that $\frac{|C[j, i]|}{i-j}$ (or $\frac{|C[i, j]|}{j-i}$) is small, i.e., that portion of L_1 is “sparse” with respect to the number of Z_t blocks present in it.

▷ **Claim 17.** If $Z_i \in \mathcal{W}$ is 1/2-aligned with the substring $S_1 \dots S_{i-1}$ of Y_i (by the alignment σ_i), then there exists a $j < i$ such that $|C[j, i]| \leq \frac{2\alpha}{\beta}(i-j+1)$. Similarly, if $Z_i \in \mathcal{W}$ is 1/2-aligned with the substring $S_{i+1} \dots S_n$ of Y'_i (by the alignment σ'_i), then there exists a $j > i$ such that $|C[i, j]| \leq \frac{2\alpha}{\beta}(j-i+1)$.

Proof. Suppose Z_i is 1/2-aligned with $S_1 \dots S_{i-1}$ of Y_i . Let j be the largest index less than i such that a symbol in Z_j is aligned (by σ_i) with some symbol in S_j in Y_i (if there does not exist such a j then take $j = 0$). Note, by the definition of 1/2-alignment at least first $\beta m/2$ symbols of Z_i are mapped (by σ_i) in $S_1 \dots S_{i-1}$. Recall, the definition of 1/2-alignment ensures the mapping of the first or the last half fraction of symbols. However in this case if Z_i 's last $\beta m/2$ symbols are mapped in $S_1 \dots S_{i-1}$ then the whole Z_i is actually mapped in $S_1 \dots S_{i-1}$, which is even stronger than what we state.

By the properties of strings S_k 's specified in Lemma 13, the first $\beta m/2$ symbols of Z_i require at least $\frac{\beta}{2\alpha}$ blocks from $\{S_j, S_{j+1}, \dots, S_{i-1}\}$ to map completely (see Figure 1).



■ **Figure 1** Z_i is 1/2-aligned with $S_1 \dots S_{i-1}$ where $t > j$.

Similarly each element of $C[j+1, i-1]$ also requires at least $\frac{\beta}{\alpha}$ blocks from $\{S_j, S_{j+1}, \dots, S_{i-1}\}$. However any two $Z_p, Z_{p+1} \in C[j+1, i]$ may share a block (more specifically, the last block used for Z_p and the first block used for Z_{p+1}) for mapping. So, we get

$$\frac{\beta}{2\alpha} + \left(\frac{\beta}{\alpha} - 1\right)|C[j+1, i-1]| \leq i-j \Rightarrow \frac{\beta}{2\alpha}|C[j+1, i]| \leq i-j.$$

Note, $\frac{\beta}{\alpha} - 1 \geq \frac{\beta}{2\alpha}$ as $\alpha \leq 1/8$ (recall, $\beta = \sqrt{8\alpha}$), and $C[j+1, i-1] \cup \{Z_i\} = C[j+1, i]$.

Similarly, suppose Z_i is 1/2-aligned with $S_{i+1} \dots S_n$ of Y'_i . Let j be the smallest index greater than i such that a symbol of Z_j is aligned (by σ'_i) with some symbol of S_j in Y'_i (if there does not exist any j then take $j = n+1$). Using an argument similar to the above, we get

$$\frac{\beta}{2\alpha} + \left(\frac{\beta}{\alpha} - 1\right)|C[i+1, j-1]| \leq j-i \Rightarrow \frac{\beta}{2\alpha}|C[i, j-1]| \leq j-i. \quad \triangleleft$$

38:10 Hardness of Approximation of (Multi-)LCS over Small Alphabet

▷ Claim 18. Suppose (by the alignment σ_i) $Z_i \in \mathcal{W}$ is 1/2-aligned with $S_{i+1} \dots S_n S_i S_{\mathcal{N}_{>i}}$ of Y_i , and there exists a $j > i$ such that a symbol of Z_j in L_1 is aligned with some symbol of S_j in the substring $S_{i+1} \dots S_n S_i$. Then there exists r such that $i < r \leq j$ and $|C[i, r-1]| \leq \frac{2\alpha}{\beta}(r-i)$.

Similarly, suppose (by the alignment σ'_i) $Z_i \in \mathcal{W}$ is 1/2-aligned with $S_{\mathcal{N}_{<i}} S_i S_1 \dots S_{i-1}$ of Y'_i , and there exists a $j < i$ such that a symbol of Z_j in L_1 is aligned with some symbol of S_j in the substring $S_i S_1 \dots S_{i-1}$. Then there exists r such that $j \leq r < i$ and $|C[r+1, i]| \leq \frac{2\alpha}{\beta}(i-r)$.

Proof. Suppose Z_i is 1/2-aligned with $S_{i+1} \dots S_n S_i S_{\mathcal{N}_{>i}}$ of Y_i and there exists a $j > i$ such that a symbol of Z_j in L_1 is aligned (by σ_i) with some symbol of S_j in the substring $S_{i+1} \dots S_n S_i$. Let us choose r to be the smallest j with the above condition. By the argument used in the proof of Claim 17, Z_i requires at least $\frac{\beta}{2\alpha}$ blocks from $\{S_{i+1}, S_{i+2}, \dots, S_r\}$, and every element in $C[i+1, r-1]$ requires at least $\frac{\beta}{\alpha}$ blocks from $\{S_{i+1}, S_{i+2}, \dots, S_r\}$. Again, any two $Z_p, Z_{p+1} \in C[i, r-1]$ may share a block (more specifically, the last block used for Z_p and the first block used for Z_{p+1}) for mapping. So we get

$$\frac{\beta}{2\alpha} + |C[i+1, r-1]| \left(\frac{\beta}{\alpha} - 1 \right) \leq r-i \Rightarrow \frac{\beta}{2\alpha} |C[i, r-1]| \leq r-i.$$

Similarly, suppose Z_i is 1/2-aligned with $S_{\mathcal{N}_{<i}} S_i S_1 \dots S_{i-1}$ of Y'_i and there exists a $j < i$ such that a symbol of Z_j in L_1 is aligned (by σ'_i) with some symbol of S_j in the substring $S_i S_1 \dots S_{i-1}$. Let us choose r to be the largest j with the above condition. Then we get

$$\frac{\beta}{2\alpha} + |C[r+1, i-1]| \left(\frac{\beta}{\alpha} - 1 \right) \leq i-r \Rightarrow \frac{\beta}{2\alpha} |C[r+1, i]| \leq i-r. \quad \triangleleft$$

3.1.2 Dense Case: Proper mapping implies large number of neighbors

Recall that $V_H = \{v_t \mid Z_t \in \mathcal{W}\}$. For each $v_i \in V_H$ further define $V_H^{>i} := \{v_t \in V_H \mid t > i\}$ and $V_H^{<i} := \{v_t \in V_H \mid t < i\}$. The next two claims show that if Z_i is aligned with S_i in Y_i and Y'_i then “most” of the vertices in V_H are connected to (i.e., neighbors of) the vertex v_i . This eventually helps us to show that density of H is high.

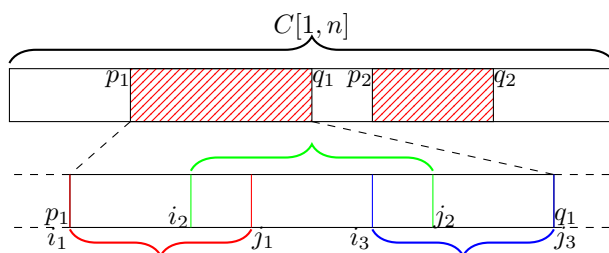
▷ Claim 19. Suppose (by the alignment σ_i) $Z_i \in \mathcal{W}$ is 1/2-aligned with $S_{i+1} \dots S_n S_i S_{\mathcal{N}_{>i}}$ in Y_i , and there exists no $j > i$ such that a symbol of $Z_j \in \mathcal{W}$ is aligned with some symbol of S_j in the substring $S_{i+1} \dots S_n$. Then

$$|V_H^{>i} \cap \mathcal{N}_{>}(v_i)| + \frac{\beta}{2\alpha} |V_H^{>i} \setminus \mathcal{N}_{>}(v_i)| \leq 2(n-i) + 1.$$

Proof. Z_i is 1/2-aligned with $S_{i+1} \dots S_n S_i S_{\mathcal{N}_{>i}}$ of Y_i . So to align all $Z_r \in C[i+1, n]$ (note, $|C[i+1, n]| = |V_H^{>i}|$) at most $2(n-i) + 1$ blocks of S_p 's are available. Since for no $j > i$ a symbol of $Z_j \in \mathcal{W}$ is aligned with some symbol of S_j in $S_{i+1} \dots S_n$, each Z_r such that $v_r \in V_H^{>i} \setminus \mathcal{N}_{>}(v_i)$ requires at least $\frac{\beta}{\alpha}$ blocks of S_p 's to map. Any two Z_r, Z_{r+1} such that $v_r, v_{r+1} \in V_H^{>i} \setminus \mathcal{N}_{>}(v_i)$ may share a block (more specifically, the last block used for Z_p and the first block used for Z_{p+1}) for mapping. Recall for our choice of parameters α, β , $\frac{\beta}{\alpha} - 1 \geq \frac{\beta}{2\alpha}$. So we get

$$|V_H^{>i} \cap \mathcal{N}_{>}(v_i)| + \frac{\beta}{2\alpha} |V_H^{>i} \setminus \mathcal{N}_{>}(v_i)| \leq 2(n-i) + 1. \quad \triangleleft$$

Similarly, we consider the mapping of Z_i in the string Y'_i .



Shaded region is included in \mathcal{T}

Considering $s = 3$,
 $(i_1, j_1), (i_2, j_2), (i_3, j_3)$ is a series
of pairs to cover $C[p_1, q_1]$ where
 $i_1 = p_1$ and $j_3 = q_1$.

■ **Figure 2** \mathcal{T} as a union of disjoint subsets.

▷ **Claim 20.** Suppose (by the alignment σ'_i) $Z_i \in \mathcal{W}$ is $1/2$ -aligned with $S_{\mathcal{N}_{<} S_i S_1 \dots S_{i-1}}$ in Y'_i , and there exists no $j < i$ such that a symbol of $Z_j \in \mathcal{W}$ is aligned with some symbol of S_j in the substring $S_1 \dots S_{i-1}$. Then

$$|V_H^{< i} \cap \mathcal{N}_{<}(v_i)| + \frac{\beta}{2\alpha} |V_H^{< i} \setminus \mathcal{N}_{<}(v_i)| \leq 2i - 1.$$

Proof. Z_i is $1/2$ -aligned with $S_{\mathcal{N}_{<} S_i S_1 \dots S_{i-1}}$ of Y'_i . So to align all $Z_r \in C[1, i-1]$ (note, $|C[1, i-1]| = |V_H^{< i}|$), at most $2i-1$ blocks of S_p 's are available. Since for no $j < i$ a symbol of $Z_j \in \mathcal{W}$ is aligned with some symbol of S_j in $S_1 \dots S_{i-1}$, each Z_r such that $v_r \in V_H^{< i} \setminus \mathcal{N}_{<}(v_i)$ requires at least $\frac{\beta}{\alpha}$ blocks of S_p 's to map. Any two Z_r, Z_{r+1} such that $v_r, v_{r+1} \in V_H^{< i} \setminus \mathcal{N}_{<}(v_i)$ may share a block (more specifically, the last block used for Z_p and the first block used for Z_{p+1}) for mapping. Recall for our choice of parameters $\alpha, \beta, \frac{\beta}{\alpha} - 1 \geq \frac{\beta}{2\alpha}$. So we get

$$|V_H^{< i} \cap \mathcal{N}_{<}(v_i)| + \frac{\beta}{2\alpha} |V_H^{< i} \setminus \mathcal{N}_{<}(v_i)| \leq 2i - 1. \quad \triangleleft$$

3.1.3 Removing sparse blocks from LCS

Next we choose a subset of vertices from the set V_H so that the graph induced by that subset has high density. For that purpose we remove the ‘‘sparse’’ portions from the subsequence L_1 in the following way:

1. Initialize an empty set \mathcal{T} .
2. For each $Z_i \in \mathcal{W}$ identify the largest $j > i$ such that $\frac{|C[i, j]|}{j-i+1} \leq \frac{2\alpha}{\beta}$, and then add all $Z_k \in C[i, j]$ in the set \mathcal{T} . (If no such j exists then do not add anything to \mathcal{T} .)
3. Define a new set $\mathcal{W}' = \mathcal{W} \setminus \mathcal{T}$.

Let L_2 be the string formed by removing all $Z_i \notin \mathcal{W}'$ from L_1 . Let us also define a set of vertices $V'_H = \{v_t | Z_t \in \mathcal{W}'\}$. (Note, $V'_H \subseteq V_H$.) Now we will argue that the set V_H has not shrunk by much after removing the sparse blocks and each vertex in V'_H has high degree in the subgraph H , which eventually implies that the subgraph H has high density.

▷ **Claim 21.** $|V'_H| \geq |V_H| - \frac{4\alpha}{\beta} n$.

Proof. Let us consider the set \mathcal{T} . We can write \mathcal{T} as a union of disjoint subsets as $\mathcal{T} = C[p_1, q_1] \cup C[p_2, q_2] \cup \dots \cup C[p_r, q_r]$ for some integer $r \in [n]$, such that $\forall 1 \leq \ell \leq r-1$ $C[q_\ell, p_{\ell+1}] \neq \emptyset$ (see Figure 2).

Now if we could show that for each $\ell \in [r]$, $|C[p_\ell, q_\ell]| \leq \frac{4\alpha}{\beta} (q_\ell - p_\ell)$, then

$$|\mathcal{T}| = \sum_{\ell=1}^r |C[p_\ell, q_\ell]| \leq \frac{4\alpha}{\beta} \sum_{\ell=1}^r (q_\ell - p_\ell) \leq \frac{4\alpha}{\beta} n$$

where the last inequality is true since $p_1 < q_1 < p_2 < q_2 < \dots < p_r < q_r$. So to conclude the proof of the claim next we show that for all $\ell \in [r]$ $|C[p_\ell, q_\ell]| \leq \frac{4\alpha}{\beta} (q_\ell - p_\ell)$.

38:12 Hardness of Approximation of (Multi-)LCS over Small Alphabet

It is immediate from the construction of the set \mathcal{T} that there exists a sequence of pair of indices $(i_1, j_1), \dots, (i_s, j_s)$ (for some positive integer s) where $i_1 = p_\ell$ and $j_s = q_\ell$, such that for all $t \in [s]$ while processing Z_{i_t} we add blocks of $C[i_t, j_t]$ in \mathcal{T} , and $C[p_\ell, q_\ell] = \bigcup_{t \in [s]} C[i_t, j_t]$. We can further assume that there exists no $t' \in [s]$ such that $C[i_{t'}, j_{t'}] \subseteq \bigcup_{t \in [s] \setminus \{t'\}} C[i_t, j_t]$. (In words it means that $C[i_1, j_1], \dots, C[i_s, j_s]$ is a minimal sequence of subsets whose union is $C[i_1, j_s]$.) Due to this assumption we can write that $i_2 \leq j_1 \leq i_3 \leq j_2 \leq \dots \leq i_s \leq j_{s-1}$ and $\forall t \in [s-2], i_{t+2} \geq j_t + 1$ (see Figure 2). So,

$$\begin{aligned} |C[p_\ell, q_\ell]| &\leq \sum_{t=1}^s |C[i_t, j_t]| \leq \frac{2\alpha}{\beta} \sum_{t=1}^s (j_t - i_t + 1) \\ &= \frac{2\alpha}{\beta} \left[s + (j_s - i_1) + \sum_{t=1}^{s-1} (j_t - i_{t+1}) \right] \\ &\leq \frac{2\alpha}{\beta} \left[s + (j_s - i_1) + (j_{s-1} - i_2 - (s-2)) \right] \\ &\leq \frac{2\alpha}{\beta} \left[2(j_s - i_1) \right] \end{aligned}$$

where second last inequality uses the fact that $\forall t \in [s-2], i_{t+2} \geq j_t + 1$ and last inequality uses the fact that $j_s \geq j_{s-1} + 1$ and $i_2 \geq i_1 + 1$. Hence we conclude that $|C[p_\ell, q_\ell]| \leq \frac{4\alpha}{\beta}(q_\ell - p_\ell)$, and this completes the proof. \triangleleft

\triangleright **Claim 22.** For each vertex $v_i \in V'_H$, $|V_H \cap \mathcal{N}(v_i)| \geq |V_H| - \frac{4\alpha}{\beta}n$.

Proof. By the construction of \mathcal{W}' , for each $Z_i \in \mathcal{W}'$ we know that there exists no $j > i$ (or $< i$) such that $\frac{|C[i, j]|}{j-i+1} \leq \frac{2\alpha}{\beta}$ (or $\frac{|C[j, i]|}{i-j+1} \leq \frac{2\alpha}{\beta}$). Then by Claim 17 and Claim 18 it follows that all $Z_i \in \mathcal{W}'$ satisfy preconditions of both Claim 19 and Claim 20. Otherwise by Claim 17 and Claim 18 we know that there exists a $j > i$ (or $< i$) such that $\frac{|C[i, j]|}{j-i+1} \leq \frac{2\alpha}{\beta}$ (or $\frac{|C[j, i]|}{i-j+1} \leq \frac{2\alpha}{\beta}$). For $j > i$ when we process Z_i to construct the set \mathcal{T} we add all the blocks of $C[i, j]$, and for $j < i$ when we process Z_j we add all the blocks of $C[j, i]$. So it must be the case that the alignment σ_i between L_1 and Y_i , 1/2-aligns Z_i to the substring $S_{i+1} \dots S_n S_i S_{\mathcal{N}_>i}$ and there exists no $j > i$ such that $Z_j \in \mathcal{W}$ aligns with S_j in the substring $S_{i+1} \dots S_n$. Also, σ'_i 1/2-aligns Z_i to the substring $S_{\mathcal{N}_<i} S_i S_1 \dots S_{i-1}$ and there exists no $j < i$ such that $Z_j \in \mathcal{W}$ aligns with S_j in the substring $S_1 \dots S_{i-1}$. So by Claim 19

$$|V_H^{>i} \cap \mathcal{N}_>(v_i)| + \frac{\beta}{2\alpha} |V_H^{>i} \setminus \mathcal{N}_>(v_i)| \leq 2(n-i) + 1,$$

and by Claim 20

$$|V_H^{<i} \cap \mathcal{N}_<(v_i)| + \frac{\beta}{2\alpha} |V_H^{<i} \setminus \mathcal{N}_<(v_i)| \leq 2i - 1.$$

These two claims together imply

$$\begin{aligned} &|V_H \cap \mathcal{N}(v_i)| + \frac{\beta}{2\alpha} |V_H \setminus \mathcal{N}(v_i)| \leq 2n \\ \Rightarrow &|V_H \cap \mathcal{N}(v_i)| + \frac{\beta}{2\alpha} (|V_H| - |V_H \cap \mathcal{N}(v_i)|) \leq 2n \\ \Rightarrow &\left(\frac{\beta}{2\alpha} - 1\right) |V_H \cap \mathcal{N}(v_i)| \geq \frac{\beta}{2\alpha} |V_H| - 2n \\ \Rightarrow &|V_H \cap \mathcal{N}(v_i)| \geq |V_H| - \frac{4\alpha}{\beta}n. \end{aligned} \quad \triangleleft$$

Now we are ready to complete the proof of soundness (Lemma 16).

Proof of Lemma 16. For the sake of contradiction let us assume that the LCS is of size at least $2\beta mn$. Recall, we have already seen that $|V_H| \geq \beta n$. Now we consider the following two cases depending on the size of V_H .

Case 1: (When $|V_H| \leq \frac{\beta}{\gamma}n$). Suppose $|V_H| \leq \frac{\beta}{\gamma}n (= k)$. Let $V' \supseteq V_H$ be an arbitrary set of size exactly $\frac{\beta}{\gamma}n$. Let H' be the subgraph induced by the vertices V' . Using Claim 21 and Claim 22, we can lower bound the density of the subgraph H' by:

$$\frac{\frac{1}{2} \sum_{v \in V'_H} \left(|V_H| - \frac{4\alpha}{\beta}n \right)}{\binom{|V'|}{2}} \geq \frac{\left(\beta - \frac{4\alpha}{\beta} \right) n \cdot \left(\beta - \frac{4\alpha}{\beta} \right) n}{\frac{\beta}{\gamma}n \cdot \frac{\beta}{\gamma}n} \geq \left(\gamma - \frac{4\alpha\gamma}{\beta^2} \right)^2.$$

As we set $\alpha = \beta^2/8$, we get that the density of the subgraph induced by V' is at least $(\gamma/2)^2$.

Case 2: (When $|V_H| > \frac{\beta}{\gamma}n$). If $|V_H| > \frac{\beta}{\gamma}n$, the density of the subgraph H induced by V_H is lower bounded by:

$$\begin{aligned} \frac{\frac{1}{2} \sum_{v \in V'_H} \left(|V_H| - \frac{4\alpha}{\beta}n \right)}{\binom{|V'_H|}{2}} &\geq \frac{|V'_H| \left(|V_H| - \frac{4\alpha}{\beta}n \right)}{|V_H|(|V_H| - 1)} \\ &\geq \frac{\left(|V_H| - \frac{4\alpha}{\beta}n \right)^2}{|V_H|^2} \\ &= \left(1 - \frac{4\alpha n}{\beta|V_H|} \right)^2 \\ &\geq \left(1 - \frac{\gamma}{2} \right)^2 && \text{(since } |V_H| > \frac{\beta}{\gamma}n \text{ and we set } \alpha = \beta^2/8) \\ &\geq (\gamma/2)^2 && \text{(since } \gamma \leq 1). \end{aligned}$$

Now since density of the subgraph is at least $(\gamma/2)^2$, it follows from the following simple claim that there exists a subgraph of H of size $\frac{\beta}{\gamma}n$ which has density at least $(\gamma/2)^2$.

▷ **Claim 23.** Suppose a graph $G = (V, E)$ has edge density c , then for any $2 \leq k \leq |V|$, there exists a subgraph of size k with density at least c .

Proof. Let $n = |V|$. Pick a subset $H \subseteq V$ of size exactly k uniformly at random. For a fixed edge e in G , the probability that the edge e is present in the subgraph induced by H is exactly $\frac{\binom{n-2}{k-2}}{\binom{n}{k}}$. Since G has $c \cdot \binom{n}{2}$ edges, by linearity of expectation, the expected number of edges in the subgraph induced by H is equal to $c \cdot \binom{n}{2} \cdot \frac{\binom{n-2}{k-2}}{\binom{n}{k}} = c \cdot \binom{k}{2}$. Therefore, the expected density of the subgraph is exactly equal to c . Hence, by an averaging argument, there exists a subgraph of G of size k with density at least c . ◁

In both the cases, we have shown that there exists a subgraph of size $\frac{\beta}{\gamma}n (= k)$ with density at least $(\gamma/2)^2$, which is a contradiction to the fact that we started with a NO instance of $\frac{\gamma^2}{4}$ -DkS $\left(\frac{\beta}{\gamma}n, n\right)$. Therefore in this case, the size of LCS must be at most $2\beta mn$. ◀

Proof of Theorem 2

If there is no polynomial time algorithm to distinguish between the YES and NO instances of $\frac{\gamma^2}{4}$ -DkS $\left(\frac{\beta}{\gamma}n, n\right)$, then using Lemma 15 and Lemma 16, it follows that there is no polynomial time algorithm to distinguish between the cases when the LCS of $Y_1, \dots, Y_n, Y'_1, \dots, Y'_n$ is of size $\frac{\beta}{\gamma}mn$ vs. $2\beta mn$. Also note that if we use Lemma 13 to construct the strings S_i 's then the alphabet size is $O(\alpha^{-3}) = O(\beta^{-6})$. This proves the main theorem.

4 Conclusion

In this paper we show hardness of constant factor approximation of Multi-LCS problem with input of length n over $n^{o(1)}$ sized alphabet assuming the Exponential Time Hypothesis (ETH). This is the first hardness result for approximating Multi-LCS problem for sublinear sized alphabet. To prove our result we provide a reduction from the densest k -subgraph problem with perfect completeness, and then use the known hardness results for the latter problem from [24]. One interesting fact is that if one could show hardness of the γ -DkS (k, n) problem for $k = \Theta\left(\frac{n}{\text{poly log } n}\right)$ and $\gamma = (\log n)^{-c}$ for some $c > 0$, then due to our reduction that will directly imply constant factor hardness for Multi-LCS over poly-logarithmic sized alphabet under ETH.

References

- 1 Amir Abboud and Arturs Backurs. Towards hardness of approximation for polynomial time problems. In *8th Innovations in Theoretical Computer Science Conference, ITCS 2017, January 9-11, 2017, Berkeley, CA, USA*, pages 11:1–11:26, 2017.
- 2 Amir Abboud, Arturs Backurs, and Virginia Vassilevska Williams. Tight hardness results for LCS and other sequence similarity measures. In *IEEE 56th Annual Symposium on Foundations of Computer Science, FOCS 2015, Berkeley, CA, USA, 17-20 October, 2015*, pages 59–78, 2015.
- 3 Amir Abboud, Thomas Dueholm Hansen, Virginia Vassilevska Williams, and Ryan Williams. Simulating branching programs with edit distance and friends: or: a polylog shaved is a lower bound made. In *Proceedings of the 48th Annual ACM SIGACT Symposium on Theory of Computing, STOC 2016, Cambridge, MA, USA, June 18-21, 2016*, pages 375–388, 2016.
- 4 Amir Abboud and Aviad Rubinfeld. Fast and deterministic constant factor approximation algorithms for LCS imply new circuit lower bounds. In *9th Innovations in Theoretical Computer Science Conference, ITCS 2018, January 11-14, 2018, Cambridge, MA, USA*, pages 35:1–35:14, 2018.
- 5 Lasse Bergroth, Harri Hakonen, and Timo Raita. A survey of longest common subsequence algorithms. In Pablo de la Fuente, editor, *Seventh International Symposium on String Processing and Information Retrieval, SPIRE 2000, A Coruña, Spain, September 27-29, 2000*, pages 39–48. IEEE Computer Society, 2000.
- 6 Aditya Bhaskara, Moses Charikar, Eden Chlamtac, Uriel Feige, and Aravindan Vijayaraghavan. Detecting high log-densities: an $O(n^{1/4})$ approximation for densest k -subgraph. In Leonard J. Schulman, editor, *Proceedings of the 42nd ACM Symposium on Theory of Computing, STOC 2010, Cambridge, Massachusetts, USA, 5-8 June 2010*, pages 201–210. ACM, 2010.
- 7 Guillaume Blin, Laurent Bulteau, Minghui Jiang, Pedro J. Tejada, and Stéphane Vialette. Hardness of longest common subsequence for sequences with bounded run-lengths. In *Combinatorial Pattern Matching - 23rd Annual Symposium, CPM 2012, Helsinki, Finland, July 3-5, 2012. Proceedings*, pages 138–148, 2012.
- 8 Mark Braverman, Young Kun Ko, Aviad Rubinfeld, and Omri Weinstein. ETH hardness for densest- k -subgraph with perfect completeness. In *Proceedings of the Twenty-Eighth Annual ACM-SIAM Symposium on Discrete Algorithms*, pages 1326–1341. SIAM, 2017.

- 9 Kuan Cheng, Bernhard Haeupler, Xin Li, Amirbehshad Shahrasbi, and Ke Wu. Synchronization strings: Highly efficient deterministic constructions over small alphabets. In *Proceedings of the Thirtieth Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2019, San Diego, California, USA, January 6-9, 2019*, pages 2185–2204, 2019.
- 10 Uriel Feige, David Peleg, and Guy Kortsarz. The dense k -subgraph problem. *Algorithmica*, 29(3):410–421, 2001.
- 11 Uriel Feige and Michael Seltser. On the densest k -subgraph problem. *Algorithmica*, 29, 1997.
- 12 Szymon Grabowski. New tabulation and sparse dynamic programming based techniques for sequence similarity problems. *Discrete Applied Mathematics*, 212:96–103, 2016.
- 13 Dan Gusfield. *Algorithms on Strings, Trees, and Sequences - Computer Science and Computational Biology*. Cambridge University Press, 1997.
- 14 Bernhard Haeupler and Amirbehshad Shahrasbi. Synchronization strings: explicit constructions, local decoding, and applications. In *Proceedings of the 50th Annual ACM SIGACT Symposium on Theory of Computing, STOC 2018, Los Angeles, CA, USA, June 25-29, 2018*, pages 841–854, 2018.
- 15 MohammadTaghi Hajiaghayi, Masoud Seddighin, Saeed Seddighin, and Xiaorui Sun. Approximating LCS in linear time: Beating the \sqrt{n} barrier. In *Proceedings of the Thirtieth Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2019, San Diego, California, USA, January 6-9, 2019*, pages 1181–1200, 2019.
- 16 D.S. Hirschberg. Recent results on the complexity of common subsequence problems. In *Time Warps, String Edits, and Macromolecules, D. Sankoff and J.B. Kruskal, ed., Addison-Wesley*, pages 323–328, 1983.
- 17 Russell Impagliazzo and Ramamohan Paturi. On the complexity of k -SAT. *Journal of Computer and System Sciences*, 62(2):367–375, 2001.
- 18 Tao Jiang and Ming Li. On the approximation of shortest common supersequences and longest common subsequences. *SIAM J. on Computing*, 24(5):1122–1139, 1995.
- 19 Subhash Khot. Ruling out ptas for graph min-bisection, dense k -subgraph, and bipartite clique. *SIAM Journal on Computing*, 36(4):1025–1071, 2006.
- 20 Marcos Kiwi, Martin Loeb, and Jiří Matoušek. Expected length of the longest common subsequence for large alphabets. *Advances in Mathematics*, 197(2):480–498, 2005.
- 21 G Kortsarz and D Peleg. On choosing a dense subgraph. In *Proceedings of the 1993 IEEE 34th Annual Foundations of Computer Science*, pages 692–701. IEEE Computer Society, 1993.
- 22 S. Lu and K. S. Fu. A sentence-to-sentence clustering procedure for pattern analysis. *IEEE Transactions on Systems, Man, and Cybernetics*, 8(5):381–389, May 1978.
- 23 David Maier. The complexity of some problems on subsequences and supersequences. *J. ACM*, 25(2):322–336, April 1978.
- 24 Pasin Manurangsi. Almost-polynomial ratio ETH-hardness of approximating densest k -subgraph. In *Proceedings of the 49th Annual ACM SIGACT Symposium on Theory of Computing*, pages 954–961. ACM, 2017.
- 25 William J. Masek and Michael S. Paterson. A faster algorithm computing string edit distances. *Journal of Computer and System Sciences*, 20(1):18–31, 1980.
- 26 Christos H. Papadimitriou and Mihalis Yannakakis. Optimization, approximation, and complexity classes. *J. Comput. Syst. Sci.*, 43(3):425–440, 1991.
- 27 Pavel A. Pevzner. Multiple alignment with guaranteed error bounds and communication cost. In *Combinatorial Pattern Matching, Third Annual Symposium, CPM 92, Tucson, Arizona, USA, April 29 - May 1, 1992, Proceedings*, pages 205–213, 1992.
- 28 Prasad Raghavendra and David Steurer. Graph expansion and the unique games conjecture. In *Proceedings of the forty-second ACM symposium on Theory of computing*, pages 755–764. ACM, 2010.
- 29 Aviad Rubinfeld and Zhao Song. Reducing approximate longest common subsequence to approximate edit distance. In Shuchi Chawla, editor, *Proceedings of the 2020 ACM-SIAM Symposium on Discrete Algorithms, SODA 2020, Salt Lake City, UT, USA, January 5-8, 2020*, pages 1591–1600. SIAM, 2020.

- 30 Robert A. Wagner and Michael J. Fischer. The string-to-string correction problem. *J. ACM*, 21(1):168–173, January 1974.
- 31 David Zuckerman. Linear degree extractors and the inapproximability of max clique and chromatic number. *Theory of Computing*, 3(6):103–128, 2007.

A Derandomized version of Lemma 12

To achieve deterministic reduction we need to construct the set of strings S_1, \dots, S_n deterministically in time $\text{poly}(n)$. For that purpose we use the notion of *synchronization strings* used in the literature of *insertion-deletion codes* [14, 9].

► **Definition 24** (*c*-long-distance ε -synchronization string). *A string $S \in \Sigma^n$ is called a c-long-distance ε -synchronization string for some parameter $\varepsilon \in (0, 1)$, if for every $1 \leq i < j \leq i' < j' \leq n$ with $i' - j \leq n \cdot \mathbb{1}_{(j+j'-i-i') > c \log n}$, $|LCS(S[i, j], S[i', j'])| \leq \varepsilon(j + j' - i - i')$, where $\mathbb{1}_{(j+j'-i-i') > c \log n}$ is the indicator function for $(j + j' - i - i') > c \log n$.*

Note, in the definition of *c*-long-distance ε -synchronization string in [9] authors used the notion of *edit distance* instead of LCS. More specifically, they specified the edit distance between $S[i, j]$ and $S[i', j']$ is at least $(1 - \varepsilon)(|S[i, j]| + |S[i', j']|)$. However both the notions can be used interchangeably since for any two strings S, S' , $|LCS(S, S')| = |S| + |S'| - ED(S, S')$, where the edit distance $ED(S, S')$ is defined as the minimum number of insertion and deletion operations required to transform S to S' . One may note that, generally while defining the edit distance we also allow substitution operation. However here we are not allowing substitution operation, and that is why we are able to write the following equivalence between LCS and the edit distance of two strings S, S' : $|LCS(S, S')| = |S| + |S'| - ED(S, S')$. We would like to mention that in [9] authors also used this particular version of the edit distance notion (i.e., without substitution operation).

Several constructions of such long-distance synchronization strings are given in [14, 9] with different parameters. However we restate one of the theorems from [9] that we find useful for our purpose.

► **Theorem 25** (Rephrasing of Theorem 5.4 of [9]). *For any $n \in \mathbb{N}$ and parameter $\varepsilon \in (0, 1)$, there is a deterministic construction of an ε^{-2} -long-distance ε -synchronization string $S \in \Sigma^n$ for some alphabet Σ of size $O(\varepsilon^{-3})$. Moreover, for any $i \in [n]$ the substring $S[i, i + \log n]$ can be computed in time $O(\varepsilon^{-2} \log n)$.*

Now using the above we will provide deterministic construction of set of strings S_1, \dots, S_n with our desired property.

► **Lemma 13.** *For any $\alpha \in (0, 1)$, and $n \in \mathbb{N}$ there exists an alphabet Σ' of size $O(\alpha^{-3})$ such that for any $m > 2\alpha^{-2} \log n$, there is a deterministic construction of a set of strings $S_1, \dots, S_n \in \Sigma'^m$ such that for each $i \neq j$, $|LCS(S_i, S_j)| \leq \alpha m$. Moreover, all the strings can be generated in time $O(\alpha^{-2} nm)$.*

Proof. For a specified α and n , set $\varepsilon = \alpha/2$. Then use the construction from Theorem 25 to get an ε^{-2} -long-distance ε -synchronization string S of length $2nm$, for any $m > \frac{1}{2}\varepsilon^{-2} \log n$. The bound on m is required to satisfy the condition that $(j + j' - i - i') > c \log n$ of Definition 24. (Note, in our case $(j + j' - i - i') = 2m$ and $c = \varepsilon^{-2}$.) Then divide the string S into m length blocks. Finally choose alternate blocks as S_1, \dots, S_n . More specifically, $S_1 = S[1, m], S_2 = S[2m + 1, 3m], \dots, S_n = S[(2n - 2)m + 1, (2n - 1)m]$. Now the bound on $|LCS(S_i, S_j)|$ for any $i \neq j$, directly follows from Definition 24. ◀