

# Optimal Output Sensitive Fault Tolerant Cuts

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## Abstract

In this paper we consider two classic cut-problems, GLOBAL MIN-CUT and MIN  $k$ -CUT, via the lens of fault tolerant network design. In particular, given a graph  $G$  on  $n$  vertices, and a positive integer  $f$ , our objective is to compute an upper bound on the size of the sparsest subgraph  $H$  of  $G$  that preserves edge connectivity of  $G$  (denoted by  $\lambda(G)$ ) in the case of GLOBAL MIN-CUT, and  $\lambda(G, k)$  (denotes the minimum number of edges whose removal would partition the graph into at least  $k$  connected components) in the case of MIN  $k$ -CUT, upon failure of any  $f$  edges of  $G$ . The subgraph  $H$  corresponding to GLOBAL MIN-CUT and MIN  $k$ -CUT is called  $f$ -FTCS and  $f$ -FT- $k$ -CS, respectively. We obtain the following results about the sizes of  $f$ -FTCS and  $f$ -FT- $k$ -CS.

- There exists an  $f$ -FTCS with  $(n - 1)(f + \lambda(G))$  edges. We complement this upper bound with a matching lower bound, by constructing an infinite family of graphs where any  $f$ -FTCS must have at least  $\frac{(n - \lambda(G) - 1)(\lambda(G) + f - 1)}{2} + (n - \lambda(G) - 1) + \frac{\lambda(G)(\lambda(G) + 1)}{2}$  edges.
- There exists an  $f$ -FT- $k$ -CS with  $\min\{(2f + \lambda(G, k) - (k - 1))(n - 1), (f + \lambda(G, k))(n - k) + \ell\}$  edges. We complement this upper bound with a lower bound, by constructing an infinite family of graphs where any  $f$ -FT- $k$ -CS must have at least  $\frac{(n - \lambda(G, k) - 1)(\lambda(G, k) + f - k + 1)}{2} + n - \lambda(G, k) + k - 3 + \frac{(\lambda(G, k) - k + 3)(\lambda(G, k) - k + 2)}{2}$  edges.

Our upper bounds exploit the structural properties of  $k$ -connectivity certificates. On the other hand, for our lower bounds we construct an infinite family of graphs, such that for any graph in the family any  $f$ -FTCS (or  $f$ -FT- $k$ -CS) must contain all its edges. We also add that our upper bounds are constructive. That is, there exist polynomial time algorithms that construct  $H$  with the aforementioned number of edges.

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## 1 Introduction

There is a common proverb in English – *m it is better to be safe than sorry!* Probably, it has never been more true than the Covid-19-times we are living in. Closed in our homes, computers are probably our only way of communicating with the world. Our machines are part of a larger network – it is just a node in the network. Thus, to get past this moment in time we need our networks to be more reliable, than ever before. Unfortunately, most of



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the real life networks are prone to failures. A failure of a link (or a small number of links) in the network may lead to a breakdown in communication. This motivates us to build networks that are resilient to failures, leading to the field of *fault tolerant network design*.

Networks are best modelled as graphs. For example, we could imagine we have a communication network, where the nodes (or vertices) are computers, routers, or cell-towers and there is an edge between them if they can communicate. One could also imagine a transportation network, where the edges correspond to segment of a road and the junctions between the roads are vertices. Once we have abstracted these networks as graphs, there are a number of properties we could try to ask about graphs that are meaningful for the particular network they represent. As stated earlier, real life networks are prone to failures. That is, edges (or vertices) may change their status from active to failed, and vice versa. These failures may occur anytime; however it is expected that they are small in numbers. Further, we can assume that failures are not permanent as they are repaired simultaneously. The fact that we only have a small number of failures is captured by associating an integer—*a fault parameter*  $f$  with the network. That is, we assume that at any point of time we only have at most  $f$ -edges (or vertices) that are failed. Indeed,  $f$  is much smaller than the number of vertices in the graph. This motivates the research on designing fault tolerant structures for various graph problems in terms of fault parameter  $f$  and the input size  $n$ .

We now formally define the model of *fault tolerant network design*, with respect to a property  $\Pi$ , we would be interested in. A property of graphs is a function  $\sigma$  that assigns to each graph a value in  $\{\text{true}, \text{false}\}$ . Given a graph  $G$ , a fault parameter  $f$ , we want to find a subgraph  $H$  of  $G$ , such that for any set  $F \subseteq E(G)$  of size  $f$ , we have the following:  $\sigma(G - F)$  is true if and only if  $\sigma(H - F)$  is true. In general, the solution of a fault tolerant network design is measured by the size of the subgraph  $H$ . That is, our objective is to find  $H$  with as few edges as possible. Fault tolerant subgraphs have been developed for various problems like reachability [3, 4, 8], shortest path [6, 20, 37–39] and spanners [5, 7, 9, 12, 36]. A fault tolerant subgraph for single source reachability in directed graphs was shown by Baswana et al. [4] to contain  $\Theta(2^f n)$  edges. Given a graph  $G$ , a source  $s$ , and an integer  $f$ , a subgraph  $H$  is an  $(\alpha, \beta)$ -single source fault tolerant subgraph, if for every vertex  $v \in V(G)$ , for every  $F \subseteq E(G)$  of size at most  $f$ ,  $\text{dist}(s, v, H - F) \leq \alpha \cdot \text{dist}(s, v, G - F) + \beta$ . Parter and Peleg [39] gave an  $(3(f + 1), (f + 1) \log n)$ -single source fault tolerant subgraph with  $\mathcal{O}(fn)$  edges. For spanners with a stretch  $k$ , Dinitz et al. [12] gave an  $f$ -fault tolerant  $k$ -spanner with  $\tilde{\mathcal{O}}(f^2 n^{1 + \frac{2}{k+1}})$  edges. Recently, Chakraborty and Choudhary [8] showed an  $\mathcal{O}(n + \min\{|P|, \sqrt{n}, n\sqrt{|P|}\})$  bound on a subgraph, that is an 1-fault tolerant reachability preserver for a given vertex-pair set  $P \subseteq V(G) \times V(G)$ .

Our main objective of this article is to extend this study to two classic cut-problems, GLOBAL MIN-CUT and MIN  $k$ -CUT. Arguably, GLOBAL MIN-CUT and MIN  $k$ -CUT are one of the two most well-studied problems in the field of graph algorithms. In the MIN  $k$ -CUT problem, input is an undirected graph  $G$  and an integer  $k$ , and the task is to partition the vertex set into  $k$  non-empty sets, say  $\tilde{P}$ , such that the total number of the edges with endpoints in different parts is minimized. We call such a partition as *min  $k$ -cut*, or simply a  *$k$ -cut*. For  $k = 2$ , rather than saying *2-cut*, we say *min-cut*. Indeed, for  $k = 2$ , this is the classic GLOBAL MIN-CUT problem, which can be solved in polynomial time. In fact, for every fixed  $k$ , the problem is known to be polynomial time solvable [18]. However, when  $k$  is part of the input, the problem is NP-complete [18]. Both these problems have been extensively studied in the last 30 years, and the running time of algorithms for these two problems have been improved over the years [10, 15, 19, 22, 24–28, 30, 32, 35, 40, 41]. In particular, after a series of improvement, the fastest known algorithm for GLOBAL MIN-CUT in unweighted

graphs is given by Ghaffari et al. [17] that runs in time  $\mathcal{O}(m \log n)$ . On the other hand, for edge-weighted graphs the fastest known algorithm for GLOBAL MIN-CUT is independently given by Gawrychowski et al [16] and Mukhopadhyay and Nanongkai [34] and (almost) runs in time  $\mathcal{O}(m \log^2 n)$ . Both of these algorithms are randomized. The best known deterministic algorithm for the problem on unweighted graph is given by Henzinger et al. [23] and runs in time  $\mathcal{O}(m \log^2 n (\log \log n)^2)$ .

The history of MIN  $k$ -CUT problem is also extremely rich. The direction of polynomial time approximation algorithms is essentially settled, with factor  $2(1 - \frac{1}{k})$  approximation algorithms and matching lower bounds. Recently, Gupta et al. [19] showed that for every fixed  $k \geq 2$ , the Karger-Stein algorithm [29] outputs any fixed  $k$ -cut with probability at least  $\widehat{\mathcal{O}}(n^{-k})$ , where  $\widehat{\mathcal{O}}(\cdot)$  hides a  $2^{\mathcal{O}(\ln \ln n)^2}$  factor. This immediately gives an extremal bound of  $\widehat{\mathcal{O}}(n^k)$ , on the number of minimum  $k$ -cuts in an  $n$ -vertex graph and an algorithm for MIN  $k$ -CUT in similar running time. Both the extremal bound and the running time of the algorithm are essentially tight (under reasonable assumptions). Indeed the extremal bound matches known lower bounds up to  $\widehat{\mathcal{O}}(1)$  factors, while any further improvement to the exact algorithm would imply an improved algorithm for MAX-WEIGHT  $k$ -CLIQUE [1, 2], which has been conjectured not to exist. One can also obtain  $f(k)n^{o(k)}$  lower bound on the running time [11, 13] under the Exponential Time Hypothesis (ETH). In the world of FPT-approximation, MIN  $k$ -CUT is known to admit  $(1 + \epsilon)$  approximation algorithm running in time  $(\frac{k}{\epsilon})^{\mathcal{O}(k)} n^{\mathcal{O}(1)}$  [31].

## 1.1 Our Results and Methods

In this paper we initiate a new research direction to the studies of GLOBAL MIN-CUT and MIN  $k$ -CUT. In particular we do the following.

We focus on GLOBAL MIN-CUT and MIN  $k$ -CUT, via the lens of fault tolerant network design, and construct asymptotically optimal fault tolerant subgraphs for these two problems.

Given a graph  $G$ , let  $\lambda(G)$  and  $\lambda(G, k)$  denote the size of min-cut and  $k$ -cut of  $G$ , respectively. We formally define the objects we consider in the paper.

► **Definition 1.1** (*f*-FTCS (*f*-FT- $k$ -CS)). *An f-FTCS (f-FT- $k$ -CS) is a subgraph  $H$  of  $G$  such that for any set of edges  $F \subseteq E(G)$  of cardinality at most  $f$ ,  $\lambda(G - F) = \lambda(H - F)$  ( $\lambda(G - F, k) = \lambda(H - F, k)$ ). For a graph  $G$ , we use  $\Psi(G, k)$  to denote the minimum number of edges in a *f*-FT- $k$ -CS of  $G$ . That is,*

$$\Psi(G, k) = \min_{H \text{ is an } f\text{-FT-}k\text{-CS of } G} |E(H)|$$

When  $k = 2$ , this denotes the minimum number of edges in a *f*-FTCS of  $G$ . In this case we simply use  $\Psi(G)$ , rather than  $\Psi(G, 2)$ .

Let  $\mathcal{F}$  be a family of graphs, then for all  $n \in \mathbb{N}$ , we define the following:

$$\begin{aligned} \text{FTCS}(\mathcal{F}, n, f) &= \max_{G \in \mathcal{F}, |V(G)|=n} \Psi(G) \\ \text{FT-}k\text{-CS}(\mathcal{F}, n, f) &= \max_{G \in \mathcal{F}, |V(G)|=n} \Psi(G, k) \end{aligned}$$

When  $\mathcal{F}$  is the family of all graphs, then we simply use  $\text{FTCS}(n, f)$  and  $\text{FT-}k\text{-CS}(n, f)$ . Our goal is to give asymptotic upper bounds on  $\text{FTCS}(n, f)$  and  $\text{FT-}k\text{-CS}(n, f)$ . Since any

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graph has at most  $\binom{n}{2}$  edges, we have that  $\text{FTCS}(n, f)$  (or  $\text{FT-}k\text{-CS}(n, f)$ ) is at most  $\mathcal{O}(n^2)$ . Let  $G$  be a clique on  $n$  vertices. First, note that  $\lambda(G) = n - 1$ . Next observe that any  $f$ -FTCS,  $H$  of  $G$ , even for  $f = 1$ , must contain *all the edges of the clique*. Indeed, if an edge  $(u, v) \in E(G)$  is not present in  $H$ , then the adversary may delete an edge adjacent to  $u$  or  $v$  in the clique, that is not  $(u, v)$ . In this case,  $\lambda(G - F) = n - 2$ , whereas  $\lambda(H - F) \leq n - 3$ . This simple construction shows that  $\text{FTCS}(n, 1)$  is at least  $\Omega(n^2)$ . This bound tells us that for these problems we can not improve upon the trivial upper bounds.

Our example with family of cliques seems to suggest that we have reached the end of the road. However, on the second look we observe that for a clique even  $\lambda(G) = \Omega(n)$ . Thus, we can also express our lower bound as  $\lambda(G) \cdot n$ . This motivates us to look for a *fine-grained* definition of  $\text{FTCS}(n, f)$  and  $\text{FT-}k\text{-CS}(n, f)$ , that not only takes into account  $n$  and  $f$ , but also some parameter that captures the edge-connectivity (or the value of  $k$ -cut) of the input graph. In particular, we can come up with the following new definitions. Let  $\mathcal{F}$  be a family of graphs, then for all  $n, \ell \in \mathbb{N}$ , we define the following:

$$\begin{aligned} \text{FTCS}(\mathcal{F}, n, \ell, f) &= \max_{G \in \mathcal{F}, |V(G)|=n, \lambda(G)=\ell} \Psi(G) \\ \text{FT-}k\text{-CS}(\mathcal{F}, n, \ell, f) &= \max_{G \in \mathcal{F}, |V(G)|=n, \lambda(G, k)=\ell} \Psi(G, k) \end{aligned}$$

With respect to our new definition, when  $\mathcal{F}$  is a family of cliques, we have that  $\text{FTCS}(\mathcal{F}, n, \ell, 1)$  is at most  $\mathcal{O}(\ell n)$ . Thus, a natural question arises: Can we derive similar upper bound even when  $\mathcal{F}$  denotes the family of all graphs? Indeed, we provide a matching upper and lower bound on these quantities in this paper. As before, when  $\mathcal{F}$  is the family of all graphs. Then, we simply use  $\text{FTCS}(n, \ell, f)$  and  $\text{FT-}k\text{-CS}(n, \ell, f)$ . Our first result is the following.

► **Theorem 1.2.** *Let  $n, \ell$  and  $f$  be three positive integers. Then,  $\text{FTCS}(n, \ell, f)$  is upper bounded by  $(f + \ell)(n - 1)$ .*

The proof of Theorem 1.2 is inspired from the concept of *k-connectivity certificates* used in the literature [14, 35]. For a  $k$ -edge connected graph  $G = (V, E)$ , a subset of edges  $E' \subseteq E$  is called a  $k$ -connectivity certificate of the graph  $G$ , if the subgraph  $G' = (V, E')$  is  $k$ -edge connected. For a  $k$ -edge connected graph on  $n$  vertices, there always exists a  $k$ -connectivity certificate with at most  $k(n - 1)$  edges [14]. For our proof, we modify a known construction of a  $k$ -connectivity certificate to also handle edge failures.

Our second result complements the above upper bound, by showing that this bound is tight upto constant factors. Specifically, we show the following.

► **Theorem 1.3.** *There exists an infinite family of triplets  $(n, \ell, f)$  such that*

$$\text{FTCS}(n, \ell, f) \geq \frac{(n - \ell - 1)(\ell + f - 1)}{2} + (n - \ell - 1) + \frac{\ell(\ell + 1)}{2}.$$

To prove Theorem 1.3, we construct an infinite family of graphs  $(\mathcal{G})$ , such that for any  $G \in \mathcal{G}$  we have that any  $f$ -FTCS of  $G$  must contain all its edges. In particular, for any positive integers  $n, \ell, f$ , such that  $\frac{n - \ell - 1}{\ell + f}$  is an *integer*, we construct a graph  $G$  on  $n$  vertices and  $(n - \ell - 1)\frac{(\ell + f - 1)}{2} + (n - \ell - 1) + \frac{\ell(\ell + 1)}{2}$  edges with  $\lambda(G) = \ell$  (note that  $\ell \leq n - 1$ ) such that any  $f$ -FTCS of  $G$  must contain all the edges of  $G$ . The construction of the family  $\mathcal{G}$ , and the analysis that for any graph  $G \in \mathcal{G}$ , any  $f$ -FTCS of  $G$  must contain all its edges are quite technical.

Next we generalize our results on GLOBAL MIN-CUT to MIN  $k$ -CUT and give the following two results about  $\text{Ft-}k\text{-cs}(n, \ell, f)$ .

► **Theorem 1.4.** *Let  $n, \ell$  and  $f$  be three positive integers. Then,  $\text{FT-}k\text{-CS}(n, \ell, f)$  is upper bounded by  $\min\{(2f + \ell - (k - 1))(n - 1), (f + \ell)(n - k) + \ell\}$ .*

Proof of Theorem 1.4 is quite involved and requires understanding the intricate relationship between edge-connectivity certificates and the MIN  $k$ -CUT problem. This is one of the main technical results. In our final result, we complement Theorem 1.4 with a tight lower bound.

► **Theorem 1.5.** *There exists an infinite family of triplets  $(n, \ell, f)$  such that*

$$\text{FT-}k\text{-CS}(n, \ell, f) \geq \frac{(n - \ell - 1)(\ell + f - k + 1)}{2} + n - \ell + k - 3 + \frac{(\ell - k + 3)(\ell - k + 2)}{2}.$$

While the construction is somewhat similar in spirit to the construction of the lower bound for the construction of the family of graphs for GLOBAL MIN-CUT, the proof of correctness is even more involved.

**Tightness of our Upper and Lower Bounds.** Notwithstanding the fact that the leading terms in our upper and lower bounds appear close, there are some negative quantities in the leading terms, and in some ranges, the other terms in the bounds dominate. Still, our bounds for GLOBAL MIN-CUT are asymptotically optimal. For example in the lower bound for GLOBAL MIN-CUT (Theorem 1.3), when  $n - \ell$  becomes  $o(n)$ ,  $\ell$  is  $\Omega(n)$  and in this case the  $\frac{\ell(\ell+1)}{2}$  bound dominates and we get a lower bound of  $\Omega(n^2)$  which is asymptotically optimal given our upper bound and the range of  $\ell$ . When  $\ell$  is  $o(n)$ , our lower bound is  $\Omega((f + \ell)n)$  which matches asymptotically with the upper bound.

For MIN  $k$ -CUT however, there are some gaps. For example, if  $\ell = n - 1$  and  $k = n - \log n$ , the upper bound is  $\mathcal{O}((f + n) \log n)$  but the lower bound is  $\Omega(n)$ . Such a gap exists in some ranges of  $f$  and  $k$  when  $n - \ell$  and  $\ell - k$  are both  $o(n)$ . However, when  $n - \ell$  or  $\ell - k$  is  $\Theta(n)$ , our upper and lower bounds are a constant factor away from each other.

**Algorithmic Considerations.** The proof of Theorem 1.2 is constructive. That is, given a graph  $G$  and an integer  $f$ , in polynomial time we can construct an  $f$ -FTCS of  $G$  with at most  $(f + \lambda(G))(n - 1)$  edges. For this algorithm we just need the value of  $\lambda(G)$ , which can be computed in  $\mathcal{O}(m \log^2 n (\log \log n)^2)$  time [23]. However, the proof of Theorem 1.4 is “almost” constructive. That is, the proof can be made constructive, if for a graph  $G$  we can compute the value of  $\lambda(G, k)$  in polynomial time. Indeed, for a constant value of  $k$ , we could use the polynomial time algorithm running in time  $n^{\mathcal{O}(k)}$  [10, 19, 41]. However, the running time of this algorithm grows with  $k$ , and hence becomes prohibitive quite soon. Thus, as an alternative we could use an upper bound on  $\lambda(G, k)$ , provided by the known polynomial time factor 2 approximation algorithm [21, 42]. This leads to an upper bound of  $\min\{(2f + 2\lambda(G, k) - (k - 1))(n - 1), (f + 2\lambda(G, k))(n - k) + 2\lambda(G, k)\}$  on the constructed  $f$ -FT- $k$ -CS, which is slightly worse than the upper bound provided by Theorem 1.4.

## 2 Preliminaries

Given an integer  $q$ , we use  $[q]$  to denote  $\{1, \dots, q\}$ . Further, for two integers,  $q_1 \leq q_2$ , we use  $[q_1, q_2]$  to denote  $\{q_1, \dots, q_2\}$ . For a graph  $G = (V, E)$ , we also use  $V(G)$  and  $E(G)$  to denote the set of vertices and the set of edges of graph  $G$ , respectively. A path  $P$  in  $G$  is a sequence of distinct vertices ( $P = v_1 v_2 \dots v_q$ ), such that two consecutive vertices have an edge between them. Let  $A_1, \dots, A_\ell$  be a partition of the vertex set  $V(G)$  of a graph  $G$ . That is,  $\cup_{i=1}^{\ell} A_i = V(G)$  and for all  $i \neq j$ ,  $A_i \cap A_j = \emptyset$ . We use  $E(A_1, \dots, A_\ell, G)$  to denote the set

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of edges such that each edge in the set has one endpoint in  $A_i$  and the other endpoint in  $A_j$ , where  $i \neq j$ . For a graph  $G$ , and a pair of vertices  $u, v \in V(G)$ , we use  $\lambda_G(u, v)$  to denote the minimum number of edges whose removal separates  $u$  and  $v$  (that is,  $u$  and  $v$  belong to different connected components). If the graph  $G$  is clear from the context, we omit the subscript  $G$  from  $\lambda_G(u, v)$ , and simply write  $\lambda(u, v)$ . Next, we state the classical Menger's Theorem and a simple lemma which are crucially used in our proofs,

► **Lemma 2.1** (Menger's Theorem, [33]). *Let  $G$  be an undirected graph and let  $u$  and  $v$  be two vertices of  $G$ . Then the maximum number of pairwise edge-disjoint  $u$ - $v$  paths in  $G$  is equal to  $\lambda(u, v)$ .*

► **Lemma 2.2.** *Let  $G = (V, E)$  be an undirected graph and let  $H$  be a subgraph of  $G$ . Let  $k > 1$  be an integer. Then,  $\lambda(H) \leq \lambda(G)$  and  $\lambda(H, k) \leq \lambda(G, k)$ .*

### 3 Global Min-Cut

In this section we develop upper and lower bounds on  $\text{FTCS}(n, \ell, f)$ . In particular we prove Theorems 1.2 and 1.3.

#### 3.1 Upper Bound

Let  $n, \ell$  and  $f$  be three positive integers. We need to show that  $\text{FTCS}(n, \ell, f)$  is upper bounded by  $(f + \ell)(n - 1)$ . Towards this we show that given an undirected graph  $G$ , and an integer  $f$ , we can construct an  $f$ -FTCS,  $H$ , of  $G$  on at most  $(f + \lambda(G))(n - 1)$  edges. Indeed, when  $\lambda(G) = \ell$ , the upper bound follows. Further, we assume  $G$  is connected. If  $G$  is disconnected then  $\lambda(G) = 0$ , and it remains so after any edge failure. Thus, in this case we can take  $H$  to be an empty graph. Our construction is presented next.

##### Construction of an $f$ -FTCS of a graph $G$ .

1. Initialize  $f + \ell$  empty (no edges) forests  $T_1, T_2, \dots, T_{f+\ell}$  on the same vertex set  $V(G)$ .
2. for each edge  $(u, v) \in E(G)$ , do the following.
  - Find the smallest integer  $i \in [f + \ell]$ , such that  $u$  and  $v$  are in different connected components of  $T_i$ . If no such  $i$  exists, then assign  $i$  to  $\infty$ .
  - If  $i$  is not  $\infty$  then add  $(u, v)$  to  $T_i$ .
3. Output  $H = \cup_{a=1}^{f+\ell} T_a$ .

We will show that  $H$  is an  $f$ -FTCS with at most  $(f + \ell)(n - 1)$  edges. The bound on the number of edges on  $H$  is clear, as  $H$  is the union of at most  $(f + \ell)$  forests.

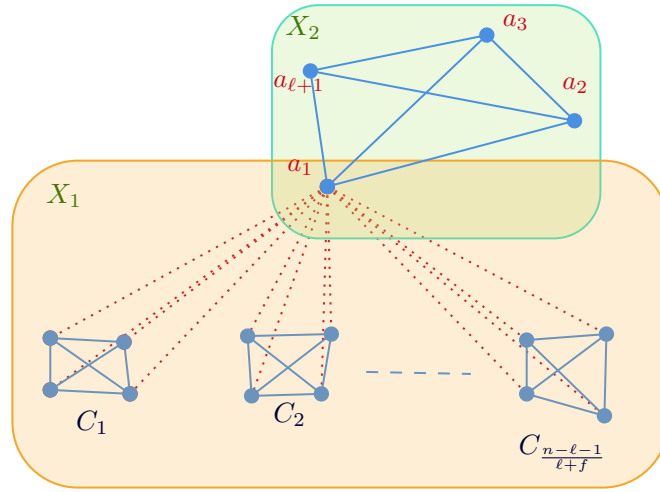
► **Lemma 3.1.** *The subgraph  $H$  has at most  $(f + \ell)(n - 1)$  edges.*

Next, we show that  $H$  is an  $f$ -FTCS. We start with the following observation.

► **Lemma 3.2.**  $(\star)^1$  *Let  $(u, v) \in E(G) \setminus E(H)$ . Then there are at least  $\ell + f$  edge-disjoint paths between  $u$  and  $v$  in  $G$  and  $H$ .*

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<sup>1</sup> Results marked with  $\star$  are deferred to the full version.



■ **Figure 1** Vertex  $a_1$  has degree  $n - \ell - 1$  within  $X_1$ . Vertices  $a_1, a_2, \dots, a_{\ell+1}$  in  $X_2$  form an induced  $\ell + 1$ -clique.  $C_1, C_2, \dots, C_{\frac{n-\ell-1}{\ell+f}}$  represent  $\frac{n-\ell-1}{\ell+f}$  cliques each of size  $\ell + f$  within  $X_1$ .

To prove that  $H$  is an  $f$ -FTCS of  $G$ , we need to show that for any set of edges  $F \subseteq E(G)$  of cardinality at most  $f$ ,  $\lambda(H - F) = \lambda(G - F)$ . As  $H$  is a subgraph of  $G$ , we know from Lemma 2.2 that  $\lambda(H - F) \leq \lambda(G - F)$ . Now we show that  $\lambda(H - F) \geq \lambda(G - F)$ .

► **Lemma 3.3.** *Let  $G$  be an undirected graph with  $\lambda(G) = \ell$ ,  $f$  be a positive integer, and  $H$  be the subgraph constructed above. Then for any set  $F$  of at most  $f$  edges,  $\lambda(H - F) \geq \lambda(G - F)$ .*

**Proof.** Let  $A, B$  be a partition of  $V(G)$  such that  $|E(A, B, H - F)| = \lambda(H - F)$ . If  $E(A, B, H - F) = E(A, B, G - F)$ , then we have that a min-cut in  $H - F$  is also a min-cut in  $G - F$  of the same size, thereby proving that  $\lambda(H - F) \geq \lambda(G - F)$ . Suppose not. As  $H$  is a subgraph of  $G$ ,  $E(A, B, H - F) \subseteq E(A, B, G - F)$ . Suppose  $(u, v) \in E(A, B, G - F) \setminus E(A, B, H - F)$ . Then  $(u, v) \in E(G) \setminus E(H)$ . Then from Lemma 3.2, there are  $\ell + f$  edge-disjoint paths between  $u$  and  $v$  in  $H$ , and hence there will be at least  $\ell$  edge-disjoint paths between  $u$  and  $v$  in  $H - F$ . Hence,  $\lambda(H - F) = |E(A, B, H - F)| \geq \ell = \lambda(G) \geq \lambda(G - F)$ . ◀

Proof of Theorem 1.2 follows from Lemmas 3.1, 3.2 and 3.3.

### 3.2 Lower Bound

In this section we show that the upper bound shown on  $\text{FTCS}(n, \ell, f)$  in Section 3.1 is indeed asymptotically tight. To prove Theorem 1.3, we construct an infinite family of graphs  $\mathcal{G}$ , such that for any  $G \in \mathcal{G}$  we have that any  $f$ -FTCS of  $G$  must contain all its edges. In particular, for any positive integers  $n, \ell, f$ , such that  $\frac{n-\ell-1}{\ell+f}$  is an integer, we construct a graph  $G$  on  $n$  vertices and  $(n - \ell - 1) \frac{(\ell+f-1)}{2} + (n - \ell - 1) + \frac{\ell(\ell+1)}{2}$  edges with  $\lambda(G) = \ell$ , such that any  $f$ -FTCS of  $G$  must contain all the edges of  $G$ .

Let  $n, \ell, f$  be three integers such that  $\frac{n-\ell-1}{\ell+f} = q$  is an integer. We first describe the construction of a graph  $G$  on  $n$  vertices. To easily understand our construction, we would suggest to simultaneously refer to the illustration given in Figure 1.

**Construction of a graph  $G$ .** Here,  $q = \frac{n-\ell-1}{\ell+f}$ .

- The vertex set  $V(G)$  is a union of  $X_1$  and  $X_2$ , such that  $|X_1 \cap X_2| = 1$ .
- $X_1$  has  $q$  pairwise vertex disjoint cliques  $C_1, \dots, C_q$ . Each clique  $C_i$  is on  $(\ell + f)$  vertices.  $X_1$  also contains a vertex  $a_1$  as described below. (The edges of the cliques,  $C_1, \dots, C_q$ , are denoted by the solid blue edges in Figure 1.)
- The set  $X_2$  consists of  $a_1, \dots, a_{\ell+1}$  vertices that form a clique. These vertices do not belong to the cliques,  $C_1, \dots, C_q$ . (The edges of the clique on  $a_1, \dots, a_{\ell+1}$  are represented by blue solid edges in Figure 1.)
- Let  $a_1 \in X_2$  be a fixed vertex. Each vertex in a clique  $C_i, i \in [q]$ , is adjacent to the vertex  $a_1$ . There are no edges between a pair of vertices belonging to two distinct cliques,  $C_i$  and  $C_j$ . The vertex  $a_1$  is the only common vertex between two sets  $X_1$  and  $X_2$ . (Edges between  $a_1$  and the vertices in the cliques,  $C_1, \dots, C_q$ , are represented by the red dotted edges in Figure 1.)

In the upcoming lemmas we show certain properties of our construction. Here, Lemma 3.5 is used to prove Lemma 3.6.

► **Lemma 3.4.** (★) *The number of edges in  $G$  is  $(n - \ell - 1) \frac{(\ell+f+1)}{2} + \frac{\ell(\ell+1)}{2}$ .*

► **Lemma 3.5.** (★) *For any two vertices  $u_1, u_2 \in X_1$ ,  $\lambda(u_1, u_2) \geq \ell + f$ .*

► **Lemma 3.6.** (★) *Let  $G$  be a graph and  $f \geq 1$  be a positive integer. Then  $\lambda(G) = \ell$ . Further, for any  $F \subseteq E(G[X_1])$  of size at most  $f$ , we have that  $\lambda(G - F) = \ell$ .*

We now prove the final property of an  $f$ -FTCS.

► **Lemma 3.7.** *Any  $f$ -FTCS of  $G$  must contain all the edges of  $G$ .*

**Proof.** Let  $H$  be an  $f$ -FTCS of  $G$ . We will show that  $H$  must contain all the edges of  $G$ . Towards this, we partition the edges of  $G$  into three parts, and show that all these edges are required in  $H$ . In particular, we show that if  $H$  does not include an edge of  $G$ , then there is a strategy for the adversary to choose a subset  $F$  of edges (of size at most  $f$ ) to delete from  $G$  such that  $\lambda(G - F)$  and  $\lambda(H - F)$  are not the same. Let  $u_i, i \in [\ell + f]$ , be the set of vertices of a fixed clique  $C_j$ .

- (i) Let us first show that the edges in the cliques  $C_i, i \in [q]$ , have to be present in  $H$  (the solid blue edges in  $X_1$  in Figure 1). Each  $u_i$  has  $\ell + f - 1$  edges to vertices in  $C_j$  apart from an edge to  $a_1$ . Suppose an edge  $(u_y, u_z), y, z \in [\ell + f], y \neq z$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges adjacent to  $u_z$  in  $C_j$  other than  $(u_y, u_z)$ . We know that  $f$  edges exist as  $\ell \geq 1$  (by construction  $G$  is connected). Now by Lemma 3.6 we know that  $\lambda(G - F) = \ell$ . But the degree of  $u_z$  in  $H - F$  becomes  $\ell - 1$  as  $(u_y, u_z) \notin H$ . Thus,  $\lambda(H - F) \leq \ell - 1$ . This contradicts  $H$  being an  $f$ -FTCS of  $G$ . Therefore, all edges of the cliques  $C_i$  must be present in  $H$ .
- (ii) Next, we show that edges  $E(\{a_1\}, C_i, G), i \in [q]$ , must be present in  $H$  (the red dotted edges in  $X_1$  in Figure 1). Suppose  $(u_z, a_1), z \in [\ell + f]$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges adjacent to  $u_z$  in  $C_j$  other than  $(u_z, a_1)$ . Now by Lemma 3.6 we know that  $\lambda(G - F) = \ell$ . However, the degree of  $u_z$  in  $H - F$  is  $\ell - 1$ . Thus,  $\lambda(H - F) \leq \ell - 1$ . This contradicts  $H$  being an  $f$ -FTCS of  $G$ . Therefore, for all  $i \in [q]$ , all the edges in  $E(\{a_1\}, C_i, G)$  must be present in  $H$ .
- (iii) Lastly, we show that all the edges of the  $(\ell + 1)$ -clique in  $X_2$  formed by  $a_i, i \in [\ell + 1]$  must be present in  $H$  (the solid blue edges in  $X_2$  in Figure 1). Suppose an edge



$(a_i, a_j), i, j \in [\ell + 1], i \neq j$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges of the form  $(u_i, a_1), i \in [f]$ . All these edges exist in  $G - F$  as  $\ell + f \geq f + 1$  (Since by construction  $G$  is connected and  $\ell \geq 1$ ). Observe that  $F \subseteq E(G[X_1])$  of size at most  $f$ , and hence by Lemma 3.6 we have that  $\lambda(G - F) = \ell$ . However,  $\lambda(H - F) = \ell - 1$ , as  $a_i$  and  $a_j$  have degree  $\ell - 1$  inside  $X_2$  in  $H - F$ . This contradicts  $H$  being an  $f$ -FTCS of  $G$ . Therefore, all the edges of the  $\ell + 1$ -clique in  $X_2$  must be present in  $H$ .

The three cases together show that if  $H$  is an  $f$ -FTCS of  $G$  then all edges of the graph  $G$  must be present in  $H$ . Thus, the total number of edges present in  $H$  is  $(n - \ell - 1) \frac{(\ell + f - 1)}{2} + (n - \ell - 1) + \frac{\ell(\ell + 1)}{2}$ . Our proof follows. ◀

Proof of Theorem 1.3 follows from Lemmas 3.4, 3.6 and 3.7.

## 4 Min $k$ -Cut

In this section we develop upper and lower bounds on  $\text{FT-}k\text{-CS}(n, \ell, f)$ . In particular we prove Theorems 1.4 and 1.5.

### 4.1 Upper Bound

Let  $n, \ell$  and  $f$  be three positive integers. We need to show that  $\text{FT-}k\text{-CS}(n, \ell, f)$  is upper bounded by  $\min\{(2f + \ell - (k - 1))(n - 1), (f + \ell)(n - k) + \ell\}$ . Towards this we show that given an undirected graph  $G$ , and an integer  $f \geq 1$ , we can construct an  $f$ -FT- $k$ -CS,  $H$  of  $G$  on at most  $\min\{(2f + \lambda(G, k) - (k - 1))(n - 1), ((f + \lambda(G, k))(n - k) + \lambda(G, k))\}$  edges. Indeed, when  $\lambda(G, k) = \ell$ , the upper bound follows. Our construction is presented next. It is similar to the construction of in Section 3.1 except for the choice of  $t$ .  $G$  is assumed to be connected in the algorithm. The complementary case will be handled later.

#### K-WAY-FAULT-TOLERANT-CONSTRUCTION

##### Construction of an $f$ -FT- $k$ -CS of a graph $G$ .

1. Let  $t = \min\{2f + \ell + 1 - k, f + \ell\}$ .
2. Initialize  $t$  empty (no edges) forests  $T_1, T_2, \dots, T_t$  on the same vertex set  $V(G)$ .
3. for each edge  $(u, v) \in E(G)$ , do the following.
  - Find the smallest integer  $i \in [t]$ , such that  $u$  and  $v$  are in different connected components of  $T_i$ . If no such  $i$  exists, then assign  $i$  to  $\infty$ .
  - If  $i$  is not  $\infty$  then add  $(u, v)$  to  $T_i$ .
4. Output  $H = \cup_{a=1}^t T_a$ .

Next, we show that  $H$  is an  $f$ -FT- $k$ -CS for both the values the variable  $t$  can take. We start with the following observation which we use in both the cases.

► **Lemma 4.1.** ( $\star$ ) *Let  $(u, v) \in E(G) \setminus E(H)$ . Then there are at least  $t$  edge-disjoint paths between  $u$  and  $v$  in  $G$  and  $H$ .*

Note that the  $t(n - 1)$  upper bound of Section 3.1 for the number of edges in  $H$  applies here too with the same proof. However, we show stronger bounds for certain values of  $t$ .

### 4.1.1 Case of $t = f + \ell$

► **Lemma 4.2.** *The subgraph  $H$  has at most  $(f + \ell)(n - k) + \ell$  edges.*

**Proof.** Let  $A_1, \dots, A_k$  be a partition of  $V(G)$  such that  $|E(A_1, \dots, A_k, G)| = \ell$ . Let  $X = E(A_1, \dots, A_k, G)$ . We will show that every forest  $T_i - X$ ,  $i \in [f + \ell]$ , has at least  $k$  connected components. Note that, once we can show this claim, we can get the upper bound on the number of edges in  $H$ . Indeed, each  $T_i - X$  has at most  $n - k$  edges (since, it has at least  $k$  components) and hence  $|E(H)| \leq \sum_{i=1}^{f+\ell} |E(T_i - X)| + |X| \leq (f + \ell)(n - k) + \ell$ . Next we prove our claim. Observe that every edge going out of the connected components  $A_j$ ,  $j \in [k]$ , is contained inside  $X$ . Thus, in particular, every edge going out of the vertices in  $A_j$  in  $T_i$  is also contained inside  $X$ . Hence, the vertices of  $A_j$  at least form one connected component in  $T_i - X$ . This concludes the proof that every forest  $T_i - X$ ,  $i \in [f + \ell]$ , has at least  $k$  connected components. ◀

Next, we show that  $H$  is an  $f$ -FT- $k$ -CS.

► **Lemma 4.3.** *Let  $G$  be a graph with  $\lambda(G, k) = \ell$ ,  $f$  be a positive integer, and  $H$  be the subgraph constructed above. Then, for any set  $F$  of at most  $f$  edges,  $\lambda(H - F, k) \geq \lambda(G - F, k)$ .*

**Proof.** Let  $A_1, \dots, A_k$  be a partition of  $V(G)$  such that  $|E(A_1, \dots, A_k, H - F)| = \lambda(H - F, k)$ . If  $E(A_1, \dots, A_k, H - F) = E(A_1, \dots, A_k, G - F)$ , then we have that a  $k$ -cut in  $H - F$  is also a  $k$ -cut in  $G - F$  of the same size, thereby proving that  $\lambda(H - F, k) \geq \lambda(G - F, k)$ . Suppose not. As  $H$  is a subgraph of  $G$ ,  $E(A_1, \dots, A_k, H - F) \subseteq E(A_1, \dots, A_k, G - F)$ . Suppose  $(u, v) \in E(A_1, \dots, A_k, G - F) \setminus E(A_1, \dots, A_k, H - F)$ . Then  $(u, v) \in E(G) \setminus E(H)$ . Then from Lemma 4.1, there are  $\ell + f$  edge-disjoint paths between  $u$  and  $v$  in  $H$ , and hence there will be at least  $\ell$  edge-disjoint paths between  $u$  and  $v$  in  $H - F$ . Hence,  $\lambda(H - F, k) = |E(A_1, \dots, A_k, H - F)| \geq \ell = \lambda(G, k) \geq \lambda(G - F, k)$ . This concludes the proof. ◀

### 4.1.2 Case of $t = 2f + \ell + 1 - k$

We will show that  $H$  is an  $f$ -FT- $k$ -CS with at most  $(2f + \ell + 1 - k)(n - 1)$  edges.

The bound on the number of edges on  $H$  is clear, as  $H$  is the union of at most  $(2f + \ell + 1 - k)$  forests.

► **Lemma 4.4.** *The subgraph  $H$  has at most  $(2f + \ell + 1 - k)(n - 1)$  edges.*

We could have obtained a bound similar to Lemma 4.2, but in this case, it does not give us asymptotically better bound than that of  $(2f + \ell + 1 - k)(n - 1)$ . Next, we show that  $H$  is an  $f$ -FT- $k$ -CS. We start with the following lemma which is a folklore and we give the proof here for completeness.

► **Lemma 4.5.**  $(\star)$  *Let  $G$  be a connected graph and let  $u_1, \dots, u_p \in V(G)$ . Further, let  $E_{[p]}$  be an inclusion-wise minimal subset of edges, such that  $u_1, \dots, u_p$  get pairwise separated in  $G - E_{[p]}$ , then  $G - E_{[p]}$  has exactly  $p$  connected components, one containing each  $u_i$ ,  $i \in [p]$ .*

► **Lemma 4.6.** *Let  $G$  be a connected graph, then for all  $p \leq k$ , we have that  $\lambda(G, k) \geq \lambda(G, p) + (k - p)$ .*

**Proof.** Let  $A_1, \dots, A_k$  be a  $k$  partition of  $V(G)$  such that  $|E(A_1, \dots, A_k, G)| = \lambda(G, k)$ . Let  $u_i \in A_i$ ,  $i \in [p]$ , be a vertex. Clearly,  $E(A_1, \dots, A_k, G)$  separates any pair of vertices in  $\{u_1, \dots, u_p\}$ , and thus there exists an inclusion-wise minimal subset  $E_{[p]} \subseteq E(A_1, \dots, A_k, G)$ ,

such that any pair of vertices in  $\{u_1, \dots, u_p\}$  gets separated in  $G - E_{[p]}$ . Now by Lemma 4.5, we have that  $G - E_{[p]}$  has exactly  $p$  connected components, one containing each  $u_i$ ,  $i \in [p]$ . This implies that  $E_p$  is a  $p$ -cut in  $G$  (may not be of the minimum size) and thus,  $|E_{[p]}| \geq \lambda(G, p)$ .

However,  $G - E(A_1, \dots, A_k, G)$  has  $k$  connected components, and deleting an edge can only increase the number of connected components by 1. This implies that  $|E(A_1, \dots, A_k, G) \setminus E_{[p]}| \geq (k - p)$ . Putting together this with the fact that  $|E_{[p]}| \geq \lambda(G, p)$ , we get that

$$\lambda(G, k) \geq |E_{[p]}| + (k - p) \geq \lambda(G, p) + (k - p).$$

This concludes the proof. ◀

To prove that  $H$  is an  $f$ -FT- $k$ -CS of  $G$ , we need to show that for any set of edges  $F \subseteq E(G)$  of cardinality at most  $f$ ,  $\lambda(H - F, k) = \lambda(G - F, k)$ . As  $H$  is a subgraph of  $G$ , we know from Lemma 2.2 that  $\lambda(H - F, k) \leq \lambda(G - F, k)$ . Now we show that  $\lambda(H - F, k) \geq \lambda(G - F, k)$ . In fact, we will prove something stronger, which we call *robustness*. That is, for all  $k^* \leq k$ , we have that  $\lambda(H - F, k^*) \geq \lambda(G - F, k^*)$ .

► **Lemma 4.7 (Robustness).** *Let  $G$  be a connected graph with  $\lambda(G, k) = \ell$ ,  $f$  be a positive integer, and  $H$  be the subgraph constructed above. Then, for any set  $F$  of at most  $f$  edges, and for  $k^* \leq k$ ,  $\lambda(H - F, k^*) \geq \lambda(G - F, k^*)$ .*

**Proof.** Let  $A_1, \dots, A_{k^*}$  be a partition into  $k^*$  sets of  $V(G)$  such that  $|E(A_1, \dots, A_{k^*}, H - F)| = \lambda(H - F, k^*)$ . If  $E(A_1, \dots, A_{k^*}, H - F) = E(A_1, \dots, A_{k^*}, G - F)$ , then we have that a min  $k^*$ -cut in  $H - F$  is also a min  $k^*$ -cut in  $G - F$  of the same size, thereby proving that  $\lambda(H - F, k^*) \geq \lambda(G - F, k^*)$ . Suppose not. As  $H$  is a subgraph of  $G$ ,  $E(A_1, \dots, A_{k^*}, H - F) \subseteq E(A_1, \dots, A_{k^*}, G - F)$ . Suppose  $(u, v) \in E(A_i, A_j, G - F) \setminus E(A_i, A_j, H - F)$ ,  $i, j \in [k^*]$ , and  $i \neq j$ . Then  $(u, v) \in E(G) \setminus E(H)$ . From Lemma 4.1, there are  $2f + \ell + 1 - k$  edge-disjoint paths between  $u$  and  $v$  in  $H$ , and hence there will be at least  $f + \ell + 1 - k$  edge-disjoint paths between  $u$  and  $v$  in  $H - F$ .

Observe that, since  $G$  is connected,  $H$  is also connected by our construction ( $T_1$  is definitely a spanning tree). However,  $H - F$  may not be *connected*. On the other hand, since  $\lambda_H(u, v) \geq 2f + \ell + 1 - k$ , we get that  $\lambda_{H-F}(u, v) \geq f + \ell + 1 - k$ . Note that since,  $H$  is connected, any  $k$ -cut has size at least  $k - 1$ , and thus,  $\ell + 1 \geq k$  (recall that,  $\lambda(G, k) = \ell$ ). Since,  $\lambda_{H-F}(u, v) \geq f + \ell + 1 - k \geq f \geq 1$ , we have that  $u$  and  $v$  are in the same connected component of  $H - F$ . Further, they get separated after we delete  $E(A_1, \dots, A_{k^*}, H - F)$  from  $H - F$ . This implies that the number of connected components in  $H - F$  is at most  $k^* - 1$ . Next observe that since  $H$  is connected, deleting  $F$  from  $H$  can only result in at most  $|F| + 1$  connected components in  $H - F$ . Thus, the number of connected components in  $H - F$ , say  $d$ , is upper bounded by the minimum of  $\{k^* - 1, f + 1\}$ .

Let the connected component containing  $u, v$  in  $H - F$  be denoted by  $C_{uv}$ . Observe that  $E(A_1, \dots, A_{k^*}, H - F)$  separates  $u$  from  $v$  in  $H - F - E(A_1, \dots, A_{k^*}, H - F)$ , and thus there exists an inclusion-wise minimal subset  $E_{uv} \subseteq E(A_1, \dots, A_{k^*}, H - F)$ , such that  $u$  and  $v$  get separated in  $(H - F) - E_{uv}$ . Further, note that the minimality of  $E_{uv}$  implies that  $E_{uv} \subseteq E(C_{uv})$ , and it is an inclusion-wise minimal separator for  $u$  and  $v$  in  $C_{uv}$ . Applying, Lemma 4.5 on  $C_{uv}$ , we get that  $C_{uv} - E_{uv}$  has exactly two connected components,  $C_u$  and  $C_v$ , containing  $u, v$ , respectively. This implies that  $|E_{uv}| \geq \lambda_{H-F}(u, v) = \lambda_{C_{uv}}(u, v) \geq f + \ell + 1 - k$ . Recall that,  $H - F$  has  $d$  components, and thus  $H - F - E_{uv}$  has  $d + 1$  components. However,  $H - F - E(A_1, \dots, A_{k^*}, H - F)$  has  $k^*$  connected components. This implies that

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$|E(A_1, \dots, A_{k^*}, H - F) \setminus E_{uv}| \geq (k^* - d)$ . Hence,

$$\begin{aligned}
 \lambda(H - F, k^*) &= |E(A_1, \dots, A_{k^*}, H - F)| \\
 &\geq f + \ell + 1 - k + (k^* - d) \\
 &\geq \ell + (f + 1) - (f + 1) - (k - k^*) && \text{(Using } d \leq f + 1\text{)} \\
 &= \ell - (k - k^*) \\
 &= \lambda(G, k) - (k - k^*) && \text{(since, } \lambda(G, k) = \ell\text{)} \\
 &\geq \lambda(G - F, k^*) + (k - k^*) - (k - k^*) && \text{(Lemma 4.6)} \\
 &= \lambda(G - F, k^*).
 \end{aligned}$$

This concludes the proof.  $\blacktriangleleft$

Now we deal with the case when  $G$  is not connected.

► **Lemma 4.8.** *Let  $G$  be a disconnected graph with  $d > 1$  connected components with  $\lambda(G, k) = \ell$  and let  $f$  be a positive integer. Then there exists a subgraph  $H$  of  $G$  with at most  $(n - d)(2f + \ell + 2 - k + d)$  edges such that for any set  $F$  of at most  $f$  edges,  $\lambda(H - F, k) \geq \lambda(G - F, k)$ .*

**Proof.** If  $d \geq k$ , then we return  $H$  as an empty (edgeless) graph on the vertices of  $G$ . So let us assume that  $d < k$ . Suppose,  $G$  has connected components  $G_1, \dots, G_d$ . We apply K-WAY-FAULT-TOLERANT-CONSTRUCTION with  $G_i, i \in [d]$  and  $k' = (k - d + 1)$  and get  $H_i$ . Let  $H = \cup_{i=1}^d H_i$ . That is, we apply our upper bound construction on *each of the connected components* with  $k'$  and get the desired  $H$ . Lemma 4.7 implies the following.

▷ **Claim 4.9.** For all  $i \in [d]$ ,  $k^* \leq k'$ ,  $H_i$  is a  $f$ -FT- $k^*$ -CS of  $G_i$ .

Next we show that for any set  $F$  of at most  $f$  edges,  $\lambda(H - F, k) \geq \lambda(G - F, k)$ . Let  $A_1, \dots, A_k$  be a  $k$  partition of  $V(G)$  such that  $|E(A_1, \dots, A_k, H - F)| = \lambda(H - F, k)$ . Observe that, since  $G_i$  is connected we have that  $H_i$  is connected. Let  $A_1, \dots, A_k$  be a  $k$  partition of  $V(G)$  such that  $|E(A_1, \dots, A_k, H - F)| = \lambda(H - F, k)$ . Recall, that  $d < k$ , thus,  $\lambda(H - F, k) > 0$ . Further, from the minimality of  $E(A_1, \dots, A_k, H - F)$ , and the fact that  $|E(A_1, \dots, A_k, H - F)| \geq 1$ , we have that  $H[A_i]$  is connected, and completely contained inside one of  $G_j, j \in [d]$ . That is,  $E(A_1, \dots, A_k, H - F)$  further partitions some of the connected components, and each connected component of  $H - E(A_1, \dots, A_k, H - F)$  is a part in the partition  $(A_1, \dots, A_k)$  of  $V(G)$ .

Let  $E_i = E(H_i) \cap E(A_1, \dots, A_k, H - F)$ ,  $i \in [d]$  and  $\ell_i = |E_i|$ . Further, let  $\mathcal{A}_i, i \in [d]$ , be the set of parts among  $A_1, \dots, A_k$ , which are completely contained inside  $G_i$ . Note that for all  $i, j \in [d]$ ,  $i \neq j$ ,  $\mathcal{A}_i$  and  $\mathcal{A}_j$  are pairwise disjoint, and for all  $q \in [k]$ , there exists an integer  $j \in [d]$ , such that  $A_q \in \mathcal{A}_j$ . We summarize this in the following claim.

▷ **Claim 4.10.** Let  $k_i = |\mathcal{A}_i|$ , then  $\sum_{i=1}^d k_i = k$ .

Next we have the following claim.

▷ **Claim 4.11.** For all  $i \in [d]$ ,  $k_i \leq k'$ ,  $\lambda(H_i - F, k_i) = \ell_i$ . Further,  $\sum_{i=1}^d \ell_i = \ell$ .

**Proof.** No  $k_i$  can be more than  $k'$ , otherwise we get strictly greater than  $k$  components, contradicting that  $H - F - E(A_1, \dots, A_k, H - F)$  has exactly  $k$  components. Further, for some  $i$ , let  $\lambda(H_i, k_i) = \ell'_i < \ell_i$ . In this case, we can delete  $\ell'_i$  edges inside  $G_i$ , and delete  $E_j, j \neq i$ , to get  $k$  components in  $H - F$ , by deleting strictly less than  $\ell$  edges. This contradicts the definition of  $E(A_1, \dots, A_k, H - F)$ . By definition  $\sum_{i=1}^d \ell_i = \ell$ .  $\blacktriangleleft$

$$\begin{aligned}
\lambda(H - F, k) &= \sum_{i=1}^d \lambda(H_i - F, k_i) && \text{(Claim 4.11)} \\
&\geq \sum_{i=1}^d \lambda(G_i - F, k_i) && \text{(Claim 4.9)} \\
&= \lambda(G - F, k).
\end{aligned}$$

To see the last inequality observe the following. Let  $W_i$  be a subset of edges in  $E(G_i)$  such that  $|W_i| = \lambda(G_i - F, k_i)$ . Then, clearly by deleting  $W = \cup_{i=1}^d W_i$ , we get  $\sum_{i=1}^d k_i = k$  components in  $G - F$ . Here, we used Claim 4.10 to conclude that  $\sum_{i=1}^d k_i = k$ . This implies that  $\sum_{i=1}^d \lambda(G_i - F, k_i) = \sum_{i=1}^d |W_i|$  is a  $k$ -cut of  $G - F$  (may not be of the minimum size). Thus, a min  $k$ -cut in  $G - F$  can only be *smaller*. This concludes the correctness proof.

All that remains to show is the upper bound on the number of edges. Let the number of vertices in each component be  $n_i, i \in [d]$ . Then, the total number of edges in  $H$  is upper bounded as follows.

$$|E(H)| \leq \sum_{i=1}^d (n_i - 1)(2f + \ell + 1 - k') = (n - d)(2f + \ell + 1 - k') = (n - d)(2f + \ell + 2 - k + d).$$

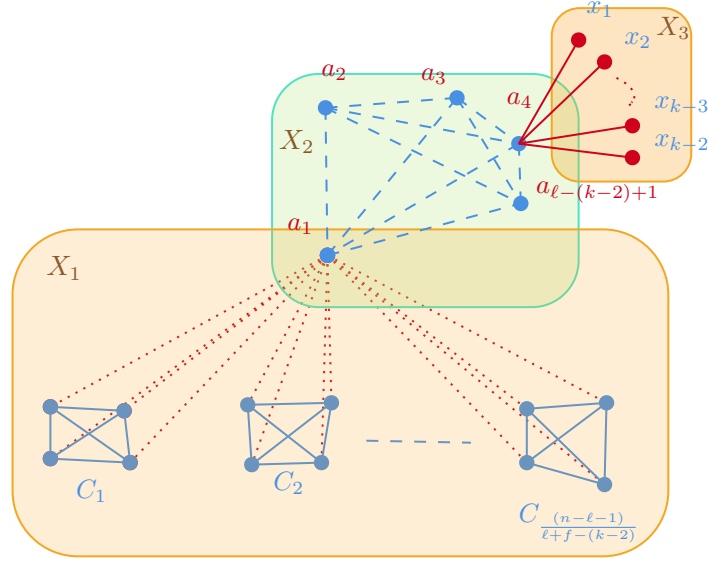
This concludes the proof. ◀

Proof of Theorem 1.4 follows from Lemmas 4.2, 4.3, 4.4, 4.7 and 4.8.

## 4.2 Lower Bound

In this section we show that the upper bound shown on FT- $k$ -CS( $n, \ell, f$ ) in Section 4.1 is indeed asymptotically tight. To prove Theorem 1.5, we construct an infinite family of graphs  $\mathcal{G}$ , such that for any  $G \in \mathcal{G}$  we have that any  $f$ -FT- $k$ -CS of  $G$  must contain all its edges. In particular, for any positive integers  $n, \ell, f$ , such that  $\frac{n-\ell-1}{\ell+f-(k-2)}$  is an *integer*, we construct a graph  $G$  on  $n$  vertices and  $\frac{(n-\ell-1)(\ell+f-k+1)}{2} + n - \ell + k - 3 + \frac{(\ell-k+3)(\ell-k+2)}{2}$  edges with  $\lambda(G, k) = \ell$ , such that any  $f$ -FT- $k$ -CS of  $G$  must contain all the edges of  $G$ .

The graph  $G$  is a modification of the graph used to show the lower bound for global minimum cut in Section 3.2.



■ **Figure 2** Vertex  $a_1$  has degree  $n - \ell - 1$  within  $X_1$ . In  $X_2$  the vertices  $a_1, a_2, \dots, a_{\ell-(k-2)+1}$  induce a clique. The vertex  $a_4$  has  $k - 2$  edges in  $X_3$  each going to a separate vertex  $x_i$ . There are no edges between  $x_i$ 's.  $C_1, C_2, \dots, C_{\frac{(n-\ell-1)}{\ell+f-(k-2)}}$  represent  $\frac{(n-\ell-1)}{\ell+f-(k-2)}$  cliques each of size  $\ell + f - (k - 2)$  within  $X_1$ .

**Construction of a graph  $G$ .** Here,  $q = \frac{n-\ell-1}{\ell+f-(k-2)}$ .

- The vertex set  $V(G)$  is a union of  $X_1, X_2$  and  $X_3$ , such that  $|X_1 \cap X_2| = 1$ .
- $X_1$  has  $q$  pairwise vertex disjoint cliques  $C_1, \dots, C_q$ . Each clique  $C_i$  is on  $(\ell + f - (k - 2))$  vertices. (The edges of the cliques,  $C_1, \dots, C_q$  are denoted by the solid blue edges in Figure 2.)
- The set  $X_2$  consists of  $a_1, \dots, a_{\ell-(k-2)+1}$  vertices that form a clique. These vertices do not belong to the cliques,  $C_1, \dots, C_q$ . (The edges of the clique on  $a_1, \dots, a_{\ell-(k-2)+1}$  are represented by blue solid edges in  $X_2$  in Figure 2.)
- Let  $a_1 \in X_2$  be a fixed vertex. All the vertices in a clique  $C_i, i \in [q]$ , is adjacent to the vertex  $a_1$ . There are no edges between a pair of vertices belonging to two distinct cliques,  $C_i$  and  $C_j$ . The vertex  $a_1$  is the only common vertex between two sets  $X_1$  and  $X_2$ . (Edges between  $a_1$  and the vertices in the cliques,  $C_1, \dots, C_q$ , are represented by the red dotted edges in Figure 2.)
- $X_3$  consists of  $k - 2$  vertices  $x_i, i \in [k - 2]$ . Let  $a_4 \in X_2$  be a fixed vertex. Edges in  $X_3$  are of the form  $(a_4, x_i), i \in [k - 2]$ . There are no edges between  $x_i$ 's. (Edges between  $a_4$  and the vertices  $x_i \in X_3$ , are represented by the red solid edges in Figure 2.)

In the upcoming lemmas we show certain properties of our construction.

► **Lemma 4.12.** *The number of edges in  $G$  is  $\frac{(n-\ell-1)(\ell+f-k+1)}{2} + n - \ell + k - 3 + \frac{(\ell-k+3)(\ell-k+2)}{2}$ .*

**Proof.** Each clique  $C_i$  is of size  $(\ell + f - (k - 2))$  and contributes  $(\ell + f - (k - 2)) \binom{\ell+f-k+1}{2}$  edges. There are  $q$  cliques and thus the total number of edges contributed by all cliques  $C_i, i \in [q]$  is  $(n - \ell - 1) \binom{\ell+f-k+1}{2}$ . The vertex  $a_1$  is adjacent to all vertices of all  $C_i$ 's. Hence,  $a_1$  has degree  $n - \ell - 1$  inside  $X_1$ . The  $(\ell - (k - 2) + 1)$ -clique in  $X_2$  contributes  $\frac{(\ell-k+3)(\ell-k+2)}{2}$  edges. The vertex  $a_4$  contributes  $k - 2$  edges in  $X_3$ . Therefore, the total number of edges of  $G$  is  $\frac{(n-\ell-1)(\ell+f-k+1)}{2} + n - \ell + k - 3 + \frac{(\ell-k+3)(\ell-k+2)}{2}$ . ◀

► **Lemma 4.13.** *For any two vertices  $u_1, u_2 \in X_1$ ,  $\lambda(u_1, u_2) \geq \ell + f - k + 2$ .*

**Proof.** The pair  $\{u_1, u_2\}$  is of one of the three types described below. We prove the claim for each of the three types.

- Both  $u_1$  and  $u_2$  are part of the same clique  $C_i$  in  $X_1$ . We know that the size of  $C_i$  is  $\ell + f - k + 2$ . Let the other vertices in  $C_i$  be  $u_j, j \in [3, \ell + f - k + 2]$ . Then  $u_1 u_j u_2, j \in [3, \ell + f - k + 2], u_1, u_2$  and  $u_1 a_1 u_2$  are  $\ell + f - k + 2$  edge-disjoint paths between  $u_1$  and  $u_2$ . By Theorem 2.1  $\lambda(u_1, u_2) \geq \ell + f - k + 2$ .
- Vertices  $u_1 \in C_i$  and  $u_2 \in C_j$ , and  $i \neq j$ . Let  $v_j, j \in [\ell + f - k + 1]$  denote the vertices in  $C_j$  other than  $u_2$ . Let  $w_1$  be a vertex in  $C_i$  other than  $u_1$ . Then  $u_1 a_1 v_j u_2, j \in [\ell + f - k + 1]$  and  $u_1 w_1 a_1 u_2$  are  $\ell + f - k + 2$  edge-disjoint paths between  $u_1$  and  $u_2$ . By Theorem 2.1  $\lambda(u_1, u_2) \geq \ell + f - k + 2$ .
- Let  $u_1$  be a part of clique  $C_i$  and  $u_2 = a_1$ . Let  $v_j, j \in [\ell + f - k + 1]$  denote the vertices in  $C_i$  other than  $u_1$ . Then  $u_1 v_j a_1, j \in [\ell + f - k + 1]$  and  $u_1 a_1$  are  $\ell + f - k + 2$  edge-disjoint paths between  $u_1$  and  $a_1$ . By Theorem 2.1 that  $\lambda(u_1, u_2) \geq \ell + f - k + 2$ .

This concludes the proof. ◀

► **Lemma 4.14.** *Let  $G$  be a graph and  $f \geq 1$  be a positive integer. Then  $\lambda(G, k) = \ell$ . Further, for any  $F \subseteq E(G[X_1])$  of size at most  $f$ , we have that  $\lambda(G - F, k) = \ell$ .*

**Proof.** Vertices  $x_i, i \in [k - 2]$  in  $X_3$  have degree 1 with all of them adjacent to  $a_4$ . The edges  $E(x, X_1 \cup X_2, G)$  for all  $x = x_i, i \in [k - 2]$  partition the graph into  $k - 1$  components using  $k - 2$  edges. As a minimum of  $k - 2$  edges are required to partition a connected graph into  $k - 1$  components all these edges will be part of  $\lambda(G, k)$ . We need one more partition of the graph to get  $k$  components.

The cut  $E(\{a\}, X_1 \cup X_2 \setminus \{a\}, G)$ , where  $a = a_i$  for  $i \in [\ell - k + 3]$  is of size  $\ell - k + 2$ . Together, with the edges  $E(x, X_1 \cup X_2, G)$  for all  $x = x_i, i \in [k - 2]$  we get a  $k$ -cut of  $G$  of size  $\ell$ .

Now we show that any other cut is of size at least  $\ell$ . From Lemma 4.13 we know that for any two vertices  $u_1, u_2 \in X_1$ ,  $\lambda(u_1, u_2) \geq \ell + f - k + 2$ . This implies that for any 2 partitions  $A, B$  of  $V(G)$  such that  $|X_1 \cap A| \geq 1$  and  $|X_1 \cap B| \geq 1$  we have that  $|E(A, B, G)| \geq \ell + f - k + 2 \geq \ell - k + 3$ . In this case,  $\lambda(G - F, k) \geq \ell - k + 3 + (k - 2) = \ell + 1$ . Thus, any min- $k$ -cut should keep all of  $X_1$  in one side of the partition. It can be easily checked that  $|E(X_1 \cup Y, X_2 \setminus Y, G)| \geq \ell - k + 2$  for any  $Y \subseteq X_2$ , with the minimum being achieved when  $Y$  is a singleton set. These edges along with  $k - 2$  edges from  $X_3$  shows that  $\lambda(G, k) = \ell$ . This concludes the first part of the proof.

Let  $F \subseteq E(G[X_1])$  of size at most  $f$  and  $A_i, i \in [k]$  be a partitioning of  $V(G)$ . We will show that  $|E(A_1, \dots, A_k, G - F)| \geq \ell$ . Indeed, if  $|X_1 \cap A_i| \geq 1$  and  $|X_1 \cap A_j| \geq 1$  for  $i \neq j$ , we have that  $|E(A_i, A_j, G - F)| \geq \ell - k + 2$  (since,  $|E(A_i, A_j, G)| \geq \ell + f - k + 2$ ). These edges alongwith the  $k - 2$  edges  $(a_4, x_i), i \in [k - 2]$  give  $|E(A_1, \dots, A_k, G - F)| \geq \ell$ . Thus, let us assume that all of  $X_1$  in one side of the partition. Again in this case, we can easily check that  $|E(X_1 \cup Y, X_2 \setminus Y, G - F)| \geq \ell - k + 2$  for any  $Y \subseteq X_2$ , with the minimum being achieved when  $Y$  is a singleton set. Alongwith the edges  $(a_4, x_i), i \in [k - 2]$ , we have that  $|E(A_1, \dots, A_k, G - F)| \geq \ell$ . Thus,  $\lambda(G - F, k) = \ell$ . This concludes the proof. ◀

We now prove the final property of an  $f$ -FT- $k$ -CS.

► **Lemma 4.15.** *Any  $f$ -FT- $k$ -CS of  $G$  must contain all the edges of  $G$ .*

**Proof.** Let  $H$  be an  $f$ -FT- $k$ -CS of  $G$ . We will show that  $H$  must contain all edges of  $G$ . Towards this, we partition the edges of  $G$  into four parts, and show that all these edges are required in  $H$ . In particular, we show that if  $H$  does not include an edge of  $G$ , then there is

a strategy for the adversary to choose a subset  $F$  of edges (of size at most  $f$ ) to delete from  $G$  such that  $\lambda(G - F, k)$  and  $\lambda(H - F, k)$  are not the same. Let  $u_i, i \in [\ell + f - k + 2]$ , be the set of vertices of a fixed clique  $C_j$ .

(i) We first show that the edges in the cliques  $C_i, i \in [q]$  in  $X_1$  are present in  $H$  (the solid blue edges in  $X_1$  in Figure 2). Each  $u_i$  has degree  $\ell + f - k + 1$  within  $C_j$  apart from an edge to  $a_i$ . Suppose an edge  $(u_y, u_z), y, z \in [\ell + f - k + 2], y \neq z$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges adjacent to  $u_z$  in  $C_j$  other than  $(u_y, u_z)$ . We know that  $f$  edges exist as  $\ell \geq k - 1$  (by construction  $G$  is connected).

Now by Lemma 4.14 we know that  $\lambda(G - F, k) = \ell$ . But the degree of  $u_z$  in  $H - F$  becomes  $\ell - k + 1$  as  $(u_y, u_z) \notin H - F$ . In  $H - F$ , we will choose all the remaining adjacent edges of  $u_z$  and the  $k - 2$  edges in  $X_3$  as our cut edges. Thus,  $\lambda(H - F, k) = \ell - 1$ . This contradicts  $H$  being an  $f$ -FT- $k$ -CS of  $G$ . Therefore, all edges of the cliques  $C_i$  must be present in  $H$ .

(ii) Next, we show that the edges  $E(\{a_1\}, C_i, G)$  are present in  $H$  (the red dotted edges in  $X_1$  in Figure 2). Suppose  $(u_z, a_1), z \in [\ell + f - k + 2]$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges adjacent to  $u_z$  in  $C_j$  other than  $(u_z, a_1)$ . By Lemma 4.14, we know that  $\lambda(G - F) = \ell$  but  $\lambda(H - F) = \ell - 1$ . A similar argument to case (i), shows that all such edges  $E(\{a_1\}, C_i, G)$  must be present in  $H$ .

(iii) Next, let us show that the edges in the  $\ell - k + 3$ -clique in  $X_2$  formed by  $a_i, i \in [\ell - k + 3]$  are present in  $H$  (the dashed blue edges in  $X_2$  in Figure 2). Suppose edge  $(a_i, a_j), i, j \in [\ell - k + 3], i \neq j$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges of the form  $(u_i, a_1), i \in [f]$ . All these edges exist in  $G - F$  as  $\ell + f - k + 2 \geq f + 1$  (Since  $G$  is connected and  $\ell \geq k - 1$ ).

By Lemma 4.14 we have that  $\lambda(G, k) = \ell$ . However, as  $a_i$  and  $a_j$  both have degree  $\ell - k + 1$  inside  $X_2$  in  $H$  so  $E(\{a_i\}, X_1 \cup X_2 \setminus \{a_i\}, H - F)$  or  $E(\{a_j\}, X_1 \cup X_2 \setminus \{a_j\}, H - F)$  alongwith  $k - 2$  edges in  $X_3$  give  $\lambda(H - F, k) = \ell - 1$ . This contradicts  $H$  being an  $f$ -FT- $k$ -CS of  $G$ . Therefore, all edges of the  $\ell - k + 3$ -clique in  $X_2$  must be present in  $H$ .

(iv) Lastly, we show that all the  $k - 2$  edges  $E(x, X_1 \cup X_2, G)$  for all  $x = x_i, i \in [k - 2]$  are present in  $H$  (the solid red edges in  $X_3$  in Figure 2). Suppose an edge  $(a_4, x_z), z \in [k - 2]$  is not present in  $H$ . Let  $F$  consist of any  $f$  edges of the form  $(u_i, a_1), i \in [f]$ .

Again by Lemma 4.14 we have that  $\lambda(G - F, k) = \ell$ . However, as edge  $(a_4, x_z) \notin H$ , we have that  $\lambda(H - F, k) = \ell - 1$ . This contradicts  $H$  being an  $f$ -FT- $k$ -CS of  $G$ . Therefore, all  $k - 2$  edges of  $X_3$  must be present in  $H$ .

All the cases together show that all edges of the graph  $G$  must be present in  $H$  if  $H$  is an  $f$ -FT- $k$ -CS of  $G$ . Thus, the total number of edges present in  $H$  is  $\frac{(n - \ell - 1)(\ell + f - k + 1)}{2} + n - \ell + k - 3 + \frac{(\ell - k + 3)(\ell - k + 2)}{2}$ . Our proof follows. ◀

Proof of Theorem 1.5 follows from Lemmas 4.12, 4.14 and 4.15.

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