


Skolem Meets Schanuel

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Abstract

The celebrated Skolem-Mahler-Lech Theorem states that the set of zeros of a linear recurrence sequence is the union of a finite set and finitely many arithmetic progressions. The corresponding computational question, the Skolem Problem, asks to determine whether a given linear recurrence sequence has a zero term. Although the Skolem-Mahler-Lech Theorem is almost 90 years old, decidability of the Skolem Problem remains open. The main contribution of this paper is an algorithm to solve the Skolem Problem for simple linear recurrence sequences (those with simple characteristic roots). Whenever the algorithm terminates, it produces a stand-alone certificate that its output is correct – a set of zeros together with a collection of witnesses that no further zeros exist. We give a proof that the algorithm always terminates assuming two classical number-theoretic conjectures: the Skolem Conjecture (also known as the Exponential Local-Global Principle) and the p -adic Schanuel Conjecture. Preliminary experiments with an implementation of this algorithm within the tool SKOLEM point to the practical applicability of this method.

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1 Introduction

1.1 The Skolem Problem

A (rational) linear recurrence sequence (LRS) $\mathbf{u} = \langle u_n \rangle_{n=0}^{\infty}$ is a sequence of rational numbers satisfying the equation

$$u_{n+d} = c_1 u_{n+d-1} + \cdots + c_{d-1} u_{n+1} + c_d u_n \quad (1)$$

for all $n \in \mathbb{N}$, where the coefficients c_1, \dots, c_d are rational numbers and $c_d \neq 0$. We say that the above recurrence has *order* d . We moreover say that an LRS is *simple* if the characteristic polynomial of its minimal-order recurrence has simple roots.

The celebrated theorem of Skolem, Mahler, and Lech (see [15]) describes the structure of the set $\{n \in \mathbb{N} : u_n = 0\}$ of zero terms of an LRS as follows:

► **Theorem 1.** *Given a linear recurrence sequence $\mathbf{u} = \langle u_n \rangle_{n=0}^{\infty}$, the set of zero terms is a union of finitely many arithmetic progressions, together with a finite set.*

The statement of Theorem 1 can be refined by considering the notion of *non-degeneracy* of an LRS. An LRS is non-degenerate if in its minimal recurrence the quotient of no two distinct roots of the characteristic polynomial is a root of unity. A given LRS can be effectively decomposed as an interleaving of finitely many non-degenerate sequences, some of which may be identically zero. The core of the Skolem-Mahler-Lech Theorem is the fact that a non-zero non-degenerate linear recurrence sequence has finitely many zero terms. Unfortunately, all known proofs of this last assertion are ineffective: it is not known how to compute the finite set of zeros of a given non-degenerate linear recurrence sequence. It is readily seen that the existence of a procedure to do so is equivalent to the existence of a procedure to decide whether an arbitrary given LRS has a zero term. The problem of deciding whether an LRS has a zero term is variously known as the Skolem Problem or the Skolem-Pisot Problem.

Decidability of the Skolem Problem is known only for certain special cases, based on the relative order of the absolute values of the characteristic roots. Say that a characteristic root λ is *dominant* if its absolute value is maximal among all the characteristic roots. Decidability is known in case there are at most 3 dominant characteristic roots, and also for recurrences of order at most 4 [26, 34]. However for LRS of order 5 it is not currently known how to decide the Skolem Problem.

The Skolem Problem, along with closely related questions such as the Positivity Problem, is intimately connected to various fundamental topics in program analysis and automated verification, such as the termination and model checking of simple while loops [3, 18, 27] or the algorithmic analysis of stochastic systems [1, 2, 5, 13, 28]. It also appears in a variety of other contexts, such as formal power series [29, 33] and control theory [9, 16]. The Skolem Problem is often used as a reference to establish hardness of other open decision problems; in addition to some of the previously cited papers, the articles [4, 14], for example, specifically invoke hardness of the Skolem Problem for simple LRS of order 5. Thus far, the only known complexity bound for the Skolem Problem is NP-hardness [10].

1.2 The Skolem Conjecture and the Bi-Skolem Problem

The notion of linear recurrence equally well makes sense for a bi-infinite sequence $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^{\infty}$ of rational numbers: one defines \mathbf{u} to be a *linear recurrent bi-sequence (LRBS)* if it satisfies the recurrence (1) for all $n \in \mathbb{Z}$. Note that every LRS \mathbf{u} extends uniquely to an LRBS satisfying the same recurrence (one obtains such an extension by “running the

recurrence backwards”). The notions of simplicity and non-degeneracy carry over in the obvious way to LRBS. We remark also that the Skolem-Mahler-Lech Theorem remains valid for LRBS – a non-degenerate LRBS has finitely many zeros. The analog of the Skolem Problem for LRBS is the *Bi-Skolem Problem*, which asks, for a given LRBS $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^{\infty}$, whether there exists $n \in \mathbb{Z}$ with $u_n = 0$.

A major motivation to consider the Bi-Skolem Problem is the existence of the *Exponential Local-Global Principle*, a conjecture introduced by Thoralf Skolem in 1937 [32]. To formulate the conjecture we first make some observations about the value set of an LRBS. Given a non-zero integer b , let $\mathbb{Z}[\frac{1}{b}]$ be the subring of \mathbb{Q} obtained by adjoining $\frac{1}{b}$ to \mathbb{Z} . We note that every rational LRBS takes values in $\mathbb{Z}[\frac{1}{b}]$ for some b . Indeed, if $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^{\infty}$ satisfies recurrence (1) and $\mathbb{Z}[\frac{1}{b}]$ contains the coefficients c_1, \dots, c_d , the reciprocal c_d^{-1} of the last coefficient, and the initial terms u_0, \dots, u_{d-1} , then by running the recurrence forwards and backwards from the initial terms we see that $u_n \in \mathbb{Z}[\frac{1}{b}]$ for all $n \in \mathbb{Z}$.

► **Skolem Conjecture.** *Let \mathbf{u} be a simple rational LRBS taking values in the ring $\mathbb{Z}[\frac{1}{b}]$ for some integer b . Then \mathbf{u} has no zero iff, for some integer $m \geq 2$ with $\gcd(b, m) = 1$, we have that $u_n \not\equiv 0 \pmod{m}$ for all $n \in \mathbb{Z}$.*

In other words, the Skolem Conjecture asserts that if a simple LRBS fails to have a zero, then this is witnessed modulo m for some m . The truth of this conjecture immediately entails the existence of an algorithm to solve the Bi-Skolem Problem for simple LRBS: simply search in parallel either for a zero of the LRBS, or for a number m substantiating the absence of zeros. If the Skolem Conjecture holds, then the search must necessarily eventually terminate.

There exists a substantial body of literature on the Skolem Conjecture, including proofs of a variety of special cases. In particular, the Skolem Conjecture has been shown to hold for simple LRBS of order 2 [6], and for certain families of LRBS of order 3 [30, 31]. In a different but related vein, Bertók and Hajdu have shown that, in some sense, the Skolem Conjecture is valid in “almost all” instances [7, 8].

1.3 Main Results

It is immediate that the Bi-Skolem Problem reduces to the Skolem Problem: an LRBS $\langle u_n \rangle_{n=-\infty}^{\infty}$ has a zero term if and only if at least one of the one-way infinite sequences $\langle u_n \rangle_{n=0}^{\infty}$ and $\langle u_{-n} \rangle_{n=0}^{\infty}$, both of which are LRS, has a zero term. However it is open whether there is a reduction in the other direction (equivalently, it is open whether an oracle for the Bi-Skolem Problem can be used to determine *all* the zeros of a non-degenerate LRBS). Indeed, an oracle for the Bi-Skolem Problem would appear to be of little utility in deciding the Skolem Problem for an LRS whose bi-completion happens to harbour a zero at a negative index. It is likewise not known (in spite of the similar nomenclature) whether the truth of the Skolem Conjecture implies decidability of the Skolem Problem.

Our first main result is as follows:

► **Theorem 2.** *The Skolem Problem reduces to the Bi-Skolem Problem subject to the weak p -adic Schanuel Conjecture.*

Schanuel’s Conjecture [21, Pages 30-31] is a unifying conjecture in transcendental number theory that plays a key role in the study of the exponential function over both the real and complex numbers. In particular, a celebrated paper of Macintyre and Wilkie [23] obtains decidability of the first-order theory of the structure $(\mathbb{R}; <, \cdot, +, \exp)$ assuming Schanuel’s Conjecture over \mathbb{R} . A p -adic version of the Schanuel Conjecture, referring to the exponential function on the ring \mathbb{Z}_p of p -adic integers, was formulated in [12]. This conjecture was shown in [24] to imply decidability of the first-order theory of the structure $(\mathbb{Z}_p; <, \cdot, +, \exp)$.

Since the reduction in Theorem 2 specialises to simple LRBS we obtain:

► **Theorem 3.** *The Skolem Problem for simple LRS is decidable subject to the weak p -adic Schanuel Conjecture and the Skolem Conjecture.*

The proof of Theorem 3 gives an algorithm that computes the set of zeros of a non-degenerate simple LRBS. The algorithm moreover produces an unconditional certificate that its output is correct, i.e., that all zeros have been found. This certificate consists of a partition of the input LRBS into finitely many subsequences such that each subsequence contains at most one zero. For a subsequence with no zero, the algorithm finds an integer m such that the subsequence is non-zero modulo m ; for a subsequence with a zero, the algorithm provides a prime p such that p divides the non-zero terms a well-described (upper-bounded) number of times. The conjectural aspect of Theorem 3 solely concerns the proof that the algorithm terminates on all input sequences.

We have implemented our algorithm within the SKOLEM tool,¹ which enumerates the set of zeros of a given non-degenerate simple LRS, and produces an independent (conjecture-free) certificate that all zeros have been found. Preliminary experiments, which we present in Section 5, point to the practical applicability of our algorithm.

1.4 Related Work

The decidability of the Skolem Problem is generally considered to have been open since the early 1930s, as the p -adic techniques underpinning the Skolem-Mahler-Lech Theorem were well understood already at the time not to be effective. As noted earlier, a breakthrough establishing decidability at order 4 occurred in the mid-1980s [26, 34], making key use of Baker’s Theorem on linear forms in logarithms of algebraic numbers. Very recently, we have shown that the Skolem Problem is decidable at order 5 assuming only the Skolem Conjecture; and in the same paper we also obtained unconditional decidability for reversible LRS² of order 7 or less [22]. A minor contribution of the present paper is to improve on the former result by establishing a Turing reduction from the Skolem Problem at order 5 to the Bi-Skolem Problem for simple LRBS of order 5; this is the content of Theorem 12.

2 Technical Background

2.1 Computation in Number Fields

A number field \mathbb{K} is a finite-degree extension of \mathbb{Q} . For computational purposes, such a field can be represented in the form $\mathbb{Q}[X]/(g(X))$, where $g(X)$ is the minimal polynomial of a primitive element of \mathbb{K} . With such a representation it is straightforward to do arithmetic in \mathbb{K} , including solving systems of linear equations with coefficients in \mathbb{K} . Moreover, given a polynomial $f(X) \in \mathbb{Q}[X]$, one can compute a representation in the above form of the splitting field \mathbb{K} of f over \mathbb{Q} , together with representations of the roots of f as elements of \mathbb{K} [20].

In addition to basic arithmetic and linear algebra in \mathbb{K} , we wish to determine whether some given elements $\lambda_1, \dots, \lambda_s \in \mathbb{K}$ are multiplicatively independent and, if not, to exhibit $a_1, \dots, a_s \in \mathbb{Z}$ such that $\lambda_1^{a_1} \cdots \lambda_s^{a_s} = 1$. For this we can use the following result, which shows that if such a multiplicative relation exists then there exists one in which the exponents a_1, \dots, a_s have absolute value at most B for some bound B computable from the height of the λ_i and the degree of the number field \mathbb{K} .

¹ SKOLEM can be experimented with online at <https://skolem.mpi-sws.org/>.

² An integer LRS is *reversible* if its completion as an LRBS only takes on integer values.

► **Theorem 4** (Masser [25]). *Let \mathbb{K} be a number field of degree D over \mathbb{Q} . For $s \geq 1$ let $\lambda_1, \dots, \lambda_s$ be non-zero elements of \mathbb{K} having absolute logarithmic Weil height at most h over \mathbb{Q} . Then the group of multiplicative relations*

$$L = \{(k_1, \dots, k_s) \in \mathbb{Z}^s : \lambda_1^{k_1} \dots \lambda_s^{k_s} = 1\} \tag{2}$$

is generated (as an additive subgroup of \mathbb{Z}^s) by a collection of vectors all of whose entries have absolute value at most $(csh)^{s-1} D^{s-1} \frac{(\log(D+2))^{3s-3}}{(\log \log(D+2))^{3s-4}}$, for some absolute constant c .

2.2 p -adic Numbers

Let p be a prime. Define the p -adic valuation $v_p : \mathbb{Q} \rightarrow \mathbb{Z} \cup \{\infty\}$ by $v_p(0) = \infty$ and $v_p(p^\nu \cdot \frac{a}{b}) = \nu$ for all $a, b \in \mathbb{Z} \setminus \{0\}$ such that $\gcd(ab, p) = 1$. In other words, $v_p(x)$ gives the exponent of p as a divisor of $x \in \mathbb{Q}$. The map v_p determines an absolute value $|\cdot|_p$ on \mathbb{Q} , where $|x|_p := p^{-v_p(x)}$ (with the convention that $|0|_p = p^{-\infty} = 0$). Due to the fact that $v_p(a+b) \geq \min(v_p(a), v_p(b))$, we have the strong triangle equality: $|a+b|_p \leq \max(|a|_p, |b|_p)$ for all $a, b \in \mathbb{Q}$. In other words, $|\cdot|_p$ is a *non-Archimedean* absolute value. The field \mathbb{Q}_p of p -adic numbers is the completion of \mathbb{Q} with respect to $|\cdot|_p$. The absolute value $|\cdot|_p$ extends to a non-Archimedean absolute value on \mathbb{Q}_p . The ring of p -adic integers is $\mathbb{Z}_p := \{x \in \mathbb{Q}_p : |x|_p \leq 1\}$. The ring \mathbb{Z}_p contains a unique maximal ideal $p\mathbb{Z}_p$, with the quotient $\mathbb{Z}_p/p\mathbb{Z}_p$ being isomorphic to \mathbb{F}_p (the finite field with p elements). When we refer to elements of \mathbb{Z}_p modulo p we refer to their image under this quotient map.

Given a sequence of numbers $\langle a_n \rangle_{n=0}^\infty$ in \mathbb{Z}_p , the infinite sum $\sum_{n=0}^\infty a_n$ converges to an element of \mathbb{Z}_p if and only if $|a_n|_p \rightarrow 0$ (equivalently, $v_p(a_n) \rightarrow \infty$) as $n \rightarrow \infty$. It follows that given a sequence $\langle a_n \rangle_{n=0}^\infty$ in \mathbb{Z}_p with $|a_n|_p \rightarrow 0$, the corresponding power series $f(X) = \sum_{j=0}^\infty a_j X^j$ defines a function $f : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$.

Consider a monic polynomial $g(X) \in \mathbb{Z}[X]$ with non-zero discriminant $\Delta(g)$. Let p be a prime that does not divide $\Delta(g)$. Denote by $\bar{g}(X) \in \mathbb{F}_p[X]$ the polynomial obtained from g by replacing each coefficient with its residue modulo p . It is well known that a sufficient condition for g to split completely over \mathbb{Z}_p is that \bar{g} split over \mathbb{F}_p . Indeed, in this situation one can use Hensel’s Lemma [17, Theorem 3.4.1] to “lift” each of the roots of \bar{g} in \mathbb{F}_p to a distinct root in \mathbb{Z}_p . Moreover, by the Chebotarev density theorem [19] there are infinitely many primes p for which \bar{g} splits over \mathbb{F}_p . Hence there are infinitely many primes p such that g splits over \mathbb{Z}_p . Note that the last statement holds even without the assumption that $\Delta(g) \neq 0$, since $g \in \mathbb{Z}[X]$ splits over \mathbb{Z}_p whenever $\frac{g}{\gcd(g, g')} \in \mathbb{Z}[X]$ splits over \mathbb{Z}_p (and the latter has non-zero discriminant).

Let p be an odd prime.³ The p -adic exponential is defined as $\exp(x) = \sum_{k=0}^\infty \frac{x^k}{k!}$, which converges for all $x \in p\mathbb{Z}_p$. The p -adic logarithm is defined as $\log(x) = \sum_{k=0}^\infty (-1)^{k+1} \frac{(x-1)^k}{k}$, which converges for all $x \in 1 + p\mathbb{Z}_p$. For $x, y \in p\mathbb{Z}_p$ we have $\exp(x+y) = \exp(x)\exp(y)$ and for $x, y \in 1 + p\mathbb{Z}_p$ we have $\log(xy) = \log(x) + \log(y)$. Indeed we have that \exp and \log yield mutually inverse isomorphisms between the additive group $p\mathbb{Z}_p$ and multiplicative group $1 + p\mathbb{Z}_p$.

³ We omit the prime $p = 2$ to avoid special cases in the facts below.

Schanuel’s Conjecture for the complex numbers is a powerful unifying principle in transcendence theory. We will need the following p -adic version of the weak form of Schanuel’s Conjecture, which can be found, e.g., as [12, Conjecture 3.10].

► **Conjecture 5** (Weak p -adic Schanuel Conjecture). *Let $\alpha_1, \dots, \alpha_s \in 1 + p\mathbb{Z}_p$ be algebraic over \mathbb{Q} and such that $\log \alpha_1, \dots, \log \alpha_s$ are linearly independent over \mathbb{Q} . Then $\log \alpha_1, \dots, \log \alpha_s$ are algebraically independent over \mathbb{Q} , i.e., for every non-zero polynomial $P \in \mathbb{Q}_p[X_1, \dots, X_s]$ whose coefficients are algebraic over \mathbb{Q} , we have that $P(\log \alpha_1, \dots, \log \alpha_s) \neq 0$.*

A known special case of Conjecture 5 is the following result of Brumer [11], which is a p -adic analog of Baker’s Theorem on linear independence of logarithms of algebraic numbers.

► **Theorem 6.** *Let $\alpha_1, \dots, \alpha_s \in 1 + p\mathbb{Z}_p$ be algebraic over \mathbb{Q} and such that $\log \alpha_1, \dots, \log \alpha_s$ are linearly independent over \mathbb{Q} . Then $\beta_0 + \beta_1 \log \alpha_1 + \dots + \beta_s \log \alpha_s \neq 0$ for all $\beta_0, \dots, \beta_s \in \mathbb{Q}_p$ that are algebraic over \mathbb{Q} and not all zero.*

3 p -adic Power-Series Representation of LRBS

Let $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^\infty$ be an LRBS of rational numbers satisfying the linear recurrence

$$u_{n+d} = c_1 u_{n-d-1} + \dots + c_d u_n \quad (n \in \mathbb{Z}),$$

where $c_d \neq 0$. For the purposes of computing the zeros of \mathbf{u} we can assume without loss of generality that the coefficients c_1, \dots, c_d of the recurrence are integers. (It is easy to see that for any integer ℓ such that $\ell c_i \in \mathbb{Z}$ for $i \in \{1, \dots, d\}$, the scaled sequence $\langle \ell^n u_n \rangle_{n=-\infty}^\infty$ satisfies an integer recurrence.) Write $g(X) := X^d - c_1 X^{d-1} - \dots - c_d$ for the *characteristic polynomial* of the recurrence and let

$$A := \begin{pmatrix} c_1 & \dots & c_{d-1} & c_d \\ 1 & \dots & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & 1 & 0 \end{pmatrix}, \quad \alpha := (0 \quad \dots \quad 0 \quad 1), \quad \text{and} \quad \beta := \begin{pmatrix} u_{d-1} \\ \vdots \\ u_1 \\ u_0 \end{pmatrix}.$$

We now have the matrix-exponential representation $u_n = \alpha A^n \beta$ for all $n \in \mathbb{Z}$. (Here A is known as the *companion matrix* of the recurrence.)

The key tool in our approach – which also underlies the proof of the Skolem-Mahler-Lech Theorem – is the representation of the LRBS \mathbf{u} in terms of a power series $f(X) = \sum_{j=0}^\infty a_j X^j$ with coefficients in \mathbb{Z}_p . In defining f we work with an odd prime p such that (i) p does not divide the constant term c_d of the recurrence; (ii) p does not divide the discriminant $\Delta\left(\frac{g}{\gcd(g, g')}\right)$; (iii) the characteristic polynomial g splits over \mathbb{Z}_p . As explained in Section 2.2, there are infinitely many such primes. Moreover, for a particular prime p that does not divide $\Delta\left(\frac{g}{\gcd(g, g')}\right)$, we can easily verify whether g splits over \mathbb{Z}_p , since this is equivalent to $\frac{g}{\gcd(g, g')}$ splitting over \mathbb{F}_p .

Write $\lambda_1, \dots, \lambda_s \in \mathbb{Z}_p$ for the distinct roots of g . Let \mathbb{K} be the subfield of \mathbb{Q}_p generated by $\lambda_1, \dots, \lambda_s$. Then \mathbb{K} is a number field and thus we can compute symbolically in \mathbb{K} as described in Section 2.1. It is well known that the sequence \mathbf{u} admits an exponential-polynomial representation

$$u_n = \sum_{i=1}^s Q_i(n) \lambda_i^n \quad (n \in \mathbb{Z}), \tag{3}$$

where $Q_i \in \mathbb{K}[X]$ has degree strictly less than the multiplicity of λ_i as a root of g . The coefficients of each polynomial Q_i can be computed as the unique solution of the system of linear equations that arises by substituting $n = 0, \dots, d - 1$ in Equation (3) (where, recall, d is the order of the recurrence).

The companion matrix has determinant $\det(A) = \pm c_d$, which is non-zero modulo p ; hence A is invertible modulo p . Let L be the least positive integer such that $A^L \equiv I \pmod{p}$. Being an eigenvalue of A^L , $\lambda_i^L \equiv 1 \pmod{p}$ for all $i \in \{1, \dots, s\}$ and hence the p -adic logarithm $\log \lambda_i^L$ is defined for all $i \in \{1, \dots, s\}$. We thus obtain the following representation of the subsequence $\langle u_{Ln} \rangle_{n=-\infty}^\infty$ in terms of the p -adic exponential and logarithm functions:

$$u_{Ln} = \sum_{i=1}^s Q_i(Ln) \lambda_i^{Ln} = \sum_{i=1}^s Q_i(Ln) \exp(n \log \lambda_i^L).$$

Now consider the power series $f(X) = \sum_{j=0}^\infty a_j X^j$ such that

$$f(x) := \sum_{i=1}^s Q_i(Lx) \exp(x \log \lambda_i^L) \tag{4}$$

for all $x \in \mathbb{Z}_p$. Then we have $u_{Ln} = f(n)$ for all $n \in \mathbb{Z}$. Moreover, since $a_j = \frac{1}{j!} f^{(j)}(0)$, by taking derivatives in (4) we obtain the following expression for the coefficients of f :

$$a_j = \frac{1}{j!} \sum_{i=1}^s \sum_{k=0}^j \binom{j}{k} L^k Q_i^{(k)}(0) (\log \lambda_i^L)^{j-k}. \tag{5}$$

In the remainder of this section we recall an alternative formula for the coefficients of f as p -adically convergent sums of rational numbers. This provides a simple method to compute $v_p(a_j)$ that avoids computing p -adic approximations of the characteristic roots, as would be needed if we were to directly use (5).

Recall that we have $A^L \equiv I \pmod{p}$. Let us say that $A^L = I + pB$ for some integer matrix B . Then we have:

$$\begin{aligned} u_{Ln} &= \alpha A^{Ln} \beta \\ &= \alpha (I + pB)^n \beta \\ &= \sum_{k=0}^n \binom{n}{k} p^k \alpha B^k \beta \\ &= \sum_{k=0}^n \frac{n(n-1) \dots (n-k+1)}{k!} p^k \alpha B^k \beta \\ &= \sum_{k=0}^\infty \frac{n(n-1) \dots (n-k+1)}{k!} p^k \alpha B^k \beta \\ &= \sum_{k=0}^\infty \sum_{j=0}^\infty c_{k,j} n^j \frac{p^k}{k!} \quad \text{for certain } c_{k,j} \in \mathbb{Z} \text{ with } c_{k,j} = 0 \text{ for } j > k \\ &= \sum_{j=0}^\infty \sum_{k=j}^\infty c_{k,j} n^j \frac{p^k}{k!}. \end{aligned}$$

It remains to see why one can reverse the order of summation in the last line above and why the resulting sums converge in \mathbb{Z}_p . For this we can apply [17, Proposition 4.1.4], for which we require that the summand $c_{k,j} n^j \frac{p^k}{k!}$ converge to 0 as $j \rightarrow \infty$ and converge to 0 uniformly in j as $k \rightarrow \infty$. But this precondition follows from the fact that $v_p(k!) < \frac{k}{p-1}$, from which we have $v_p\left(c_{k,j} n^j \frac{p^k}{k!}\right) \geq \frac{(p-2)k}{p-1}$ for all $k \geq j$.

Now consider the power series $\tilde{f}(X) := \sum_{j=0}^{\infty} b_j X^j$ where

$$b_j := \sum_{k=j}^{\infty} c_{k,j} \frac{p^k}{k!} \in \mathbb{Z}_p. \quad (6)$$

By the above considerations we have that $v_p(b_j) \geq \frac{(p-2)j}{p-1}$ and hence \tilde{f} converges on \mathbb{Z}_p and satisfies $\tilde{f}(n) = u_{Ln}$ for all $n \in \mathbb{Z}$. In particular, the power series f and \tilde{f} agree on \mathbb{Z} and hence (e.g., by [17, Proposition 4.4.3]) are identical, i.e., $a_j = b_j$ for all $j \in \mathbb{N}$. Thus we can use Equation (6) to exactly compute $v_p(a_j)$ for any j such that $a_j \neq 0$.

4 Computing all the Zeros of an LRBS

In this section we show, assuming the weak p -adic Schanuel Conjecture, that the set of all zeros of a non-degenerate LRBS is computable using an oracle for the Bi-Skolem Problem. In particular, this gives a Turing reduction of the Skolem Problem to the Bi-Skolem Problem.

► **Proposition 7.** *Let $f : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$ be given by a convergent p -adic power series $f(X) = \sum_{k=0}^{\infty} a_k X^k$, with coefficients in \mathbb{Z}_p . Suppose that ℓ is a positive integer such that $a_0 = \dots = a_{\ell-1} = 0$ and $a_{\ell} \neq 0$. Then, writing $\nu := v_p(a_{\ell})$, we have $f(p^{\nu+1}x) \neq 0$ for all non-zero $x \in \mathbb{Z}_p$.*

Proof. Let $x \in \mathbb{Z}_p$ be non-zero. For every $m > \ell$ we have

$$\begin{aligned} v_p(a_{\ell}(p^{\nu+1}x)^{\ell}) &= \nu + \ell(\nu + 1) + v_p(x^{\ell}) \\ &< m(\nu + 1) + v_p(x^m) \quad (\text{since } \ell < m \text{ and } x \neq 0) \\ &\leq v_p(a_m(p^{\nu+1}x)^m). \end{aligned}$$

It follows that for all $m \geq \ell$,

$$v_p\left(\sum_{k=0}^m a_k (p^{\nu+1}x)^k\right) = v_p(a_{\ell}(p^{\nu+1}x)^{\ell}).$$

Letting m tend to infinity, we have $v_p(f(p^{\nu+1}x)) = v_p(a_{\ell}(p^{\nu+1}x)^{\ell}) < \infty$ and we conclude that $f(p^{\nu+1}x) \neq 0$. ◀

► **Proposition 8.** *Let $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^{\infty}$ be a non-zero LRBS consisting of rational numbers. Assuming the weak p -adic Schanuel Conjecture, one can compute a positive integer M such that $u_{Mn} \neq 0$ for all $n \in \mathbb{Z} \setminus \{0\}$.*

Proof. As explained in Section 3, there exists a prime p and a positive integer L such that $u_{Ln} = f(n)$ for all $n \in \mathbb{Z}$, for the p -adic power series $f(X) = \sum_{j=0}^{\infty} a_j X^j$ whose coefficients are given by the formula (4). Recall that in this formula the λ_i are the characteristic roots of \mathbf{u} and the Q_i are the coefficients appearing in the exponential polynomial formula (3).

Pick a maximal multiplicatively independent subset of characteristic roots. Without loss of generality we can write this set as $\{\lambda_1, \dots, \lambda_t\}$ for some $t \leq s$. As discussed in Section 2, given the characteristic polynomial of the recurrence, we can compute such a set, as well as integers m_i and $n_{i,j}$ for $i \in \{1, \dots, s\}$ and $j \in \{1, \dots, t\}$, where the m_i are non-zero, such that for all $i \in \{1, \dots, s\}$ we have

$$\lambda_i^{m_i} = \lambda_1^{n_{i,1}} \dots \lambda_t^{n_{i,t}}.$$

Raising the left- and right-hand sides in the above equation to the power L and then taking (p -adic) logarithms, we get that

$$\log \lambda_i^L = \frac{n_{i,1}}{m_i} \log \lambda_1^L + \dots + \frac{n_{i,t}}{m_i} \log \lambda_t^L$$

for all $i \in \{1, \dots, s\}$. In other words, for all $i \in \{1, \dots, s\}$ we have that $\log \lambda_i^L = \ell_i(\log \lambda_1^L, \dots, \log \lambda_t^L)$ for an effectively computable linear form $\ell_i(X_1, \dots, X_t)$ with rational coefficients.

For $j \in \mathbb{N}$, define $F_j \in \mathbb{K}[X_1, \dots, X_t]$ by

$$F_j(X_1, \dots, X_t) := \frac{1}{j!} \sum_{i=1}^s \sum_{k=0}^j \binom{j}{k} L^k Q_i^{(k)}(0) \ell_i(X_1, \dots, X_t)^{j-k}.$$

Then by Equation (5) we have

$$a_j = F_j(\log \lambda_1^L, \dots, \log \lambda_t^L). \tag{7}$$

We claim that $a_j \neq 0$ if F_j is not identically zero. Since the coefficients of F_j are algebraic over \mathbb{Q} and the set $\{\log \lambda_1, \dots, \log \lambda_t\}$ is linearly independent over \mathbb{Q} , the claim follows immediately from Equation 7 and the weak p -adic Schanuel Conjecture (Conjecture 5).

We can now use the following procedure to compute a positive integer M such that $u_{Mn} \neq 0$ for all $n \in \mathbb{Z}$:

1. Successively compute the polynomials F_0, F_1, \dots .
2. Let j_0 be the least index j such that F_j is not identically zero. Compute $\nu := v_p(a_{j_0})$ using the series (6). The weak p -adic Schanuel Conjecture implies that $a_{j_0} \neq 0$ and hence the computation of $v_p(a_{j_0})$ terminates.
3. Set $M := Lp^{\nu+1}$. Applying Proposition 7, we have $u_{Mn} \neq 0$ for all non-zero integers n .

Note that j_0 is well defined in Line 2, since if all the a_j were zero, then \mathbf{u} would be the identically zero sequence, contradicting our initial assumption. \blacktriangleleft

A couple of remarks about the proof of Proposition 8 are in order.

► **Remark 9.** Observe that the p -adic Schanuel Conjecture is only required for termination of the procedure described at the end of the proof. If the procedure terminates then it is certain that a_{j_0} is the first non-zero coefficient of the power series (6) and hence the outputted value of M is guaranteed to be such that $u_{Mn} \neq 0$ for all non-zero integers n .

► **Remark 10.** Examining the expression (5) and noting that $Q_i^{(k)}(0) = 0$ for $k > \deg(Q_i)$, we see that the sequence $\langle j!a_j \rangle_{j=0}^\infty$ is given by an exponential polynomial corresponding to a (non-rational) LRS of order d and hence at least one of a_0, a_1, \dots, a_{d-1} is non-zero. This means that the index j_0 in Line 2 of the above procedure is at most $d - 1$.

► **Theorem 11.** *Assuming the weak p -adic Schanuel Conjecture, there is a Turing reduction from the Skolem Problem to the Bi-Skolem Problem.*

Proof. We present a recursive procedure that uses an oracle for the Bi-Skolem Problem to compute all the zeros of a non-degenerate LRBS that is not identically zero.

Given a non-degenerate LRBS $\mathbf{u} = \langle u_n \rangle_{n=-\infty}^\infty$, we use the oracle for the Bi-Skolem Problem to determine whether there exists $n \in \mathbb{Z}$ with $u_n = 0$. If the oracle outputs that no such n exists then the procedure terminates. Otherwise one searches for $n_0 \in \mathbb{Z}$ such that $u_{n_0} = 0$; clearly the search is guaranteed to terminate. Having found n_0 , by reindexing

the sequence \mathbf{u} we can suppose that $n_0 = 0$. Now we use Proposition 8 to compute a positive integer M such that $u_{Mn} \neq 0$ for all $n \neq 0$. We then divide the sequence \mathbf{u} into M subsequences $\mathbf{u}^{(0)}, \dots, \mathbf{u}^{(M-1)}$, where for $j \in \{0, \dots, M-1\}$, the j -th subsequence is given by $u_n^{(j)} = u_{Mn+j}$ for all $n \in \mathbb{Z}$. We know that $n = 0$ is the only zero of $\mathbf{u}^{(0)}$. We now recursively call the procedure to find all zeros of the remaining subsequences $\mathbf{u}^{(1)}, \dots, \mathbf{u}^{(M-1)}$. Observe that the computation must terminate since each recursive call involves discovering a new zero of the original sequence \mathbf{u} , and by the version of the Skolem-Mahler-Lech Theorem for LRBS, there are only finitely many such zeros. ◀

If we restrict to recurrences of order at most 5 then we obtain an unconditional version of Theorem 11.

► **Theorem 12.** *There is a Turing reduction from the Skolem Problem for LRS of order at most 5 to the Bi-Skolem Problem for simple LRBS of order at most 5.*

Proof. As summarised in Appendix A, the Skolem Problem can be decided for all LRS $\langle u_n \rangle_{n=0}^\infty$ of order at most 5 except those that (after scaling) have a closed form $u_n = \sum_{i=1}^5 \alpha_i \lambda_i^n$ satisfying the following three conditions, where $\lambda_1, \dots, \lambda_5$ are algebraic integers that generate a number field $\mathbb{K} := \mathbb{Q}(\lambda_1, \dots, \lambda_5)$:

1. $\alpha_1 \neq -\alpha_3$;
2. $\lambda_1 \lambda_2 = \lambda_3 \lambda_4$;
3. there is a prime ideal \mathfrak{p} in $\mathcal{O}_{\mathbb{K}}$ that divides λ_1 and λ_3 but not $\lambda_2, \lambda_4, \lambda_5$.

The theorem at hand is proven using the procedure described in the proof of Theorem 11, which uses as a subroutine the procedure described in Proposition 8. To avoid relying on the weak p -adic Schanuel Conjecture, it suffices to give an unconditional proof of the termination of the latter procedure when invoked on LRBS whose closed-form representation satisfies the above three conditions. In other words, we must show (unconditionally) that for such LRBS one can compute a positive integer M such that $u_{Mn} \neq 0$ for all $n \in \mathbb{Z} \setminus \{0\}$.

Let p be a prime satisfying Conditions (i)–(iii) listed in Section 3. In particular, we have an embedding of \mathbb{K} into \mathbb{Q}_p . Recall from Section 3 that there exists a positive integer L such that $u_{Ln} = f(n)$ for a p -adic power series $f(X) = \sum_{j=0}^\infty a_j X^j$ such that $a_1 = \sum_{i=1}^5 \alpha_i \log \lambda_i^L$. The termination of the procedure described in the proof of Proposition 8 will be assured if $a_1 \neq 0$. We claim that for an LRBS satisfying the above three conditions, one has $a_1 = \sum_{i=1}^5 \alpha_i \log \lambda_i^L \neq 0$.

To prove the claim, suppose for a contradiction that $\sum_{i=1}^5 \alpha_i \log \lambda_i^L = 0$. Raising to the L -th power and then taking logarithms in Condition 2 above, we also have $\log \lambda_1^L + \log \lambda_2^L - \log \lambda_3^L - \log \lambda_4^L = 0$. Combining the two previous equations to cancel $\log \lambda_1^L$ we have

$$(\alpha_2 - \alpha_1) \log \lambda_2^L + (\alpha_3 + \alpha_1) \log \lambda_3^L + (\alpha_4 + \alpha_1) \log \lambda_4^L + \alpha_5 \log \lambda_5^L = 0. \quad (8)$$

From Condition 1 ($\alpha_1 \neq -\alpha_3$), we have that the coefficient of $\log \lambda_3^L$ in Equation (8) is non-zero. Applying Theorem 6, possibly several times, we eventually obtain an equation $\sum_{i=2}^5 \beta_i \log \lambda_i^L = 0$ such that the β_i are integers and $\beta_3 \neq 0$. Equivalently, we have a multiplicative relation among the characteristic roots that involves λ_3 but not λ_1 . But this contradicts Condition 3 and the proof is concluded. ◀

► **Theorem 13.** *The Skolem Problem for simple LRS is decidable assuming the Skolem Conjecture and the weak p -adic Schanuel Conjecture. The Skolem Problem for LRS of order at most 5 is decidable assuming the Skolem Conjecture.*

► **Remark 14.** Given that the Skolem Conjecture remains open in general, it is worth remarking that the proof of Theorem 13 sustains the following more general formulation: Consider a class \mathcal{C} of simple LRBS that is closed under taking subsequences and under translations. If the Skolem Conjecture holds for \mathcal{C} then, assuming the weak p -adic Schanuel Conjecture, the Skolem Problem is decidable over LRS in \mathcal{C} .

5 The SKOLEM Tool

We have implemented our algorithm in the SKOLEM tool, which finds all zeros (at both positive and negative indices) for simple integer LRS, and produces independent certificates guaranteeing that there are no further zeros. Even though we do not have complexity bounds, SKOLEM can efficiently handle many interesting examples, including several from the literature for which no proof technique was previously known to apply. Our tool is available online at <https://skolem.mpi-sws.org> and includes several built-in examples.

The implementation is written in Python, using the SageMath computer-algebra extension. This allows for the efficient and exact manipulation of mathematical objects, including elements of \mathbb{Z}_p . Python handles integers of arbitrary sizes seamlessly, making it especially suitable for our purposes, since even small examples can give rise to very large numbers within the inner workings of our algorithm.

► **Example 15.** Consider the LRS from [22, Example 2.4]:

$$u_{n+5} = 9u_{n+4} - 10u_{n+3} + 522u_{n+2} - 4745u_{n+1} + 4225u_n$$

with initial terms (for $n = 0, 1, 2, 3, 4$) of $\langle -30, -27, 0, 469, 1762 \rangle$. It is shown in [22] to have a unique zero at index 2 by being non-zero modulo 12625 at all indices larger than 2. The SKOLEM tool establishes this in a simpler way: after finding $u_2 = 0$, the tool calculates that there are no zeros in $\langle u_{2+14n} \rangle_{n=-\infty}^{\infty}$ for $n \neq 0$. Then the tool computes that $\langle u_{k+14n} \rangle_{n=-\infty}^{\infty}$ is non-zero modulo 29 for each even $k \neq 2$, and non-zero modulo 2 for each odd k (where $0 \leq k \leq 13$). Observe that the computed modulo classes, and thus the resulting certificate, is much smaller than those arising from 12625 as used in [22].

► **Example 16.** Consider the LRS from [22, Example 2.5]:

$$u_{n+6} = 6u_{n+5} - 26u_{n+4} + 66u_{n+3} - 130u_{n+2} + 150u_{n+1} - 125u_n$$

with initial terms (for $n = 0, 1, 2, 3, 4, 5$) of $\langle 0, 3, 11, -12, -125, -177 \rangle$, which was established at the time of writing to lie beyond the reach of existing known techniques. The SKOLEM tool is able to show using the methods developed in the present paper that there are indeed no further zeros (other than $u_0 = 0$).

► **Example 17.** Consider the reversible order-8 LRS from [22, Example 3.5]:

$$u_{n+8} = 6u_{n+7} - 25u_{n+6} + 66u_{n+5} - 120u_{n+4} + 150u_{n+3} - 89u_{n+2} + 18u_{n+1} - u_n$$

with initial terms (for $n = 0, \dots, 7$) of $\langle 0, 0, -48, -120, 0, 520, 624, -2016 \rangle$, which likewise was established at the time to lie beyond the reach of existing techniques. SKOLEM shows that there are no zeros other than those lying at indices 0, 1, and 4.

■ **Table 1** Table showing the distribution of outcomes by order. The line between orders 6 and 7 shows the boundary beyond which more than 50% of runs timeout. The second experiment shows the timeout rate when the timeout is increased to $60s \cdot order$ (“degenerate” and “not-simple” counts omitted as the distribution is similar to the 60s timeout experiment and unaffected by the timeout).

Order	Timeout of 60 seconds						Timeout of $60 \cdot order$ seconds			
	Total	Success	Degen- erate	Not simple	Timeout	Timeout %	Total	Success	Timeout	Timeout %
2	9250	8836	358	50	0	0.00%	1245	1200	0	0.00%
3	8995	8919	74	2	0	0.00%	1327	1322	0	0.00%
4	9195	9157	35	2	1	0.01%	1395	1392	0	0.00%
5	9188	8700	15	3	470	5.12%	1303	1290	11	0.84%
6	9172	4905	10	6	4251	46.35%	1318	952	366	27.77%
7	9213	1339	12	0	7862	85.34%	1328	310	1016	76.51%
8	9157	378	10	0	8769	95.76%	1249	73	1173	93.92%
9	9143	87	4	3	9049	98.97%	1330	18	1312	98.65%
10	9047	25	8	1	9013	99.62%	1294	7	1286	99.38%
Total	82360	42346	526	67	39415	47.86%	11789	6564	5164	43.80%

5.1 Testing

The SKOLEM tool was tested on a suite of random LRS, with the order taken uniformly between 2 and 10 and the coefficients taken uniformly at random between -20 and 20 . Tests were run for 48 hours using a 60-second timeout⁴, generating 82367 test instances.⁵

The results are presented in Tables 1 and 2. In particular, from order 7 onwards the tool is unable to handle more than half of the instances within one minute, with the timeout percentage jumping significantly from order 6. Both degenerate and non-simple LRS instances are very sparse, and as expected the higher the order the fewer such instances were randomly produced.

The experiments were re-run using a timeout of $60 \cdot order$ seconds (i.e., ranging from 2–10 minutes) in order to determine whether the 60-second timeout was too strict. The timeout percentage does decrease, but the overall pattern shows that the vast majority of LRS of order 7 and above could not be handled to completion before the timeout.

Table 2 presents statistical information. In the main experiment the average time is below 9 seconds for order-6 examples that succeed within 60 seconds. However, the decrease from order-8 onwards is explained by there being significantly fewer examples succeeding within 60 seconds. On average there are very few zeros (as can be expected) and those that do occur are almost always those occurring within the initial terms (the largest zero is nearly always at index less than the order).

The average maximum jump step used (i.e., M such that $\langle u_{Mn} \rangle$ has no zeros for $n \neq 0$ and $u_0 = 0$) is observed on average to grow with the order (except at order 10 with only 25 successful samples).

⁴ Testing was conducted using SageMath 9.5 in Docker on a Dell PowerEdge M620 blade equipped with 2×3.3 GHz Intel Xeon E5-2667 v2 (2×8 cores, 32 with hyper-threading) and 256GB ram. Testing was restricted to 16 parallel threads (50% of the computer’s resources) for institutional reasons.

⁵ 7 instances were discarded: 6 happened to be the zero sequence, one resulted in an exception (outside of the main tool code) which was later fixed.

■ **Table 2** Table listing statistical information for successful runs, by order. Line between orders 6 and 7 shows the boundary beyond which more than 50% of runs timeout, resulting in skewed analysis for the subsequent rows. For the second experiment, with timeout of $60 \cdot \text{order}$ seconds, only the mean time is shown as there are fewer data points.

Order	mean time (seconds)	mean count of zeros	max count of zeros	mean max zero	max zero index	mean tree depth	mean max jump	mean time (seconds) $60s \cdot \text{order}$
2	0.03	0.06	1	0.63	6	1.06	5.11	0.03
3	0.05	0.08	3	1.03	5	1.08	13.56	0.06
4	0.19	0.10	3	1.58	7	1.10	37.05	0.22
5	4.82	0.11	2	2.05	6	1.11	107.39	10.07
6	8.95	0.20	2	2.58	7	1.20	254.12	55.36
7	11.72	0.30	2	2.80	9	1.30	482.34	70.19
8	8.07	0.35	2	3.51	8	1.35	533.92	68.21
9	7.38	0.38	1	4.24	8	1.38	689.33	138.40
10	5.71	0.40	1	5.20	9	1.40	249.60	112.11

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A Hard Cases of the Skolem Problem at Order 5

As explained in [22], the Skolem Problem is known to be decidable for all LRS of order at most 5 except for those sequences $\mathbf{u} = \langle u_n \rangle_{n=0}^{\infty}$ having an exponential-polynomial representation

$$u_n = \alpha_1 \lambda_1^n + \overline{\alpha_1} \overline{\lambda_1}^n + \alpha_2 \lambda_2^n + \overline{\alpha_2} \overline{\lambda_2}^n + \alpha_3 \lambda_3^n \quad (9)$$

such that $\alpha_1, \alpha_2, \alpha_3, \lambda_1, \lambda_2, \lambda_3 \in \overline{\mathbb{Q}}$ satisfy $|\lambda_1| = |\lambda_2| > |\lambda_3|$ and $\lambda_1, \lambda_2, \lambda_3$ are not all units. It is further shown in [22] that by scaling sequences of this form we can assume that there exists a prime ideal \mathfrak{p} in the ring of integers of the number field generated by $\lambda_1, \overline{\lambda_1}, \lambda_2, \overline{\lambda_2}, \lambda_3$ such that \mathfrak{p} divides λ_1 and λ_2 , but not $\overline{\lambda_1}, \overline{\lambda_2}$ and λ_3 .

Here we make the further observation that for non-degenerate LRS of the form (9), under the assumption that $|\alpha_1| = |\alpha_2|$, there is a computable upper bound on n such that $u_n = 0$.

By scaling we can assume without loss of generality that $|\lambda_1| = |\lambda_2| = 1$ and $|\alpha_1| = |\alpha_2| = 1$. Thus we can write $\lambda_1 = e^{i\theta_1}$ and $\lambda_2 = e^{i\theta_2}$ for $\theta_1, \theta_2 \in [0, 2\pi)$ and we can put $\alpha_1 = e^{i\phi_1}$ and $\alpha_2 = e^{i\phi_2}$ for $\phi_1, \phi_2 \in [0, 2\pi)$. Then we have

$$\begin{aligned} u_n &= \alpha_1 \lambda_1^n + \overline{\alpha_1} \overline{\lambda_1}^n + \alpha_2 \lambda_2^n + \overline{\alpha_2} \overline{\lambda_2}^n + \alpha_3 \lambda_3^n \\ &= 2 \cos(n\theta_1 + \phi_1) + 2 \cos(n\theta_2 + \phi_2) + \alpha_3 \lambda_3^n \\ &= 4 \left(\cos \left(\frac{n(\theta_1 + \theta_2) + \phi_1 + \phi_2}{2} \right) \cos \left(\frac{n(\theta_1 - \theta_2) + \phi_1 - \phi_2}{2} \right) \right) + \alpha_3 \lambda_3^n. \end{aligned}$$

By non-degeneracy of \mathbf{u} , the terms $\cos \left(\frac{n(\theta_1 + \theta_2) + \phi_1 + \phi_2}{2} \right)$ and $\cos \left(\frac{n(\theta_1 - \theta_2) + \phi_1 - \phi_2}{2} \right)$ are respectively zero for at most one value of $n \in \mathbb{N}$. Furthermore, using Baker's Theorem on linear forms in logarithms (see [26, 34] for details), each of these terms has a lower bound (when non-zero) of the form $\frac{c}{n^d}$ for explicitly computable constants c and d . Since $|\lambda_3| < 1$ it follows that $u_n \neq 0$ for all $n \geq n_0$ for some effective threshold n_0 .