Tight Lower Bounds for Problems Parameterized by Rank-Width

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— Abstract –

We show that there is no $2^{o(k^2)}n^{O(1)}$ time algorithm for Independent Set on n-vertex graphs with rank-width k, unless the Exponential Time Hypothesis (ETH) fails. Our lower bound matches the $2^{O(k^2)}n^{O(1)}$ time algorithm given by Bui-Xuan, Telle, and Vatshelle [Discret. Appl. Math., 2010] and it answers the open question of Bergougnoux and Kanté [SIAM J. Discret. Math., 2021]. We also show that the known $2^{O(k^2)}n^{O(1)}$ time algorithms for Weighted Dominating Set, Maximum Induced Matching and Feedback Vertex Set parameterized by rank-width k are optimal assuming ETH. Our results are the first tight ETH lower bounds parameterized by rank-width that do not follow directly from lower bounds for n-vertex graphs.

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1 Introduction

Decompositions of graphs and their associated width parameters are a popular approach for solving NP-hard graphs problems. Several fundamental graph problems, like INDEPENDENT SET, are known to be fixed-parameter tractable parameterized by width parameters like the treewidth (tw) of the input graph [1]. While treewidth is the most prominent width parameter, it has limited applicability as it can be bounded only for sparse graphs. To capture the tractability of problems on structured dense graph classes, like cographs and distance-hereditary graphs, the parameter clique-width (cw) was introduced by Courcelle, Engelfriet, and Rozenberg [9]. Every graph with treewidth tw has clique-width cw $\leq O(2^{\text{tw}})$, and for example complete graphs have unbounded treewidth but constant clique-width. Many fixed-parameter tractability results parameterized by treewidth generalize to parameterization by clique-width [10], and in particular INDEPENDENT SET can be solved in time $2^{\text{cw}} n^{O(1)}$ given a decomposition witnessing clique-width at most cw.

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The width parameter rank-width (rw) was introduced by Oum and Seymour [29] in order to obtain a fixed-parameter approximation algorithm for computing the clique-width. In particular, they showed that $\mathsf{rw} \leq \mathsf{cw} \leq 2^{\mathsf{rw}+1} - 1$ and rank-width can be 3-approximated in time $8^{\mathsf{rw}}n^{O(1)}$, implying an exponential $2^{O(\mathsf{cw})}$ -approximation for clique-width within the same time complexity. Even though multiple improvements for computing rank-width have been given [17, 21, 24, 27], the only known way to approximate clique-width remains via computing rank-width.

In the past decade, the focus of the study of algorithms on graph decompositions has shifted from complexity classification into establishing fine-grained bounds on the time complexity as a function of the parameter [4, 5, 11, 12, 15, 16, 20, 23, 25, 26, 28], under either the Exponential Time Hypothesis (ETH) or the Strong Exponential Time Hypothesis (SETH) [22]. For example, Lokshtanov, Marx, and Saurabh [26] showed that assuming SETH, INDEPENDENT SET cannot be solved in time $(2-\varepsilon)^{\mathsf{pw}} n^{O(1)}$ parameterized by path-width (pw) for any constant $\varepsilon > 0$, which also translates into a tight lower bound of $(2-\varepsilon)^{\mathsf{cw}} n^{O(1)}$ parameterized by clique-width because of the relation $\mathsf{cw} \leq \mathsf{pw} + 2$ [14]. Lampis [25] showed that for every constant $k \geq 3$, the optimal time complexity of k-coloring parameterized by clique-width is $(2^k-2)^{\mathsf{cw}} n^{O(1)}$ assuming SETH.

Even though fine-grained lower bounds parameterized by clique-width have been intensively studied [4, 5, 15, 16, 25], less attention has been given to fine-grained lower bounds parameterized by rank-width. As the only known way to compute clique-width is via computing rank-width and using the constructive version of the inequalities $\mathsf{rw} \leq \mathsf{cw} \leq 2^{\mathsf{rw}+1}-1$, it could be argued that fine-grained bounds parameterized by rank-width have more significance than bounds parameterized by clique-width: In the end, the only known way to use clique-width in its full generality is to actually use rank-width.

The lack of fine-grained lower bounds for parameterizations by rank-width in the literature could be explained by the fact that the best known upper bounds appear to require more complicated arguments than for other width parameters. In particular, while the translation to clique-width or a straightforward dynamic programming leads to a double-exponential $2^{2^{O(rw)}} n^{O(1)}$ time algorithm for INDEPENDENT SET parameterized by rank-width, Bui-Xuan, Telle, and Vatshelle showed in 2010 that surprisingly this is not optimal, giving a $2^{O(rw^2)} n^{O(1)}$ time algorithm by exploiting the algebraic properties of rank-width [6]. It was asked by Bergougnoux and Kanté [3] whether this algorithm could be shown to be optimal assuming ETH, and by Vatshelle [30] whether a $2^{O(rw)} n^{O(1)}$ time algorithm exists.

In this paper, we show that assuming ETH, the $2^{O(rw^2)}n^{O(1)}$ time algorithm for INDE-PENDENT SET by Bui-Xuan, Telle, and Vatshelle is optimal. We show in fact a slightly more general result, using parameterization by linear rank-width (lrw), which is a path-like version of rank-width whose value is at least the rank-width, i.e., $rw \leq lrw$.

▶ Theorem 1.1. Unless ETH fails, there is no $2^{o(\text{lrw}^2)}n^{O(1)}$ time algorithm for INDEPENDENT SET, where lrw is the linear rank-width of the input graphs.

Unlike for the fine-grained lower bounds parameterized by clique-width, for our result the matching upper bound holds even without the assumption that the decomposition is given because rank-width can be 3-approximated in time $O(8^{\text{rw}}n^4)$ [27].

Theorem 1.1 is the first ETH-tight lower bound parameterized by rank-width that does not follow directly from a lower bound for n-vertex graphs and the relation $\mathsf{rw} \leq n$. Tight bounds of the latter type are known for the problems of finding a largest induced subgraph with odd vertex degrees and for partitioning a graph into a constant number of such induced subgraphs. In particular, these problems admit $2^{O(\mathsf{rw})}n^{O(1)}$ time algorithms but cannot be solved in time $2^{o(n)}$ unless ETH fails [2].

Algorithms with time complexity $2^{O(rw^2)}n^{O(1)}$ were given for Dominating Set and Maximum Induced Matching by Bui-Xuan, Telle, and Vatshelle [6, 8] and for Feedback Vertex Set by Ganian and Hlinený [18]. We extend our lower bound construction to show that these algorithms for Maximum Induced Matching and Feedback Vertex Set and a weighted variant of the algorithm for Dominating Set are optimal assuming ETH.

▶ **Theorem 1.2.** Unless ETH fails, there is no $2^{o(lrw^2)}n^{O(1)}$ time algorithm for WEIGHTED DOMINATING SET, MAXIMUM INDUCED MATCHING, or FEEDBACK VERTEX SET, where lrw is the linear rank-width of the input graphs.

Boolean-width. Boolean-width (boolw) is a width-parameter introduced by Bui-Xuan, Telle, and Vatshelle [7]. It is defined on branch decompositions over the vertex set V(G) with the cut function $\mathsf{boolw}(A,B) = \log_2 |\{N(X) \cap B : X \subseteq A\}|$, which naturally leads to algorithms with time complexity $2^{O(\mathsf{boolw})} n^{O(1)}$ for local problems when a branch decomposition with Boolean-width boolw is given. Bui-Xuan, Telle, and Vatshelle proved that $\log_2 \mathsf{rw} \leqslant \mathsf{boolw} \leqslant O(\mathsf{rw}^2)$ and asked as an open question whether the upper bound $\mathsf{boolw} \leqslant O(\mathsf{rw}^2)$ is tight [7]. Since INDEPENDENT SET can be solved in time $2^{O(\mathsf{boolw})} n^{O(1)}$ given a branch decomposition with Boolean-width boolw, Theorem 1.1 gives evidence that there are graphs whose Boolean-width is quadratic in rank-width. A small variant of our construction for Theorem 1.1 can be used to give a quadratic separation between Boolean-width and rank-width, answering the question of Bui-Xuan, Telle, and Vatshelle:

▶ **Theorem 1.3.** There are graphs with rank-width k and Boolean-width $\Omega(k^2)$ for arbitrarily large k.

The proof of this theorem is given in the full version of this paper.

Our Method. We briefly describe our main technical ideas for Theorem 1.1, as the other ideas are similar.

Our starting point is the $2^{O(rw^2)}n^{O(1)}$ time algorithm for INDEPENDENT SET from [6]. Intuitively, the algorithm can be thought of as normal dynamic programming over tree decompositions where we have table entries for each subset of a bag of the tree-decomposition (which is a separator in the graph). The twist however is that the size of the separator may be large, but instead we are only guaranteed that the rank (over \mathbb{F}_2) of the incidence matrix of the cut between the separator and the remainder of the graph is small. The crucial observation from [6] is that we do not need to know the exact subset of the separator of vertices selected in the independent set, but only its set of neighbors across the cut, and that such a neighborhood can be described by a (row- or column-) subspace of the mentioned incidence matrix. Since there are only $2^{O(rw^2)}$ such subspaces, the runtime follows.

To turn this encoding idea into a reduction from 3-CNF-SAT to INDEPENDENT SET on graphs of rank-width rw (and get an ETH-tight lower bound), we first show this description cannot be further shortened: Two vertex sets of the separator that describe different subspaces in fact will have different sets of neighbors across the cut. This allows us to design a "copy gadget": Once locally a certain vertex subset is chosen to be in the independent set, this is has to be copied in various places throughout the graph (such that we can check a clause of the 3-CNF per one such location). Furthermore, there is a simple inductive construction of subspaces that enables us to directly encode assignments of $\Omega(\text{rw}^2)$ variables of an 3-CNF formula into a subspace of \mathbb{F}_2^{rw} . This allows us to get a tight bound.

Organization. The rest of this paper is organized as follows. In Section 2 we set up notation. In Section 3 we present structural results on the maximal unique cut with rank-width k (that we call "the universal k-rank cut"). Subsequently, Section 4 builds upon these results to prove Theorem 1.1. In Section 5 we prove the lower bounds for MAXIMUM INDUCED MATCHING and FEEDBACK VERTEX SET. We prove the lower bound for WEIGHTED DOMINATING SET in Section 6. We provide a brief conclusion in Section 7.

2 Notation

Given two integers i, j such that $1 \le i \le j$, we denote by [i, j] the set of integer $\{i, i+1, \ldots, j\}$ and by [i] the set $\{1, 2, \ldots, i\}$. The size of a set V is denoted by |V| and its power set is denoted by 2^V .

Graphs. Our graph terminology is standard and we refer to [13]. The vertex set of a graph G is denoted by V(G) and its edge set by E(G). For every vertex set $X \subseteq V(G)$, when the underlying graph is clear from context, we denote by \overline{X} the set $V(G) \setminus X$. An edge between two vertices x and y is denoted by xy or yx. The set of vertices that are adjacent to x is denoted by $N_G(x)$. For a set $U \subseteq V(G)$, we define $N_G(U) := \bigcup_{x \in U} N_G(x) \setminus U$. If the underlying graph is clear, then we may remove G from the subscript. Two distinct vertices $u, v \in V(G)$ are twins if $N(v) \setminus \{u\} = N(u) \setminus \{v\}$. They are true twins if $uv \notin E(G)$ and false twins if $uv \notin E(G)$.

The subgraph of G induced by a subset X of its vertex set is denoted by G[X]. For two disjoint subsets X and Y of V(G), we denote by G[X,Y] the bipartite graph with vertex set $X \cup Y$ and edge set $\{xy \in E(G) : x \in X \text{ and } y \in Y\}$.

Problem Statements. An *independent set* is a set of vertices that induces an edgeless graph. A *matching* is a set of edges having no common endpoint and an *induced matching* is a matching M where every pair of edges of M do not have a common adjacent edge in G. Given a graph, the problems Independent Set and Maximum Induced Matching ask for respectively an independent set and an induced matching of maximum size.

A feedback vertex set is the complement of a set of vertices inducing a forest (i.e. acyclic graph). A set $D \subseteq V(G)$ dominates a set $U \subseteq V(G)$ if every vertex in U is either in D or is adjacent to a vertex in D. A dominating set of G is a set that dominates V(G). Given a graph, the problems DOMINATING SET and FEEDBACK VERTEX SET ask respectively for a dominating set and a feedback vertex set of minimum size.

Given a graph G with a weight function $w:V(G)\to\mathbb{N}$, the problem WEIGHTED INDEPENDENT SET (resp. WEIGHTED DOMINATING SET) asks for an independent set of maximum weight (resp. dominating set of minimum weight), where the weight of a set $X\subseteq V(G)$ is $\sum_{x\in X}w(x)$.

Width parameters. Let V be a finite set with $|V| \geq 3$, and f a function $f: 2^V \to \mathbb{Z}_{\geq 0}$ so that $f(\emptyset) = 0$ and $f(A) = f(\overline{A})$ for all $A \subseteq V$. A branch decomposition of f is a tree whose all internal nodes have degree 3 and whose leaves are bijectively mapped to V. Observe that every edge of a branch decomposition of f corresponds to a bipartition (A, \overline{A}) of V given by the leaves on different sides of the edge. The width of the decomposition is the maximum value of f(A) over the bipartitions (A, \overline{A}) corresponding to the edges, and the branch-width of f is the minimum width of a branch decomposition of f. The width of a permutation σ of V is the maximum value of f(A) over prefixes A of the permutation. The linear branch-width

of f is the minimum width of a permutation of V. Notice that the branch-width of f is always at most the linear branch-width of f, in particular linear branch-width corresponds to a restriction of branch-width where we demand the tree to be a caterpillar.

Let G be a graph and $A, B \subseteq V(G)$ two disjoint sets of vertices. We define $M_G(A, B)$ to be the $|A| \times |B|$ 0-1 matrix representing the bipartite graph G[A, B]. The cut-rank $\mathsf{rw}(A, B)$ between A and B is defined as the \mathbb{F}_2 -rank of $M_G(A, B)$. For a set of vertices $A \subseteq V(G)$ we define $\mathsf{rw}(A) = \mathsf{rw}(A, \overline{A})$. The rank-width of a graph G is the branch-width of the cut-rank function rw and the linear rank-width of G is the linear branch-width of rw . The Boolean-rank between A and B is defined as $\mathsf{boolw}(A, B) = \log_2 |\{N(X) \cap B : X \subseteq A\}|$, and we define $\mathsf{boolw}(A) = \mathsf{boolw}(A, \overline{A})$. The Boolean-width of a graph G is the branch-width of boolw .

We will use the following lemma about the cut-rank.

▶ Lemma 2.1. Let A, B be disjoint subsets of V(G) and $S \subseteq V(G)$. It holds that $\mathsf{rw}(A, B) \le \mathsf{rw}(A \cap S, B) + \mathsf{rw}(A \setminus S, B)$.

Proof. The edges of $G[A \cap S, B]$ and $G[A \setminus S, B]$ are disjoint, and therefore $M_G(A, B)$ can be written as the sum of (appropriately permuted) $M_G(A \cap S, B)$ and $M_G(A \setminus S, B)$. The lemma follows from the fact that the rank of a sum of matrices is at most the sum of the ranks of the matrices.

3 Structural Results on the Universal k-Rank Cut

In this section, we study the universal k-rank cut which is the unique inclusion-wise maximal cut of a given rank k with no twin vertices. This cut was used in [6] to give a non-algebraic definition of rank-width and in [7] to prove that some cuts can have rank-width k and Boolean-width $\Omega(k^2)$ for arbitrary large k.

▶ Definition 3.1. For any integer $k \ge 1$, the universal k-rank cut R_k is defined as the bipartite graph with $2 \cdot 2^k$ vertices with color classes $A^k := \{a_s : s \subseteq [k]\}$ and $B^k := \{b_t : t \subseteq [k]\}$ such that a_s and b_t are adjacent if and only if $|s \cap t|$ is odd. Given $S = \{s_1, \ldots, s_\ell\} \subseteq 2^{[k]}$, we define $A^k[S] := \{a_{s_1}, \ldots, a_{s_\ell}\}$ and $B^k[S] := \{b_{s_1}, \ldots, b_{s_\ell}\}$. We may omit k from the superscript when it is clear from the context.

The following lemma characterizes the cut-rank in terms of R_k .

▶ Lemma 3.2 ([6]). Let G be a graph and A, B disjoint subsets of V(G). It holds that $rw(A, B) \le k$ if and only if G[A, B], after removing twins, is an induced subgraph of R_k .

In particular, it follows that in the graph R_k we have $rw(A^k, B^k) = k$.

In the following, we define a family \mathscr{F}_k of subsets of $2^{[k]}$ that will play a crucial role in our reductions. One major property of this family will be that for every distinct $\mathcal{S}_1, \mathcal{S}_2$ in \mathscr{F}_k , the sets of vertices $A^k[\mathcal{S}_1]$ and $A^k[\mathcal{S}_2]$ have different neighborhoods in R_k .

▶ **Definition 3.3.** Given any integer $k \ge 1$ and $\mathcal{S} = \{s_1, \ldots, s_\ell\} \subseteq 2^{[k]}$, we define $\mathcal{S} \otimes k + 1$ as the collection consisting of $\{s_1, \ldots, s_\ell, \{k+1\}\}$ and all the sets $\{s'_1, \ldots, s'_\ell\}$ such that for every $i \in [\ell]$, we have $s'_i \in \{s_i, s_i \cup \{k+1\}\}$. We define \mathscr{F}_1 as the family containing \emptyset and $\{\{1\}\}$. For every $k \ge 1$, we define $\mathscr{F}_{k+1} = \bigcup_{\mathcal{S} \in \mathscr{F}_k} \mathcal{S} \otimes k + 1$.

For example, the collection \mathscr{F}_2 is the union of $\emptyset \otimes 2$ and $\{\{1\}\} \otimes 2$ where $\emptyset \otimes 2 = \{\emptyset, \{\{2\}\}\}\}$ and $\{\{1\}\} \otimes 2$ is the collection containing $\{\{1\}\}, \{\{1,2\}\}\}$ and $\{\{1\}, \{2\}\}.$

It is natural to view $S = \{s_1, \ldots, s_\ell\} \subseteq 2^{[k]}$ as a binary $\ell \times k$ matrix (so the s_i 's are interpreted as k-dimensional binary vectors). Then the \otimes operation can be thought of as transforming a single matrix into a set of matrices as displayed in Figure 1, and the family \mathcal{F}_i can be thought of as the family of all different binary matrices with k columns that are in row-reduced echelon form: The steps in which we add a row are exactly the pivotal columns.

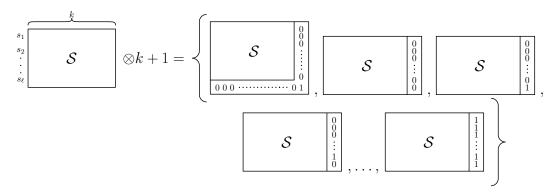


Figure 1 Illustration of the interpretation of the \otimes operation as an operation on binary matrices.

The following observation gives an alternative definition of \mathscr{F}_k .

▶ **Observation 3.4.** For every $k \ge 1$ and $\mathcal{S} \subseteq 2^{[k]}$, we have $\mathcal{S} = \{s_1, \ldots, s_{|\mathcal{S}|}\} \in \mathscr{F}_k$ if and only if there exist pairwise distinct integers $\alpha_1, \ldots, \alpha_{|\mathcal{S}|} \in [k]$ such that for every $i \in [|\mathcal{S}|]$, we have $s_i \cap \{\alpha_1, \ldots, \alpha_{|\mathcal{S}|}\} = \{\alpha_i\}$ and $s_i \subseteq \{\beta \in [k] : \alpha_i \le \beta\}$.

Note that the distinct integers $\alpha_1, \ldots, \alpha_{|\mathcal{S}|}$ in Observation 3.4 are the column indices of the leading coefficients in the matrix formulation in Figure 1.

We are ready to prove the two properties on the collections in \mathscr{F}_k that we will use in our reductions. We first prove the distinct neighborhoods property.

▶ Lemma 3.5. For every $k \ge 1$ and every pair $S_1, S_2 \in \mathscr{F}_k$ with $S_1 \ne S_2$ it holds that $N_{R_k}(A^k[S_1]) \ne N_{R_k}(A^k[S_2])$.

Proof. We start with the following claim.

 \triangleright Claim 3.6. For every $k \ge 1$ and $\mathcal{S}, \mathcal{X} \subseteq 2^{[k]}$ with $\mathcal{X} \subseteq \mathcal{S} \in \mathscr{F}_k$, there exists $t \subseteq [k]$ such that for every $s \in \mathcal{S}$, $|s \cap t|$ is odd if and only if $s \in \mathcal{X}$ (i.e. $N(b_t) \cap A^k[\mathcal{S}] = A^k[\mathcal{X}]$).

Proof. Let $S = \{s_1, \ldots, s_\ell\} \in \mathscr{F}_k$ and $\mathcal{X} \subseteq S$. By Observation 3.4, there exists $\alpha_1, \ldots, \alpha_\ell \in [k]$ such that for every $i \in [\ell]$, we have $s_i \cap \{\alpha_1, \ldots, \alpha_\ell\} = \{\alpha_i\}$. Let $t = \{\alpha_i : i \in [\ell] \land s_i \in \mathcal{X}\}$. Observe that, for every $i \in [\ell]$, we have $s_i \cap t = \{\alpha_i\}$ iff $s_i \in \mathcal{X}$. Hence, $|s_i \cap t|$ is odd iff $s_i \in \mathcal{X}$ for every $i \in [\ell]$.

We are now ready to prove the lemma by induction on k. It is obviously true for \mathscr{F}_1 . Let $k \geq 1$ and suppose that the lemma holds for \mathscr{F}_k . Let $\mathcal{S}'_1, \mathcal{S}'_2 \in \mathscr{F}_{k+1}$ such that $\mathcal{S}'_1 \neq \mathcal{S}'_2$. By construction of \mathscr{F}_{k+1} , for every $i \in \{1,2\}$, there exists a unique $\mathcal{S}_i \in \mathscr{F}_k$ such that $\mathcal{S}'_i \in \mathcal{S}_i \otimes k + 1$.

If $S_1 \neq S_2$, then by induction hypothesis, $N_{R_k}(A^k[S_1]) \neq N_{R_k}(A^k[S_2])$. By definition of R_{k+1} , for each $i \in \{1,2\}$, the sets of vertices $A^{k+1}[S_i]$ and $A^{k+1}[S_i']$ have the same neighborshoods in R_{k+1} when restricted to the subset $B^k \subseteq B^{k+1}$. We conclude that if $S_1 \neq S_2$, then $N_{R_{k+1}}(A^{k+1}[S_1']) \neq N_{R_{k+1}}(A^{k+1}[S_2'])$.

It remains to consider the case when $S_1 = S_2 = \{s_1, \ldots, s_\ell\}$. For both $i \in \{1, 2\}$, we define a set $\mathcal{X}_i := \{s_j : j \in [\ell] \land s_j \cup \{k+1\} \in \mathcal{S}'_i\}$. Since $\mathcal{S}'_1 \neq \mathcal{S}'_2$, we have $\mathcal{X}_1 \neq \mathcal{X}_2$. By Claim 3.6, there exists $t_1 \subseteq [k]$ such that $|s_j \cap t_1|$ is odd if and only if $s_j \in \mathcal{X}_1$ for every $j \in [\ell]$. We claim that $b_{t_1 \cup \{k+1\}}$ is adjacent to $A^{k+1}[\mathcal{S}'_2]$ but not to $A^{k+1}[\mathcal{S}'_1]$ in R_{k+1} . For every $j \in [\ell]$, we have $s_j \in \mathcal{X}_1$ if and only if $s_j \cup \{k+1\} \in \mathcal{S}'_1$, we deduce that $|s \cap (t_1 \cup \{k+1\})|$ is even for every $s \in \mathcal{S}'_1$. Consequently, $b_{t_1 \cup \{k+1\}}$ is not adjacent to $A^{k+1}[\mathcal{S}'_1]$ in R_{k+1} . As $\mathcal{X}_1 \neq \mathcal{X}_2$, there exists $j \in [\ell]$ such that $s_j \in \mathcal{X}_1 \triangle \mathcal{X}_2$.

- If $s_j \in \mathcal{X}_1 \setminus \mathcal{X}_2$, then $s_j \in \mathcal{S}'_2$ and $|s_j \cap (t_1 \cup \{k+1\})| = |s_j \cap t_1|$ is odd.
- If $s_j \in \mathcal{X}_2 \setminus \mathcal{X}_1$, then $s_j \cup \{k+1\} \in \mathcal{S}'_2$ and $|s_j \cup \{k+1\} \cap (t_1 \cup \{k+1\})| = |s_j \cap t_1| + 1$ is odd since $|s_j \cap t_1|$ is even.

We deduce that $b_{t_1 \cup \{k+1\}}$ is adjacent to $A^{k+1}[\mathcal{S}'_2]$ and conclude that $N_{R_{k+1}}(A^{k+1}[\mathcal{S}'_1]) \neq N_{R_{k+1}}(A^{k+1}[\mathcal{S}'_2])$.

We then show that the size of the neighborhood of $A^k[S]$ depends only on |S|.

▶ **Lemma 3.7.** For every $k \ge 1$ and $S \in \mathscr{F}_k$, we have $|N_{R_k}(A^k[S])| = 2^k - 2^{k-|S|}$.

Proof. Let $k \geq 1$ and $S \in \mathscr{F}_k$. In this proof, we view each subset $s \subseteq [k]$ as a vector $(s_1, \ldots, s_k) \in \mathbb{Z}_2^k$ with $s_i = 1$ if and only if $i \in s$ for every $i \in [k]$. Observe that, given $s, t \subseteq [k]$, the inner product $\langle s, t \rangle$ of s and t is the sum $s_1t_1 + s_2t_2 + \cdots + s_kt_k$ over \mathbb{Z}_2 and it equals 1 if and only if $|s \cap t|$ is odd.

We denote by $\langle \mathcal{S} \rangle$ the subspace of \mathbb{Z}_2^k generated by the vectors of \mathcal{S} and by $\langle \mathcal{S} \rangle^{\perp} := \{t \subseteq [k] : \forall s \in \langle \mathcal{S} \rangle, \langle s, t \rangle = 0\}$ its orthogonal subspace.

Observe that, for every $s_1, s_2, t \subseteq [k]$, if $\langle s_1, t \rangle = \langle s_2, t \rangle = 0$, then $\langle s_1 + s_2, t \rangle = 0$. Consequently, we have $t \in \langle \mathcal{S} \rangle^{\perp}$ if and only if $\langle s, t \rangle = 0$ for every $s \in \mathcal{S}$. We deduce that

$$N_{R_k}(A^k[\mathcal{S}]) = B^k \setminus B^k[\langle \mathcal{S} \rangle^{\perp}].$$

By the rank-nullity theorem, we have $\dim \langle \mathcal{S} \rangle + \dim \langle \mathcal{S} \rangle^{\perp} = k$. By Claim 3.6, for every $s \in \mathcal{S}$, there exists $t \subseteq [k]$ such that $\langle s, t \rangle = 1$ and $\langle s', t \rangle = 0$ for every $s' \in \mathcal{S} \setminus \{s\}$. We deduce that $\dim \langle \mathcal{S} \rangle = |\mathcal{S}|$ and thus $\dim \langle \mathcal{S} \rangle^{\perp} = k - |\mathcal{S}|$. As $N_{R_k}(A^k[\mathcal{S}]) = B^k \setminus B^k[\langle \mathcal{S} \rangle^{\perp}]$, we conclude that $|N_{R_k}(A^k[\mathcal{S}_1])| = |\mathbb{Z}_2^k| - |\langle \mathcal{S} \rangle^{\perp}| = 2^k - 2^{k-|\mathcal{S}|}$.

4 Reduction for Independent Set

Our reduction is from the variant of 3-CNF-SAT with a square number of variables. Since, for every $n \in \mathbb{N}$, there is always a square number between n and 2n+1, we can always add O(n) dummy variables to an instance of 3-CNF-SAT to ensure a square number of variables. Thus, we have the following easy consequence of ETH [22].

▶ Lemma 4.1. Unless ETH fails, there is no $2^{o(k^2)}(k+m)^{O(1)}$ time algorithm for 3-CNF-SAT with m clauses and k^2 variables, where k is an integer.

We start from an instance φ of 3-CNF-SAT with m clauses C_1, \ldots, C_m and a set of k^2 variables $\mathsf{Var}(\varphi) := \{v_{i,j} : i \in [k], j \in [k+1,2k]\}$. The main idea of our construction is to use the following bijection between the assignments of $\mathsf{Var}(\varphi)$ and some collections in \mathscr{F}_{2k} .

▶ **Definition 4.2.** Let $S := \{s \subseteq [2k] : |s \cap [k]| = 1\}$. For each $i \in [k]$, we denote by S_i the set $\{s \in S : s \cap [k] = \{i\}\}$. For every assignment $f : \mathsf{Var}(\varphi) \to \{0,1\}$, we denote by S_f the collection containing the sets $s_1 \in S_1, s_2 \in S_2, \ldots, s_k \in S_k$, where for every $i \in [k]$

$$s_i = \{i\} \cup \{j \in [k+1, 2k] : f(v_{i,j}) = 1\}.$$

Given a literal $\ell \in \{v_{i,j}, \neg v_{i,j}\}$, we define S_{ℓ} as the set $\{s \in S_i : j \in s\}$ if $\ell = v_{i,j}$ and $\{s \in S_i : j \notin s\}$ if $\ell = \neg v_{i,j}$.

For example with k = 2, the interpretation f which sets the variables $v_{1,3}, v_{1,4}$ and $v_{2,4}$ to true is associated with the collection S_f containing $\{1, 3, 4\}$ and $\{2, 4\}$.

▶ **Observation 4.3.** For every interpretation $f : \mathsf{Var}(\varphi) \to \{0,1\}$ and literal $\ell \in \{v_{i,j}, \neg v_{i,j} : v_{i,j} \in \mathsf{Var}(\varphi)\}$, we have $f(\ell) = 1$ if and only if $\mathcal{S}_f \cap \mathcal{S}_\ell \neq \emptyset$ if and only if $\mathcal{S}_f \cap \mathcal{S}_{\neg \ell} = \emptyset$.

High level description of the reduction. We consider a modified version of the universal 2k-rank cut R_{2k} which is obtained by: (1) removing the vertices that are in A^{2k} but not in $A^{2k}[S]$ and (2) making $A^{2k}[S_i]$ a clique for every $i \in [k]$. This way in the graph induced by $A^{2k}[S]$, every maximal independent set is of the form $A^{2k}[S_f]$ with f an assignment of $Var(\varphi)$.

We make m copies A_1, \ldots, A_m of such $A^{2k}[\mathcal{S}]$ and for each $i \in [m]$, we create a simple clause gadget that is adjacent to some vertices of A_i . For each $i \in [m]$, the clause gadget for C_i is simply a triangle whose vertices are associated with the literals of C_i . For each literal ℓ of C_i , the vertex associated with ℓ is adjacent to the vertices in the A_i associated with the sets in $\mathcal{S}_{\neg \ell}$. See Figure 2 for an overview of this construction and Figure 3 for an example of clause gadget.

Finally, we define a vertex-weight function (that can be emulated in the unweighted setting by adding false twins) in such a way that for any independent set I of maximum weight, there exists an interpretation f of $\mathsf{Var}(\varphi)$ such that I contains the copies of $A^{2k}[\mathcal{S}_f]$. To this end, we actively use the fact that for all interpretations f, g of $\mathsf{Var}(\varphi)$, we have $\mathcal{S}_f, \mathcal{S}_g \in \mathscr{F}_{2k}$ and thus $A^{2k}[\mathcal{S}_f]$ and $A^{2k}[\mathcal{S}_g]$ have the same neighborhood in R_{2k} if and only if f = g.

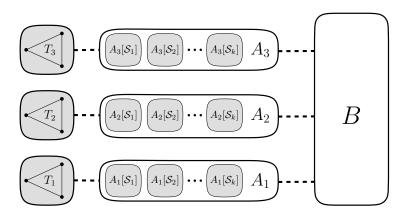


Figure 2 Overview of the reduction for INDEPENDENT SET with m=3. The gray areas represent cliques and dotted lines indicates the existence of edges between two sets of vertices.

The construction. We construct a graph G as follows. We create m copies A_1, \ldots, A_m of $A^{2k}[S]$, for each $i \in [m]$, we have $A_i := \{a_s^i : s \in S\}$. Given $S' \subseteq S$ and $i \in [m]$, we denote by $A_i[S']$ the set $\{a_s^i \in A_i : s \in S'\}$. For each $i \in [m]$ and $j \in [k]$, we add edges so that $A_i[S_j]$ induces a clique. Thanks to these k cliques, we have the following relation between the independent sets of $G[A_i]$, the subsets of S and the interpretations of $Var(\varphi)$.

▶ Lemma 4.4. Let $i \in [m]$ and $S' \subseteq S$. If $A_i[S']$ is an independent set of $G[A_i]$, then $S' \in \mathscr{F}_{2k}$. Moreover, $A_i[S']$ is an independent set of $G[A_i]$ of size k if and only if there exists an interpretation f of $Var(\varphi)$ such that $S' = S_f$.

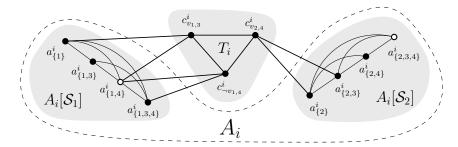


Figure 3 Example of clause gadget with k=2 and a clause $C_i = \{v_{1,3}, \neg v_{1,4}, v_{2,4}\}$. The independent set containing the white-filled vertices is $A_i[S_f]$ for the interpretation f with $f^{-1}(1) = \{v_{1,4}, v_{2,3}, v_{2,4}\}$ and $f^{-1}(0) = \{v_{1,3}\}$. This independent set can be extended with the vertex $c_{v_{2,4}}^i$.

Proof. Let $i \in [m]$ and $\mathcal{S}' = \{s_1, \ldots, s_r\} \subseteq \mathcal{S}$ such that $A_i[\mathcal{S}']$ is an independent set of G. By construction of $G[A_i]$, we know that $A_i[\mathcal{S}']$ contains at most one vertex from $A_i[\mathcal{S}_j]$ for each $j \in [k]$. Thus, there exist pairwise distinct integers $\alpha_1, \ldots, \alpha_r \in [k]$ such that for every $j \in [r]$, we have $\{\alpha_j\} \subseteq s_j \subseteq \{\alpha_j\} \cup [k+1,2k]$. By Observation 3.4, we deduce that \mathcal{S}' belongs to the collection \mathscr{F}_{2k} .

It is easy to see that $|\mathcal{S}'| = k$ if and only if $\mathcal{S}' = \{s_1, \dots, s_k\}$ with $\{j\} \subseteq s_j \subseteq \{j\} \cup [k+1, 2k]$ for every $j \in [k]$ and this is equivalent to $\mathcal{S}' = \mathcal{S}_f$ with $f^{-1}(1) = \{v_{i,j} : i \in [k] \land j \in s_i \cap [k+1, 2k]\}$.

We create $B = B^{2k} := \{b_s : s \subseteq [2k]\}$. For every $i \in [m]$, $s \in \mathcal{S}$ and $t \subseteq [2k]$, if $|s \cap t|$ is odd, we make b_t adjacent to a_s^i . Consequently, $G[A_i, B_i]$ is isomorphic to $R_{2k}[A^{2k}[\mathcal{S}], B^{2k}]$. We deduce the following observations from Lemma 4.4 and our results on R_{2k} .

- ▶ Observation 4.5. Let $i, j \in [m]$ and f, g be two assignments of $Var(\varphi)$. We have $N(A_i[S_f]) \cap B = N(A_j[S_g]) \cap B$ if and only if f = g.
- ▶ **Observation 4.6.** For every $i \in [m]$ and $S' \subseteq S$ such that $A_i[S']$ is an independent set of G, we have $|N(A_i[S']) \cap B| = 2^{2k} 2^{2k-|S'|}$.

For every $i \in [m]$ with $C_i = \{\ell_1, \ell_2, \ell_3\}$, we create a triangle induced by a set T_i of three new vertices $c^i_{\ell_1}, c^i_{\ell_2}$ and $c^i_{\ell_3}$. For each $j \in [3]$, we make $c^i_{\ell_j}$ adjacent to all the vertices in $A_i[\mathcal{S}_{\neg \ell_j}]$. Thanks to Observation 4.3 and these edges, each independent sets associated with an interpretation that satisfies C_i can be extended with one of the vertices in T_i .

▶ **Observation 4.7.** For every assignment f of $Var(\varphi)$ and $i \in [m]$ with $C_i = \{\ell_1, \ell_2, \ell_3\}$, we have $T_i \setminus N(A_i[S_f]) \neq \emptyset$ if and only if f satisfies C_i .

Finally, we define the weight function $w: V(G) \to \mathbb{N}$ such each vertex v in $A_1 \cup \cdots \cup A_m$ have weight $w(v) := 2^{2k} = |B|$ and all the other vertices have weight 1. The purpose of w is to guarantee that maximum independent set of G contains k vertices in each A_i .

We are ready to prove the correctness of our reduction.

▶ **Lemma 4.8.** If φ is a satisfiable 3-CNF-SAT formula, then G admits an independent set of weight $2^{2k}km + 2^k + m$.

Proof. Suppose φ admits a satisfying assignment f. For each $i \in [m]$, let ℓ^i be a literal of C_i such that $f(\ell^i) = 1$. Let I be the set of vertices that contains (1) the vertices in $B \setminus N(A_1[S_f]) = \cdots = B \setminus N(A_m[S_f])$ and (2) for every $i \in [m]$ the vertices in $A_i[S_f] \cup \{c_{\ell^i}^i\}$.

Lemmas 4.4 and 4.6 and Observations 4.7 and 4.5 imply that I is an independent set of G. For every $i \in [m]$, we have $|A_i \cap I| = k$ and by Observation 4.6 we have $B \cap I = |B \setminus N(A_1[S_f])| = 2^k$. Hence, the weight of I is $2^{2k}km + 2^k + m$.

▶ **Lemma 4.9.** If G admits an independent set of weight at least $2^{2k}km + 2^k + m$, then φ is a satisfiable 3-CNF-SAT formula.

Proof. Assume that G admits an independent set I of maximum weight with $w(I) \geq 2^{2k}km+2^k+m$. First, we assume towards a contradiction that there exists $i \in [m]$ and $j \in [k]$ such that $I \cap A_i[S_j] = \emptyset$. Let $s \in S_j$. By construction of G, we have $N(a_s^i) \subseteq B \cup A_i[S_j] \cup T_i$. By Observation 4.6, we have $|N(a_s^i) \cap B| = 2^{2k-1}$. Moreover, since T_i induces a triangle in G, I contains at most one of vertex in T_i . We deduce that

$$w(N(a_s^i) \cap I) \le 2^{2k-1} + 1 < w(a_s^i) = 2^{2k}.$$

Consequently, $I' = (I \setminus N(a_s^i)) \cup \{a_s^i\}$ is an independent set of G with w(I) < w(I'), yielding a contradiction with I being of maximum weight.

From now, we assume that, for every $i \in [m]$ and $j \in [k]$, I contains exactly one vertex in $A_i[S_j]$. Since each $A_i[S_j]$ induces a clique, we deduce that for every $i \in [m]$, we have $|A_i \cap I| = k$. By Lemma 4.4, there exist m interpretations f_1, \ldots, f_m of $\mathsf{Var}(\varphi)$ such that, for every $i \in [m]$, we have $I \cap A_i = A_i[S_{f_i}]$. By Observation 4.6, for every $i \in [m]$, we have

$$|N(A_i[S_{f_i}]) \cap B| = 2^{2k} - 2^k. \tag{1}$$

Hence, we have $w(I \cap B) = |I \cap B| \le 2^k$. Since each T_i induces a triangle in G, we have $w(I \cap T_i) = |I \cap T_i| \le 1$. As $w(I) \ge 2^{2k}km + 2^k + m$, we deduce that I has exactly 2^k vertices in B and 1 in each T_j for $j \in [m]$.

Equation 1 and $|I \cap B_i| = 2^k$ imply that the neighborhoods of $I \cap A_1, I \cap A_2, \dots, I \cap A_m$ in B is the same. From Observation 4.5, we deduce that $f_1 = f_2 = \dots = f_m$. Since I contains exactly one vertex in each T_i , we conclude from Observation 4.7 that $f_1 = \dots = f_m$ satisfies every clause of φ .

▶ **Lemma 4.10.** The linear rank-width of G is at most 2k + 4.

Proof. For each $i \in [m]$ and $j \in [k]$, let $\sigma(A_i[S_j])$ be an arbitrary permutation of $A_i[S_j]$ and $\sigma(A_i)$ be the concatenation of $\sigma(A_i[S_1]), \ldots, \sigma(A_i[S_k])$. For each $X \in \{T_1, \ldots, T_m\} \cup \{B\}$, let $\sigma(X)$ be an arbitrary permutation of X. We define the permutation σ of V(G) as the concatenation of $\sigma(B), \sigma(A_1), \sigma(T_1), \sigma(A_2), \sigma(T_2), \ldots, \sigma(A_m)$ and $\sigma(T_m)$. We claim that $\operatorname{rw}(\sigma) \leq 2k + 4$.

Let (X, \overline{X}) be a cut of G induced by σ . If $X \cap (A_1 \cup \cdots \cup A_m) = 0$, then $\operatorname{rw}(X, \overline{X})$ is at most $\operatorname{rw}(B, A_1 \cup \cdots \cup A_m)$. Now, observe that $G[B, A_1 \cup \cdots \cup A_m]$ is obtained from the universal 2k-rank cut R_{2k} by removing some vertices A^{2k} and making copies of the ones we do not remove. Consequently, we have $\operatorname{rw}(B, A_1 \cup \cdots \cup A_m) \leq 2k$.

Suppose now that $X \cap (A_1 \cup \cdots \cup A_m) \neq \emptyset$. Let $i \in [m]$ and $j \in [k]$ be maximum such that $X \cap A_i[\mathcal{S}_j] \neq \emptyset$. Observe that the only edges of $G[X, \overline{X}]$ are (1) between $X \cap B$ and $\overline{X} \cap (A_{j+1} \cup \cdots \cup A_m)$, (2) between $A_i[\mathcal{S}_j] \cap X$ and $A_i[\mathcal{S}_j] \cap \overline{X}$, (3) between $X \cap (A_i \cup T_i)$ and $\overline{X} \cap T_i$. As $G[A_i]$ is a clique, we have $\operatorname{rw}(A_i[\mathcal{S}_j] \cap X, A_i[\mathcal{S}_j] \cap \overline{X}) \leqslant 1$. Since $|\overline{X} \cap T_i| \leqslant 3$, we deduce that $\operatorname{rw}(X, \overline{X})$ is at most 2k + 4. As it holds for any prefix X of σ , we conclude that $\operatorname{Irw}(G) \leqslant 2k + 4$.

▶ Theorem 4.11. There is no algorithm solving Weighted Independent Set in time $2^{o(\text{Irw}(G)^2)}n^{O(1)}$ unless ETH fails.

Proof. Assume that there exists a $2^{o(\operatorname{Irw}(G)^2)}n^{O(1)}$ time algorithm for WEIGHTED INDEPENDENT SET. We prove that it implies the existence of a $2^{o(k^2)}n^{O(1)}$ time algorithm for 3-SAT where k^2 is the number of variables. This will contradict ETH.

Suppose that we are given a 3-SAT formula φ with k^2 variables and m clauses. We construct the graph G described above. As G has $2^{2k} + (2^k k + 3)m$ vertices, we deduce that we can construct G in time $2^{O(k)}m$.

From Lemmas 4.8 and 4.9, we know that G admits an independent set of weight at least $2^{2k}km + 2^k + m$ if and only if φ is satisfiable. By assumption, we can compute an independent set of G in time $2^{o(\operatorname{Irw}(G)^2)}n^{O(1)}$. By Lemma 4.10, the linear rank-width of G is at most 2k + 4. Hence, we can decide whether φ is satisfiable in time $2^{o(k^2)}n^{O(1)}$. This contradicts ETH by Lemma 4.1.

▶ **Lemma 4.12.** Let G be a graph with a weight function $w: V(G) \to \mathbb{N}$ and σ be a linear decomposition of rank-width w. We can construct in time $O(|V(G)| \max_{v \in V(G)} w(v))$ a graph G' and a linear decomposition σ' of G' with rank-width at most w+1 such that G admits an independent set I of weight at least W iff G' admits a independent set of size at least W.

Proof. We assume without loss of generality that G has no vertex of weight 0 (we can always delete them without changing the weights of the independents sets of G). The graph G' is obtained from G by adding iteratively w(v)-1 false twins to each vertex $v\in V(G)$. Formally, G' is the graph with vertex set $V(G')=\{v_i:v\in V(G)\land i\in [w(v)]\}$ and edge set $\{u_iv_j:uv\in E(G)\land i\in [w(u)]\land j\in [w(v)]\}$. We construct σ' from σ by replacing every vertex $v\in V(G)$ by the sequence $(v_1,\ldots,v_{w(v)})$. Obviously, G' and σ' can be constructed in time $O(|V(G)|\max_{v\in V(G)}w(v))$

Given an independent set I of G, it is easy to see that $\{v_i : v \in I \land i \in [w(v)]\}$ is an independent set of G of size w(I). On the other hand, for every independent set I' of G', we have $|I'| \leq w(\{v \in V(G) : I \cap \{v_1, \dots, v_{w(v)}\} \neq \emptyset\})$.

Let (A',B') be a cut induced by σ' , $A=\{v\in V(G):v_1\in A'\}$ and $B=\{v\in V(G):v_{w(v)}\in B'\}$. By construction, $(A,V(G)\backslash A)$ is a cut of G induced by σ and B is either $V(G)\backslash A$ or $(V(G)\backslash A)\cup \{v\}$ for some vertex $v\in A$ if $v_1\in A'$ and $v_{w(v)}\in B'$. Moreover, the adjacency matrix between A' and B' in G' can be obtained from the one between A and B in G by adding copies of rows and columns. Since adding a copy of a row or a column does not increase the rank of a matrix, we conclude that $\operatorname{rw}(A',B')\leqslant \operatorname{rw}(A,B)+\operatorname{rw}(A,\{v\})\leqslant \operatorname{rw}(A,V(G)\backslash A)+1$. As $\operatorname{rw}(A,V(G))\leqslant w$, we deduce that $\operatorname{rw}(A',B')\leqslant w+1$. We conclude that the rank-width of σ' is at most w+1.

Theorem 1.1 is now a direct consequence of Theorem 4.11 and Lemma 4.12.

5 Maximum Induced Matching and Feedback Vertex Set

In this subsection, we prove that our lower bound for INDEPENDENT SET holds also for MAXIMUM INDUCED MATCHING and FEEDBACK VERTEX SET. To prove this, we provide a single reduction from INDEPENDENT SET that works for both problems.

- ▶ **Lemma 5.1.** Let G be a graph, σ be a linear decomposition of G of rank-width w. We can construct in polynomial time a graph G' and a linear decomposition of G' of rank-width at most w + 1 such that, for every $k \in \mathbb{N}$, the following properties are equivalent:
- 1. G admits an independent set of size k.
- **2.** G' admits an induced matching on k edges.
- **3.** G admits an induced forest with 2k vertices.

Proof. Let G' be the graph with vertex set $\{v, \hat{v} : v \in V(G)\}$ and edge set

```
\{v\widehat{v}: v \in V(G)\} \cup \{uv, \widehat{u}v, u\widehat{v}, \widehat{u}\widehat{v}: uv \in E(G)\}.
```

We construct a linear decomposition σ' of G' from σ by inserting \widehat{v} after v in σ for each vertex $v \in V(G)$. Obviously, G' and σ' can be constructed in polynomial time. Since \widehat{v} is a true twin of v in G' for every $v \in V(G)$, we deduce that the rank-width of σ' is at most w+1.

 $(1 \Rightarrow 2 \land 3)$. Given an independent set I of G, the set of vertices $\{v\hat{v}: v \in I\}$ induces a matching (and a forest) of G'.

 $(2 \Rightarrow 1)$. For M an induced matching M of G', we obtain an independent set of G of size |M| by considering any set of vertices that contains exactly one endpoint in V(G) of each edge in M.

(3 \Rightarrow 1). Let F be a forest of G' of maximum size with a maximum number of edges in $\widehat{E} := \{v\widehat{v}: v \in V(G)\}$. Assume towards a contradiction that F admits a connected component C with an edge not in \widehat{E} . By construction, we deduce that V(C) contain at most one vertices in $\{v, \widehat{v}\}$ for each $v \in V(G)$. As C is a tree, there exists $I_C \subseteq C$ such that $2|I_C| \geq |C|$ and $G'[I_C]$ is an independent set. Observe that $M = \{v, \widehat{v}: v \in I_C \vee \widehat{v} \in I_C\}$ induces a matching of size at least |C|. It follows that $(V(F) \setminus V(C)) \cup M$ induces a forest with at least as much vertices as F and with more edges in \widehat{E} , yielding a contradiction. Consequently, we have $E(F) \subseteq \widehat{E}$ and we conclude that $V(F) \cap V(G)$ is an independent set of size |V(F)/2|.

The following corollary is a direct consequence of Theorem 1.1 and Lemma 5.1.

▶ Corollary 5.2. There is no algorithm solving MAXIMUM INDUCED MATCHING or FEEDBACK VERTEX SET in time $2^{o(\mathsf{rw}(G)^2)}n^{O(1)}$ unless ETH fails.

6 Weighted Dominating Set

As for Independent Set, the starting point is an instance φ of 3-CNF-SAT with m clauses C_1, \ldots, C_m and a set of k^2 variables $\mathsf{Var}(\varphi) := \{v_{i,j} : i \in [k], j \in [k+1,2k]\}$. Similarly to Independent Set, we construct a graph G with m copies A_1, \ldots, A_m of $A^{2k}[\mathcal{S}]$ and we make sure that for any dominating set of minimum weight D, the intersection of D with A_1, \ldots, A_m corresponds to $A_1[\mathcal{S}_f], \ldots, A_m[\mathcal{S}_f]$ for some interpretation f of $\mathsf{Var}(\varphi)$. The clause gadget associated with C_i consists of a single vertex adjacent to the vertices of the i-th copy of $A^{2k}[\mathcal{S}]$ that represent the partial interpretations of $\mathsf{Var}(\varphi)$ satisfying C_i . Thus, if φ is satisfied by an interpretation f, a dominating set including $A_1[\mathcal{S}_f], \ldots, A_m[\mathcal{S}_f]$ would dominate the vertices associated with the clause gadgets.

The main difference with our reduction for INDEPENDENT SET is that we need 2(m-1) copies $B_1, \widehat{B}_1, \ldots, B_{m-1}, \widehat{B}_{m-1}$ of B^{2k} to guarantee our equivalence between minimum dominating sets and interpretations of $\text{Var}(\varphi)$. Briefly, for each $i \in [m-1]$, $G[A_i, B_i]$ and $G[\widehat{B}_i, A_{i+1}]$ are isomorphic to $R_{2k}[A^{2k}[\mathcal{S}], B^{2k}]$ and $G[B_i, \widehat{B}_i]$ is an induced matching such that for each set $s \subseteq [2k]$, the two vertices in $B_i \cup \widehat{B}_i$ associated with s are adjacent. See Figure 4 for an overview of the reduction.

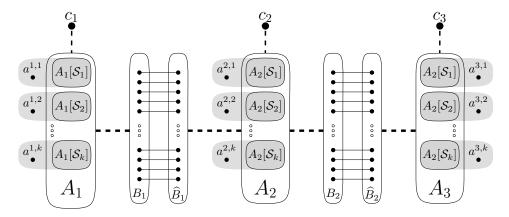


Figure 4 Overview of the reduction for WEIGHTED DOMINATING SET with m=3. The gray areas represents cliques and dotted lines indicates the existence of edges between two sets of vertices.

This path-shaped construction and prohibitive weights on some vertices ensure that φ is satisfiable by an interpretation f iff the set containing $A_1[\mathcal{S}_f], \ldots, A_m[\mathcal{S}_f]$ and $B_1 \setminus N(A_1[\mathcal{S}_f]), \ldots, B_{m-1} \setminus N(A_m[\mathcal{S}_f])$ is a dominating set of minimum weight. Thanks to the induced matchings between B_i and \widehat{B}_i , the vertices of $B_i \setminus N(A_i[\mathcal{S}_f])$ dominate the vertices in \widehat{B}_i that are not dominated by $A_{i+1}[\mathcal{S}_f]$.

The construction. We construct a graph G as follows. We create m copies A_1, \ldots, A_m of $A^{2k}[S]$, for each $i \in [m]$, we have $A_i := \{a_s^i : s \in S\}$. For each $i \in [m]$ and $j \in [k]$, we create a vertex $a^{i,j}$ and we add edges so that $A_i[S_j] \cup \{a^{i,j}\}$ induces a clique denoted by $K_{i,j}$. As the vertex $a^{i,j}$ will be only adjacent to the vertex in $A_i[S_j]$. This guarantee that any dominating set of G contains at least one vertex in $K_{i,j}$.

We create 2(m-1) copies $B_1, \widehat{B}_1, \ldots, B_{m-1}, \widehat{B}_{m-1}$ of B^{2k} , for each $i \in [m-1]$, we have $B_i := \{b_s^i : s \subset [2k]\}$ and $\widehat{B}_i := \{\widehat{b}_s^i : s \subseteq [2k]\}$. For every $i \in [m-1]$, $s \in \mathcal{S}$ and $t \subseteq [2k]$ such that $|s \cap t|$ is odd, we make (1) a_s^i adjacent to b_t^i , (2) \widehat{b}_t^i adjacent to a_s^{i+1} and (3) b_t^i adjacent to \widehat{b}_t^i . Consequently, $G[A_i, B_i]$ and $G[\widehat{B}_i, A_{i+1}]$ are both isomorphic to $R_{2k}[A^{2k}[\mathcal{S}], B^{2k}]$ and $G[B_i, \widehat{B}_i]$ is an induced perfect matching.

For every $i \in [m]$ with $\widehat{B}_i = \{\ell_1, \ell_2, \ell_3\}$, we create a vertex c_i adjacent to the vertices in $A_i[S_{\ell_1} \cup S_{\ell_2} \cup S_{\ell_3}]$.

Finally, we define the weight function $w:V(G)\to\mathbb{N}$ such each vertex $v\in B_1\cup\cdots\cup B_{m-1}\cup\{c_i:i\in[m]\}$ has weight w(v):=1, each vertex $u\in A_1\cup\cdots\cup A_m$ has weight $w(u):=2^{2k}+2$ and every vertex $x\in\widehat{B}_1\cup\cdots\cup\widehat{B}_{m-1}\cup\{a^{i,j}:i\in[m]\land j\in[k]\}$ has weight $w(x):=+\infty$. The purpose of w is to guarantee that every minimum dominating set of G contains at most 1 vertices in each $A_i[S_j]$ and no vertex in $\widehat{B}_1\ldots\widehat{B}_{m-1}\cup\{a^{i,j}:i\in[m]\land j\in[k]\}$.

▶ Lemma 6.1. If φ is satisfiable, then G admits a dominating set of weight $(2^{2k}+2)km+2^k(m-1)$.

Proof. Suppose that φ is satisfied by an interpretation f. Let D be the union of $A_i[S_f]$ and $B_j \setminus N(A_j[S_f])$ for every $i \in [m]$ and $j \in [m-1]$. We claim that D is a dominating set of weight $(2^{2k} + 2)km + 2^k(m-1)$. The weight of D is deductible from the following observations:

- For each $i \in [m]$, the weight of each vertex in A_i is $2^{2k} + 2$ and $|A_i[S_f]| = k$.
- The weight of each vertex in $B_1 \cup \cdots \cup B_{m-1}$ is 1, and by Observation 3.4, we have $S_f \in \mathscr{F}_{2k}$ which implies with Lemma 3.7 that $|B_j \setminus N(A_j[S_f])| = 2^k$ for each $j \in [m-1]$.

We conclude that D is a dominating set of G from the following arguments:

- Let $i \in [m]$. By definition, $A_i[\mathcal{S}_f]$ contains one vertex in $A_i[\mathcal{S}_j]$ for every $j \in [k]$. As $K_{i,j} = A_i[\mathcal{S}_j] \cup a^{i,j}$ is a clique, we deduce that D dominates A_i and $\{a^{i,j} : j \in [k]\}$. Moreover, f interprets at least one literal ℓ of C_i as true. Thus, $A_i[\mathcal{S}_f] \cap A_i[\mathcal{S}_\ell] \neq \emptyset$ and c_i the vertex representing C_i has a neighbor in D. So, D dominates $A_i \cup \{c_i\} \cup \{a^{i,j} : j \in [k]\}$ for every $i \in [m]$.
- Let $j \in [m-1]$. As $A_j[S_f]$ and $B_j \setminus N(A_j[S_f])$ are included in D, we know that D dominates B_j . Let \widehat{b}_s^j be a vertex in \widehat{B}_j . If $b_s^j \in B_j$ is in D, then \widehat{b}_s^j is dominated by D as $b_s^j \widehat{b}_s^j$ is an edge of G. Otherwise, if b_s^j is not in D, then b_s^j is adjacent to a vertex a_t^j in $A_j[S_f] \subseteq D$. In this later case, the vertex a_t^{j+1} belongs to $A_{j+1}[S_f] \subseteq D$ and a_t^{j+1} is adjacent to \widehat{b}_s^j . It follows that D dominates \widehat{B}_i .
- ▶ Lemma 6.2. If G admits a dominating set of weight at most $(2^{2k} + 2)km + 2^k(m-1)$, then $Var(\varphi)$ is satisfiable.
- **Proof.** Let D be a dominating set of weight at most $(2^{2k} + 2)km + 2^k(m-1)$. We use the following claim prove that there exists some interpretation f such that D is the union of $A_1[S_f], \ldots, A_m[S_f]$ and $B_1 \setminus N(A_1[S_f]), \ldots, B_m \setminus N(A_m[S_f])$.
- \triangleright Claim 6.3. For every $i \in [m]$, there exists an interpretation f_i of $\mathsf{Var}(\varphi)$ such that $A_i \cap D = A_i[\mathcal{S}_f]$.

Proof. By Definition 4.2, it is sufficient to prove that D contains exactly one vertex in $A_i[S_j]$ for every $i \in [m]$ and $j \in [k]$. Let $i \in [m]$ and $j \in [k]$. By construction, we have $N(a^{i,j}) = A_i[S_j]$. Since $a^{i,j}$ must be dominated by D and $w(a^{i,j}) = +\infty$, D contain at least one vertex in $A_i[S_j]$.

Assume towards a contradiction that D contains two different vertices u, v in $A_i[S_j]$. By construction, we have $N(u) \setminus N(v) \subseteq \widehat{B}_{i-1} \cup B_i \cup \{c_i\}$ (we consider that $B_0 = \widehat{B}_0 = B_m = \emptyset$) and the vertices in $\{c_i\} \cup \widehat{B}_{i-1} \cup B_i$ are dominated by the set $X := B_{i-1} \cup B_i \cup \{c_i\}$. Thus, $(D \setminus \{u\}) \cup X$ is a dominating set of G. But, as $w(X) = |X| \leq 2^{2k} + 1 < w(u) = 2^{2k} + 2$, this contradicts D being a dominating set of minimum weight. We conclude that $D \cap A_i = A_i[S_{f_i}]$ for some interpretation f_i of $Var(\varphi)$.

For every $i \in [m-1]$, we denote by B_i^* the vertices in B_i that are not dominated by $A_i[S_{f_i}]$, i.e. $B_i^* := B_i \setminus N(A_i[S_{f_i}])$. Similarly, we denote by \widehat{B}_i^* the vertices in \widehat{B}_i not dominated by $A_{i+1}[S_{f_{i+1}}]$. By Observation 3.4, we have $S_{f_1}, \ldots, S_{f_m} \in \mathscr{F}_{2k}$ and from Lemma 3.7, we deduce that $|B_i^*| = |\widehat{B}_i^*| = 2^k$. By construction, each B_i^* is an independent set and $N(B_i^*)$ is included in $A_i \cup \widehat{B}_i$. As $A_i \cap D = A_i[S_{f_i}]$ and $w(v) = +\infty$ for every $v \in \widehat{B}_i$, we deduce that D contains B_i^* for every $i \in [m-1]$.

Observe that $w(A_i[S_{f_i}]) = (2^{2k} + 2)k$ for every $i \in [m]$ and $w(B_j^*) = |B_j^*| = 2^k$ for each $j \in [m-1]$. As w(D) is at most $(2^{2k} + 2)km + 2^k(m-1)$, we deduce that D is exactly the union of $A_i[S_i]$ and B_j^* for $i \in [m]$ and $j \in [m-1]$.

By definition, for each $i \in [m-1]$, the vertices in \widehat{B}_i^* are not dominated by $A_{i+1}[S_{f_{i+1}}]$ and thus they must be dominated by B_i^* . As $G[B_i, \widehat{B}_i]$ is an induced perfect matching with set of edges $\{b_s^i \widehat{b}_s^i : s \subseteq [2k]\}$, we deduce that $\widehat{B}_i^* = \{\widehat{b}_s^i : b_s^i \in B_i^*\}$. This implies that $N(A_i[S_{f_i}]) = N(A_i[S_{f_{i+1}}])$. Since f_i and f_{i+1} belong to \mathscr{F}_{2k} , by Lemma 3.5, it follows that $f_i = f_{i+1}$. We deduce that $f_1 = f_2 = \cdots = f_m$. We conclude that the interpretation $f_1 = \cdots = f_m$ satisfies φ because for every $i \in [m]$, the vertex c_i representing the clause C_i is dominated by $A_i[S_{f_i}]$ and by Observation 4.3 it implies that f_i satisfies C_i .

▶ **Lemma 6.4.** We can compute in polynomial time a linear decomposition of G with rank-width at most 4k + 2.

Proof. For every $X \in \{K_{i,j} : i \in [m] \land j \in [k]\}$, let $\sigma(X)$ be an arbitrary permutation of X. For every $i \in [m]$, we define the permutation $\sigma(A_i)$ of $A_i \cup \{c_i\} \cup \{a^{i,j} : j \in [k]\}$ as the concatenation of the permutation $(c_i), \sigma(K_{i,1}), \sigma(K_{i,2}), \ldots, \sigma(K_{i,k-1})$ and $\sigma(K_{i,k})$. Let (s_1, \ldots, s_t) be a permutation of $2^{[2k]}$, for every $i \in [m-1]$, we define $\sigma(B_i \cup \widehat{B}_i)$ as the permutation $(b_{s_1}^i, \widehat{b}_{s_1}^i, b_{s_2}^i, \ldots, \widehat{b}_{s_{t-1}}^i, b_{s_t}^i, \widehat{b}_{s_t}^i)$. Let σ be the concatenation of $\sigma(A_1), \sigma(B_1 \cup \widehat{B}_1), \sigma(A_2), \ldots, \sigma(B_{m-1} \cup \widehat{B}_{m-1})$ and $\sigma(A_m)$.

Obviously, σ is a linear decomposition of G that can be computed in polynomial time. We claim that the rank-width of σ is at most 4k + 2. Let (X, \overline{X}) be a cut of G induced by σ . We distinguish the following cases:

- Suppose that there exists $i \in [m]$ such that X intersect $A_i \cup \{c_i\} \cup \{a^{i,j} : j \in [k]\}$ but not B_i (we consider that $B_m = \emptyset$). The edges of $G[X, \overline{X}]$ belong to the following cuts: (1) the cut between \widehat{B}_{i-1} and $A_i \cap \overline{X}$, (2) the cut between $A_i \cap X$ and B_i , (3) the cut between c_i and $A_i \cap \overline{X}$ and (4) the cut between $K_{i,j} \cap X$ and $K_{i,j} \cap \overline{X}$ with $j = \max\{\ell \in [k] : K_{i,\ell} \cap X \neq \emptyset\}$.
 - The ranks of the first two cuts are upper bounded by $\operatorname{rw}(A_i, \widehat{B}_{i-1})$ and $\operatorname{rw}(A_i, B_i)$ respectively, since $G[A_i, \widehat{B}_{i-1}]$ and $G[A_i, B_i]$ are isomorphic to R_{2k} , these ranks are at most 2k. The rank of the third cut is upper bounded by 1 since one side consists of a single vertex. Since the fourth cut is a biclique, its rank is at most 1. We deduce that $\operatorname{rw}(X, \overline{X}) \leq 4k + 2$.
- Suppose now that there exists $i \in [m-1]$ such that X intersect $B_i \cup \widehat{B}_i$ but not A_{i+1} . Let $b_s^i \in B_i$ be the rightmost vertex in σ that belongs to X. The edges of $G[X, \overline{X}]$ belong to the following cuts: (1) the cut between A_i and $B_i \cap \overline{X}$, (2) the cut between $\widehat{B}_i \cap X$ and A_{i+1} and (3) the cut between b_s^i and $\{\widehat{b}_s^i\} \cap \overline{X}$. As argued for the previous case, the ranks of the first two cuts are upper bounded by 2k and the rank of the third is upper bounded by one. We conclude that $\operatorname{rw}(X, \overline{X}) \leq 4k+1$.
- ▶ Theorem 6.5. There is no algorithm solving WEIGHTED DOMINATING SET in time $2^{o(\operatorname{Irw}(G)^2)}n^{O(1)}$ unless ETH fails.

Proof. Assume that there exists a $2^{o(\operatorname{Irw}(G)^2)}n^{O(1)}$ time algorithm for WEIGHTED DOMINATING SET. We prove that it implies the existence of a $2^{o(k^2)}n^{O(1)}$ time algorithm for 3-SAT where k^2 is the number of variables. This will contradict ETH.

Suppose that we are given a 3-SAT formula φ with k^2 variables and m clauses. We construct the graph G described above. As G has $(2^kk+k+1)m+2^{2k}(m-1)$ vertices, we deduce that we can construct G in time $2^{O(k)}m$.

From Lemmas 6.1 and 6.2, we know that G admits a dominating set of weight at most $(2^{2k}+2)km+2^k(m-1)$ iff φ is satisfiable. Thanks to Lemma 6.4, we can compute in polynomial time a linear decomposition of G of rank-width at most 4k+2. By assumption, we can compute a dominating set of minimum weight of G in time $2^{o(k^2)}n^{O(1)}$. Hence, we can decide whether φ is satisfiable in time $2^{o(k^2)}n^{O(1)}$. This contradicts ETH by Lemma 4.1.

7 Concluding Remarks

We showed the first ETH-tight lower bounds for problems with time complexity $2^{O(\mathsf{rw}^2)}n^{O(1)}$ parameterized by rank-width rw. In particular, we showed that algorithms with such time complexity are optimal for INDEPENDENT SET, WEIGHTED DOMINATING SET, MAXIMUM INDUCED MATCHING, and FEEDBACK VERTEX SET.

We hope the tools designed in this paper could be used to design tight lower bounds for more problems parameterized by rank-width. In particular, is the $2^{O(rw^2)}n^{O(1)}$ time algorithm in [6] for (unweighted) Dominating Set optimal under ETH? What about the $2^{O(q \cdot rw^2)}n^{O(1)}$ time algorithm in [6] for q-Coloring (even when q=3)? Finally, one could also explore the optimality of XP algorithms parameterized by rank-width such as the $n^{2^{O(rw)}}$ time algorithm in [19] for Chromatic Number. For the clique-width parameterization this was solved in [16].

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