# Parameterized Complexity of Biclique Contraction and Balanced Biclique Contraction

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## – Abstract

A bipartite graph is called a biclique if it is a complete bipartite graph and a biclique is called a balanced biclique if it has equal number of vertices in both parts of its bipartition. In this work, we initiate the complexity study of BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION. In these problems, given as input a graph G and an integer k, the objective is to determine whether one can contract at most k edges in G to obtain a biclique and a balanced biclique, respectively. We first prove that these problems are NP-complete even when the input graph is bipartite. Next, we study the parameterized complexity of these problems and show that they admit single exponentialtime FPT algorithms when parameterized by the number k of edge contractions. Then, we show that BALANCED BICLIQUE CONTRACTION admits a quadratic vertex kernel while BICLIQUE CONTRACTION does not admit any polynomial compression (or kernel) unless  $NP \subseteq coNP/poly$ .

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#### 1 Introduction

Graph modification problems have been extensively studied in theoretical computer science for their expressive power. The input of a typical graph modification problem consists of a graph G and a positive integer k, and the objective is to make at most k modifications to G so that the resulting graph belongs to some specific family  $\mathcal{F}$  of graphs.  $\mathcal{F}$ -CONTRACTION problems refer to the variant where the only permitted modifications are edge contractions. Watanabe et al. [39] and Asano and Hirata [1] proved that if  $\mathcal{F}$  is closed under edge contractions and satisfies certain specific properties, then  $\mathcal{F}$ -CONTRACTION is NP-complete. Brouwer and Veldman [4] proved that  $\mathcal{F}$ -CONTRACTION is NP-complete even when  $\mathcal{F}$  is a singleton set consisting of a small graph like a cycle or a path on four vertices. Note that  $\mathcal{F}$ -VERTEX DELETION and  $\mathcal{F}$ -EDGE DELETION (the variants of the modification problems where the only allowed edits are vertex deletions and edge deletions) are trivially solvable when  $\mathcal{F}$  is a fixed singleton set. This is one of the many examples that suggests that  $\mathcal{F}$ -CONTRACTION problems are harder than the analogous vertex and edge deletion counterparts.

The study of graph modification problems in parameterized complexity led to the discovery of several important techniques in the field and one may argue that it played a central role in the growth of the area [2, 3, 7, 8, 9, 10, 12, 16, 17, 18, 20, 23]. The contrast in the apparent



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difficulty of contraction problems when compared to their vertex/edge deletion variants is evident even in this realm. A natural parameter for graph modification problems is the number k of allowed modifications. For a family  $\mathcal{H}$  of graphs, we say that G is  $\mathcal{H}$ -free if for every graph  $H \in \mathcal{H}$ , G does not contain H as an induced subgraph. A graph family  $\mathcal{F}$  admits a forbidden set characterization if there exists a collection  $\mathcal{H}$  of graphs such that  $G \in \mathcal{F}$  if and only G is  $\mathcal{H}$ -free. A result by Cai [5] states that if  $\mathcal{F}$  is hereditary and admits a finite forbidden set characterization, then the problem of modification to  $\mathcal{F}$  using any combination of vertex deletions, edge deletions and edge additions, admits a single exponential-time FPT algorithm. However, Cai and Guo [6] and Lokshtanov et al. [35] proved that  $\mathcal{F}$ -CONTRACTION is W[2]-hard even when  $\mathcal{F}$  admits a finite forbidden set characterization. One of the intuitive reasons for this intractability is that the classical branching technique that works for vertex deletion and edge deletion/addition variants does not straightaway work for contractions. Recently, Chakraborty and Sandeep [11] studied the problem of contracting to a  $\mathcal{H}$ -free graph where  $\mathcal{H}$  is a singleton set and showed tractability and intractability results for various choices of  $\mathcal{H}$ .

In spite of the inherent difficulty, FPT algorithms for  $\mathcal{F}$ -CONTRACTION for several graph classes  $\mathcal{F}$  are known. If every graph in  $\mathcal{F}$  has bounded treewidth as in the case of  $\mathcal{F}$  being paths, trees, or cactus graphs, one may use Courcelle's theorem to show the existence of FPT algorithms for  $\mathcal{F}$ -CONTRACTION (see [13, Chapter 7] for related definitions and arguments). For other cases of  $\mathcal{F}$ -CONTRACTION, FPT algorithms have been obtained using problemspecific techniques and arguments that typically involve deep insights into the structure of  $\mathcal{F}$ . The first FPT algorithm for BIPARTITE CONTRACTION involves an interesting combination of techniques like iterative compression, important separators, and irrelevant vertices [28] and the improved algorithm for the problem involves non-trivial applications of important separators [26]. PLANAR CONTRACTION was shown to be FPT [25] using the irrelevant vertex technique combined with an application of Courcelle's theorem.

On the other hand, CLIQUE CONTRACTION admits a relatively simpler FPT algorithm running in  $\mathcal{O}^*(2^{\mathcal{O}(k \log k)})^{\dagger\dagger}$  time [6]. This algorithm relies on the observation that if one can obtain a clique from a graph G by contracting k edges, then one can obtain a clique from G by deleting at most 2k vertices (that are endpoints of the contracted edges). That is, if (G, k) is a YES-instance of CLIQUE CONTRACTION, then V(G) can be partitioned into sets X and Y such that the cardinality of X is at most 2k and Y induces a clique. One can find such a partition (if it exists) in FPT time. Then the algorithm guesses the solution edges in  $E(X) \cup E(X, Y)$  and proceeds with branching. It is surprising that this simple algorithm is optimal under the Exponential-time Hypothesis (ETH) [21]. This made us wonder if such results hold for the closely related problem of BICLIQUE CONTRACTION.

BICLIQUE CONTRACTION **Input:** A graph G and an integer k. **Question:** Can we contract at most k edges in G to obtain a biclique?

We call the variant of BICLIQUE CONTRACTION where we require the resultant graph to be a balanced biclique as BALANCED BICLIQUE CONTRACTION. To our pleasant surprise, we are able to show that both the problems admit simple single exponential-time FPT algorithms and that neither of the problems admit subexponential-time FPT algorithms.

<sup>&</sup>lt;sup>††</sup>  $\mathcal{O}^*(\cdot)$  notation suppresses the factors that are polynomial in the input size.

To the best of our knowledge, even the classical complexity of BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION is not known in the literature. As bicliques and balanced bicliques are not closed under edge contractions, one cannot use the results by Asano and Hirata [1] to show the NP-hardness of these problems. Ito et al. [30, Theorem 2] showed that for each  $p, q \ge 2$ ,  $\{K_{p,q}\}$ -CONTRACTION is NP-complete where  $K_{p,q}$  denotes the biclique with p vertices in one part of the bipartition and q vertices in the other. This does not imply the NP-hardness of BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION. Indeed, if G is a YES-instance of  $\{K_{p,q}\}$ -CONTRACTION, then for k = |V(G)| - (p+q), (G, k)is a YES-instance of BICLIQUE CONTRACTION, however, the converse is not necessarily true. A similar argument holds for BALANCED BICLIQUE CONTRACTION.

The vertex-deletion variant of the problems of deleting k vertices to get a biclique or a balanced biclique have received considerable attention in the literature. To be consistent with our terminology, we call these problems as BICLIQUE VERTEX DELETION and BALANCED BICLIQUE VERTEX DELETION. BICLIQUE VERTEX DELETION is polynomial-time solvable on bipartite graphs but NP-complete in general [24, Problem GT24]. However, BALANCED BICLIQUE VERTEX DELETION is NP-complete even on bipartite graphs [24, Problem GT24]. Our first result is that the analogous contraction problems are NP-complete and they remain so even on bipartite graphs.

▶ **Theorem 1.** BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION are NP-complete even when the input graph is bipartite.

We reduce RED-BLUE DOMINATING SET to BICLIQUE CONTRACTION and HYPERGRAPH 2-COLORING to BALANCED BICLIQUE CONTRACTION to show Theorem 1. It is well-known that there are linear size-preserving reductions from 3-SAT to RED-BLUE DOMINATING SET and from 3-SAT to HYPERGRAPH 2-COLORING [13, 31, 38]. As the reductions used to prove Theorem 1 are also linear size-preserving, it follows that BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION do not admit algorithms running in  $\mathcal{O}^*(2^{o(n)})$  time (and hence do not admit  $\mathcal{O}^*(2^{o(k)})$  time algorithms) assuming ETH. However, as mentioned earlier, we show that both problems admit single exponential-time FPT algorithms.

▶ **Theorem 2.** BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION can be solved in  $\mathcal{O}^*(25.904^k)$  time.

The only other known cases when  $\mathcal{F}$ -CONTRACTION admits a single exponential-time FPT algorithm is when  $\mathcal{F}$  is the collection of paths [27], trees [27], cactus [32] or grids [37]. Among these results, the algorithm for paths is relatively simple, the one for trees is obtained using non-trivial application of the color coding technique and the last two use relatively more technical problem-specific arguments. The techniques used in our algorithms include an FPT algorithm for 2-CLUSTER VERTEX DELETION as a subroutine, rephrasing the contraction problem as a partition problem with some properties, guessing certain vertices to be in appropriate parts of this partition, preprocessing the graph based on the guess and a branching rule.

 $\mathcal{F}$ -CONTRACTION for most choices of  $\mathcal{F}$  mentioned here (except paths and grids) do not admit polynomial kernels. While we show BALANCED BICLIQUE CONTRACTION to be an exception, it turns out that BICLIQUE CONTRACTION is not.

▶ **Theorem 3.** BALANCED BICLIQUE CONTRACTION admits a kernel with  $\mathcal{O}(k^2)$  vertices. However, BICLIQUE CONTRACTION does not admit any polynomial compression or kernel unless NP  $\subseteq$  coNP/poly.

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## 2 Preliminaries

For details on parameterized algorithms, we refer to standard books in the area [13, 22]. For a positive integer q, we denote the set  $\{1, 2, \ldots, q\}$  by [q]. A partition of a set S into disjoint sets  $S_1, \ldots, S_\ell$  is denoted as  $\langle S_1, \ldots, S_\ell \rangle$ . An ordered partition is one where the parts are ordered.

For an undirected graph G, V(G) and E(G) denote its set of vertices and edges, respectively. The size of a graph is the number of edges in it. A graph is non-trivial if it has at least one edge. We denote an edge with endpoints u and v as uv. Two vertices u and v in V(G) are adjacent if uv is an edge in G. The neighborhood of a vertex v, denoted by  $N_G(v)$ , is the set of vertices adjacent to v. The *degree* of a vertex is the size of its neighborhood. A vertex u is a *pendant vertex* if its degree is one. We omit the subscript in the notation for neighborhood if the graph under consideration is clear. Subdividing an edge uv results in its deletion followed by the addition of a new vertex adjacent to u and v. For a subset  $S \subseteq V(G)$ of vertices,  $N[S] = \bigcup_{v \in S} N(v) \cup \{v\}$  and  $N(S) = N[S] \setminus S$ . We denote the subgraph of G induced on the set S by G[S]. A subset  $S \subseteq V(G)$  is said to be a connected set if G[S] is connected. For two subsets  $S_1, S_2 \subseteq V(G), E_G(S_1, S_2)$  denotes the set of edges with one endpoint in  $S_1$  and the other endpoint in  $S_2$ . With a slight abuse of notation, for a set  $S \subseteq V(G)$ , we use  $E_G(S)$  to denote  $E_G(S, S)$ . We say that  $S_1, S_2$  are adjacent (or that the graphs  $G[S_1]$  and  $G[S_2]$  are adjacent) if  $E_G(S_1, S_2) \neq \emptyset$ . We omit the subscript in these notations if the graph under consideration is clear. The *disjoint union* of graphs G and H, denoted by G + H, is the graph with vertex set  $V(H) \cup V(G)$  and edge set  $E(H) \cup E(G)$ where V(G) and V(H) are renamed (if necessary) such that  $V(G) \cap V(H) = \emptyset$ . For a graph  $G, \overline{G}$  denotes its complement.

A path is a sequence of distinct vertices in which any two consecutive vertices are adjacent. A cycle is a path in which the first and last vertices are adjacent. A graph is connected if there is a path between every pair of distinct vertices. A component is a maximal connected subgraph of a graph. A spanning tree of a connected graph is a connected acyclic subgraph which has all the vertices of the graph. A spanning forest of a graph is a collection of spanning trees of its components. A set of vertices is called a clique if any two vertices in it are adjacent. A complete graph is one whose vertex set is a clique and a complete graph on n vertices is denoted by  $K_n$ . A set of vertices S is said to be an independent set if no two vertices in S are adjacent. Throughout this paper, the input graph will be assumed to be connected.

A graph G is *bipartite* if its vertex set can be partitioned into two independent sets X, Yand  $\langle X, Y \rangle$  is called a *bipartition* of G. It it well-known that a graph G is bipartite if and only if G has no odd cycles. A bipartite graph G is a *biclique* if it has a bipartition  $\langle X, Y \rangle$ such that every vertex in X is adjacent to every vertex in Y. Observe that an edgeless graph is also a biclique. A biclique G with bipartition  $\langle X, Y \rangle$  is a *balanced biclique* if |X| = |Y|. Observe that if a biclique is non-trivial, then it is connected. The following characterization of bicliques is easy to verify.

▶ Lemma 4. A graph G is a biclique if and only if G is  $\{K_3, K_1 + K_2\}$ -free.

**Proof.**  $(\Rightarrow)$  If G contains  $K_3$  as an induced subgraph then G is not bipartite and hence not a biclique as well. Suppose G is a biclique with bipartition  $\langle X, Y \rangle$  and has  $K_1 + K_2$ as an induced subgraph. Let  $u, v_1, v_2$  be three vertices in G such that  $v_1v_2 \in E(G)$  and  $uv_1, uv_2 \notin E(G)$ . Without loss of generality, let X be the part that contains  $v_1$ . Then, it follows that  $v_2 \in Y$ . However,  $u \in X$  leads to a contradiction as  $uv_2 \notin E(G)$  and  $u \in Y$  also leads to a contradiction as  $uv_1 \notin E(G)$ .

( $\Leftarrow$ ) Conversely, suppose G is  $\{K_3, K_1 + K_2\}$ -free. If G is not bipartite, then G has an odd cycle and let  $C = (u_1, u_2, \ldots, u_r)$  denote the shortest odd cycle. Observe that no two non-consecutive vertices are adjacent as C is a shortest odd cycle. Then C has at least 5 vertices (as G is  $K_3$ -free) and the subgraph induced on  $\{u_1, u_2, u_4\}$  is  $K_1 + K_2$ . Therefore, we conclude that G has no odd cycle and is hence a bipartite graph. Let  $\langle X, Y \rangle$  denote a bipartition of G. If G is edgeless, then G is vacuously a biclique. Subsequently, let us assume that G is non-trivial. If G is disconnected, then it has at least two components, one of which is non-trivial. Then, the endpoints of an edge in such a non-trivial component and a vertex from another component induce a  $K_1 + K_2$ . Therefore, it follows that G is connected. Suppose there exist a pair of vertices  $x \in X$ ,  $y \in Y$  such that  $xy \notin E(G)$ . Let  $z \in Y$  be a neighbour of x. Such a vertex  $z \in Y$  exists as G is connected. Then, the subgraph induced on  $\{x, y, z\}$  is  $K_1 + K_2$  which leads to a contradiction.

## 2.1 Edge Contractions and Graph Contractability

The contraction of an edge uv in G results in the addition of a new vertex that is adjacent to the vertices that are adjacent to either u or v and the subsequent deletion of the vertices uand v. The resulting graph is denoted by G/e. For a graph G and an edge e = uv, we formally define G/e as  $V(G/e) = (V(G) \cup \{w\}) \setminus \{u, v\}$  and  $E(G/e) = \{xy \mid x, y \in V(G) \setminus \{u, v\}, xy \in$  $E(G)\} \cup \{wx \mid x \in N_G(u) \cup N_G(v)\}$  where w is a new vertex. This process does not introduce any self-loops or parallel edges. For a subset  $F \subseteq E(G)$ , the graph G/F denotes the graph obtained from G by contracting (in an arbitrary order) all the edges in F.

A graph G is said to be *contractible* to a graph H if there is a function  $\psi : V(G) \to V(H)$ (and we say that G is contractible to H via  $\psi$ ) such that the following properties hold. For any vertex  $h \in V(H)$ ,  $\psi^{-1}(h)$  is non-empty and connected.

For any two vertices  $h, h' \in V(H)$ ,  $hh' \in E(H)$  if and only if  $E(\psi^{-1}(h), \psi^{-1}(h')) \neq \emptyset$ . For a vertex h in H, the set  $\psi^{-1}(h)$  is called a *witness set* associated with or corresponding to h. For a fixed  $\psi$ , we define the H-witness structure of G, denoted by  $\mathcal{W}$ , as the collection of all witness sets. Formally,  $\mathcal{W} = \{\psi^{-1}(h) \mid h \in V(H)\}$ . Note that a witness structure  $\mathcal{W}$  partitions V(G). If a witness set contains more than one vertex, then we call it a *big* witness set, otherwise we call it a *small* witness set or *singleton* witness set. Let  $F \subseteq E(G)$ be the collection of edges of some spanning tree of G[W] for each witness set  $W \in \mathcal{W}$ . Note that any spanning tree of the graph induced on a singleton witness set is edgeless. Now, it is sufficient to contract edges in F to obtain H from G, i.e., G/F = H. Hence, we say that F is a *solution* associated with the function  $\psi$  and the witness structure  $\mathcal{W}$ . We say Gis k-contractible to H if there exists a subset  $F \subseteq E(G)$  such that  $|F| \leq k$  and G/F = H. Observe that in the H-witness structure of G corresponding to F, there are at most |F| big witness sets and the total number of vertices in big witness sets is upper bounded by 2|F|.

We view a biclique witness structure of G as a partition of V(G) into two parts with certain properties. For a subset  $X \subseteq V(G)$ , let  $\mathsf{sf}_G(X)$  denote the number of edges in a spanning forest of G[X]. We drop the subscript in the notation for  $\mathsf{sf}_G(X)$  if the graph under consideration is unambigously clear.

▶ Definition 5 (k-Constrained Valid Partition). For a graph G, a partition  $\langle L, R \rangle$  of V(G) into two parts is called a k-constrained valid partition if the following properties hold. 1.  $sf(L) + sf(R) \leq k$ .

**2.** Every component of G[L] is adjacent to every component of G[R].

We have the following observation on YES-instances of BICLIQUE CONTRACTION.

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**Lemma 6.** (G, k) is a YES-instance of BICLIQUE CONTRACTION if and only if V(G) has a k-constrained valid partition.

**Proof.** ( $\Rightarrow$ ) Suppose G is k-contractible to the biclique H with bipartiton  $\langle X, Y \rangle$  via  $\psi$ . Let  $\mathcal{W}$  denote the H-witness structure of G. Define subsets  $\mathcal{W}_{\mathcal{L}}$  and  $\mathcal{W}_{\mathcal{R}}$  of  $\mathcal{W}$  as follows:  $\mathcal{W}_{\mathcal{L}} = \{\psi^{-1}(h) \mid h \in V(X)\}$  and  $\mathcal{W}_{\mathcal{R}} = \{\psi^{-1}(h) \mid h \in V(Y)\}$ . Let L denote the collection of vertices in the witness sets in  $\mathcal{W}_L$  and R denote the collection of vertices in the witness sets in  $\mathcal{W}_R$ . It is clear that  $\langle L, R \rangle$  is a partition of V(G). Let  $E_1$  be the collection of edges that are in some spanning forest of G[L] and  $E_2$  be the collection of edges that are in some spanning forest of G[R]. Then, contracting  $E_1$  in G[L] results in X and contracting  $E_2$  in G[R] results in Y. Also,  $E_1 \cup E_2 = E$  is a solution corresponding to  $\mathcal{W}$ . Then, as  $|E| \leq k$ , the first condition holds. As H is a biclique, every vertex of X is adjacent to every vertex of Y. Therefore, for every vertex  $h \in X$  and every vertex  $h' \in Y$ ,  $E(\psi^{-1}(h), \psi^{-1}(h'))$  is not empty. In other words, for every component  $C_L$  of G[L] and every component  $C_R$  of G[R], there exists vertices  $x \in V(C_L)$  and  $y \in V(C_R)$  such that  $xy \in E(G)$ . Hence, the second condition holds.

 $(\Leftarrow)$  Suppose  $\langle L, R \rangle$  is a k-constrained valid partition of V(G). Let  $E_1$  be the collection of edges of some spanning forest of G[L] and  $E_2$  be the collection of edges of some spanning forest of G[R]. Observe that  $G[L]/E_1 = X$  and  $G[L]/E_2 = Y$  are independent sets. Further, every vertex in X is adjacent to every vertex in Y due to the second property. Therefore  $G/(E_1 \cup E_2) = H$  is a biclique with bipartiton  $\langle X, Y \rangle$ . Further, as  $|E_1| = k_\ell$  and  $|E_2| = k_r$ with  $k_\ell + k_r \leq k$  due to the first property, it follows that G is k-contractible to H.

Suppose G is k-contractible to the biclique H with  $\mathcal{W}$  being the H-witness structure of G and  $\langle L, R \rangle$  is a k-constrained valid partition of V(G) obtained from  $\mathcal{W}$  as described in the proof of Lemma 6. Then, observe that the singleton witness sets in  $\mathcal{W}$  correspond to the trivial components of G[L] and G[R] and vice-versa. We use this equivalence and the interchangeability of witness structures and constrained valid partitions throughout the paper.

In order to prove a result on YES-instances of BALANCED BICLIQUE CONTRACTION analogous to Lemma 6, we introduce the following definition.

▶ Definition 7 (k-Constrained Valid Balanced Partition). For a graph G, a partition  $\langle L, R \rangle$ of V(G) into two parts is called a k-constrained valid balanced partition if  $\langle L, R \rangle$  is a kconstrained valid partition where the number of components of G[L] is equal to the number of components of G[R].

Now, we have the following property on YES-instances of BALANCED BICLIQUE CON-TRACTION.

▶ Lemma 8. (G, k) is a YES-instance of BALANCED BICLIQUE CONTRACTION if and only if V(G) has a k-constrained valid balanced partition.

A set  $Z \subseteq V(G)$  is called a *biclique modulator* if G - Z is a biclique. If G is k-contractible to a (balanced) biclique H, then there are at most 2k vertices that are in big witness sets of a H-witness structure. This leads to the following observation.

▶ **Observation 9.** If G is k-contractible to a (balanced) biclique, then G has a biclique modulator of size at most 2k.



**Figure 1** The graph H in the reduction from RED BLUE DOMINATING SET to BICLIQUE CONTRACTION where edges between R and B are the same as in G. The vertex x is adjacent to every vertex in  $R \cup C$  and each vertex in B is adjacent to exactly one vertex in B'.

## **3** NP-Completeness Results

In this section, we prove that BICLIQUE CONTRACTION and BALANCED BICLIQUE CON-TRACTION are NP-complete even when restricted to bipartite graphs.

## 3.1 Biclique Contraction

A set X is said to dominate a set Y if  $Y \subseteq N(X)$ . We show the NP-hardness of BICLIQUE CONTRACTION by giving a polynomial-time reduction from RED-BLUE DOMINATING SET. In the RED-BLUE DOMINATING SET problem, given a bipartite graph G with bipartition  $\langle R, B \rangle$  and an integer  $\kappa$ , the objective is to find a set  $S \subseteq R$  of size at most  $\kappa$  that dominates B. It is well-known and easy to verify that RED-BLUE DOMINATING SET is equivalent to SET COVER [24, Problem SP5] and is therefore NP-hard [15].

▶ Lemma 10. There is a polynomial-time reduction that takes as input an instance  $(G, R, B, \kappa)$  of RED BLUE DOMINATING SET and returns an equivalent instance (H, k) of BICLIQUE CONTRACTION such that H is bipartite, |V(H)| = O(|V(G)|) and  $k = |B| + \kappa$ .

**Proof.** Consider an instance  $(G, R, B, \kappa)$  of RED-BLUE DOMINATING SET. Without loss of generality, assume  $|R|, |B| > \kappa$  and that every vertex  $b \in B$  is adjacent to at least two vertices in R. We construct an instance (H, k) of BICLIQUE CONTRACTION where  $k = \kappa + |B|$  and H is obtained from G as follows.

For every vertex  $b \in B$ , add a new vertex b' adjacent to b. Let B' denote the set  $\{b' \mid b \in B\}$ .

Add a new vertex x adjacent to every vertex in R and add  $\kappa + |B| + 1$  new vertices  $v_1, \ldots, v_{\kappa+|B|+1}$  adjacent to x. Let C denote the set  $\{v_1, \ldots, v_{\kappa+|B|+1}\}$ .

See Figure 1 for an illustration.

It is easy to verify that the reduction takes polynomial time. Further, H is connected and bipartite with bipartition  $\langle B \cup \{x\}, R \cup C \cup B' \rangle$ . We show that  $(G, R, B, \kappa)$  is a YESinstance of RED-BLUE DOMINATING SET if and only if (H, k) is a YES-instance of BICLIQUE CONTRACTION where  $k = \kappa + |B|$ .

 $(\Rightarrow)$  Let  $(G, R, B, \kappa)$  is a YES-instance of RED-BLUE DOMINATING SET with set  $S \subseteq R$ and  $|S| \leq \kappa$  such that S dominates B. Then, consider the partition of V(H) into sets  $X = S \cup B \cup \{x\}$  and  $Y = C \cup B' \cup (R \setminus S)$ . Observe that H[X] is connected as S dominates B and  $S \subseteq N(x)$ . Therefore, any spanning forest, which is also a spanning tree, of H[X] has at most  $\kappa + |B|$  edges. Further, Y is an independent set and since H is connected, every vertex in Y has a neighbour in X. Therefore, H/F is a biclique where F is the set of edges of some spanning tree of H[X].

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 $(\Leftarrow)$  Conversely, suppose H is k-contractible to a biclique. Let  $\langle X, Y \rangle$  be a k-constrained valid partition of V(H) given by Lemma 6. Without loss of generality let  $x \in X$ . We first claim that at least one vertex from C is in Y. If  $C \subseteq X$ , then as  $C \subseteq N(x)$  and |C| = k + 1, any spanning forest of H[X] has at least k + 1 edges leading to a contradiction. Let  $c \in C$  be a vertex in Y. Next, we claim that H[X] is connected. Suppose H[X] has a component  $\hat{H}$  not containing x. Then,  $\hat{H}$  has no vertex from C as  $C \subseteq N(x)$  and no vertex from R as  $R \subseteq N(x)$ . It follows that  $V(\hat{H}) \subseteq B \cup B'$ . Then, the component of H[Y] containing c has no other vertex since  $N(c) = \{x\}$ . This implies that no vertex in the component of H[Y] containing c is adjacent to a vertex in  $\hat{H}$  leading to a contradiction. Now, we argue that  $\langle X, Y \rangle$  can be transformed into another k-constrained valid partition of V(H) with  $C \subseteq Y$ . If there is a vertex  $d \in X \cap C$ , then as  $N(d) = \{x\}$ ,  $H[X \setminus \{d\}]$  is connected and every component of  $H[Y \cup \{d\}]$  (including the trivial component in which d is in) is adjacent to  $H[X \setminus \{d\}], \langle X \setminus C, Y \cup C \rangle$  is the required k-constrained valid partition of V(H).

Now, we transform  $\langle X, Y \rangle$  into another k-constrained valid partition of V(H) such that  $B \subseteq X$  and  $B' \subseteq Y$ . Suppose there is a vertex  $b \in B \cap Y$ . Let  $\widehat{H}$  be the component of H[Y] containing b. Then, as the only neighbour of b' is b and  $x \in X$ , it follows that  $b' \in V(\widehat{H})$ . As H[X] is adjacent to  $\widehat{H}$ , there is a vertex  $r \in R$  that is adjacent to b such that  $r \in V(\widehat{H})$  or  $r \in X$ . In the former case, we move  $B \cap V(\widehat{H})$  and  $R \cap V(\widehat{H})$  to X and in the latter case, we move  $B \cap V(\widehat{H})$  to X. In both the cases, X remains connected and it is easy to verify that the resulting partition is a k-constrained valid partition of V(H). Subsequently, we may assume  $B \subseteq X$ . As  $H[X] \setminus B'$  is also connected and  $N(B') \subseteq B$ , by moving vertices from  $X \cap B'$  to Y, we get another partition of V(H) that is a k-constrained valid partition. Once we achieve  $B \subseteq X$ , we may safely move vertices of B' from X (if any) to Y. Hence, we may now assume  $B \subseteq X$  and  $B' \subseteq Y$ .

At this point, we have  $B \cup \{x\} \subseteq X$  and  $B' \cap X = \emptyset$ . Also, as  $N(x) \cap B = \emptyset$ , it follows that for each vertex  $b \in B$ , there is a vertex  $r \in R \cap X$  in order for H[X] to be connected. As any spanning tree of H[X] has at most  $k = \kappa + |B|$  edges, it follows that the set  $R \cap X$  has at most  $\kappa$  vertices. Equivalently,  $R \cap X$  is a set of at most  $\kappa$  vertices that dominates B.

It is easy to verify that BICLIQUE CONTRACTION is in NP. This fact along with Lemma 10 establishes the first part of Theorem 1.

## 3.2 Balanced Biclique Contraction

We show the NP-hardness of BALANCED BICLIQUE CONTRACTION by a reduction from HYPERGRAPH 2-COLORING. A hypergraph  $\mathcal{G}$  is a pair  $(V, \mathcal{S})$  where V is a finite set of vertices (denoted as  $V(\mathcal{G})$ ) and  $\mathcal{S} \subseteq 2^V$  is a finite collection of subsets of V called hyperedges. In the HYPERGRAPH 2-COLORING problem, the input is a hypergraph  $\mathcal{G}$  and the objective is to determine if there is a 2-coloring  $\phi : V(\mathcal{G}) \mapsto \{1, 2\}$  such that no hyperedge is monochromatic, i.e., a 2-coloring in which every hyperedge has a vertex with color 1 and a vertex with color 2. HYPERGRAPH 2-COLORING is known to be NP-complete [24, Problem SP4] and is one of the natural choices picked to show the NP-hardness of contraction problems in the literature [4, 14, 19, 33].

▶ Lemma 11. There is a polynomial reduction that takes as input an instance ( $\mathcal{G}$ ) of HYPERGRAPH 2-COLORING and returns an equivalent instance (G,  $\kappa$ ) of BALANCED BICLIQUE CONTRACTION such that G is bipartite,  $|V(G)| = \mathcal{O}(|V(\mathcal{G})|)$  and  $\kappa$  is a function of the number of vertices and the number of hyperedges in  $\mathcal{G}$ .

**Proof.** Consider an instance  $(\mathcal{G} = (V, \mathcal{S}))$  of HYPERGRAPH 2-COLORING where  $V(\mathcal{G}) = \{v_1, v_2, \ldots, v_N\}$  for some  $N \ge 1$  and  $\mathcal{S} = \{S_1, S_2, \ldots, S_M\}$  for some  $M \ge 1$ . Without loss of generality, assume that  $\emptyset \notin \mathcal{S}$ , every hyperedge contains at least two vertices and there exists an hyperedge, say  $S_M$ , that contains all the vertices in  $V(\mathcal{G})$ . The reduction first constructs an intermediate non-bipartite graph H using the following procedure.



**Figure 2** Construction of graph *H* in the reduction from HYPERGRAPH 2-COLORING to BALANCED BICLIQUE CONTRACTION where thick lines between two sets indicate bicliques.

- For every vertex v in  $V(\mathcal{G})$ , add a vertex v in H.
- For every hyperedge  $S_j$  in S, add two vertices  $s_j^{\ell}$  and  $s_j^r$ . Let  $S^{\ell} = \{s_j^{\ell} \mid j \in [M]\}$  and  $S^r = \{s_j^r \mid j \in [M]\}$ .
- For every  $i \in [N]$  and  $j \in [M]$  such that  $v_i \in S_j$  add edges  $v_i s_j^{\ell}$  and  $v_i s_j^{r}$ .
- Add vertices  $L = \{\ell_1, \dots, \ell_{6M+3N-5}\}$  and  $R = \{r_1, \dots, r_{6M+3N-5}\}$  such that every vertex in L is adjacent to every vertex in R.
- Make every vertex in L adjacent to every vertex in  $S^r$  and every vertex in R adjacent to every vertex in  $S^{\ell}$ .

This completes the construction of H. See Figure 2 for an illustration. We show that  $(\mathcal{G})$  is a YES-instance of HYPERGRAPH 2-COLORING if and only if (H, k = 2M + N - 2) is a YES-instance of BALANCED BICLIQUE CONTRACTION. The key idea behind the reduction is as follows. First, the number of vertices in L and R are so large that no edge in  $E_H(L, R)$  can be contracted without exceeding the budget k. Hence,  $H[L \cup R]$  acts as a representative subgraph of the final balanced biclique. Second, any contraction solution needs to partition  $V(\mathcal{G})$  into two parts  $V_1$  and  $V_2$  such that both  $H[S^\ell \cup V_1]$  and  $H[S^r \cup V_2]$  are connected. This is equivalent to finding a 2-coloring of  $\mathcal{G}$  such that no hyperedge is monochromatic.

(⇒) Suppose  $\phi : V(\mathcal{G}) \mapsto \{1,2\}$  is a 2-coloring such that for no hyperedge in  $\mathcal{S}$  is monochromatic. Using this coloring, we define a partition  $\langle X, Y \rangle$  of V(H) with  $X = L \cup S^{\ell} \cup V_1$ and  $Y = R \cup S^r \cup V_2$  where  $V_1 = \{v \mid v \in V(\mathcal{G}), \phi(v) = 1\}$  and  $V_2 = \{v \mid v \in V(\mathcal{G}), \phi(v) = 2\}$ . As  $\emptyset \notin \mathcal{S}, S_M = V(\mathcal{G})$ , and no edge in  $\mathcal{S}$  is monochromatic,  $H[S^{\ell} \cup V_1]$  and  $H[S^r \cup V_2]$  are connected. Moreover,  $H[S^{\ell} \cup V_1]$  has a vertex adjacent to a vertex in  $H[S^r \cup V_2]$ . Further, as  $L \cup S^{\ell}, L \cup V(\mathcal{G}), R \cup S^r$  and  $R \cup V(\mathcal{G})$  are independent sets in H, it follows that H[X] has a spanning forest of size  $M + |V_1| - 1$  and H[Y] has a spanning forest of size  $M + |V_2| - 1$ . Therefore,  $\langle X, Y \rangle$  is a k-constrained valid balanced partition of V(H) where k = 2M + N - 2. From Lemma 8, we conclude that (H, k) is a YES-instance of BALANCED BICLIQUE CONTRACTION.

( $\Leftarrow$ ) Conversely, suppose H is k-contractible to a balanced biclique. Recall that an edge contraction reduces the number of vertices by exactly one. Then, H is k-contractible to the balanced biclique  $K_{q,q}$  with  $q \ge 6M + 3N - 4 = 3k + 2$ . As in any  $K_{q,q}$ -witness structure of H there are at most 2k vertices in big witness sets and |L| = |R| = 3k + 1, there exist subsets  $L' \subseteq L$ ,  $R' \subseteq R$  with  $|L'|, |R'| \ge k + 1$  such that every vertex of  $L' \cup R'$  are in small witness sets. Now let  $\langle L^*, R^* \rangle$  be a k-constrained valid balanced partition of V(H) given by Lemma 8 corresponding to this witness structure. Then,  $H[L^*]$  and  $H[R^*]$  each have atleast 3k + 2 components. Further, every vertex in L' is in a trivial component of  $H[L^*]$ .

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Next, we claim that  $R \subset R^*$  and  $L \subset L^*$ . If there exist a vertex  $r \in R \cap L^*$ , then any spanning tree of  $H[L' \cup \{r\}]$  has at least k + 1 edges leading to a contradiction. A similar argument shows that  $L \subset L^*$ . Now we argue that every vertex of L is in a trivial component of  $H[L^*]$  and every vertex of R is in a trivial component of  $H[R^*]$ . Suppose there are two vertices  $\ell_i, \ell_j \in L$  that are in the same component X of  $H[L^*]$ . As  $\ell_i$  and  $\ell_j$  are not adjacent, there is at least one more vertex x in X that is adjacent to  $\ell_i$  or  $\ell_j$ . By the construction of  $H, x \in R \cup S^r$ . However, as  $R \subset R^*$ , we have  $x \in S^r$ . Then, any spanning tree of  $H[\{x\} \cup L']$  has at least k + 1 edges leading to a contradiction. Therefore every vertex of Lis a trivial component of  $H[L^*]$ . A similar argument shows that every vertex of R is in a trivial component of  $H[R^*]$ . This shows that vertices of L form 3k + 1 components of  $H[L^*]$ and vertices of R form 3k + 1 components of  $H[R^*]$ .

Further, as every vertex in  $S^r$  is adjacent to every vertex in L and every vertex in  $S^{\ell}$ is adjacent to every vertex in R, we have  $S^r \subset R^*$  and  $S^l \subset L^*$ . Moreover, as  $L \cup V(\mathcal{G})$ and  $R \cup V(\mathcal{G})$  are independent sets, we conclude that no vertex from  $V(\mathcal{G})$  is in a trivial component of  $H[L^*]$  or  $H[R^*]$ . Observe that  $S^{\ell}$  is contained in one component of  $H[L^*]$  if and only if  $S^r$  is contained in one component of  $H[R^*]$  due to Lemma 8. Let  $\langle V_1, V_2 \rangle$  be the partition of  $V(\mathcal{G})$  such that  $V_1 = L^* \cap V(\mathcal{G})$  and  $V_2 = R^* \cap V(\mathcal{G})$ . Without loss of generality, let  $V_1 \neq \emptyset$ . Suppose there are two components of  $H[L^*]$  that contain vertices from  $S^{\ell}$ . Let C' be one of these components that contain  $s_M^{\ell}$ . Then,  $V_1$  is contained in C'. Let C denote a component of  $H[L^*]$  different from C' that contains vertices from  $S^{\ell}$ . As  $\emptyset \notin S$ , it follows that C has a vertex that is adjacent to a vertex in C' leading to a contradiction. Thus,  $H[L^*]$ and  $H[R^*]$  each have exactly 3k + 2 components implying that  $H[S^{\ell} \cup V_1]$  and  $H[S^r \cup V_2]$ are connected. Then,  $\langle V_1, V_2 \rangle$  gives a 2-Coloring for  $\mathcal{G}$  such that no edge is monochromatic. Hence, ( $\mathcal{G}$ ) is a YES-instance of HYPERGRAPH 2-COLORING.

Now, let G be the graph obtained from H by subdividing every edge in  $E_H(S^{\ell}, V(\mathcal{G}))$ . Clearly, G is bipartite with bipartition  $\langle L \cup S^{\ell} \cup V(\mathcal{G}), R \cup S^r \cup Z \rangle$  where Z is the set of new vertices added during the subdivision. Observe that  $|Z| = \sum_{v \in V(\mathcal{G})} d(v) \geq 2M$  where d(v) denotes the number of hyperedges that contain v. Then, (H, k) is a YES-instance of BALANCED BICLIQUE CONTRACTION if and only if (G, k + |Z|) is a YES-instance of BALANCED BICLIQUE CONTRACTION.

Suppose H is k-contractible to the balanced biclique  $K_{q,q}$ . Then, from earlier arguments, we know that q = 3k + 2. Let  $\langle L^*, R^* \rangle$  be a k-constrained valid balanced partition of V(H)given by Lemma 8. Then,  $\langle L^* \cup Z, R^* \rangle$  is a (k + |Z|)-constrained valid balanced partition of V(G). By Lemma 8, G is (k + |Z|)-contractible to a balanced biclique. Conversely, suppose G is (k + |Z|)-contractible to the balanced biclique  $K_{p,p}$ . Let  $F \subseteq E(G)$  be the set of edges of G such that  $G/F = K_{p,p}$ . Then, as |V(G)| = 14M + 7N - 10 + |Z|, it follows that  $2p \ge 12M + 6N - 8$  and so  $p \ge 5$ . As every vertex in Z has degree 2 in G, it follows that no vertex of Z is in a singleton witness set of a  $K_{p,p}$ -witness structure of G. In other words, each vertex of Z has at least one edge incident on it in F. For every  $z \in Z$ , add one such edge to F'. Then, |F'| = |Z| as no edge in F has both endpoints in Z since Z is an independent set. Now, we have G/F' = H and  $H/(F \setminus F') = K_{p,p}$ . Since |F'| = |Z|, it follows that  $|F \setminus F'| \le k$  and thus H is k-contractible to a balanced biclique.

It is easy to verify that BALANCED BICLIQUE CONTRACTION is in NP. This fact along with Lemma 11 establishes the second part of Theorem 1.

## 4 FPT Algorithms

In this section, we show that BICLIQUE CONTRACTION and BALANCED BICLIQUE CON-TRACTION can be solved in  $\mathcal{O}^*(25.904^k)$  time. For the sake of convenience and to avoid unnecessary repetition, we first describe the algorithm for BICLIQUE CONTRACTION and later mention the (minor) changes required in order to solve the balanced variant.

Hüffner et al. [29] gave an  $\mathcal{O}(1.4^k \cdot k^d + n^3)$  time algorithm for the *d*-CLUSTER VERTEX DELETION problem in which given a graph *G* and integer *k*, the goal is to determine whether one can delete at most *k* vertices such that the resultant graph is the disjoint union of at most *d* complete graphs. As G - Z is a biclique if and only if  $\overline{G} - Z$  is the disjoint union of at most two complete graphs, we have the following result.

▶ Proposition 12 ([29]). Given a graph G and an integer k, there is an algorithm that either computes a biclique modulator Z of size at most k or correctly determines that no such modulator exists in  $\mathcal{O}^*(1.4^{|Z|})$  time.

Consider an instance (G, k) of BICLIQUE CONTRACTION. We first determine if G has a biclique modulator Z of size at most 2k in  $\mathcal{O}^*(1.4^{|Z|})$  time using Proposition 12. If no such set exists, then by Observation 9, we can declare that G is not k-contractible to a biclique. Otherwise, let  $\langle X, Y \rangle$  denote a bipartition of G - Z, and  $\langle L, R \rangle$  denote a k-constrained valid partition of V(G) (if one exists). We begin by guessing the "nature of the intersection" (see below for the exhaustive cases) between X, Y and L, R, and then proceed to finding a k-constrained valid partition that respects this guess. Note that if  $X \cup Y$  is an empty set, then we can solve the problem by guessing the partition of Z in time  $\mathcal{O}^*(2^{|Z|})$ . Hence, we consider the case when  $X \cup Y \neq \emptyset$ . Without loss of generality, we can assume that  $Y \neq \emptyset$ . Consider the following cases.

- X ∩ L = Ø and X ∩ R = Ø. The two sub-cases are as follows.
   a. Either Y ∩ L ≠ Ø or Y ∩ R ≠ Ø but not both.
   b. Y ∩ L ≠ Ø and Y ∩ R ≠ Ø.
- Either X ∩ L ≠ Ø or X ∩ R ≠ Ø but not both. The two sub-cases are as follows.
   a. Either Y ∩ L ≠ Ø or Y ∩ R ≠ Ø but not both.
  - **b.**  $Y \cap L \neq \emptyset$  and  $Y \cap R \neq \emptyset$ .
- 3. X ∩ L ≠ Ø and X ∩ R ≠ Ø. The two sub-cases are as follows.
  a. Either Y ∩ L ≠ Ø or Y ∩ R ≠ Ø but not both.
  b. Y ∩ L ≠ Ø and Y ∩ R ≠ Ø.
- **Solving Case (1a):** Without loss of generality let  $Y \cap L = \emptyset$ . Then, for each ordered partition  $\langle Z_L, Z_R \rangle$  of Z where  $L \cap Z = Z_L$  and  $R \cap Z = Z_R$ , we determine if  $\langle Z_L, Z_R \cup Y \rangle$  is a k-constrained valid partition. We declare that G is k-constraible to a biclique if and only if some choice of  $\langle Z_L, Z_R \rangle$  leads to a k-constrained valid partition. The total running time of the algorithm in this case is  $\mathcal{O}^*(2^{|Z|})$ .
- **Solving Case (1b):** Consider an ordered partition  $\langle Z_L, Z_R \rangle$  of Z where  $L \cap Z = Z_L$  and  $R \cap Z = Z_R$ . Observe that as  $X = \emptyset$ , G is connected and Y is an independent set, every vertex in Y is adjacent to some vertex in  $Z_L$  or  $Z_R$ . Next, we apply the following branching rule repeatedly to vertices in Y as long as possible.

▶ Branching Rule 1. If there is a vertex  $v \in Y$  such that  $N(v) \cap Z_L \neq \emptyset$ ,  $N(v) \cap Z_R \neq \emptyset$ and  $|N(v) \cap (Z_L \cup Z_R)| > 2$ , then branch into the following.

- Contract all edges in  $E(v, Z_L)$  and decrease k by the number of contractions.
- Contract all edges in  $E(v, Z_R)$  and decrease k by the number of contractions.

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The exhaustiveness (and hence the correctness) of Branching Rule 1 is easy to verify. Also, observe that the branching factor leading to worst-case running time on applying this rule is (1, 2). After an exhaustive application of Branching Rule 1, any vertex in Y that is adjacent to both  $Z_L$  and  $Z_R$  has degree 2. Then, we apply the following reduction rule.

▶ Preprocessing Rule 1. If there is a vertex  $v \in Y$  of degree 2 such that  $N(v) \cap Z_L \neq \emptyset$  and  $N(v) \cap Z_R \neq \emptyset$ , then contract edges in  $E(v, Z_L)$  and decrease k by the number of contractions.

To argue correctness of this rule, it suffices to show that if  $v \notin L$ , then  $\langle L \cup \{v\}, R \setminus \{v\} \rangle$ is also a k-constrained valid partition. Let  $a \in Z_L$  and  $b \in Z_R$  be the neighbours of v. Let  $C_a$ and  $C_b$  denote the components of G[L] and G[R] containing a and b, respectively. Note that v is a pendant vertex in  $C_b$ . The only pair of components of G[L] and G[R] that are adjacent possibly because of v being in R are  $C_a$  and  $C_b$ . Moving v from  $C_b$  to  $C_a$  does not affect this adjacency or the connectedness of these components since v has exactly 1 neighbour in both  $V(C_b)$  and  $V(C_a)$ . The sizes of the spanning forests of G[L] and G[R] before and after the movement of v are equal. Hence,  $\langle L \cup \{v\}, R \setminus \{v\} \rangle$  is also a k-constrained valid partition.

When neither Branching Rule 1 nor Preprocessing Rule 1 are applicable, we have the partition  $\langle Y^L, Y^R \rangle$  of Y where  $Y^L = \{y \in Y \mid N(y) \subseteq Z_L\}$  and  $Y^R = \{y \in Y \mid N(y) \subseteq Z_R\}$ . Let us first consider the case when no vertex in  $Y_L \cup Y_R$  is a trivial component of G[L] or G[R]. Then, observe that every vertex in  $Y_L$  has to be in the same part as  $Z_L$  since  $N(Y_L) \subseteq Z_L$ . Similarly, every vertex in  $Y_R$  has to be in the same part as  $Z_R$  since  $N(Y_R) \subseteq Z_R$ . In this case, we simply check if  $(Z_L \cup Y_L, Z_R \cup Y_R)$  is a k-constrained valid partition. The total running time of the algorithm is  $\mathcal{O}^*(2^{|Z|})$ . Subsequently, we assume that there is a vertex in  $Y^L$  or  $Y^R$  that is a trivial component of G[L] or G[R]. Without loss of generality let there be a vertex in  $Y^L$  that is a trivial component of G[L] or G[R]. A vertex y of  $Y^L$  that is a trivial component of G[L] or G[R] has to be in the same part as  $Z_R$  since y has neighbours in  $Z_L$ . Further, no vertex y' in  $Y^R$  can be a trivial component of G[L] or G[R] and such a vertex y'has to be in the same part as  $Z_R$ . This is because if y' is in L, then the component of G[L]containing y' is not adjacent to the component of G[R] that contains y. On the other hand if y' is in R, then as y' is adjacent to  $Z_R$ , it cannot be a trivial component of G[R]. Now, it follows that every vertex in  $Y^R$  is in the same part as  $Z_R$  as no vertex in  $Y^R$  has neighbours in  $Z_L$ . Therefore, we contract all edges in  $E(Y^R, Z^R)$  and decrease k by the number of contractions. Next, we guess a subset  $Z' \subseteq Z_L$  that will be in a component of G[L] that has no other vertices from  $Z_L$ . There are  $\mathcal{O}(2^{|Z|})$  such subsets. Note that if Z' is empty, then no two vertices of  $Z_L$  are in the same component of G[L] or G[R]. Consider the partition of  $Y^L$ into three parts  $Y^1, Y^2, Y^3$  where  $Y^1 = \{y \in Y \mid N(y) \subseteq Z'\}, Y^2 = \{y \in Y \mid N(y) \cap Z' = \emptyset\}$ and  $Y^3 = Y \setminus (Y^1 \cup Y^2)$ . Then,  $Y^1 \subseteq L$  as no vertex in  $Y^1$  is a trivial component and  $N(Y^1) \subseteq Z'$ . Also,  $Y^2 \subseteq L$  as no vertex in  $Y^2$  has a neighbour in  $Z_R$  or a neighbour in Z'. Similarly,  $Y^3 \subseteq R$  as every vertex in  $Y^3$  has a neighbour in Z' and a neighbour outside Z'. Therefore, we simply check if  $(Z_L \cup Y^1 \cup Y^2, Z_R \cup Y^3)$  is a k-constrained valid partition. The total running time of the algorithm in this case is  $\mathcal{O}^*(1.619^k 2^{2|Z|})$ .

- **Solving Case (2a):** Without loss of generality let  $X \cap R = \emptyset$ . Suppose  $Y \cap L = \emptyset$ . Then, for each ordered partition  $\langle Z_L, Z_R \rangle$  of Z where  $L \cap Z = Z_L$  and  $R \cap Z = Z_R$ , we simply determine if  $\langle Z_L \cup X, Z_R \cup Y \rangle$  is a k-constrained valid partition. The total running time in this case is  $\mathcal{O}^*(2^{|Z|})$ . Now, suppose  $Y \cap R = \emptyset$ . Then, for each ordered partition  $\langle Z_L, Z_R \rangle$  of Z where  $L \cap Z = Z_L$  and  $R \cap Z = Z_R$ , we simply determine if  $\langle Z_L \cup X \cup Y, Z_R \rangle$  is a k-constrained valid partition. The total running time in this case is  $\mathcal{O}^*(2^{|Z|})$ .
- **Solving Case (2b):** Without loss of generality let  $X \cap R = \emptyset$ . Consider an ordered partition  $\langle Z_L, Z_R \rangle$  of Z where  $L \cap Z = Z_L$  and  $R \cap Z = Z_R$ . Guess a vertex  $y \in Y \cap R$ . There are at most n choices for y. Contract  $E(y, X) \cup E(y, Z_L)$  and decrease k by the number of contractions. Observe that now we have |X| = 1. Then, this case is similar to Case 1(b) after moving the vertex in X to Z. The total running time in this case is  $\mathcal{O}^*(1.619^k \cdot 2^{2|Z|})$ .

Solving Case (3a): This is similar to Case (2b).

Solving Case (3b): In this case, all edges in E(X, Y) except one gets contracted. Hence, if  $|X \cup Y| > k+2$ , we declare that (G, k) is a No-instance. Otherwise,  $|V(G)| \le |Z| + k + 2$ . Then, we go over each ordered partition of V(G) into two parts  $\langle Q_L, Q_R \rangle$  and determine if  $\langle Q_L, Q_R \rangle$  is a k-constrained valid partition. The running time in this case is  $\mathcal{O}^*(2^{|Z|+k})$ .

Now, we have the following result.

▶ Lemma 13. Given a graph G and a biclique modulator Z, there is an algorithm that determines if G is k-contractible to a biclique or not in  $\mathcal{O}^*(4^{|Z|}1.619^k)$  time.

As Z can be obtained in  $\mathcal{O}^*(1.4^{|Z|})$  time where  $|Z| \leq 2k$ , the claimed running time of  $\mathcal{O}^*(25.904^k)$  to solve BICLIQUE CONTRACTION follows establishing part 1 of Theorem 2.

The only changes required in the algorithm for BALANCED BICLIQUE CONTRACTION are in the places where a partition of the graph into two parts is verified if it is a k-constrained valid partition or not. This check has to modified such that the verification is made to determine if the partition is a k-constrained valid balanced partition or not. The remaining parts of the algorithm remain as such. This establishes part 2 of Theorem 2.

## 5 Kernelization Complexity

We begin by observing that the reduction from RED-BLUE DOMINATING SET to BICLIQUE CONTRACTION described in Section 3.1 is a polynomial parameter transformation as it maps an instance  $(G, R, B, \kappa)$  to  $(H, k = |B| + \kappa)$  where  $|B| + \kappa$  is the parameter of the input instance and k is the parameter of the output instance. Using the incompressibility and infeasibility of polynomial-size kernels (with respect to  $|B| + \kappa$  as the parameter) result known for RED-BLUE DOMINATING SET [13, 15], we obtain part 2 of Theorem 3. In fact, we can also conclude that BICLIQUE CONTRACTION does not even admit a polynomial lossy kernel unless NP  $\subseteq$  coNP/poly [36].

We proceed to describing a quadratic vertex kernel for BALANCED BICLIQUE CONTRAC-TION using a sequence of reduction rules. The reduction rules are ordered (in the sequence stated) and a rule is applied on the instance only when none of the earlier reduction rules are applicable. Consider an instance (G, k). Let  $\mathcal{C}$  be a maximal collection of vertex-disjoint  $K_1 + K_2$  and  $K_3$  in G. Let  $Z = \bigcup_{C \in \mathcal{C}} V(C)$ .

▶ Reduction Rule 1. If  $k \leq 0$  and G is not a balanced biclique or if |Z| > 6k, then return a trivial No-instance.

The correctness of the first part of the rule is easy to verify. Consider the second part. Suppose (G, k) is a YES-instance. Then, from Observation 9, there is a set  $\hat{Z}$  of at most 2k vertices such that  $G - \hat{Z}$  is a biclique. Further, from Lemma 4,  $G - \hat{Z}$  is  $\{K_1 + K_2, K_3\}$ -free. As  $\hat{Z} \cap V(S) \neq \emptyset$  for each  $S \in \mathcal{C}$ , it follows that  $|\mathcal{C}| \leq 2k$ . Then, this implies that  $|Z| \leq 6k$ .

Let  $\langle X, Y \rangle$  be a bipartition of G - Z where  $|X| \leq |Y|$ . Subsequently, we assume that  $|Y| \geq k + 3$ . Otherwise, we have a linear vertex kernel.

▶ Reduction Rule 2. If |Y| > |X| + |Z| + k, then return a trivial No-instance.

#### ▶ Lemma 14. *Reduction Rule 2 is safe.*

**Proof.** We will show that if (G, k) is a YES-instance, then  $|Y| \leq |X| + |Z| + k$ . Suppose G is k-contractible to the balanced biclique G/F and  $\mathcal{W}$  is the G/F-witness structure of G. Let  $\mathcal{W}_L$  and  $\mathcal{W}_R$  be the collection of witness sets corresponding to the bipartition  $\langle L, R \rangle$  of G/F.

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Note that  $|\mathcal{W}_L| = |\mathcal{W}_R|$ . As  $|F| \leq k$  and Y is an independent set in G, there are at most k vertices in Y that are incident on some edges in F. Let Y' be the collection of all such vertices. As  $|Y| \geq k + 1$ ,  $Y \setminus Y'$  is a non-empty set. Note that every vertex in  $Y \setminus Y'$  is in a singleton witness set in  $\mathcal{W}$ . As there is no edge between any of these singleton witness sets, all of these vertices are either in  $\mathcal{W}_L$  or in  $\mathcal{W}_R$ . Without loss of generality, let every vertex in  $Y \setminus Y'$  be in a witness set in  $\mathcal{W}_R$ . Then,  $|\mathcal{W}_R| \geq |Y| - |Y'| \geq |Y| - k$ . Further, there is no singleton witness set in  $\mathcal{W}_L$  that contains a vertex in Y since such a witness set cannot be adjacent to a singleton witness set in  $\mathcal{W}_R$  that has a vertex in  $Y \setminus Y'$ . This implies that every witness set in  $\mathcal{W}_L$  contains at least one vertex from  $X \cup Z$ . Hence,  $|X| + |Z| \geq |\mathcal{W}_L|$ . However,  $|\mathcal{W}_L| = |\mathcal{W}_R| \geq |Y| - k$  which implies  $|X| + |Z| \geq |Y| - k$ .

Now, we have the following important property on G: if (G, k) is a YES-instance then, in any k-constrained valid balanced partition  $\langle L, R \rangle$  of V(G) either  $X \subseteq L$ ,  $Y \subseteq R$  or  $X \subseteq R$ ,  $Y \subseteq L$ . Suppose there are vertices  $x_1, x_2 \in X$  such that  $x_1 \in L$  and  $x_2 \in R$ . If  $Y \subseteq L$ , then since  $|Y| \ge k + 1$  the size of a spanning forest of G[L] exceeds k. Similarly, if  $Y \subseteq R$ , then the size of a spanning forest of G[R] exceeds k. Therefore, there are vertices  $y_1, y_2 \in Y$  such that  $y_1 \in L$  and  $y_2 \in R$ . Let  $|X \cap L| = \alpha_X$ ,  $|X \cap R| = \beta_X$ ,  $|Y \cap L| = \alpha_Y$  and  $|Y \cap R| = \beta_Y$ . Then, any spanning forest of G[L] has  $k_\ell \ge \alpha_X + \alpha_Y - 1$  edges and any spanning forest of G[R] has  $k_r \ge \beta_X + \beta_Y - 1$  edges with  $k_\ell + k_r > k$ .

Let  $Z_X = \{z \in Z : |N(z) \cap Y| \ge k+1\}$ ,  $Z_Y = \{z \in Z : |N(z) \cap X| \ge k+1\}$  and  $Z' = Z \setminus (Z_X \cup Z_Y)$ . Observe that if  $Z_X \cap Z_Y \ne \emptyset$ , then (G, k) is a No-instance. Suppose there is a vertex  $z \in Z_X \cap Z_Y$  and (G, k) is a YES-instance with  $\langle L, R \rangle$  being a k-constrained valid balanced partition of V(G). Without loss of generality let  $X \subseteq L$ ,  $Y \subseteq R$ . However, as z has at least k + 1 neighbours each in X and Y, z cannot be in the part containing X or in the part containing Y leading to a contradiction. Hence, we may assume that the sets Z',  $Z_X$  and  $Z_Y$  partition Z. The next reduction rule is a simplification rule based on this partition.

▶ Reduction Rule 3. If there is an edge in  $E(X, Z_X) \cup E(Y, Z_Y) \cup E(Z_X) \cup E(Z_Y)$ , then contract it and decrease k by 1.

During the contraction of an edge incident on a vertex v in  $X \cup Y$  in the application of Reduction Rule 3, the new vertex added in the process is renamed as v and retained in  $X \cup Y$ . Observe that the resulting graph  $G[X \cup Y]$  is also a biclique.

## ▶ Lemma 15. *Reduction Rule 3 is safe.*

**Proof.** Suppose (G, k) is a YES-instance and  $\langle L, R \rangle$  is a k-constrained valid balanced partition of V(G). Without loss of generality,  $X \subseteq L$ ,  $Y \subseteq R$ . Since any  $z \in Z_X$  has at least k + 1neighbours in Y, if  $z \in R$ , then any spanning forest of G[R] has at least k+1 edges leading to a contradiction. Similarly, any  $z \in Z_Y$  has at least k+1 neighbours in X and if  $z \in L$ , then any spanning forest of G[L] has at least k+1 edges leading to a contradiction. Therefore,  $Z_X \subseteq L$ and  $Z_Y \subseteq R$ . This justifies contracting an edge in  $E(X, Z_X) \cup E(Y, Z_Y) \cup E(Z_X) \cup E(Z_Y)$ .

Now, we have the following property on G: if (G, k) is a YES-instance then, in any k-constrained valid balanced partition  $\langle L, R \rangle$  of V(G), we have  $X \cup Z_X \subseteq L$  and  $Y \cup Z_Y \subseteq R$ . The final reduction rule marks certain essential vertices and deletes the non-essential ones.

▶ Reduction Rule 4. Mark all vertices in Z,  $N(Z') \cap X$  and  $N(Z') \cap Y$ . Further, for each vertex  $z \in Z$ , mark one of its non-neighbour (if it exists) each in  $X \setminus N(Z')$  and  $Y \setminus N(Z')$ . After performing this marking scheme, if there are at least two unmarked vertices in X and at least two unmarked vertices in Y, then delete two unmarked vertices  $u \in X$  and  $v \in Y$ .

The correctness of this rule is justified by the following lemma.

#### ▶ Lemma 16. Reduction Rule 4 is safe.

**Proof.** Suppose G is k-contractible to a balanced biclique. Consider a k-constrained valid balanced partition  $\langle L, R \rangle$  of V(G). Then, we know that  $X \cup Z_X \subseteq L$  and  $Y \cup Z_Y \subseteq R$ . As  $N(u) \subseteq Y \cup Z_Y$  and  $N(v) \subseteq X \cup Z_X$ , it follows that u and v are in trivial components of G[L] and G[R], respectively. Then,  $\langle L \setminus \{u\}, R \setminus \{v\}\rangle$  is a k-constrained valid balanced partition of  $V(G) \setminus \{u, v\}$ .

Conversely, consider a k-constrained valid balanced partition  $\langle L', R' \rangle$  of  $V(G) \setminus \{u, v\}$ . Let G' denote  $G - \{u, v\}$ . Observe that  $X \setminus \{u\} \subseteq L'$  and  $Y \setminus \{v\} \subseteq R'$ . We show that  $\langle L' \cup \{u\}, R' \cup \{v\} \rangle$  is a k-constrained valid balanced partition of V(G). Suppose u is in a trivial component of  $G[L' \cup \{u\}]$  and is not adjacent to some component H of  $G[R' \cup \{v\}]$ . Then,  $V(H) \subseteq Z' \cup Z_Y$  and let  $z \in V(H)$ . Let  $u^*$  be a non-neighbour of z in  $X \setminus N(Z')$  that was marked. Since  $N(u^*) \subseteq Y \cup Z_Y$ ,  $u^*$  is in a trivial component of G'[L']. In order for the component of G'[R'] containing z to be adjacent to the component of G'[L'] containing  $u^*, z$  must be in a component that contains some vertex in Y. Then, since  $Y \subseteq N(u)$ , this leads to a contradiction. A symmetric argument holds when v is in a trivial component of  $G[R' \cup \{v\}]$  and is not adjacent to some component of  $G[L' \cup \{u\}]$ . Therefore,  $\langle L' \cup \{u\}, R' \cup \{v\}\rangle$  is a k-constrained valid balanced partition of V(G).

Observe that after the application of Reduction Rule 4, there are  $\mathcal{O}(k)$  unmarked vertices. Further, the marking rule procedure marks  $\mathcal{O}(k^2)$  vertices. This establishes Theorem 3.

## 6 Concluding Remarks

In this work, we initiated the study of BICLIQUE CONTRACTION and BALANCED BICLIQUE CONTRACTION. We showed NP-completeness, fixed-parameter tractability and kernelization results for the problems. A natural future direction is to study (BALANCED) BICLIQUE CONTRACTION with respect to the size  $\ell$  of the target (balanced) biclique as the parameter. Note that the parameterized complexity of BALANCED BICLIQUE VERTEX DELETION with respect to the number  $\ell$  of vertices in the resultant balanced biclique was a long-standing open problem until it was shown to be W[1]-hard in [34]. However, the simple reduction that takes an instance (H, k) of INDEPENDENT SET and constructs an instance  $(G, \ell)$  of BICLIQUE CONTRACTION where G is obtained by adding a universal vertex to H leads to the following result.

▶ **Theorem 17.** BICLIQUE CONTRACTION parameterized by the lower bound l = n - k on the number of vertices in the resultant biclique is W[1]-hard.

Observe that if G is contractible to a biclique on  $2 \cdot \ell$  vertices, then G is also contractible to a biclique on  $\ell$  vertices. Hence, we can guess  $\ell_0 \in \{\ell, \ell+1, \ldots, 2 \cdot \ell\}$  where  $\ell_0$  is the smallest integer greater than or equal to  $\ell$  such that G is contractable to a biclique on  $\ell_0$  vertices. However, we could not obtain a simple algorithm running in  $n^{f(\ell_0)}$  time for determining if G can be contracted to a biclique on  $\ell_0$  vertices. In contrast, if  $\ell_0$  is the smallest integer greater than or equal to  $\ell$  such that G can be contracted into a balanced biclique on  $\ell_0$  vertices, then there is no such easy upper bound on  $\ell_0$ . Hence, we conjecture that BALANCED BICLIQUE CONTRACTION is para-NP-hard when parameterized by the lower bound  $\ell = n - k$  on the number of vertices in the resultant balanced biclique.

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