

Optimal Algorithm for the Planar Two-Center Problem

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Abstract

We study a fundamental problem in Computational Geometry, the *planar two-center* problem. In this problem, the input is a set S of n points in the plane and the goal is to find two smallest congruent disks whose union contains all points of S . A longstanding open problem has been to obtain an $O(n \log n)$ -time algorithm for planar two-center, matching the $\Omega(n \log n)$ lower bound given by Eppstein [SODA'97]. Towards this, researchers have made a lot of efforts over decades. The previous best algorithm, given by Wang [SoCG'20], solves the problem in $O(n \log^2 n)$ time. In this paper, we present an $O(n \log n)$ -time (deterministic) algorithm for planar two-center, which completely resolves this open problem.

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1 Introduction

Given a set S of n points in the plane, the *planar k -center* problem asks for k smallest congruent disks in the plane whose union contains S . Planar k -center is NP-hard if k is given as part of the input. The best known algorithm for the problem takes $n^{O(\sqrt{k})}$ time [19].

In the special case where $k = 1$, the problem can be solved in linear time [6, 12, 23, 24], and this result not only holds in the plane but also in any fixed dimension. When $k = 2$, the problem becomes substantially more challenging. First of all, unlike the one-center case, the two-center problem requires $\Omega(n \log n)$ time to solve in the algebraic decision tree model, as shown by Eppstein [15] by a reduction from the max-gap problem. A longstanding open problem has been to prove a matching upper bound for the problem, i.e., obtain an $O(n \log n)$ -time algorithm. Towards this, researchers have made a lot of efforts over decades. The first published result on planar two-center appeared in 1991 by Hershberger and Suri [18], who considered the decision version of the problem only and presented an $O(n^2 \log n)$ -time



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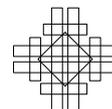
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algorithm, and the algorithm was subsequently improved to $O(n^2)$ time by Hershberger [17]. Using the decision algorithm in [17] and the parametric search technique [22], Agarwal and Sharir [2] presented an $O(n^2 \log^3 n)$ -time algorithm for the (original) two-center problem. The running time was slightly improved in a sequence of work [14, 20, 21]. A major breakthrough was achieved by Sharir [27], who proposed an $O(n \log^9 n)$ -time algorithm. This is the first algorithm that solves the problem in near-linear time (in fact, even the first algorithm with subquadratic runtime). Eppstein [15] and Chan [5] further improved this result, obtaining an $O(n \log^2 n)$ -time randomized algorithm and an $O(n \log^2 n \log \log n)$ -time deterministic algorithm, respectively. Since then, no progress had been made for over two decades, until recently in SoCG 2020 Wang [29] gave an $O(n \log^2 n)$ -time deterministic algorithm¹.

Choi and Ahn [8] considered a special case of planar two-center where the two disks in an optimal solution overlap and a point in their intersection is given in the input (we refer to it as the *anchored case*); they gave an $O(n \log n)$ -time algorithm by improving the parametric search scheme in [29], where an $O(n \log n \log \log n)$ -time algorithm is provided for this case. In addition, Choi and Ahn's technique also solves in $O(n \log n)$ time the convex case in which points of S are in convex position; this improves the $O(n \log n \log \log n)$ -time in [29]. Albeit these progress on special cases, prior to this work, Wang's $O(n \log^2 n)$ -time (deterministic) algorithm [29] remains the best known result for the general planar two-center problem.

Our result. In this paper, we resolve this longstanding open problem completely, by presenting an $O(n \log n)$ -time deterministic algorithm for the planar two-center problem. Remarkably, in terms of running time, this is the first improvement since the $O(n \log^2 n)$ -time (randomized) algorithm of Eppstein [15] in 1997. Our result follows from a new decision algorithm for the problem which runs in $O(n)$ time after $O(n \log n)$ -time preprocessing, summarized in Theorem 1. Along with known techniques in the previous work, such a decision algorithm immediately leads to an $O(n \log n)$ -time algorithm for the two-center problem, as elucidated in the proof of Corollary 2.

► **Theorem 1.** *Let S be a set of n points in the plane. After a preprocessing step on S in $O(n \log n)$ time, for any given $r \geq 0$, one can compute in $O(n)$ time two congruent disks of radius r in the plane that together cover S , or decide the nonexistence of such two disks.*

► **Corollary 2.** *Planar two-center can be solved deterministically in $O(n \log n)$ time.*

Proof. Consider an instance S of the two-center problem, which is a set of n points in the plane. Let opt be the radius of the two disks in an optimal solution for S , and δ be the smallest distance between the two disk centers in any optimal solution for S .

If $\delta \leq \frac{3}{2} \cdot \text{opt}$, then the algorithm of Choi and Ahn [8] can compute an optimal solution for S in $O(n \log n)$ time (in this case it is possible to sample $O(1)$ points so that at least one point is in the intersection of the two optimal disks; thus the problem reduces to the anchored case).

For the case $\delta > \frac{3}{2} \cdot \text{opt}$, Eppstein [15] showed that if one can decide whether $r \geq \text{opt}$ for any given r in $T(n)$ time after $T_0(n)$ -time preprocessing on S , then one can compute an optimal solution for S in $O(T(n) \cdot \log n + T_0(n))$ time by Cole's parametric search [10]. The algorithm of Theorem 1 solves this decision problem with $T(n) = O(n)$ and $T_0(n) = O(n \log n)$. Therefore, we can compute an optimal solution for S in $O(n \log n)$ time.

¹ Independently, Tan and Jiang [28] also claimed a simple $O(n \log^2 n)$ -time algorithm, but unfortunately that algorithm was later found to be incorrect [29].

Combining the two cases finally gives us an $O(n \log n)$ -time algorithm for the planar two-center problem. Note that since we do not know which case holds for S , we run the algorithms for both cases and return the better solution. ◀

To prove Theorem 1, which is the main goal of this paper, our algorithm is relatively simple and elegant. However, the correctness analysis of the algorithm is technical, entailing a nontrivial combination of several novel and intriguing insights into the problem, along with known observations in the literature. Some of the new insights might be useful for other related problems as well.

Other related work. A number of variants of the two-center problem have also attracted much attention in the literature, e.g., [1, 3, 4, 11, 16, 25, 26, 31]. For example, if the centers of the two disks are required to be in S , the problem is known as the *discrete* two-center problem. Agarwal, Sharir, and Welzl [3] solved the problem in $O(n^{4/3} \log^5 n)$ time; the logarithmic factor in the runtime was slightly improved recently by Wang [30]. An outlier version of the problem was studied in [1]. Other variants include those involving obstacles [16, 25, 26], bichromatic version [4, 31], and kinetic version [11], etc. It should be noted that although the general planar k -center problem is NP-hard [19], Choi, Lee, and Ahn recently gave a polynomial-time algorithm for the case where the points are given in convex position [9].

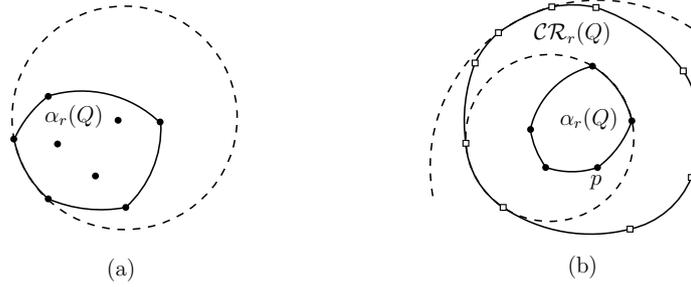
Outline. The rest of the paper is organized as follows. In Section 2, we define some basic notion that will be used throughout the paper. Section 3 introduces a new concept, called *r-coverage*, which serves an important role in our algorithm. To the best of our knowledge, we are not aware of any previous work that used this concept to tackle the two-center problem before. We prove several properties about the *r-coverage*, which may be interesting in their own right. Our algorithm for Theorem 1 is presented in Section 4, while its correctness is proved in Section 5. Due to limited space, some proofs are omitted in the main text and presented in our full version [7], including all proofs in Section 3 and the proof of Lemma 12.

2 Preliminaries

Basic notions. For two points p and q in the plane, we denote by \overline{pq} the line segment connecting p and q , and denote by $|pq|$ the distance between p and q (throughout the paper, “distance” always refers to the Euclidean distance). For a compact region R in the plane, we use ∂R to denote its boundary. For a disk D in the plane, let $\text{ctr}(D)$ and $\text{rad}(D)$ denote the center and the radius of D , respectively. For a point $p \in \partial D$ where D is a disk, the *antipodal point* of p on ∂D refers to the (unique) point of ∂D that is farthest from p ; we often use \hat{p} to denote it. For two disks D and D' in the plane, denote by $\text{dist}(D, D')$ the distance between the two centers $\text{ctr}(D)$ and $\text{ctr}(D')$. For a number $r \geq 0$, we say that a set Q of points in the plane is *r-coverable* if there exists a radius- r disk that contains all points of Q .

Circular hulls. The *r-circular hull* of a point set Q in the plane with respect to a value $r > 0$ is defined as the common intersection of all radius- r disks that contain Q [13, 18]. We use $\alpha_r(Q)$ to denote the *r-circular hull* of Q . See Figure 1(a). Note that Q is *r-coverable* iff $\alpha_r(Q)$ exists.

Wang [29] considered the problem of dynamically maintaining the circular hull of a set Q of points in the plane under *monotone* insertions, that is, each inserted point is always to the right of all points in the current Q . The following result is obtained in [29].



■ **Figure 1** (a) Illustrating the r -circular hull $\alpha_r(Q)$, which is bounded by the solid arcs. The radius of the dashed circle is r . (b) Illustrating the r -coverage $\mathcal{CR}_r(Q)$ (bounded by the outer cycle of solid arcs) for the set of five black points, while the inner cycle of solid arcs bounds $\alpha_r(Q)$. The larger dashed arc is of radius $2r$ while the smaller dashed circle is of radius r .

► **Lemma 3** (Theorem 6.7 in [29]). *Let Q be a (dynamic) set of points in the plane which is initially empty. For any fixed number $r > 0$, there exists a data structure that maintains $\alpha_r(Q)$ in $O(1)$ amortized update time under monotone insertions to Q .*

Solutions for the two-center problem. For any $r > 0$, an r -solution for a planar two-center instance S is a set of two radius- r disks in the plane whose union covers S ; a solution for S refers to an r -solution with some $r \geq 0$. We denote by $\text{opt}(S)$ the minimum r such that S has an r -solution. An r -solution $\{D, D'\}$ for S is *tight* if $\text{dist}(D, D')$ is minimized (among all r -solutions). An r -solution $\{D, D'\}$ for S is p -anchored for a point $p \in \mathbb{R}^2$ if $p \in D \cap D'$. The anchored two-center problem takes as input a set S of points in the plane and a point $p \in \mathbb{R}^2$, and asks for a p -anchored r -solution for S with the minimum r . As discussed in Section 1, Choi and Ahn [8] presented an $O(n \log n)$ -time algorithm for the anchored problem.

► **Lemma 4** (Choi and Ahn [8]). *The anchored planar two-center problem can be solved by a deterministic algorithm which runs in $O(n \log n)$ time.*

3 r -Coverage

We introduce a new concept, called r -coverage, that is critical to our algorithm. To the best of our knowledge, we are not aware of any previous work that used it to tackle the two-center problem before. In this section, we present several properties about it, which are needed for our algorithm. We believe these properties are interesting in their own right and will find applications elsewhere. The detailed proofs of them can be found in our full version [7].

► **Definition 5.** *For a point set Q in the plane and a value $r > 0$, we define the r -coverage of Q as the union of all radius- r closed disks containing Q . We use $\mathcal{CR}_r(Q)$ to denote the r -coverage of Q .*

In the following, we prove several properties about $\mathcal{CR}_r(Q)$ based on an interesting relationship with $\alpha_r(Q)$. More specifically, we will show that $\mathcal{CR}_r(Q)$ is convex and $\partial\mathcal{CR}_r(Q)$ consists of circular arcs of radii r and $2r$ alternatively. Roughly speaking, these arcs correspond to the vertices and arcs of $\alpha_r(Q)$ in the following way: Each arc of radius r of $\partial\mathcal{CR}_r(Q)$ is an “antipodal arc” of an arc of $\alpha_r(Q)$ and each arc of radius $2r$ of $\partial\mathcal{CR}_r(Q)$ has a vertex of $\alpha_r(Q)$ as its center (see Figure 1(b)); further, the cyclic order of the vertices and arcs of $\alpha_r(Q)$ on $\partial\alpha_r(Q)$ is consistent with the order of their corresponding arcs of $\mathcal{CR}_r(Q)$ on $\partial\mathcal{CR}_r(Q)$. As such, given $\alpha_r(Q)$, $\mathcal{CR}_r(Q)$ can be constructed in time linear in the number of vertices of $\alpha_r(Q)$. We formally prove these properties below.

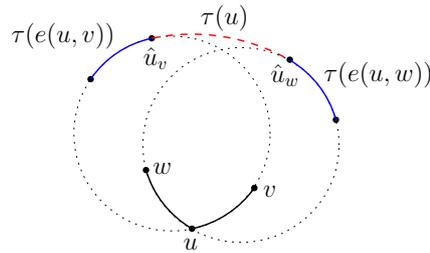


Figure 2 The two solid arcs connecting v, u, w are $e(u, v)$ and $e(u, w)$, respectively. The red dashed arc is $\tau(u)$. The two dotted circles contain $e(u, w)$ and $e(u, v)$, respectively. The two blue solid arcs on the two circles are $\tau(e(u, v))$ and $\tau(e(u, w))$, respectively, and they share endpoints \hat{u}_v and \hat{u}_w with $\tau(u)$.

We assume that Q can be covered by a radius- r disk since otherwise $\mathcal{CR}_r(Q)$ would not exist. For ease of exposition, we assume that the distance of any two points of Q is strictly less than $2r$ (otherwise, there is a unique radius- r disk covering Q , which itself forms $\mathcal{CR}_r(Q)$). We start with the following lemma.

► **Lemma 6.** *For a point p on $\partial\alpha_r(Q)$, let D be a radius- r disk containing Q with $p \in \partial D$. Then, the antipodal point of p on ∂D must lie on $\partial\mathcal{CR}_r(Q)$.*

For any point $p \in \partial\alpha_r(Q)$, define $\tau(p)$ as the set of points q satisfying conditions of Lemma 6, i.e., there is a radius- r disk D containing Q and having both p and q on its boundary as antipodal points. By Lemma 6, $\tau(p) \subseteq \partial\mathcal{CR}_r(Q)$.

Let e be an arc of $\alpha_r(Q)$ with two vertices u and v . By slightly abusing the notation, define $\tau(e) = \cup_{p \in e} \tau(p)$. Let D be the unique radius- r disk whose boundary contains e . Note that D contains $\alpha_r(Q)$ and thus contains Q [13, 18, 29]. This property observes that $\tau(e)$ consists of the antipodal points of all points of e on ∂D . We call $\tau(e)$ the *antipodal arc* of e . Since we have assumed that the distance of any two points of Q is less than $2r$, $\tau(e)$ does not intersect e [13, 18, 29]. For the reference purpose later, we have the following corollary, following directly from Lemma 6.

► **Corollary 7.** *For an arc e of $\alpha_r(Q)$, $\tau(e)$ is an arc lying on $\partial\mathcal{CR}_r(Q)$.*

Let u be a vertex of $\alpha_r(Q)$. We now give a characterization of $\tau(u)$. Let v and w be the counterclockwise and clockwise neighboring vertices of u on $\alpha_r(Q)$, respectively. See Figure 2. Let $e(u, v)$ be the arc of $\alpha_r(Q)$ connecting u and v . Let \hat{u}_v be the antipodal point of u on the circle containing $e(u, v)$. Define $e(u, w)$ and \hat{u}_w similarly. Observe that $\tau(u)$ is the arc from \hat{u}_v clockwise to \hat{u}_w on the radius- $(2r)$ circle centered at u . Also observe that the clockwise endpoint of $\tau(u)$, which is \hat{u}_w , is actually the counterclockwise endpoint of $\tau(e(u, w))$; similarly, the counterclockwise endpoint of $\tau(u)$, which is \hat{u}_v , is the clockwise endpoint of $\tau(e(u, v))$. Hence, if we consider the arcs e and vertices u of $\alpha_r(Q)$ in cyclic order on $\partial\alpha_r(Q)$, their corresponding arcs $\tau(\cdot)$ form a closed cycle, denoted by $\Lambda_r(Q)$. By Lemma 6, $\Lambda_r(Q) \subseteq \partial\mathcal{CR}_r(Q)$. In the following, we show that $\partial\mathcal{CR}_r(Q) \subseteq \Lambda_r(Q)$ (and thus $\partial\mathcal{CR}_r(Q) = \Lambda_r(Q)$). This is almost an immediate consequence of the following lemma.

► **Lemma 8.** *Let q be a point on $\partial\mathcal{CR}_r(Q)$. Then there is a unique disk D of radius r covering Q with $q \in \partial D$. Moreover, the antipodal point p of q on ∂D lies on $\partial\alpha_r(Q)$.*

By Lemma 8, for any point $q \in \partial\mathcal{CR}_r(Q)$, q must be in $\tau(p)$ for a point $p \in \partial\alpha_r(Q)$. As such, $q \in \Lambda_r(Q)$. This also proves that $\partial\mathcal{CR}_r(Q) \subseteq \Lambda_r(Q)$. We thus obtain $\partial\mathcal{CR}_r(Q) = \Lambda_r(Q)$. We summarize these in the following corollary (note that the arc $\tau(e)$ for each arc e and $\tau(u)$ for each vertex u of $\alpha_r(Q)$ can be computed in $O(1)$ time).

► **Corollary 9.** *We have the following relations between $\mathcal{CR}_r(Q)$ and $\alpha_r(Q)$.*

1. $\partial\mathcal{CR}_r(Q)$ is the union of the arcs $\tau(u)$ and $\tau(e)$ for all vertices u and arcs e of $\alpha_r(Q)$ following their cyclical order on $\partial\alpha_r(Q)$.
2. Given $\alpha_r(Q)$, $\mathcal{CR}_r(Q)$ can be computed in time linear in the number of vertices of $\alpha_r(Q)$.

Finally, the next lemma shows the convexity of $\mathcal{CR}_r(Q)$.

► **Lemma 10.** *$\mathcal{CR}_r(Q)$ is convex.*

4 Algorithm of Theorem 1

In this section, we give the algorithm for Theorem 1. As discussed in Section 1, comparing to most of the previous work on the planar two-center problem, our algorithm is relatively simple, at least conceptually. However, proving its correctness is highly intricate and we devote the entire Section 5 to it.

Let S be a set of n points in the plane. Without loss of generality, we assume that the minimum enclosing disk of S is the unit disk with equation $x^2 + y^2 \leq 1$. Choose a sufficiently large constant integer c (say $c = 100$). Set $\mathbb{Z}_{/c} = \{z/c : z \in \mathbb{Z}\}$ and $\theta = \frac{2\pi}{c}$. Define $\Gamma = \{(\cos i\theta, \sin i\theta) : i \in [c]\}$, which is a set of c unit vectors that evenly partition the perigon around the origin.

Preprocessing. At the outset, we compute a farthest pair (a, b) of points in S . Let o be the midpoint of the segment \overline{ab} . Define

$$A = \{o\} \cup \{(x, y) \in \mathbb{Z}_{/c}^2 : x^2 + y^2 \leq 4\}.$$

Clearly, $|A| = O(1)$. In other words, A consists of o and all the possible $O(1)$ points of $\mathbb{Z}_{/c}^2$ in the radius-2 disk $x^2 + y^2 \leq 4$.

For each point $p \in A$, we compute an optimal p -anchored solution for S by Lemma 4. Among all these solutions, we take the one with smallest radius, and denote it by $\{D_0, D'_0\}$. Next, for each unit vector $\vec{\gamma} \in \Gamma$, we sort the points in S along the direction $\vec{\gamma}$; let $S_{\vec{\gamma}}$ denote the corresponding sorted sequence. This completes the preprocessing of our algorithm.

Decision procedure. Given a number $r > 0$, our goal is to determine whether there exist two radius- r disks whose union covers S , and if yes, return such two disks. For a sequence L of points in the plane, we define the *maximal r -coverable prefix* of L , denoted by $\Phi_r(L)$, as the longest prefix of L that is r -coverable. For a region R in the plane, we let $L \cap R$ denote the subsequence (not necessarily contiguous) of L consisting of the points inside R .

Algorithm 1 gives the entire algorithm. We begin with checking whether $r \geq \text{rad}(D_0)$ (note that $\text{rad}(D_0) = \text{rad}(D'_0)$). If yes, we simply return $\{D_0, D'_0\}$ (Line 1). Otherwise, we consider the vectors in Γ one by one (the for-loop in Lines 2-7). For each $\vec{\gamma} \in \Gamma$, we do the following. First, we compute X , the maximal r -coverable prefix of $S_{\vec{\gamma}}$, and construct its r -coverage $\mathcal{CR}_r(X)$. Then, we compute Y , the maximal r -coverable prefix of $S_{\vec{\gamma}} \cap \mathcal{CR}_r(X)$, and construct $\mathcal{CR}_r(Y)$. Finally, we compute $Z = S_{\vec{\gamma}} \cap \mathcal{CR}_r(Y)$. Now we partition S into two subsets, Z and $S \setminus Z$ (here we view Z as a set instead of a sequence). We simply check whether Z and $S \setminus Z$ are both r -coverable. If so, we find a radius- r disk E (resp., E') that covers Z (resp., $S \setminus Z$) and return $\{E, E'\}$ as the solution. If no solution is found after all vectors in Γ are considered, then we return NO (Line 8).

■ **Algorithm 1** $\text{DECIDE}(S, r, \{D_0, D'_0\}, \{S_{\vec{\gamma}} : \vec{\gamma} \in \Gamma\})$.

```

1: if  $r \geq \text{rad}(D_0)$  then return  $\{D_0, D'_0\}$ 
2: for every  $\vec{\gamma} \in \Gamma$  do
3:    $X \leftarrow \Phi_r(S_{\vec{\gamma}})$ 
4:    $Y \leftarrow \Phi_r(S_{\vec{\gamma}} \cap \mathcal{CR}_r(X))$ 
5:    $Z \leftarrow S_{\vec{\gamma}} \cap \mathcal{CR}_r(Y)$ 
6:   if there exist two radius- $r$  disks  $E \supseteq Z$  and  $E' \supseteq S \setminus Z$  then
7:     return  $\{E, E'\}$ 
8: return NO

```

Time analysis. We first show that the preprocessing can be implemented in $O(n \log n)$ time. Finding a farthest pair (a, b) of S takes $O(n \log n)$ time. For each $p \in A$, computing the optimal p -anchored solution can be done in $O(n \log n)$ time by Lemma 4. For each $\vec{\gamma} \in \Gamma$, computing the sorted sequence $S_{\vec{\gamma}}$ takes $O(n \log n)$ time. Since A and Γ are both of constant size, the overall preprocessing time is $O(n \log n)$. Then we consider the time cost of the decision procedure.

► **Observation 11.** *Line 3-5 of Algorithm 1 can be implemented in $O(n)$ time.*

Proof. For Line 3, computing $\Phi_r(S_{\vec{\gamma}})$ can be done in linear time by Lemma 3 since points of $S_{\vec{\gamma}}$ are already sorted along the direction $\vec{\gamma}$. Specifically, we begin with $Q = \emptyset$ and insert points of $S_{\vec{\gamma}}$ to Q one by one. We can maintain the circular hull $\alpha_r(Q)$ in $O(1)$ amortized time using the data structure of Lemma 3, as the insertions to Q are monotone. Note that Q is r -coverable iff $\alpha_r(Q)$ exists. We keep inserting points to Q until $\alpha_r(Q)$ does not exist. At this point, we obtain the maximal r -coverable prefix of $S_{\vec{\gamma}}$.

For Line 4, we first compute the r -coverage $\mathcal{CR}_r(X)$. To this end, we compute the circular hull $\alpha_r(X)$, which can be done in linear time using Lemma 3, as discussed above. After that, $\mathcal{CR}_r(X)$ can be obtained in linear time by Corollary 9. Next, we need to compute $S_{\vec{\gamma}} \cap \mathcal{CR}_r(X)$. To this end, since the r -coverage $\mathcal{CR}_r(X)$ is convex by Lemma 10 and $S_{\vec{\gamma}}$ is sorted along $\vec{\gamma}$, computing $S_{\vec{\gamma}} \cap \mathcal{CR}_r(X)$ can be easily done in linear time, e.g., by sweeping a line perpendicular to $\vec{\gamma}$. With $S_{\vec{\gamma}} \cap \mathcal{CR}_r(X)$ available, computing $\Phi_r(S_{\vec{\gamma}} \cap \mathcal{CR}_r(X))$ can again be done in linear time by Lemma 3.

Similarly to the above for Line 4, Line 5 can also be implemented in linear time. ◀

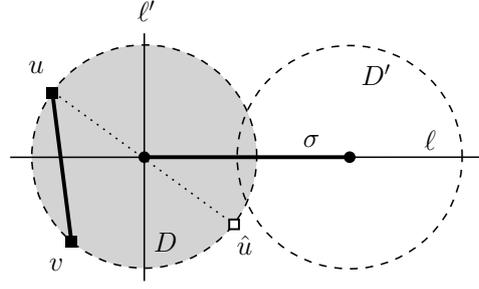
The above observation implies that each iteration of the for-loop in Algorithm 1 takes $O(n)$ time, since Lines 6-7 can be done in $O(n)$ time using the linear-time algorithm for the planar one-center problem [6, 12, 23, 24] (or alternatively, using Lemma 3). As $|\Gamma| = O(1)$, the for-loop has $O(1)$ iterations. As such, the total runtime of Algorithm 1 is $O(n)$.

5 Correctness of the algorithm

In this section, we show the correctness of Algorithm 1, which is the last missing piece for proving Theorem 1. Before giving the analysis in Section 5.2, we first give two geometric lemmas in Section 5.1 that will be needed in our analysis.

5.1 Geometric lemmas

Let S be a set of points in the plane. Recall in Section 2 the definition of a tight r -solution for S . The following lemma describes a property of a tight r -solution. Its proof is not difficult but somehow tedious, so we defer it to the full version [7].



■ **Figure 3** Illustration of Lemma 12 and its proof. The omitted proof can be found in the full version [7].

► **Lemma 12.** *Let $\{D, D'\}$ be a tight r -solution for S , and ℓ (resp., σ) be the line (resp., open segment) connecting the two disk centers $\text{ctr}(D)$ and $\text{ctr}(D')$. Then, there exist two points $u, v \in (S \cap \partial D) \setminus D'$ such that $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$; see Figure 3. Similarly, there exist two points $u', v' \in (S \cap \partial D') \setminus D$ such that $\overline{u'v'} \cap (\ell \setminus \sigma) \neq \emptyset$.*

The following lemma proves a property regarding two radius- r disks.

► **Lemma 13.** *Let $\{D, D'\}$ be a set of two radius- r disks, $u, v \in \partial D \setminus D'$ be two points whose antipodal points on ∂D are not in D' , and ℓ (resp., σ) be the line (resp., open segment) connecting $\text{ctr}(D)$ and $\text{ctr}(D')$. If $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$, then $\mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D'$.*

Proof. Note first that $D \subseteq \mathcal{CR}_r(\{u, v\})$ since $\{u, v\} \subseteq D$ and the radius of D is r . Hence, $D \cap D' \subseteq \mathcal{CR}_r(\{u, v\}) \cap D'$. To prove the lemma, it suffices to show $\mathcal{CR}_r(\{u, v\}) \cap D' \subseteq D \cap D'$.

Let \hat{u} and \hat{v} be the antipodal points of u and v on ∂D , respectively. Also, let q be the (unique) point on ∂D closest to $\text{ctr}(D')$. Denote by τ the arc between \hat{u} and \hat{v} on ∂D that does not contain u and v . Since $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$, such an arc τ must exist and $q \in \tau$. See Figure 4 for an illustration.

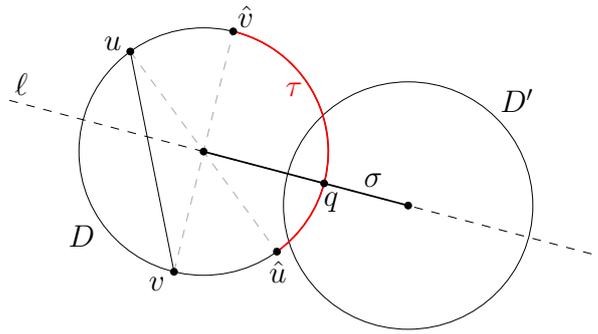
Let τ' be the antipodal arc of τ on ∂D , i.e., τ' consists of the antipodal points on ∂D of all points of τ . Note that u and v are two endpoints of τ' . Since $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$, τ' is in a half circle of the circle containing $\{u, v\}$, and thus τ' must be an arc of the circular hull $\alpha_r(\{u, v\})$ of $\{u, v\}$. Applying Corollary 7 with $Q = \{u, v\}$, we obtain that $\tau \subseteq \partial \mathcal{CR}_r(\{u, v\})$.

We claim that $(\partial D) \cap D' \subseteq \tau$. Indeed, the four points u, v, \hat{u}, \hat{v} partition ∂D into four arcs, one of which is τ . Note that $u, v, \hat{u}, \hat{v} \notin D'$ by the assumption in the lemma statement. Therefore, $(\partial D) \cap D'$ lies in one of these four arcs. We have $q \in (\partial D) \cap D'$, provided that $(\partial D) \cap D' \neq \emptyset$. As $q \in \tau$, $(\partial D) \cap D' \subseteq \tau$ holds.

The above implies $(\partial D) \cap D' \subseteq \tau \subseteq \partial \mathcal{CR}_r(\{u, v\})$, and hence $(\partial D) \cap D' \subseteq (\partial \mathcal{CR}_r(\{u, v\})) \cap D'$. On the other hand, we have $D \cap (\partial D') \subseteq \mathcal{CR}_r(\{u, v\}) \cap (\partial D')$ since $\mathcal{CR}_r(\{u, v\})$ contains D . Note that for two closed convex bodies B_1 and B_2 , it holds that $\partial(B_1 \cap B_2) = ((\partial B_1) \cap B_2) \cup (B_1 \cap (\partial B_2))$. Therefore, $\partial(D \cap D') = ((\partial D) \cap D') \cup (D \cap (\partial D'))$ and $\partial(\mathcal{CR}_r(\{u, v\}) \cap D') = ((\partial \mathcal{CR}_r(\{u, v\})) \cap D') \cup (\mathcal{CR}_r(\{u, v\}) \cap (\partial D'))$, because $D, D', \mathcal{CR}_r(\{u, v\})$ are all closed and convex (the convexity of $\mathcal{CR}_r(\{u, v\})$ follows from Lemma 10). It then follows that $\partial(D \cap D') \subseteq \partial(\mathcal{CR}_r(\{u, v\}) \cap D')$.

Now we are ready to prove $\mathcal{CR}_r(\{u, v\}) \cap D' \subseteq D \cap D'$. We distinguish two cases: whether $D \cap D'$ is empty or not.

■ We first assume $D \cap D' \neq \emptyset$. By Lemma 10, $\mathcal{CR}_r(\{u, v\})$ is convex. Thus, $\mathcal{CR}_r(\{u, v\}) \cap D'$ is also convex. Since $D \cap D'$ is convex and $\partial(D \cap D') \subseteq \partial(\mathcal{CR}_r(\{u, v\}) \cap D')$, it follows that $\mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D'$ – it is not difficult to see that for any two (nonempty) convex bodies B_1 and B_2 , if $\partial B_1 \subseteq \partial B_2$, then $B_1 = B_2$.



■ **Figure 4** Illustration of the proof of Lemma 13.

- In the case $D \cap D' = \emptyset$, we need to prove $\mathcal{CR}_r(\{u, v\}) \cap D' = \emptyset$. Assume to the contrary that $\mathcal{CR}_r(\{u, v\})$ contains a point $p \in D'$. Then, we have $D \cup \{p\} \subseteq \mathcal{CR}_r(\{u, v\})$. Note that, the convex hull of $D \cup \{p\}$ contains q in its interior (by the definition of q). Furthermore, $\mathcal{CR}_r(\{u, v\})$, which is convex by Lemma 10, must contain the convex hull of $D \cup \{p\}$. We then have that q must be in the interior of $\mathcal{CR}_r(\{u, v\})$. But this contradicts the fact that q is a point on $\partial\mathcal{CR}_r(\{u, v\})$ since $q \in \tau$ and $\tau \subseteq \partial\mathcal{CR}_r(\{u, v\})$.

The lemma thus follows. ◀

5.2 Proving the correctness

We are now in a position to prove the correctness of Algorithm 1. Recall our assumption that the unit disk $\{(x, y) : x^2 + y^2 \leq 1\}$ is the minimum enclosing disk of S . In what follows, let $r > 0$ be an input value of the decision algorithm.

First of all, it is clear that whenever Algorithm 1 returns a pair of disks (instead of NO), it is always an r -solution for S . Therefore, if $r < \text{opt}(S)$ (recall the definition of $\text{opt}(S)$ in Section 2), Algorithm 1 definitely returns NO. As such, it suffices to consider the case $r \geq \text{opt}(S)$. We show below that the algorithm must return an r -solution for S .

Consider a tight r -solution $\{D, D'\}$ for S . Recall that the preprocessing step of the algorithm computes $\{D_0, D'_0\}$, which is the best solution among the p -anchored optimal solutions for all $p \in A$. If $\{D, D'\}$ is p -anchored for some $p \in A$, then $r \geq \text{rad}(D_0) = \text{rad}(D'_0)$ and thus Algorithm 1 terminates in Line 1 and returns $\{D_0, D'_0\}$. In this case, the algorithm is correct. The following lemma provides two sufficient conditions for this to happen.

► **Observation 14.** *If $\{D, D'\}$ satisfies at least one of the following two conditions, then it is p -anchored for some $p \in A$.*

- (1) $\text{dist}(D, D') \leq (2 - \frac{5}{c}) \cdot r$.
- (2) *There exist a point $u \in S \cap \partial D$ whose antipodal point on ∂D is contained in D' and a point $u' \in S \cap \partial D'$ whose antipodal point on $\partial D'$ is contained in D .*

Proof. The proof for Condition (1) is somewhat similar to the previous work, e.g., [27]; we provide some details here for completeness. By assumption, S is contained in the unit disk $\{(x, y) : x^2 + y^2 \leq 1\}$ and is thus 1-coverable. We consider two cases: $r \geq 1$ and $r < 1$.

- If $r \geq 1$, we must have $D = D' = D \cap D'$ as $\{D, D'\}$ is a tight r -solution. In this case, $S \subseteq D \cap D'$ and thus $D \cap D'$ intersects $\{(x, y) : x^2 + y^2 \leq 1\}$. There exists a unit disk $D'' \subseteq D \cap D'$ intersecting $\{(x, y) : x^2 + y^2 \leq 1\}$, and D'' in turn contains an axis-parallel

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unit square \square . Note that $\square \subseteq D'' \subseteq \{(x, y) : x^2 + y^2 \leq 4\}$ and $\square \cap \mathbb{Z}_{/c}^2 \neq \emptyset$. Therefore, there exists a point $p \in \{(x, y) \in \mathbb{Z}_{/c}^2 : x^2 + y^2 \leq 4\} \subseteq A$ such that $p \in \square \subseteq D'' \subseteq D \cap D'$ and thus $\{D, D'\}$ is p -anchored.

- If $r < 1$, since $\text{dist}(D, D') \leq (2 - \frac{5}{c}) \cdot r$, there exists a disk $D'' \subseteq D \cap D'$ of radius $1/c$, which in turn contains an axis-parallel square \square of side-length $1/c$. Note that one of D and D' must intersect the unit disk $\{(x, y) : x^2 + y^2 \leq 1\}$, because $S \subseteq D \cup D'$. As $r < 1$, we know that $\square \subseteq \{(x, y) : x^2 + y^2 \leq 4\}$. By the same argument as above, \square contains some point $p \in \{(x, y) \in \mathbb{Z}_{/c}^2 : x^2 + y^2 \leq 4\} \subseteq A$, and thus $\{D, D'\}$ is p -anchored.

The proof for Condition (2) is more interesting and is new (we are not aware of any previous work that uses this condition). We assume that Condition (1) is not satisfied, since otherwise the above already completes the proof. Thus, we have $\text{dist}(D, D') > (2 - \frac{5}{c}) \cdot r$. Recall that (a, b) is a farthest pair in S , and we included in A the midpoint o of the segment \overline{ab} . Assuming that Condition (2) holds, in the following we argue that $\{D, D'\}$ must be o -anchored, which will prove the lemma since $o \in A$.

Suppose there exist a point $u \in S \cap \partial D$ whose antipodal point on ∂D is contained in D' and a point $u' \in S \cap \partial D'$ whose antipodal point on $\partial D'$ is contained in D . Let \hat{u} and \hat{u}' be the antipodal points of u on ∂D and u' on $\partial D'$, respectively.

For any point p in the plane, we use \vec{p} to denote the vector whose head is p and whose tail is $\text{ctr}(D)$, i.e., the center of D . Also, let $\|\vec{p}\|$ denote the magnitude of the vector \vec{p} , or equivalently, the distance between p and $\text{ctr}(D)$. Note that $\|\vec{p} - \vec{q}\| = |pq|$ for any two points p and q . We have

$$\begin{aligned} \|\vec{p} + \vec{q}\| &= \sqrt{\|\vec{p}\|^2 + \|\vec{q}\|^2 + 2\langle \vec{p}, \vec{q} \rangle}, & \|\vec{p} - \vec{q}\| &= \sqrt{\|\vec{p}\|^2 + \|\vec{q}\|^2 - 2\langle \vec{p}, \vec{q} \rangle}, \text{ and} \\ \|\vec{p} + \vec{q}\|^2 + \|\vec{p} - \vec{q}\|^2 &= 2\|\vec{p}\|^2 + 2\|\vec{q}\|^2, \end{aligned} \quad (1)$$

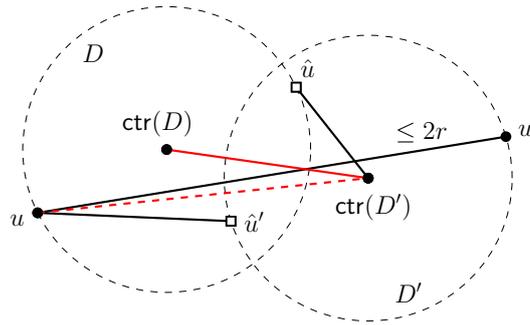
where $\langle \cdot, \cdot \rangle$ denotes the dot product.

We claim that neither D nor D' contains both a and b . Indeed, assume to the contrary this is not the case. Then, $|ab| \leq 2r$. This implies $|uu'| \leq 2r$ since (a, b) a farthest pair of S and $u, u' \in S$. Consequently, the distance between any two points among u, u', \hat{u} , and \hat{u}' is at most $2r$ since the antipodal points \hat{u} and \hat{u}' are contained in $D \cap D'$. Note that $\text{ctr}(D)$ is the midpoint of $\overline{u\hat{u}}$. Analogously, $\text{ctr}(D')$ is the midpoint of $\overline{u'\hat{u}'}$. As such, we have $\text{dist}(D, D') \leq 3r/2$ (refer to the caption of Figure 5 for a detailed explanation). But this incurs contradiction since $\text{dist}(D, D') > (2 - \frac{5}{c}) \cdot r > 3r/2$, with $c = 100$. Hence, neither D nor D' contains both a and b .

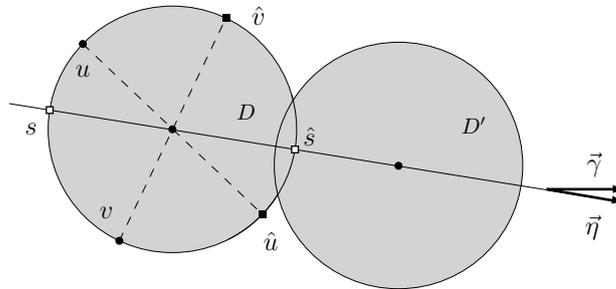
Without loss of generality, we assume $b \in D$ and $a \in D'$. Recall that our goal is to show that $o \in D \cap D'$. In the following, we only prove $o \in D$ since $o \in D'$ can be proved analogously. Let $\delta = |ao|$, i.e., $\delta = |ab|/2$.

We first show that the distance between a and $\text{ctr}(D)$ is at most $\sqrt{r^2 + 2\delta^2}$, i.e., $\|\vec{a}\| \leq \sqrt{r^2 + 2\delta^2}$. Indeed, since (a, b) is a farthest pair of S and $u, a \in S$, we have $|au| \leq |ab| = 2\delta$. Because both a and \hat{u} are in D' , $|a\hat{u}| \leq 2r$. In addition, $\|\vec{u}\| = r$ holds since u lies on ∂D . By Equality (1), we have the following (note that $\|\vec{a} + \vec{u}\| = \|\vec{a} - \vec{\hat{u}}\| = |a\hat{u}| \leq 2r$):

$$\begin{aligned} 2\|\vec{a}\|^2 &= \|\vec{a} + \vec{u}\|^2 + \|\vec{a} - \vec{u}\|^2 - 2\|\vec{u}\|^2 \\ &= |a\hat{u}|^2 + |au|^2 - 2r^2 \\ &\leq 4r^2 + 4\delta^2 - 2r^2 = 2r^2 + 4\delta^2. \end{aligned}$$



■ **Figure 5** The length of the red line segment connecting the two disk centers, which is $\text{dist}(D, D')$, is at most the average of the two distances from $\text{ctr}(D')$ to u and \hat{u} , respectively. The distance between u and $\text{ctr}(D')$, which is the length of the red dashed segment, is at most $2r$ since $|u\hat{u}'| \leq 2r$ and $|u'u'| \leq 2r$. Furthermore, since $\hat{u} \in D'$, the distance between \hat{u} and $\text{ctr}(D')$ is at most r . Therefore, $\text{dist}(D, D') \leq 3r/2$.



■ **Figure 6** Illustration of $u, v, s, \hat{s}, \vec{\gamma}, \vec{\eta}$. For convenience, only the directions of $\vec{\gamma}, \vec{\eta}$ are presented.

We are now in a position to prove $o \in D$. It suffices to show $\|\vec{o}\| \leq r$. Recall that $\vec{a} - \vec{o} = \vec{o} - \vec{b}$ since o is the midpoint of \overline{ab} . Notice that $\|\vec{b}\| \leq r$ since $b \in D$. From $\|\vec{a}\| \leq \sqrt{r^2 + 2\delta^2}$ and Equation (1), we have the following (which leads to $\|\vec{o}\| \leq r$):

$$\begin{aligned} (r^2 + 2\delta^2) + r^2 &\geq \|\vec{a}\|^2 + \|\vec{b}\|^2 = \|\vec{o} + (\vec{a} - \vec{o})\|^2 + \|\vec{o} + (\vec{b} - \vec{o})\|^2 \\ &= \|\vec{o} + (\vec{a} - \vec{o})\|^2 + \|\vec{o} - (\vec{a} - \vec{o})\|^2 \\ &= 2\|\vec{o}\|^2 + 2\|\vec{a} - \vec{o}\|^2 \\ &= 2\|\vec{o}\|^2 + 2\delta^2 \end{aligned}$$

As such, we obtain $o \in D$.

Similarly, we can prove $o \in D'$. Therefore, $\{D, D'\}$ is o -anchored. ◀

In what follows, it suffices to focus on the case where neither condition in Observation 14 holds. The failure of condition 1 implies $\text{dist}(D, D') > (2 - \frac{5}{c}) \cdot r$. For the failure of condition 2, we can assume without loss of generality that every point $u \in S \cap \partial D$ has its antipodal point on ∂D outside D' . We argue below that there exists at least one vector $\vec{\gamma} \in \Gamma$ such that when Algorithm 1 considers $\vec{\gamma}$ in the for-loop, it returns a solution in Line 7.

Choosing $\vec{\gamma}$. The choice of $\vec{\gamma}$ is as follows. Let ℓ (resp., σ) be the line (resp., open segment) connecting the two centers $\text{ctr}(D)$ and $\text{ctr}(D')$. For any point $p \in \partial D$, we denote by \hat{p} the antipodal point of p on ∂D . The line ℓ intersects ∂D at two antipodal points s and \hat{s} , where s (resp., \hat{s}) denotes the one farther (resp., closer) to $\text{ctr}(D')$. By Lemma 12, there exist

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$u, v \in S \cap (\partial D \setminus D')$ such that $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$. Note that this implies $\overline{uv} \cap \ell \neq \emptyset$ and $\overline{uv} \cap \sigma = \emptyset$. By our assumption, $u, v, \hat{u}, \hat{v} \notin D'$. Without loss of generality, we can assume that $|su| \leq |sv|$. See Figure 6. The points s and \hat{s} partition ∂D into two arcs; the u -arc refers to the one where u lies on. Since $\overline{uv} \cap \ell \neq \emptyset$, v must lie on the arc other than the u -arc, which we call the v -arc. (If $u = v = s$, we pick an arbitrary arc as the u -arc and let the other one be the v -arc.) For each vector $\vec{\gamma} \in \Gamma$, we shoot a ray from $\text{ctr}(D)$ with direction $\vec{\gamma}$. These rays intersect ∂D at c points (which evenly partition ∂D into c arcs). Among these c intersection points, we pick the one on the u -arc that is closest to \hat{s} . Then we define $\vec{\gamma} \in \Gamma$ as the vector corresponding to this intersection point.

Justifying $\vec{\gamma}$. We show that when considering $\vec{\gamma}$, Algorithm 1 returns a solution. Let $\vec{\eta} \in \mathbb{S}^1$ be the unit vector with the same direction as the one originated from $\text{ctr}(D)$ to \hat{s} . Note that the angle between $\vec{\eta}$ and $\vec{\gamma}$ is at most $\frac{2\pi}{c}$ by the construction of Γ and the choice of $\vec{\gamma}$. By rotating and reflecting the coordinate system, we can assume that $\vec{\gamma} = (1, 0)$ and the clockwise angle from $\vec{\gamma}$ to $\vec{\eta}$ at most $\frac{2\pi}{c}$; see Figure 6.

For two points $p, q \in \mathbb{R}^2$, we write $p \prec q$ if the x -coordinate of p is smaller than that of q . The *angle* of an arc of ∂D is defined as the central angle formed by the arc at $\text{ctr}(D)$. The following observation pertains to relative positions of certain points with respect to u and v .

► **Observation 15.** *The points u and v satisfy the following (see Figure 6).*

- (1) $v \prec \hat{u}$.
- (2) $u \prec p$ holds for any point $p \in D'$.
- (3) $p \notin \mathcal{CR}_r(\{u\})$ holds for any point $p \in D' \setminus D$ with $p \prec \hat{u}$.

Proof. We prove these three conditions in order.

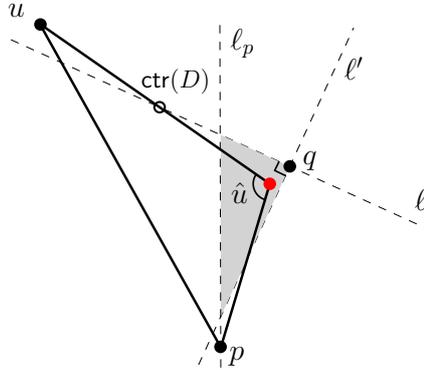
Proving (1). To see Condition (1), note that the angle of the subarc within the u -arc between s and u is at most $\frac{\pi}{2}$, for otherwise v would be closer to s due to $\overline{uv} \cap (\ell \setminus \sigma) \neq \emptyset$, contradicting our assumption $|su| \leq |sv|$. As the smaller angle between $\vec{\eta}$ and $\vec{\gamma}$ is at most $\frac{2\pi}{c} < \frac{\pi}{4}$, $s \prec \hat{u}$ must hold. Thus, $v \prec \hat{u}$, as v lies on the subarc of the v -arc between s and \hat{u} .

Proving (2). For Condition (2), we define a function $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ as $f(p) = \langle p, \vec{\gamma} \rangle - \langle \text{ctr}(D'), \vec{\gamma} \rangle$, where $\langle \cdot, \cdot \rangle$ denotes the dot product. Note that for any points p, q in the plane, $p \prec q$ iff $f(p) < f(q)$. It suffices to show that $f(u) < -r$, since $f(p) \geq -r$ for any $p \in D'$. Recall that r is the radius of D (and of D'). We then have

$$\begin{aligned} f(u) &\leq f(s) + \frac{\pi r}{2} = f(\text{ctr}(D)) - r \cdot \langle \vec{\eta}, \vec{\gamma} \rangle + \frac{\pi r}{2} \\ &\leq -\left(3 - \frac{5}{c}\right) \cdot r \cdot \langle \vec{\eta}, \vec{\gamma} \rangle + \frac{\pi r}{2} \\ &\leq -\left(3 - \frac{5}{c}\right) \cdot \left(1 - \frac{2\pi}{c}\right) \cdot r + \frac{\pi r}{2} < -r. \end{aligned}$$

The first inequality holds because the distance between s and u is at most $\frac{\pi r}{2}$ (this is in turn because the angle of the subarc within the u -arc between s and u is at most $\frac{\pi}{2}$ as already discussed above). The equality in the first line holds because $\vec{\eta}$ is the unit vector directed from s to $\text{ctr}(D)$ and the distance between s and $\text{ctr}(D)$ is r . The second inequality holds since $f(\text{ctr}(D)) = -\text{dist}(D, D') \cdot \langle \vec{\eta}, \vec{\gamma} \rangle$ and we have assumed $\text{dist}(D, D') > (2 - \frac{5}{c}) \cdot r$. The last one follows from $\langle \vec{\eta}, \vec{\gamma} \rangle \geq 1 - \frac{2\pi}{c}$, as the angle between $\vec{\gamma}$ and $\vec{\eta}$ is at most $\frac{2\pi}{c}$. This proves the second condition.

Proving (3). We finally prove Condition (3). Let p be any point in $D' \setminus D$ with $p \prec \hat{u}$. Our goal is to prove $p \notin \mathcal{CR}_r(\{u\})$. Define ℓ' to be the perpendicular bisector line of σ . Let ℓ_p be the vertical line through p . Without loss of generality, we assume that u is above ℓ . Since \hat{u} is the antipodal point of u on ∂D and ℓ contains $\text{ctr}(D)$, \hat{u} is below ℓ . Also, by our choice of $\vec{\gamma}$, the vector $\vec{\eta}$ is downward, i.e., $\text{ctr}(D)$ is higher than $\text{ctr}(D')$ (as illustrated in Figure 6).



■ **Figure 7** Illustration of the proof of Condition (3) of Observation 15.

We claim that \hat{u} must lie in the triangle bounded by the lines ℓ , ℓ' , and ℓ_p ; see Figure 7. To see this, first of all, since $p \prec \hat{u}$, \hat{u} is to the right of ℓ_p . Also, notice that $D \setminus D'$ is to the left of ℓ' . Since $\hat{u} \in D$ and $\hat{u} \notin D'$, we obtain that \hat{u} is to the left of ℓ' . Finally, since $\text{ctr}(D)$ is higher than $\text{ctr}(D')$, \hat{u} is below ℓ , $\hat{u} \in \partial D$, and $\hat{u} \notin D'$, we obtain that the intersection $q = \ell \cap \ell'$ must be to the right of the vertical line through \hat{u} . Since \hat{u} is right of ℓ_p , we obtain that q is to the right of ℓ_p . The above discussions together lead to that \hat{u} must be in the triangle bounded by ℓ , ℓ' , and ℓ_p .

By the definition of ℓ' , the portion of D' to the left of ℓ' must be inside D . Since $p \in D' \setminus D$, p must be to the right of ℓ' . Recall that \hat{u} is to the left of ℓ' . Since u is above ℓ while \hat{u} is below ℓ , we obtain that the triangle $\Delta p\hat{u}u$ has an obtuse angle at \hat{u} ; see Figure 7. As a consequence, $|up| > |u\hat{u}| = 2r$. Hence, there does not exist a radius- r disk covering both p and u , and thus $p \notin \mathcal{CR}_r(\{u\})$ holds. ◀

Consider the sets X, Y, Z computed in Lines 3-5 of Algorithm 1 for the vector $\vec{\gamma}$. Our goal is to prove that Z is exactly equal to $S \cap D$ (when viewed as a set), which implies $S \setminus Z \subseteq D'$ because $S \subseteq D \cup D'$. Note that as long as this is true, both Z and $S \setminus Z$ are r -coverable, and thus Algorithm 1 will return a solution in Line 7 when considering $\vec{\gamma}$.

► **Observation 16.** We have $u \in X \subseteq D$, $\{u, v\} \subseteq Y \subseteq D$, and $Z = S \cap D$.

Proof. Let (p_1, \dots, p_n) be the sorted sequence $S_{\vec{\gamma}}$; let j and k be the indices with $u = p_j$ and $v = p_k$. Recall that Algorithm 1 computes X, Y and Z in this order.

Proving $u \in X \subseteq D$. We first prove $u \in X \subseteq D$. Recall that X is the maximal r -coverable prefix of $S_{\vec{\gamma}}$. According to Observation 15(2), $p_i \notin D'$ for all indices $i \leq j$, and therefore $\{p_1, \dots, p_j\} \subseteq D$. Hence, $\{p_1, \dots, p_j\}$ is an r -coverable, and thus $\{p_1, \dots, p_j\} \subseteq X$. In particular, $u = p_j \in X$. To show $X \subseteq D$, we distinguish two cases: $v \in X$ and $v \notin X$.

- If $v \in X$, then we have $X \subseteq \mathcal{CR}_r(\{u, v\})$ since $u, v \in X$ and X is r -coverable. By Lemma 13, $\mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D'$. As such, we obtain $X \cap D' \subseteq D \cap D'$, and consequently, $X \cap (D' \setminus D) = \emptyset$. This further implies that $X \subseteq D$ since $X \subseteq D \cup D'$.

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- If $v \notin X$, we assume $X \not\subseteq D$ for the sake of contradiction. Then, there exists p_i such that $\{p_1, \dots, p_i\} \subseteq X$ is r -coverable but p_i lies outside D (and thus $p_i \in D' \setminus D$). Since $v \notin X$, we have $p_i \prec v$. As $v \prec \hat{u}$ by Observation 15(1), $p_i \prec \hat{u}$ holds. Because $p_i \in D' \setminus D$ and $p_i \prec \hat{u}$, we further have $p_i \notin \mathcal{CR}_r(\{u\})$ by Observation 15(3).
On the other hand, since X is r -coverable and $u \in X$, $X \subseteq \mathcal{CR}_r(\{u\})$ must hold. As $p_i \in X$, we have $p_i \in \mathcal{CR}_r(\{u\})$. We thus obtain contradiction.

Proving $\{u, v\} \subseteq Y \subseteq D$. We now prove $\{u, v\} \subseteq Y \subseteq D$. Recall that Y is the maximal r -coverable prefix of $S_{\bar{y}} \cap \mathcal{CR}_r(X)$. Since X is r -coverable, it follows that $X \subseteq \mathcal{CR}_r(X)$, which further implies $X \subseteq Y$. As $u \in X$, we have $u \in Y$.

To show $v \in Y$, we claim that all points of $\{p_1, \dots, p_k = v\} \cap \mathcal{CR}_r(X)$ lie in D . Assume to the contrary this is not true. Then, there is a point p_i in $\{p_1, \dots, p_k = v\} \cap \mathcal{CR}_r(X)$ such that $p_i \notin D$ (and thus $p_i \in D' \setminus D$). Thus, either $p_i = v$ or $p_i \prec v$. Since $v \prec \hat{u}$ by Observation 15(1), we have $p_i \prec \hat{u}$. Since $p_i \in D' \setminus D$ and $p_i \prec \hat{u}$, we further have $p_i \notin \mathcal{CR}_r(\{u\})$ by Observation 15(3). On the other hand, since $u \in X$ and X is r -coverable, $\mathcal{CR}_r(X) \subseteq \mathcal{CR}_r(\{u\})$ holds. As $p_i \in \mathcal{CR}_r(X)$, we obtain $p_i \in \mathcal{CR}_r(\{u\})$, a contradiction.

The above claim implies that $\{p_1, \dots, p_k = v\} \cap \mathcal{CR}_r(X)$ is r -coverable, and thus v must be in Y . As $\{u, v\} \subseteq Y$ and Y is r -coverable, it follows that $Y \subseteq \mathcal{CR}_r(\{u, v\})$. According to Lemma 13, $\mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D'$. Therefore, $Y \cap D' \subseteq D \cap D'$, which leads to $Y \subseteq D$ since $Y \subseteq D \cup D'$.

Proving $Z = S \cap D$. We finally prove $Z = S \cap D$, using the fact $\{u, v\} \subseteq Y \subseteq D$. Recall that $Z = S \cap \mathcal{CR}_r(Y)$. As $Y \subseteq D$ and Y is r -coverable, we have $D \subseteq \mathcal{CR}_r(Y)$, which implies $S \cap D \subseteq Z$. It suffices to show $Z \subseteq S \cap D$, or equivalently, $Z \subseteq D$. Observe that $Z = (Z \cap D) \cup (Z \cap D')$, since $D \cup D'$ contains all points in Z . Therefore, we only need to show $Z \cap D' \subseteq D$. Since $\{u, v\} \subseteq Y$ and Y is r -coverable, we have $\mathcal{CR}_r(Y) \subseteq \mathcal{CR}_r(\{u, v\})$. By Lemma 13, $\mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D'$. It follows that

$$Z \cap D' \subseteq \mathcal{CR}_r(Y) \cap D' \subseteq \mathcal{CR}_r(\{u, v\}) \cap D' = D \cap D' \subseteq D.$$

We then have $Z \cap D' \subseteq D$ and thus $Z \subseteq D$. ◀

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