

Dimensionality of Hamming Metrics and Rademacher Type

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Abstract

Let X be a finite-dimensional normed space. We prove that if the Hamming cube $\{-1, 1\}^n$ embeds into X with bi-Lipschitz distortion at most $D \geq 1$, then

$$\dim(X) \gtrsim \sup_{p \in [1, 2]} \frac{n^p}{D^p \mathsf{T}_p(X)^p},$$

where $\mathsf{T}_p(X)$ is the Rademacher type p constant of X . This estimate yields a mutual refinement of distortion lower bounds which follow from works of Oleszkiewicz (1996) and Ivanisvili, van Handel and Volberg (2020). The proof relies on a combination of semigroup techniques on the biased hypercube with the Borsuk–Ulam theorem from algebraic topology.

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1 Introduction

If $(\mathcal{M}, d_{\mathcal{M}})$ is a metric space and $(Y, \|\cdot\|_Y)$ is a normed space, we say that \mathcal{M} embeds into Y with bi-Lipschitz distortion at most $D \in [1, \infty)$, if there exists a mapping $f : \mathcal{M} \rightarrow Y$ satisfying the condition

$$\forall p, q \in \mathcal{M}, \quad d_{\mathcal{M}}(p, q) \leq \|f(p) - f(q)\|_Y \leq D d_{\mathcal{M}}(p, q). \quad (1)$$

The least $D \geq 1$ for which such an embedding exists will be denoted by $c_Y(\mathcal{M})$. The rapidly growing field of metric dimension reduction aims to uncover conditions under which given families of metric spaces admit (or do not admit) embeddings into low-dimensional normed spaces with prescribed properties. Without attempting to survey this vast area, we note that important contributions have been made on low-dimensional embeddings of finite subsets of Hilbert space [22], arbitrary finite metric spaces [23, 3, 33, 34], discrete hypercubes [43, 27], diamond graphs [10, 28, 40], Laakso graphs [17, 27], ultrametric spaces [7], series-parallel graphs [11], recursive cycle graphs [1], Heisenberg-type metrics [25, 42], ℓ_p variants of thin Laakso structures [6, 8] and expander graphs [37]. We refer to the survey [38] for more bibliographic information and to [19, 31, 50, 2] for a sample of applications to algorithms.

Let $\{-1, 1\}^n$ be the n -dimensional discrete hypercube equipped with the Hamming metric

$$\forall x, y \in \{-1, 1\}^n, \quad \rho(x, y) = \frac{1}{2} \sum_{i=1}^n |x(i) - y(i)|, \quad (2)$$



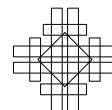
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where $x = (x(1), \dots, x(n))$ and $y = (y(1), \dots, y(n))$. The purpose of the present paper is to investigate embeddability properties of this discrete metric space into low-dimensional normed spaces. The first to study the bi-Lipschitz embeddability of hypercubes into normed spaces was Enflo. In the seminal work [13], he introduced the notion of *roundness* of a metric space and used it to show that any embedding of the Hamming cube $\{-1, 1\}^n$ into an $L_p(\mu)$ space, where $p \in [1, 2]$, incurs bi-Lipschitz distortion at least $n^{1-1/p}$ (see also [12, 14] for additional early results along these lines). More specifically, Enflo proved that if $p \in [1, 2]$, then any mapping $f : \{-1, 1\}^n \rightarrow L_p(\mu)$ satisfies the estimate

$$\int_{\{-1,1\}^n} \|f(x) - f(-x)\|_{L_p(\mu)}^p d\sigma_n(x) \leq \sum_{i=1}^n \int_{\{-1,1\}^n} \|f(x) - f(x(1), \dots, -x(i), \dots, x(n))\|_{L_p(\mu)}^p d\sigma_n(x), \tag{3}$$

where σ_n is the uniform probability on $\{-1, 1\}^n$. This readily implies that if f has bi-Lipschitz distortion D , then $D \geq n^{1-1/p}$. In the follow-up work [14], he raised an influential problem by asking for which normed spaces $(X, \|\cdot\|_X)$, inequality (3) is satisfied for X -valued functions f up to a multiplicative constant T , independent of the choice of f or the dimension n . Restricting our requirement to linear functions $f(x) = \sum_{i=1}^n x_i v_i$, we recover the necessary condition

$$\int_{\{-1,1\}^n} \left\| \sum_{i=1}^n x_i v_i \right\|_X^p d\sigma_n(x) \leq T^p \sum_{i=1}^n \|v_i\|_X^p, \tag{4}$$

which must be satisfied for every $n \in \mathbb{N}$ and vectors $v_1, \dots, v_n \in X$. If a normed space X satisfies (4), we say that X has *Rademacher type p* and the least constant T is denoted by $T_p(X)$. After decades of substantial efforts (see [9, 48, 41, 18, 15]), Ivanisvili, van Handel and Volberg resolved Enflo’s problem in the breakthrough work [20] by proving the sufficiency of this condition, namely that any normed space of Rademacher type p also has Enflo’s nonlinear type p . Consequently, any bi-Lipschitz embedding of $\{-1, 1\}^n$ into a normed space X of Rademacher type p incurs distortion at least a constant multiple of $T_p(X)^{-1} n^{1-1/p}$. We note in passing that, conversely, a classical theorem of Pisier [44] implies that if X does not have type p for any $p > 1$, then $\{-1, 1\}^n$ embeds into X with bi-Lipschitz distortion at most $1 + \varepsilon$, for any $\varepsilon > 0$.

Independently of this line of research, the beautiful (but perhaps overlooked) work [43] of Oleszkiewicz established a nonembeddability result for discrete hypercubes in the context of dimensionality reduction. Following Ball, Carlen and Lieb [4], we say that a normed space is *p -uniformly smooth*, where $p \in [1, 2]$, if there exists a constant $S > 0$ such that

$$\forall x, y \in X, \quad \frac{\|x\|_X^p + \|y\|_X^p}{2} \leq \left\| \frac{x+y}{2} \right\|_X^p + S^p \left\| \frac{x-y}{2} \right\|_X^p; \tag{5}$$

the least such constant S is denoted by $S_p(X)$. A well-known tensorization argument due to Pisier [46] shows that $T_p(X) \leq S_p(X)$, yet there exist examples of normed spaces X for which $T_p(X) < \infty$ whereas $S_p(X) = \infty$ for $p \in (1, 2]$, see [47, 21, 49]. The main result of Oleszkiewicz’s paper [43] asserts that if $\{-1, 1\}^n$ embeds into a finite-dimensional normed space X with bi-Lipschitz distortion at most $D \geq 1$, then

$$\dim(X) \geq \sup_{p \in [1,2]} \frac{n^p}{D^p S_p(X)^p} \tag{6}$$

(see also [5] for a precursor of this result for linear embeddings). Viewed differently, the result of [43] asserts that if X is a d -dimensional normed space, then

$$c_X(\{-1, 1\}^n) \geq \sup_{p \in [1,2]} \frac{n}{S_p(X) d^{1/p}} \tag{7}$$

which substantially improves the bound $c_X(\{-1, 1\}^n) \gtrsim \mathsf{T}_p(X)^{-1} n^{1-1/p}$ which follows from [20] for spaces X with $\mathsf{S}_p(X) \asymp 1$ and dimensions $\dim(X) \ll n$.

The main purpose of the present paper is to revisit the technique used for Oleszkiewicz's nonembeddability theorem [43], in particular proving the following mutual refinement of this result with the recent work of Ivanisvili, van Handel and Volberg [20].

► **Theorem 1.** *Fix $D \geq 1$ and let $(X, \|\cdot\|_X)$ be a finite-dimensional normed space. If the Hamming cube $\{-1, 1\}^n$ embeds into X with bi-Lipschitz distortion at most D , then*

$$\dim(X) \gtrsim \sup_{p \in [1, 2]} \frac{n^p}{D^p \mathsf{T}_p(X)^p}. \quad (8)$$

We emphasize that the bound obtained in Theorem 1 is sharp. Indeed, if $X = \ell_q^n$ and $1 \leq q \leq p \leq 2$, then Enflo's theorem [13] combined with simple manipulations implies that $\mathsf{T}_p(\ell_q^n) = n^{1/q-1/p}$ and $D = n^{1-1/q}$, so the two sides of the inequality become equal. On the other hand, in contrast to Oleszkiewicz's bound (6), Theorem 1 also captures more accurately the nonembeddability of the hypercube into (finite-dimensional subspaces of) normed spaces which have Rademacher type p but are not r -smooth for any $r \in (1, 2]$, see [21, 49].

1.1 About the proof

Theorem 1 is proven by a combination of semigroup tools with a clever topological trick of [43]. More specifically, let $f : \{-1, 1\}^n \rightarrow X$ be a function, where X is a d -dimensional normed space and $d < n$. An application of the Borsuk–Ulam theorem [35] for the unique multilinear extension of f implies that there exists a subset $\sigma \subseteq \{1, \dots, n\}$ with $|\sigma| = d$, a product measure ν on $\{-1, 1\}^\sigma$ and a point $w \in \{-1, 1\}^{\sigma^c}$ such that

$$\int_{\{-1, 1\}^\sigma} f(x, w) \, d\nu(x) = \int_{\{-1, 1\}^\sigma} f(-x, -w) \, d\nu(x). \quad (9)$$

Then, a Poincaré inequality à la Enflo for the product measure ν (instead of the uniform measure σ_d) on the d -dimensional subcubes $\{-1, 1\}^\sigma \times \{w\}$ and $\{-1, 1\}^\sigma \times \{-w\}$ yields the distortion bounds of Theorem 1 (see Theorem 9 and also equation (39) below).

In the case of p -uniformly smooth spaces, Oleszkiewicz [43] used (9) and a bootstrap argument for the Lipschitz constant of f , based on the two-point inequality (5), to obtain (6). In our case, the biased Poincaré inequality which will yield (8) is an extension of an inequality for the uniform measure that was proven in [20]. The key technical contribution of [20] was a novel representation of the time derivative of the heat flow on $\{-1, 1\}^n$. Instead, we consider a Markov process having the product measure ν as stationary measure (see Section 2) and prove a formula for the time derivative of the corresponding semigroup (see Proposition 8) which extends the formula of [20] (see also (38) below). Due to the fact that our product measure ν is no longer the stationary measure of the random walk on a group, the resulting identity lacks some homogeneity properties that were used in [20], but nevertheless it is sufficient for the proof of the biased Poincaré inequality which is needed for our geometric application.

2 Preliminaries

2.1 Probability

In this section, we outline the basics of analysis on the biased hypercube, with an emphasis on the underlying semigroup structure.

The biased measure

For $\alpha \in (0, 1)$, consider the α -biased probability measure μ_α on $\{-1, 1\}$ given by $\mu_\alpha\{1\} = \alpha$ and $\mu_\alpha\{-1\} = 1 - \alpha$. Moreover, if $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_n) \in (0, 1)^n$, then we shall denote by μ_α the product measure $\mu_{\alpha_1} \otimes \dots \otimes \mu_{\alpha_n}$ on the hypercube $\{-1, 1\}^n$.

The Markov process

For $\alpha \in (0, 1)$, consider the transition matrices $\{p_t^\alpha\}_{t \geq 0}$ on $\{-1, 1\}$ given by

$$\forall t \geq 0, \quad \begin{pmatrix} p_t^\alpha(1, 1) & p_t^\alpha(1, -1) \\ p_t^\alpha(-1, 1) & p_t^\alpha(-1, -1) \end{pmatrix} = \begin{pmatrix} 1 - (1 - e^{-t})(1 - \alpha) & (1 - e^{-t})(1 - \alpha) \\ (1 - e^{-t})\alpha & 1 - (1 - e^{-t})\alpha \end{pmatrix} \quad (10)$$

Moreover, for $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_n) \in (0, 1)^n$ consider the corresponding tensor products $\{p_t^\alpha\}_{t \geq 0}$ on $\{-1, 1\}^n$ given by

$$\forall x, y \in \{-1, 1\}^n, \quad p_t^\alpha(x, y) = \prod_{i=1}^n p_t^{\alpha_i}(x(i), y(i)). \quad (11)$$

As each $p_t^{\alpha_i}$ is a row-stochastic 2×2 matrix with nonnegative entries, the same holds also for the $2^n \times 2^n$ matrices p_t^α . Therefore, $\{p_t^\alpha\}_{t \geq 0}$ is the transition kernel of a time-homogeneous Markov chain $\{X_t^\alpha\}_{t \geq 0}$ on $\{-1, 1\}^n$, that is

$$\forall t, s \geq 0, \quad \mathbb{P}\{X_{t+s}^\alpha = y \mid X_s^\alpha = x\} = p_t^\alpha(x, y), \quad (12)$$

where $x, y \in \{-1, 1\}^n$. We shall need the following simple facts for this process.

► **Lemma 2.** Fix $\boldsymbol{\alpha} \in (0, 1)^n$ and let $\{X_t^\alpha\}_{t \geq 0}$ be a Markov process on $\{-1, 1\}^n$ with transition kernels $\{p_t^\alpha\}_{t \geq 0}$. Then, $\{X_t^\alpha\}_{t \geq 0}$ is stationary and reversible with respect to μ_α .

Proof. Due to the product structure of the Markov chain, it suffices to consider the case $n = 1$, that is, to prove that for $\alpha \in (0, 1)$,

$$\forall x, y \in \{-1, 1\}, \quad \mu_\alpha(x)p_t^\alpha(x, y) = \mu_\alpha(y)p_t^\alpha(y, x). \quad (13)$$

This follows automatically by the expression (10) for the transition matrix. The simple fact that reversibility implies stationarity is well-known [30, Proposition 1.20]. ◀

The stationary Markov process $\{X_t^\alpha\}_{t \geq 0}$ has a simple probabilistic interpretation which we shall now describe. For $i = 1, \dots, n$, let $\{N_t(i)\}_{t \geq 0}$ be n independent Poisson processes of unit rate and suppose that X_0^α is sampled from μ_α independently of $\{N_t\}_{t \geq 0}$. Then, at any time $t > 0$ for which the process $N_t(i)$ jumps for some $i \in \{1, \dots, n\}$, the corresponding value $X_t^\alpha(i)$ is updated independently from μ_{α_i} . An explicit calculation shows that this probabilistic construction gives rise exactly to the transition kernel of (10) and (11).

The corresponding semigroup

Fix $\boldsymbol{\alpha} \in (0, 1)^n$ and let $\{P_t^\alpha\}_{t \geq 0}$ be the Markov semigroup associated to the process $\{X_t^\alpha\}_{t \geq 0}$. Concretely, if X is a vector space, then for every function $f : \{-1, 1\}^n \rightarrow X$ and $t \geq 0$, we denote by

$$\forall x \in \{-1, 1\}^n, \quad P_t^\alpha f(x) = \mathbb{E}[f(X_t^\alpha) \mid X_0^\alpha = x]. \quad (14)$$

In view of the above interpretation of $\{X_t^\alpha\}_{t \geq 0}$ by means of a Poisson process, the action of the semigroup $\{P_t^\alpha\}_{t \geq 0}$ can be computed via the identity

$$\begin{aligned} P_t^\alpha f &= \sum_{S \subseteq \{1, \dots, n\}} \mathbb{P}\{N_t(i) > 0 \text{ for } i \in S \text{ and } N_t(i) = 0 \text{ for } i \notin S\} \int_{\{-1, 1\}^S} f \, d \prod_{i \in S} \mu_{\alpha_i} \\ &= \sum_{S \subseteq \{1, \dots, n\}} (1 - e^{-t})^{|S|} e^{-t(n-|S|)} \int_{\{-1, 1\}^S} f \, d \prod_{i \in S} \mu_{\alpha_i}. \end{aligned} \tag{15}$$

► **Lemma 3.** Fix $\alpha = (\alpha_1, \dots, \alpha_n) \in (0, 1)^n$ and let $\{P_t^\alpha\}_{t \geq 0}$ be the semigroup (14). Then, the action of its generator \mathcal{L}_α on a function $f : \{-1, 1\}^n \rightarrow X$, where X is a vector space, is given by

$$\forall x \in \{-1, 1\}^n, \quad \mathcal{L}_\alpha f(x) = - \sum_{i=1}^n \partial_i^{\alpha_i} f(x) \tag{16}$$

where $\partial_i^\beta f(x) = f(x) - \int_{\{-1, 1\}} f(x_1, \dots, x_{i-1}, y, x_{i+1}, \dots, x_n) \, d\mu_\beta(y)$ for $\beta \in (0, 1)$.

Proof. The claim follows from the expression (15) of the semigroup and the definition

$$\forall x \in \{-1, 1\}^n, \quad \mathcal{L}_\alpha f(x) = \left. \frac{d}{dt} \right|_{t=0} P_t^\alpha f(x). \quad \blacktriangleleft$$

2.2 Topology

Apart from the probabilistic elements from analysis on biased hypercubes, the proof of Theorem 1 also has a crucial topological component, following an idea of [43].

The Borsuk–Ulam theorem

While the Poincaré-type inequality of Enflo for X -valued functions on $\{-1, 1\}^n$ cannot capture the dimension of the target space X , a key part of the argument towards Theorem 1 is to show that there exists a $\dim(X)$ -dimensional subcube of $\{-1, 1\}^n$ along with a bias vector α for which the α -biased Poincaré inequalities (see Theorem 9 below) on this subcube and its antipodal yield much better distortion lower bounds. This will be done using the Borsuk–Ulam theorem from algebraic topology, see [35].

► **Theorem 4 (Borsuk–Ulam).** For every continuous function $g : \mathbb{S}^d \rightarrow \mathbb{R}^d$, where $d \in \mathbb{N}$, there exists a point $w \in \mathbb{S}^d$ such that $g(w) = g(-w)$.

Multilinear extension and low-dimensional faces of the cube

Every function $f : \{-1, 1\}^n \rightarrow X$ admits a unique multilinear extension on the solid cube $[-1, 1]^n$, given by

$$\forall y \in [-1, 1]^n, \quad F(y) \stackrel{\text{def}}{=} \sum_{S \subseteq \{1, \dots, n\}} \left(\frac{1}{2^n} \sum_{x \in \{-1, 1\}^n} f(x) w_S(x) \right) w_S(y), \tag{17}$$

where $w_S(a) = \prod_{i \in S} a_i$, which is usually referred to as the Fourier–Walsh expansion of f . Extending f to the continuous cube allows for the use of topological methods. In what follows, we will exploit the fact that the cube $[-1, 1]^n$ is equipped with a canonical CW complex structure. Concretely, for $d \in \{1, \dots, n\}$, consider the subsets

$$\mathcal{C}_d^n \stackrel{\text{def}}{=} \{x \in [-1, 1]^n : \text{there exists } \sigma \subseteq \{1, \dots, n\} \text{ with } |\sigma| \geq n - d \text{ and } |x(i)| = 1, \forall i \in \sigma\}$$

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consisting of all ℓ -dimensional faces of $[-1, 1]^n$ for $\ell \leq d$, so that $\mathcal{C}_n^n = [-1, 1]^n$ and $\mathcal{C}_0^n = \{-1, 1\}^n$. We shall use the following elementary topological fact (see [43, Lemma 1]).

► **Lemma 5.** *If $d < n$, there exists a continuous map $h_d : \mathbb{S}^d \rightarrow \mathcal{C}_d^n$ with $h_d(-x) = -h_d(x)$, $\forall x \in \mathbb{S}^d$.*

Combining this and the Borsuk–Ulam theorem, we deduce the following useful lemma.

► **Lemma 6.** *If $n, d \in \mathbb{N}$ with $d < n$, then for every continuous function $F : \mathcal{C}_d^n \rightarrow \mathbb{R}^d$ there exists a point $z \in \mathcal{C}_d^n$ such that $F(z) = F(-z)$.*

Proof. Consider the function $g \stackrel{\text{def}}{=} F \circ h_d : \mathbb{S}^d \rightarrow \mathbb{R}^d$, where h_d is the function of Lemma 5. By the Borsuk–Ulam theorem and the oddness of h_d , there exists a point $w \in \mathbb{S}^d$ such that

$$F(h_d(w)) = g(w) = g(-w) = F(h_d(-w)) = F(-h_d(w)) \quad (18)$$

and the conclusion follows by choosing $z = h_d(w) \in \mathcal{C}_d^n$. ◀

3 Proof of Theorem 1

We are now ready to proceed to the main part of the proof. The main analytic component is a biased version of the key formula of [20] for the time derivative of the heat flow on $\{-1, 1\}^n$. Given $t \geq 0$, $\alpha \in (0, 1)$ and an auxiliary parameter $\theta \in \mathbb{R}$, consider the matrix $\eta_t^\alpha(\cdot, \cdot; \theta)$ given by

$$\forall t \geq 0, \quad \begin{pmatrix} \eta_t^\alpha(1, 1; \theta) & \eta_t^\alpha(1, -1; \theta) \\ \eta_t^\alpha(-1, 1; \theta) & \eta_t^\alpha(-1, -1; \theta) \end{pmatrix} = \begin{pmatrix} \frac{e^{-t} - \theta}{p_t^\alpha(1, 1)} & \frac{-\theta}{p_t^\alpha(-1, 1)} \\ \frac{\theta - e^{-t}}{p_t^\alpha(1, -1)} & \frac{\theta}{p_t^\alpha(-1, -1)} \end{pmatrix}. \quad (19)$$

For future reference, we record the following straightforward properties of $\eta_t^\alpha(\cdot, \cdot; \theta)$.

► **Lemma 7.** *Fix $t \geq 0$ and $\alpha \in (0, 1)$. Then,*

$$\forall x \in \{-1, 1\}, \theta \in \mathbb{R}, \quad p_t^\alpha(x, 1)\eta_t^\alpha(1, x; \theta) + p_t^\alpha(x, -1)\eta_t^\alpha(-1, x; \theta) = 0 \quad (20)$$

and

$$\begin{aligned} \min_{\theta \in \mathbb{R}} \max_{x \in \{-1, 1\}} \left\{ p_t^\alpha(x, 1)\eta_t^\alpha(1, x; \theta)^2 + p_t^\alpha(x, -1)\eta_t^\alpha(-1, x; \theta)^2 \right\} \\ = \frac{e^{-t}}{(e^t - 1)(\sqrt{\alpha p_t^\alpha(-1, -1)} + \sqrt{(1 - \alpha)p_t^\alpha(1, 1)})^2} \leq \frac{1}{e^t - 1}. \end{aligned} \quad (21)$$

Proof. The centering condition (20) can be checked easily using the explicit formulas (10) and (19) of the matrices. For (21), we compute that for any $\theta \in \mathbb{R}$,

$$\begin{aligned} \max_{x \in \{-1, 1\}} \left\{ p_t^\alpha(x, 1)\eta_t^\alpha(1, x; \theta)^2 + p_t^\alpha(x, -1)\eta_t^\alpha(-1, x; \theta)^2 \right\} \\ = \max \left\{ \frac{(e^{-t} - \theta)^2}{p_t^\alpha(1, 1)p_t^\alpha(1, -1)}, \frac{\theta^2}{p_t^\alpha(-1, 1)p_t^\alpha(-1, -1)} \right\}. \end{aligned} \quad (22)$$

As this is the maximum of two quadratic functions in θ , its minimum is attained at the point θ^* where they intersect in the interval $(0, e^{-t})$, namely at

$$\theta^* = \frac{e^{-t} \sqrt{\alpha p_t^\alpha(-1, -1)}}{\sqrt{\alpha p_t^\alpha(-1, -1)} + \sqrt{(1 - \alpha)p_t^\alpha(1, 1)}}. \quad (23)$$

The first equality in (21) is immediate, whereas for the inequality we compute

$$\begin{aligned} \frac{e^{-t}}{(e^t - 1)(\sqrt{\alpha p_t^\alpha(-1, -1)} + \sqrt{(1 - \alpha)p_t^\alpha(1, 1)})^2} &\leq \frac{e^{-t}}{(e^t - 1)(\alpha p_t^\alpha(-1, -1) + (1 - \alpha)p_t^\alpha(1, 1))} \\ &= \frac{e^{-t}}{(e^t - 1)(1 - (1 - e^{-t})(\alpha^2 + (1 - \alpha)^2))} \\ &\leq \frac{1}{e^t - 1}, \end{aligned}$$

where both inequalities follow from the convexity of $x \mapsto x^2$. ◀

The key technical ingredient in the proof of Theorem 1 is the following identity.

► **Proposition 8.** Fix $n \in \mathbb{N}$, $\alpha = (\alpha_1, \dots, \alpha_n) \in (0, 1)^n$, $t \geq 0$ and $\theta_1, \dots, \theta_n \in \mathbb{R}$. Then, for every function $f : \{-1, 1\}^n \rightarrow X$, where X is a vector space, we have

$$\forall x \in \{-1, 1\}^n, \quad \mathcal{L}_\alpha P_t^\alpha f(x) = -\mathbb{E}\left[\sum_{i=1}^n \eta_t^{\alpha_i}(x(i), X_t^\alpha(i); \theta_i) \partial_i^{\alpha_i} f(X_t^\alpha) \mid X_0^\alpha = x\right]. \quad (24)$$

Proof. In view of (16) and the product structure of the process $\{X_t^\alpha\}_{t \geq 0}$, it suffices to check the claim for $n = 1$, namely that for every $\beta \in (0, 1)$, $\theta \in \mathbb{R}$ and $f : \{-1, 1\} \rightarrow X$,

$$\forall x \in \{-1, 1\}, \quad e^{-t} \partial^\beta f(x) = P_t^\beta \partial^\beta f(x) = \mathbb{E}[\eta_t^\beta(x, X_t^\beta; \theta) \partial^\beta f(X_t^\beta) \mid X_0^\beta = x], \quad (25)$$

where the first equality follows from the probabilistic representation (15). Taking into account that $\beta \partial^\beta f(1) + (1 - \beta) \partial^\beta f(-1) = 0$, this amounts to the system of equations

$$\begin{cases} e^{-t} = p_t^\beta(1, 1) \eta_t^\beta(1, 1; \theta) - \frac{\beta}{1-\beta} p_t^\beta(1, -1) \eta_t^\beta(1, -1; \theta) \\ e^{-t} = -\frac{1-\beta}{\beta} p_t^\beta(-1, 1) \eta_t^\beta(-1, 1; \theta) + p_t^\beta(-1, -1) \eta_t^\beta(-1, -1; \theta) \end{cases} \quad (26)$$

which can be easily verified by direct computation. ◀

► **Theorem 9.** Fix $p \in [1, 2]$ and let $(X, \|\cdot\|_X)$ be a normed space of Rademacher type p . Then, for any $n \in \mathbb{N}$ and $\alpha = (\alpha_1, \dots, \alpha_n) \in (0, 1)^n$, every function $f : \{-1, 1\}^n \rightarrow X$ satisfies the inequality

$$\int_{\{-1, 1\}^n} \left\| f(x) - \int_{\{-1, 1\}^n} f \, d\mu_\alpha \right\|_X^p \, d\mu_\alpha(x) \leq (2\pi \mathbb{T}_p(X))^p \sum_{i=1}^n \int_{\{-1, 1\}^n} \|\partial_i^{\alpha_i} f(x)\|_X^p \, d\mu_\alpha(x).$$

Proof. Writing

$$f(x) - \int_{\{-1, 1\}^n} f \, d\mu_\alpha = P_0^\alpha f(x) - P_\infty^\alpha f(x) = -\int_0^\infty \mathcal{L}_\alpha P_t^\alpha f(x) \, dt \quad (27)$$

and using Jensen's inequality and Proposition 8, we see that for $\theta_1(t), \dots, \theta_n(t) \in \mathbb{R}$,

$$\begin{aligned} &\left(\int_{\{-1, 1\}^n} \left\| f(x) - \int_{\{-1, 1\}^n} f \, d\mu_\alpha \right\|_X^p \, d\mu_\alpha(x) \right)^{1/p} \\ &\leq \int_0^\infty \left(\int_{\{-1, 1\}^n} \left\| \mathcal{L}_\alpha P_t^\alpha f(x) \right\|_X^p \, d\mu_\alpha(x) \right)^{1/p} \, dt \\ &= \int_0^\infty \left(\int_{\{-1, 1\}^n} \left\| \mathbb{E}\left[\sum_{i=1}^n \eta_t^{\alpha_i}(x(i), X_t^\alpha(i); \theta_i(t)) \partial_i^{\alpha_i} f(X_t^\alpha) \mid X_0^\alpha = x\right] \right\|_X^p \, d\mu_\alpha(x) \right)^{1/p} \, dt \\ &\leq \int_0^\infty \left(\mathbb{E} \left\| \sum_{i=1}^n \eta_t^{\alpha_i}(X_0^\alpha(i), X_t^\alpha(i); \theta_i(t)) \partial_i^{\alpha_i} f(X_t^\alpha) \right\|_X^p \right)^{1/p} \, dt, \end{aligned} \quad (28)$$

where in the last expectation X_0^α is distributed according to μ_α . Now, by the reversibility of the chain, this expectation can be written as

$$\begin{aligned} \mathbb{E} \left\| \sum_{i=1}^n \eta_t^{\alpha_i} (X_0^\alpha(i), X_t^\alpha(i); \theta_i(t)) \partial_i^{\alpha_i} f(X_t^\alpha) \right\|_X^p \\ = \int_{\{-1,1\}^n} \sum_{y \in \{-1,1\}^n} p_t^\alpha(x, y) \left\| \sum_{i=1}^n \eta_t^{\alpha_i} (y(i), x(i); \theta_i(t)) \partial_i^{\alpha_i} f(x) \right\|_X^p d\mu_\alpha(x). \end{aligned} \quad (29)$$

Fixing $x \in \{-1, 1\}^n$, equation (20) asserts that each $\eta_t^{\alpha_i}(y(i), x(i); \theta_i(t))$ is a centered random variable when $y(i)$ is distributed according to $p_t^{\alpha_i}(x(i), \cdot)$. Therefore, as $p_t^\alpha(x, \cdot)$ is a product measure, the Rademacher type condition for sums of centered independent random vectors (see [26, Proposition 9.11]) yields the bound

$$\begin{aligned} \int_{\{-1,1\}^n} \sum_{y \in \{-1,1\}^n} p_t^\alpha(x, y) \left\| \sum_{i=1}^n \eta_t^{\alpha_i} (y(i), x(i); \theta_i(t)) \partial_i^{\alpha_i} f(x) \right\|_X^p d\mu_\alpha(x) \\ \leq (2\mathbb{T}_p(X))^p \int_{\{-1,1\}^n} \sum_{i=1}^n \sum_{y(i) \in \{-1,1\}} p_t^{\alpha_i}(x(i), y(i)) \left| \eta_t^{\alpha_i}(y(i), x(i); \theta_i(t)) \right|^p \left\| \partial_i^{\alpha_i} f(x) \right\|_X^p d\mu_\alpha(x) \\ \leq (2\mathbb{T}_p(X))^p \sum_{i=1}^n \int_{\{-1,1\}^n} \left(\sum_{y(i) \in \{-1,1\}} p_t^{\alpha_i}(x(i), y(i)) \left| \eta_t^{\alpha_i}(y(i), x(i); \theta_i(t)) \right|^2 \right)^{p/2} \left\| \partial_i^{\alpha_i} f(x) \right\|_X^p d\mu_\alpha(x), \end{aligned} \quad (30)$$

where we also used that $p \leq 2$. Now, choosing the $\theta_i(t)$ which minimize the quantity in the left-hand side of (21) with bias α_i , and combining (28), (29) and (30), we conclude that

$$\begin{aligned} \left(\int_{\{-1,1\}^n} \left\| f(x) - \int_{\{-1,1\}^n} f d\mu_\alpha \right\|_X^p d\mu_\alpha(x) \right)^{1/p} \\ \leq 2\mathbb{T}_p(X) \int_0^\infty \left(\sum_{i=1}^n \int_{\{-1,1\}^n} \left\| \partial_i^{\alpha_i} f(x) \right\|_X^p d\mu_\alpha(x) \right)^{1/p} \frac{dt}{\sqrt{e^t - 1}}, \end{aligned} \quad (31)$$

which is precisely the desired estimate. \blacktriangleleft

Equipped with the biased Poincaré inequality of Theorem 9, we can now conclude the proof.

Proof of Theorem 1. Let $X = (\mathbb{R}^d, \|\cdot\|_X)$ be a d -dimensional normed space and suppose that $f : \{-1, 1\}^n \rightarrow X$ is a function such that

$$\forall x, y \in \{-1, 1\}^n, \quad \rho(x, y) \leq \|f(x) - f(y)\|_X \leq D\rho(x, y) \quad (32)$$

for some $D \geq 1$. The conclusion of the theorem follows from [20] when $d \geq n$ so we shall assume that $d < n$. Let $F : [-1, 1]^n \rightarrow X$ be the multilinear extension of f given by (17). Then, F is clearly continuous as a polynomial and therefore, by Lemma 6, there exists a point $z \in \mathcal{C}_d^n$ such that $F(z) = F(-z)$. As z has at least $n - d$ coordinates equal to 1 in absolute value we shall assume without loss of generality that $|z(d+1)| = \dots = |z(n)| = 1$ and consider the functions $h_+, h_- : \{-1, 1\}^d \rightarrow X$ which are defined as

$$\forall x \in \{-1, 1\}^d, \quad h_\pm(x) = f(\pm x(1), \dots, \pm x(d), \pm z(d+1), \dots, \pm z(n)). \quad (33)$$

Consider also the bias vector $\alpha_z = (\frac{1+z(1)}{2}, \dots, \frac{1+z(d)}{2}) \in (0, 1)^d$ and notice that, by the multilinearity of F , we have the identity

$$\int_{\{-1,1\}^d} h_+(x) \, d\mu_{\alpha_z}(x) = F(z) = F(-z) = \int_{\{-1,1\}^d} h_-(x) \, d\mu_{\alpha_z}(x). \tag{34}$$

Therefore, by the triangle inequality and Theorem 9 we get

$$\begin{aligned} & \int_{\{-1,1\}^d} \|h_+(x) - h_-(x)\|_X^p \, d\mu_{\alpha_z}(x) \\ & \leq 2^{p-1} \int_{\{-1,1\}^d} \|h_+(x) - F(z)\|_X^p + \|h_-(x) - F(-z)\|_X^p \, d\mu_{\alpha_z}(x) \\ & \leq 2^{2p-1} (\pi \mathsf{T}_p(X))^p \sum_{i=1}^d \int_{\{-1,1\}^d} \|\partial_i^{\frac{1+z(i)}{2}} h_+(x)\|_X^p + \|\partial_i^{\frac{1+z(i)}{2}} h_-(x)\|_X^p \, d\mu_{\alpha_z}(x). \end{aligned} \tag{35}$$

Now, in view of the lower Lipschitz condition (32), we clearly have

$$\|h_+(x) - h_-(x)\|_X = \|f(x, \bar{z}) - f(-x, -\bar{z})\|_X \geq n \tag{36}$$

for every $x \in \{-1, 1\}^d$, where $\bar{z} = (z(d+1), \dots, z(n))$. On the other hand, for a fixed $i \in \{1, \dots, d\}$ and $\beta = \frac{1+z(i)}{2}$, we have

$$\begin{aligned} & \int_{\{-1,1\}^d} \|\partial_i^\beta h_+(x)\|_X^p \, d\mu_\beta(x(i)) \\ & = \beta \|\partial_i^\beta h_+(x(1), \dots, 1, \dots, x(d))\|_X^p + (1-\beta) \|\partial_i^\beta h_+(x(1), \dots, -1, \dots, x(d))\|_X^p \\ & = (\beta(1-\beta)^p + (1-\beta)\beta^p) \|h_+(x(1), \dots, 1, \dots, x(d)) - h_+(x(1), \dots, -1, \dots, x(d))\|_X^p \\ & \leq \frac{D^p}{2^p}, \end{aligned}$$

where in the last equality we used that $p \leq 2$ along with the upper Lipschitz condition (32). The same bound also holds for h_- . Integrating the last two inequalities and combining them with (35), we deduce that

$$n^p \leq (2\pi \mathsf{T}_p(X))^p d D^p, \tag{37}$$

which completes the proof of the theorem. ◀

4 Concluding remarks

1. The identity of Proposition 8 in the case of the uniform measure σ_n (which was obtained in [20]) is simpler. Let $\xi_1(t), \dots, \xi_n(t)$ be i.i.d. random variables distributed according to $\mu_{\beta(t)}$, where $\beta(t) = \frac{1+e^{-t}}{2}$. Then, for any point $x \in \{-1, 1\}^n$, the corresponding unbiased process $\{X_t(i)\}_{t \geq 0}$ with $X_0 = x$ has distribution equal to $x(i)\xi_i(t)$ at time t . Thus applying formula (24) with $\alpha_i = \frac{1}{2}$ and $\theta_i(t) = \frac{e^{-t}}{2}$, we recover the usual identity

$$\forall x \in \{-1, 1\}^n, \quad \mathcal{L}P_t f(x) = -\mathbb{E} \left[\sum_{i=1}^n \frac{\xi_i(t) - e^{-t}}{e^t - e^{-t}} \cdot \partial_i f(x\xi(t)) \right], \tag{38}$$

where $x\xi(t) = (x(1)\xi_1(t), \dots, x(n)\xi_n(t))$, as was proven in [20].

2. The proof of Theorem 1 in fact implies a Poincaré-type inequality for *restrictions* of functions $f : \{-1, 1\}^n \rightarrow X$ if $\dim(X) < n$, which in turn yields the refined distortion lower bounds. An inspection of the argument reveals that for every such f there exists a subset $\sigma \subseteq \{1, \dots, n\}$ with $|\sigma| \leq \dim(X)$, a point $w \in \{-1, 1\}^{\sigma^c}$ and a bias vector $\alpha = (\alpha_i)_{i \in \sigma} \in (0, 1)^\sigma$ such that

$$\begin{aligned} & \int_{\{-1, 1\}^\sigma} \|f(x, w) - f(-x, -w)\|_X^p \, d\mu_\alpha(x) \\ & \leq 2^{2p-1} (\pi T_p(X))^p \sum_{i \in \sigma} \int_{\{-1, 1\}^\sigma} \|\partial_i^{\alpha_i} f(x, w)\|_X^p + \|\partial_i^{\alpha_i} f(-x, -w)\|_X^p \, d\mu_\alpha(x). \end{aligned} \quad (39)$$

3. Such refinements of Poincaré-type inequalities for topological reasons had not been exploited since Oleszkiewicz’s original work [43]. The last decades have seen the development of many metric inequalities on graphs which yield nonembeddability results into normed spaces. We believe that investigating whether the distortion estimates which one obtains this way can be further improved assuming upper bounds for the dimension of the target space is a very worthwhile research program. As examples, we mention the nonembeddability of graphs with large girth into uniformly smooth spaces [32, 39], of ℓ_∞ -grids into spaces of finite cotype [36] and of trees [29] and diamond graphs [24] into uniformly convex spaces.

4. The results of [20] in fact imply that any Lipschitz embedding of $\{-1, 1\}^n$ into a normed space of Rademacher type p incurs p -average distortion at least a constant multiple of $T_p(X)^{-1} n^{1-1/p}$. It would be interesting to understand whether the bound of Theorem 1 can be extended to average distortion embeddings beyond bi-Lipschitz ones.

5. In the full version [16] of this paper we will discuss some further applications of heat flow methods. In particular, we shall present a biased version of Pisier’s inequality [48] and derive a nonlinear version of the classical isomorphic invariant of stable type [45].

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