BQP, Meet NP: Search-To-Decision Reductions and Approximate Counting

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— Abstract -

What is the power of polynomial-time quantum computation with access to an NP oracle? In this work, we focus on two fundamental tasks from the study of Boolean satisfiability (SAT) problems: search-to-decision reductions, and approximate counting. We first show that, in strong contrast to the classical setting where a poly-time Turing machine requires $\Theta(n)$ queries to an NP oracle to compute a witness to a given SAT formula, quantumly $\Theta(\log n)$ queries suffice. We then show this is tight in the black-box model – any quantum algorithm with "NP-like" query access to a formula requires $\Omega(\log n)$ queries to extract a solution with constant probability.

Moving to approximate counting of SAT solutions, by exploiting a quantum link between search-to-decision reductions and approximate counting, we show that existing classical approximate counting algorithms are likely optimal. First, we give a lower bound in the "NP-like" black-box query setting: Approximate counting requires $\Omega(\log n)$ queries, even on a quantum computer. We then give a "white-box" lower bound (i.e. where the input formula is not hidden in the oracle) – if there exists a randomized poly-time classical or quantum algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ contains a P^{NP} -complete problem if the algorithm is classical and $\mathsf{FBQP}^{\mathsf{NP}[o(n)]}$ contains an $\mathsf{FP}^{\mathsf{NP}}$ -complete problem if the algorithm is quantum.

2012 ACM Subject Classification Theory of computation → Quantum complexity theory

Keywords and phrases Approximate Counting, Search to Decision Reduction, BQP, NP, Oracle Complexity Class

Digital Object Identifier 10.4230/LIPIcs.ICALP.2024.70

Category Track A: Algorithms, Complexity and Games

Related Version Previous Version: https://arxiv.org/abs/2401.03943

Funding Sevag Gharibian: supported by the DFG under grant numbers 432788384 and 450041824, the BMBF within the funding program "Quantum Technologies - from Basic Research to Market" via project PhoQuant (grant number 13N16103), and the project "PhoQC" from the programme "Profilbildung 2020", an initiative of the Ministry of Culture and Science of the State of Northrhine Westphalia.

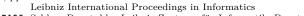
Jonas Kamminga: supported by the DFG under grant number 450041824.

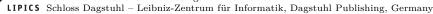
Acknowledgements The authors would like to thank Ronald de Wolf, Dieter van Melkebeek, Osamu Watanabe, Henry Yuen, Scott Aaronson, William Kretschmer and Eric Allender for helpful comments and remarks.

1 Introduction

A fundamental direction of study in classical complexity theory is: What can P or BPP achieve with access to an NP oracle? Here, the study of relational-problems, i.e. where the output is not a single bit, but a string, has proven particularly fruitful. (Formally, this refers

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51st International Colloquium on Automata, Languages, and Programming (ICALP 2024). Editors: Karl Bringmann, Martin Grohe, Gabriele Puppis, and Ola Svensson; Article No. 70; pp. 70:1–70:19





to the classes FunctionP (FP) and FunctionBPP (FBPP); see Section 2.) A first direction here has been search-to-decision reductions. Namely, given a SAT formula φ and an NP oracle, it is well-known that O(n) queries suffice to extract a solution to φ (assuming one exists). Moreover, this is likely classically optimal: an o(n)-query algorithm would violate the Exponential Time Hypothesis [17]. (Before ETH was posited, Krentel showed that if $O(\log n)$ queries suffice, then P = NP [21].)

A second key direction has been approximate counting of the number of solutions of a Boolean formula, first studied by Stockmeyer [22]. Approximate counting has proven widely influential, even having applications in quantum advantage frameworks such as Aaronson and Arkhipov's Boson Sampling [2]. Stockmeyer showed [22] that an FBPP machine making $O(\log n \log \log n)$ NP queries suffices to approximate the number of solutions within a constant multiplicative factor, and that at least $\Omega(\log n)$ queries are required. This gap was closed by Chakraborty, Meel, and Vardi, who improved the upper bound to $O(\log n)$ queries [13]. Thus, the NP-query complexity of these two tasks is now well understood.

The quantum setting. The guiding question of this work is the next natural frontier: Can quantum access to an NP oracle reduce the number of required queries? For relation problems, this is a particularly intriguing question: Intuitively, a single classical NP query yields only 1 bit of information, suggesting that if an FP machine wishes to produce an n-bit output, then $\Theta(n)$ queries are necessary. (Indeed, as mentioned above, this is the case for search-to-decision reduction of SAT, assuming ETH.) Quantum access to an oracle, however, can sometimes bypass this obstacle, producing n bit outputs with just a *single* query. A notable instance of this is the Bernstein-Vazirani algorithm [11], which requires just a single query to an oracle encoding an affine function $f(x) = a \cdot x + b$ to output string $x \in \{0, 1\}^n$. We thus ask: Can a FunctionBQP (FBQP) machine make fewer queries to an NP oracle to extract a SAT solution or approximately count the number of solutions?

Our results

In this work, we give tight resolutions to this question for both tasks.

Search-to-decision reductions. As mentioned earlier, classically, $\Theta(n)$ NP queries are necessary and sufficient for search-to-decision reduction for SAT, assuming ETH. Before proceeding to our main results, the lower bounds, we show that $O(\log n)$ queries suffice quantumly.

▶ Theorem 1. $FNP \subseteq FBQP^{NP[\log]}$.

Here, FunctionNP (FNP) asks to produce a witness to an NP relation (Section 2), and NP[log] in the exponent denotes $O(\log n)$ NP queries. We remark that independently and prior to this work, Irani, Natarajan, Nirkhe, Rao and Yuen [18] showed that for SAT formulae with a unique satisfying assignment, a single NP query suffices to extract said solution (see Related Work).

If there is an $\mathsf{FP}^{\mathsf{NP}[o(n)]}$ algorithm outputting a satisfying assignment then SAT can be decided in time $2^{o(n)}$ as follows: Enumerate through all possible strings y of o(n) NP query answers, which takes $2^{o(n)}$ time. For each y, run the FP machine on y to obtain candidate solution x, and check if $\varphi(x) = 1$.

Is Theorem 1 tight? Unfortunately, since we are in the white-box model for search-to-decision reductions (i.e. the input formula φ is given as input to the FBQP machine, rather then hidden in the oracle), even a single-query lower bound would imply² NP $\not\subseteq$ BQP, and is thus likely out of reach. We hence move to the black-box model in order to prove a lower bound. For this, note that the standard quantum query model does not capture the power of "existential" or NP queries. Rather, we introduce the (straightforward quantum reformalization of) Stockmeyer's [22] existential query model:

▶ **Definition 2** (Existential query model). An algorithm in the existential query model has access to the input string $x \in \{0,1\}^N$ via the following existential query gate:

$$O_x^{\exists} \colon |z\rangle \mapsto (-1)^{\mathsf{overlap}(x,z)} |z\rangle$$
 (1)

where $z \in \{0,1\}^N$ and overlap(x,z) = 1 if there is an i such that $x_i = z_i = 1$ and 0 otherwise. In this model, we show a matching lower bound for Theorem 1.

▶ **Theorem 3.** Any quantum algorithm with existential query access to $x \in \{0,1\}^N$ that outputs a i with $x_i = 1$ with constant probability needs to make $\Omega(\log \log N) = \Omega(\log n)$ existential queries.

Approximate counting. Recall that, classically, approximate counting requires $\Theta(\log n)$ NP queries. We next exploit the fact that the technique behind the proof of Theorem 1 (c.f. [18]) reveals a genuinely quantum link between search-to-decision reduction and approximate counting. This allows us to show the following tight black-box lower bound on quantum algorithms in the existential query model:

▶ Corollary 4. Any quantum algorithm with existential query access to a string $x \in \{0,1\}^N$ which outputs an estimate c such that $2^{|x|-1} \le c < 2^{|x|}$, where |x| is the Hamming weight of x, requires at least $\Omega(\log \log N)$ queries to the oracle.

Above, x denotes the truth table of the SAT formula, and so $N = 2^n$ for n the number of variables. Thus, for approximate counting, quantum algorithms do not outperform classical algorithms.

Finally, we prove a tight *white-box* lower bound for *both* classical or quantum algorithms which approximately count using $o(\log n)$ NP queries. As far as we are aware, no white-box lower bounds existed for either setting prior to this work.

▶ Corollary 5. If there exists a classical randomized poly-time algorithm for approximate counting, making $o(\log n)$ NP queries, then $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ contains a P^{NP} -complete problem ³. Similarly, if there is a poly-time quantum algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{FBQP}^{\mathsf{NP}[o(n)]}$ contains an $\mathsf{FP}^{\mathsf{NP}}$ -complete problem.

While the complexity theoretic implications above are not as standard as P = NP or the collapse of PH, they nevertheless would arguably be striking if true. This is because an FP^{NP} -complete problem is finding a satisfying assignment of *smallest lexicographical ordering* [21]. Thus, using o(n) queries would seem to require resolving the lex-ordering in sublogarithmic time (in the search space size), whereas classical and quantum algorithms for the closely related task of binary search cannot achieve sublogarithmic time [5].

² If one could show that any FBQP machine requires at least 1 NP query for search-to-decision, then NP $\not\subseteq$ BQP. This is because if NP \subseteq BQP, then FNP \subseteq FBQP via the standard search-to-decision reduction for SAT.

³ Note that this is not quite as strong as $P^{NP} \subseteq BPP^{NP[o(n)]}$ as overheads in the reduction to the P^{NP} -complete problem may erase the reduction in the number of queries.

Proof techniques

We now sketch our proof techniques, organized by topic.

Search-to-decision. Theorem 1 follows rather straightforwardly from prior results. We first note that, quantumly, the solution of a formula with a unique satisfying assignment can be found with a single NP query using the Bernstein Vazirani algorithm (c.f. [18]). Therefore, it remains to reduce an arbitrary formula to a uniquely satisfiable one. Valiant and Vazirani showed this can be done with probability $O\left(\frac{1}{n}\right)$ [23]. If, however, the approximate cardinality of the set of solutions is known, then this reduction succeeds with constant probability. Since approximately counting this cardinality can be done with $\log(n)$ NP queries [22, 13] classically, this gives a quantum algorithm for search-to-decision reduction using $O(\log n)$ queries and with constant success probability. The success probability can now be boosted to any constant by running the algorithm a constant number of times, checking the outputs and outputting one of the satisfying assignments.

Next, we discuss the first of our main results, the black-box lower bound (Theorem 3). Here the proof requires more work. Most quantum query lower bounds fall in one of two groups: polynomial methods and adversary methods [8, 10, 6]. Unfortunately, these methods are tailored to the standard query model, and it is not clear how to effectively utilize them in our existential query model. Another complicating factor for us is that search-to-decision is a relational problem, not a function problem. That is, for a given input formula φ there are multiple correct outputs: all solutions of φ .

To overcome this, we instead give a reduction from "(unstructured) search with existential queries" to "binary search with standard queries", so we may invoke Ambainis' binary search lower bound [5]. We show that an algorithm for search on strings of length $N=2^n$ using q existential queries induces an algorithm for binary search on a space of size n with the same number of standard queries. The basic idea for this is as follows. If we can find a solution, then we can also sample a random solution by randomly permuting the solution space. Furthermore, a binary search instance, which is the task of finding the index of the first 1 in a monotonically increasing binary string $x \in \{0,1\}^n$, can be modified in the following way. We make a new exponentially longer string $y \in \{0,1\}^N$ where the first 2^{n-1} entries of y are set to x_1 , the next 2^{n-2} to x_2 and so on. The index of a uniformly random 1 in y corresponds to the index of the least 1 in x with probability $> \frac{1}{2}$. Therefore, transforming x into y and running the random solution sampling algorithm on it solves binary search on x using q existential queries. We now note that because x is monotonically increasing, any existential query can be simulated by a standard query. The results of an existential query with string z will be the same as simply querying the largest index i where $z_i = 1$. As the last step of the proof, we invoke Ambainis result that binary search on a space of size n takes $\Omega(\log n)$ queries to complete the proof [5].

Approximate counting. Our black-box lower bound (Corollary 4) follows by combining the proof of Theorem 1 with Theorem 3. If there is an approximate counter making q existential queries, then an index with $x_i = 1$ can be found with constant success probability and q + 1 existential queries using the algorithm from Theorem 1. By Theorem 3 this is only possible if $q = \Omega(\log n)$.

Finally, we discuss our white-box lower bound (Corollary 5). We assume the existence of an approximate counter making $o(\log n)$ queries and show that we can, with $o(\log n)$ queries, find the lexicographically smallest solution of a formula φ , which is an FP^NP -complete problem [21]. The main idea is as follows. The algorithm from Theorem 1 samples approximately from

the uniform distribution on the set of solutions of φ . We run this algorithm on the AND of n^2 copies of φ , where we pick new sets of variables for each instance. This will give us n^2 solutions of φ picked almost uniformly at random. We find the least x_{min} and repeat the process on the formula $\varphi(x) \wedge (x \leq x_{min})$. After every round the number of solutions will be divided by at least n with high probability. Therefore, after $O(\log_n(|\operatorname{sol}(\varphi)|)) = O\left(\frac{n}{\log n}\right)$ rounds we will have found the lexicographically smallest solution of φ . As every round takes $o(\log n)$ queries, we have found the lexicographically smallest solution using o(n) queries, completing the proof.

Related work

As previously mentioned, Irani, Natarajan, Nirkhe, Rao and Yuen already independently showed that the Bernstein-Vazirani algorithm can be used to find the solution of a uniquely satisfiable formula (our Lemma 17) [18]. They combine this with the Valiant-Vazirani theorem to do search-to-decision reduction for QCMA (and NP) with a single query and inverse polynomial success probability. However, they do not further study the case of a constant success probability as done here. They also show that there exists a quantum polynomial time algorithm that makes a single query to a PP oracle and generates a witness for a QMA problem up to polynomial precision. Additionally, they show that there is an oracle such that QMA search does not reduce to QMA decision relative to that oracle.

In their work [12], Buhrman and van Dam study the difference between classical and quantum access to an NP-oracle. They show that an EQP machine, that is, a quantum computer that is not allowed to err, can save on the number of queries compared to classical. Among other results they prove the inclusions $\mathsf{P}^{\|\,\mathsf{NP}[2k]} \subseteq \mathsf{EQP}^{\|\,\mathsf{NP}[k]}$ and $\mathsf{FP}^{\|NP\|} \subseteq \mathsf{FEQP}^{\mathsf{NP}(O(\log n))}$. Note that while $\mathsf{P}^{\|NP\|} = \mathsf{P}^{\mathsf{NP}(O(\log n))}$, a similar equality for FP would collapse the polynomial hierarchy.

Search-to-decision reduction has been studied in other settings. If only parallel (i.e. non-adaptive) queries to the NP oracle are allowed, then the standard O(n)-query search-to-decision reduction for NP does not work. Nevertheless, it has been shown that $O(n^2)$ parallel oracle queries suffice for classical randomized algorithms [9]. Kawachi, Rossman and Watanabe showed that this is optimal in a black-box model and give an algorithm with improved error tolerance [19]. In a later work they also consider more general black-box models and show that $O(n^2)$ parallel classical queries are still needed [20].

The class BQP with access to various resources has been studied before. Aaronson, Ingram and Kretschmer [4] study oracle separations between various complexity classes involving BQP as an oracle or BQP with access to an oracle. Among other results the authors prove that there is an oracle relative to which BQP^{NP} $\not\subseteq$ PH^{BQP} and an oracle relative to which NP^{BQP} $\not\subseteq$ BQP^{PH}. Aaronson, Buhrman and Kretschmer [3] investigate BQP when given various types of advice. There it is shown, among other results, that FBQP/qpoly \neq FBQP/poly (not relative to an oracle!).

Isolation algorithms, i.e., algorithms reducing the number of solutions of a Boolean formula to 1, have been studied by Dell, Kabanets, van Melkebeek and Watanabe [15]. They show that, unless $\mathsf{NP} \subseteq \mathsf{P/poly}$, no randomized polynomial time isolation algorithm with success probability better than $\frac{2}{3}$ can exist.

Discussion and open questions

Our paper characterizes the quantum NP-query complexity of search-to-decision reductions and approximate counting (and additionally gives a white-box lower bound for classical approximate counting algorithms). For this, some of our results utilized a quantum reformulation of Stockmeyer's classical existential query model. Can quantum query lower bound

methods like polynomial methods and adversary methods be adapted to apply directly to existential queries? An obstacle here is the fact that, for example, adversary methods often keep track of how a "progress measure" increases with each query made. Existential queries, however, seem to allow arbitrarily large jumps in such "progress measures".

Second, we prove that $BPP^{NP[o(n)]}$ and $FBQP^{NP[o(n)]}$ containing P^{NP} - and FP^{NP} -complete problems, respectively, are consequences to very efficient approximate counting. It would be interesting to see if there are further consequences to these conclusions or if our results can be strengthened. For example, can our results be strengthened to a contradiction of a common complexity theoretic hypothesis such as the (strong) Exponential Time Hypothesis? Third, what other tasks might a BQP^{NP} or FBQP^{NP} machine be good for? Finally, we close with a simple-to-state, concrete open question, which captures much of the difficulty of working with BQP^{NP} : Let φ be a SAT formula. Classically, it is easy to see that a solution to φ cannot be produced by an FBPP machine with a single NP query, for this would imply BPP = NP. This is because one can simply plug each possible answer, 0 or 1, from the NP machine into the FBPP machine, and check if the string x produced by the latter satisfies φ . Unfortunately, this approach completely breaks down for an FBQP machine making a single NP query, since the query may involve exponentially many inputs in superposition! Can one nevertheless show that $FNP \subseteq FBQP^{NP[1]}$ implies $NP \subseteq BQP$?

Organization

In Section 2 we cover definitions and prior results used in this article. In Section 3, we will give the proof of Theorem 1, the upper bound on search-to-decision reduction for NP with quantum access to the oracle. Following that, in Section 4 we give the proofs of our results in the existential query model, Theorem 3 and Corollary 5. Finally, we prove Theorem 5 in Section 5.

2 **Preliminaries**

2.1 Notation

Throughout the paper we use n for the number of variables of the formulae and $N=2^n$ for the size of their truth tables. We write $sol(\varphi)$ for the set $\{x \in \{0,1\}^n : \varphi(x)\}$ of solutions of the Boolean formula φ . If $x \in \{0,1\}^*$ is a binary string we write |x| for its Hamming weight.

2.2 **Function classes**

We briefly recall the definition the relevant function classes. Contrary to what the name suggests, function classes are actually classes of relations. We will require all relations R in these classes to be *p-bounded*.

- ▶ **Definition 6.** A relation $R \subseteq \{0,1\}^* \times \{0,1\}^*$ is called p-bounded if there is some polynomial p such that, for each x, if $\exists y.R(x,y)$, then $\exists z \text{ such that } \operatorname{len}(z) \leq p(\operatorname{len}(x))$ and R(x,z). Here len(x) is the length of the string x.
- ▶ **Definition 7.** FP is the class of polynomial time computable 4 p-bounded relations $R \subseteq$ $\{0,1\}^* \times \{0,1\}^*$ such that there is a deterministic poly-time algorithm that on input x does the following:
- 1. If $\exists y \text{ such that } R(x,y) \text{ then the algorithm outputs one such } y$
- **2.** If $\forall y.(x,y) \notin R$ then the algorithm outputs \perp .

⁴ With a poly-time computable relation we mean that there is a poly-time algorithm for evaluating R(x,y)when given x and y as inputs.

▶ **Definition 8.** The class FNP consists of all poly-time computable p-bounded relations $R \subseteq \{0,1\}^* \times \{0,1\}^*$.

To see the similarities with the definition of FP we note that this condition implies the existence of a poly-time non-deterministic algorithm for computing R in the following sense. The algorithm takes as input a string z, and each branch of the (non-deterministic) computation outputs either a string z or \bot and satisfies the following properties:

- 1. If $\exists y$ such that R(x,y), then all branches either output \bot or a string z such that R(x,z) (not necessarily the same one). Furthermore, at least one branch does not output \bot .
- **2.** If $\forall y \ (x,y) \notin R$, then all branches output \perp .

An FNP-complete problem is FunctionSAT. It is the relation $R(\varphi, x)$ where φ is a (binary encoding of) a Boolean formula and $(\varphi, x) \in R$ iff x is a satisfying assignment of φ . FunctionSAT is FNP-complete for the same reasons that SAT is NP-complete.

For the definition of FBQP we follow Aaronson [1].

▶ **Definition 9.** FBQP is the class of p-bounded relations $R \subseteq \{0,1\}^* \times \{0,1\}^*$ for which are computable by a quantum algorithm in the following sense. There exists a poly-time quantum algorithm that takes as input x and $0^{1/\epsilon}$ and outputs a y. This is such that R(x,y) with probability at least $1 - \epsilon$ (assuming a y with R(x,y) exists). If $\forall y \ (x,y) \notin R$ then it outputs \bot with probability at least $1 - \epsilon$.

2.3 Witness isolation

We consider algorithms that reduce an arbitrary formula to a uniquely satisfying one.

▶ **Definition 10** (Isolation algorithm). An isolation algorithm with success probability p is a randomized algorithm that maps a Boolean formula φ on n variables to a formula u on the same variables such that the formula $\varphi \wedge u$ has a unique solution with probability at least p.

A celebrated result by Valiant and Vazirani states that isolation algorithms exist.

▶ **Theorem 11** (Valiant Vazirani Theorem ([23])). There exists an isolation algorithm with success probability $\frac{1}{O(n)}$.

The main idea of Valiant and Vazirani is to use cleverly chosen pairwise independent hash function to reduce the size of the solutions space.

▶ **Definition 12** (Pairwise independent hash functions ([7, Definition 8.14])). A collection $\mathcal{H}_{n,k}$ of functions from $\{0,1\}^n$ to $\{0,1\}^k$ is a collection of pairwise independent hash functions if for every $x \neq x' \in \{0,1\}^n$ and $y,y' \in \{0,1\}^k$ we have

$$\Pr[h(x) = y \land h(x') = y'] = 2^{-2k}$$
(2)

where the probability is over h being drawn uniformly at random from $\mathcal{H}_{n,k}$.

The proof of Valiant and Vazirani's theorem follows from the following lemma which will also be of independent interest for us.

▶ Lemma 13 ([7, Lemma 17.19]). Let $\mathcal{H}_{n,k}$ be a collection of pairwise-independent hash functions from $\{0,1\}^n$ to $\{0,1\}^k$ and suppose that $\operatorname{sol}(\varphi) \subseteq \{0,1\}^n$ is such that $2^{k-2} \le |\operatorname{sol}(\varphi)| \le 2^{k-1}$. Then

$$\Pr_{h \sim \mathcal{H}_{n,k}} \left[\left| \left\{ x \in \operatorname{sol}(\varphi) : h(x) = 0^k \right\} \right| = 1 \right] \ge \frac{1}{8}. \tag{3}$$

It follows that witness isolation can be performed with constant success probability if the approximate size of the set of solutions is known.

We will also consider witness isolation algorithms with the added requirement that all solutions of φ will be the unique solution of $\varphi \wedge u$ with approximately equal probability. We call such algorithms almost-uniform isolation algorithms.

- ▶ **Definition 14.** An ϵ -almost-uniform isolation algorithm A_{iso} with success probability p takes as input a Boolean formula φ , and efficiently produces a Boolean formula u on the same variables such that:
- **p**-Completeness: if $\varphi \in SAT$, then, with probability at least p, $\varphi(x) \wedge u(x)$ has a unique satisfying assignment. In this case we say that the isolation succeeds.
- \bullet ϵ -almost-uniformity: for all $x \in sol(\varphi)$ we have:

$$\Pr \left[\varphi(x) \wedge u(x) \Big| |\operatorname{sol}(\varphi(x) \wedge u(x))| = 1 \right] \leq \frac{1+\epsilon}{|\operatorname{sol}(\varphi)|}.$$

Note that we do not require a lower bound on this probability.

2.4 Approximate counting

Stockmeyer was the first to realize that an NP oracle can be used for approximate counting. It was shown by Chakraborty, Meel and Vardi that a logarithmic number of NP queries suffice: [22, 13].

▶ **Theorem 15** (Approximate counting, [22, 13]). Given a formula φ on n variables and parameters $\delta, \epsilon > 0$, there exists a randomized poly-time algorithm, making $O\left(\frac{\log n \log(1/\delta)}{\epsilon^2}\right)$ queries to an NP-oracle, that outputs a value c such that:

$$\Pr\left(\frac{|\operatorname{sol}(\varphi)|}{1+\epsilon} \le c \le (1+\epsilon)|\operatorname{sol}(\varphi)|\right) \ge 1-\delta. \tag{4}$$

2.5 Query complexity

In query complexity one studies how often an algorithm needs to query an input string $x \in \{0,1\}^N$ in order to compute some function of x. In this paper we will consider three different types of oracles: standard oracles, succinct existential oracles and non-succinct existential oracles.

With the standard oracle model we will refer to the oracle model that is usually used in quantum query complexity. In this model, the queries give access to a string $x \in \{0,1\}^N$ using the following query gate:

$$O_x \colon |i\rangle \mapsto (-1)^{x_i} |i\rangle \,.$$
 (5)

We will call an application of the oracle *classical* if it is applied to a computational basis state.

In this paper, the string x will usually be the truth table of a hidden formula φ (i.e. $x_i = 1 \iff \varphi(i)$). Then, querying the oracle on index i corresponds to computing $\varphi(i)$.

Standard queries do not satisfactorily capture the power of NP queries. For example, it only takes one NP query to determine if a formula is satisfiable (i.e. if its truth table is not all 0s), but it is well known that determining if there is an i with $x_i=1$ takes $\Theta(\sqrt{N})$ standard queries ([16, 10]). Therefore, we will also consider other query models that better capture the power of NP queries.

The first of these query models we will call the *succinct existential query model*. Here, the oracle hides a formula φ . A query consists of a different poly-size formula ψ and the result to this query will be whether or not $\varphi \wedge \psi$ is satisfiable. Specifically, the oracle can be queried using the query gate

$$O_{\varphi}^{\mathsf{NP}} \colon |\psi\rangle \mapsto (-1)^{\mathsf{sat}(\varphi \wedge \psi)} |\psi\rangle$$
 (6)

where $\operatorname{\mathsf{sat}}(\varphi \wedge \psi)$ is 1 if $\varphi \wedge \psi$ is satisfiable and 0 otherwise. The succinct \exists -query model captures the power of an actual NP oracle well. It is strong enough to be used for the most common, if not all, well-known algorithms computing properties of Boolean formulae, such as finding the lexicographically-least solution of the hidden formula φ (a P^{NP} -complete problem) and approximately count the number of solutions of φ (e.g. with [13]).

We will also consider a non-succinct version of the existential query model, which we will also simply call the *existential query model*. Essentially this model is a reformulation of a model originally introduced by Stockmeyer [22] and used in e.g. [19]. We restated it in a manner closer to the standard query model. Existential queries (∃-queries) are of the form

$$O_x^{\exists} \colon |z\rangle \mapsto (-1)^{\mathsf{overlap}(x,z)} |z\rangle \,,$$
 (7)

where overlap: $\{0,1\}^N \times \{0,1\}^N \to \{0,1\}$ is the function

$$\operatorname{overlap}(x, z) = \begin{cases} 1 & \text{if } \exists i, x_i = z_i = 1\\ 0 & \text{otherwise.} \end{cases}$$
 (8)

Again, the hidden string will usually be the truth table of a formula φ . At first glance, this query model may look rather useless. The query register has size exponential in n (the number of variables of φ). Therefore, even performing a single query will take exponential time. However, if one is only concerned with the number of queries, and not with other resources such as time and space, then non-succinct existential queries are more powerful than succinct ones. Instead of making a succinct \exists -query with formula ψ , an algorithm can make a non-succinct existential query with z the truth table of ψ . Furthermore, not all truth tables correspond to poly-size formulae. In this paper we will prove lower bounds on the number of existential queries an algorithm needs to make. The result will allow the algorithms unbounded time and space and hence these lower bounds will in particular hold for efficient algorithms making succinct \exists -queries.

We are interested in the number of these queries needed to find a solution of φ . In the existential query model this corresponds to solving the search problem on the truth table x of φ , that is, outputting an index i such that $x_i=1$. It should be noted that, unlike in the case of standard queries, the existential query complexity of this function search problem is not necessarily the same as that of the decision search problem (i.e. determining if there is such an index). For example, an information theoretic argument shows that classically $\Theta(n)$ existential queries are needed for function search, but a single existential query with $z=1^N$ solves decision search.

We will also be interested in a slight variation of the search problem which we call the $index \ sampling$ problem. Here the task is to sample according to the uniform distribution on the support of x. Formally, we define it as

⁵ The string 1^N has overlap with any non-zero string. Hence it has overlap with the truth table of φ iff φ is satisfiable

- ▶ **Definition 16** (Index sampling). An algorithm solves the index sampling problem if it, for all $x \in \{0,1\}^N \setminus \{0^N\}$, outputs $s \in [N] \cup \{\bot\}$ such that:
- for all $i \in [N]$ with $x_i = 1$, $\Pr[s = i | s \neq \bot] = \frac{1}{|x|}$,
- there is a constant c such that $\Pr[s \neq \bot] \geq c$.

3 Quantum algorithm for search-to-decision reduction

We are now ready to prove our results. For pedagogical reasons we start with the proof of the upper bound (Theorem 1) before proving our main results: the lower bounds in Theorem 3 and Theorem 5.

▶ **Theorem 1.** FNP \subseteq FBQP^{NP[log]}. Furthermore, all queries made to the oracle are of the form $\varphi \land \chi$ where φ is the input formula and χ some other formula.

Proof. We will show that there exists an $\mathsf{FBQP}^{\mathsf{NP[log]}}$ algorithm that, when given a SAT instance φ , outputs a satisfying assignment $x \in \{0,1\}^n$ of φ if one exists, and outputs "no solution" otherwise. The algorithm succeeds with constant probability. This success probability can be boosted by running the algorithm a constant number of times, checking for each output if it is indeed a satisfying assignment and then outputting one that is. Hence, the success probability can be taken to be any arbitrary constant.

The existence of a satisfying assignment can be checked using a single query to the NP-oracle. Therefore, we will restrict our attention to the case where a satisfying assignment exists. We will proceed in two steps. First, we show how the satisfying assignment of a formula with exactly one satisfying assignment can be found with only a single query to the NP-oracle using the Bernstein Vazirani algorithm. Then we show how we can use $O(\log n)$ queries to reduce any formula to a uniquely satisfying one with constant probability.

▶ Lemma 17 ("Bernstein-Vazirani (BV) trick" [18]). Let φ be a formula with exactly one solution. There exists a BQP algorithm that makes a single query to an NP-oracle and finds this unique solution with probability 1.

Proof of BV trick. Let the unique solution of φ be denoted by s and consider the formula

$$\psi_a := \varphi(x) \land (x \cdot a = 1),$$
 (9)

where $a \in \{0,1\}^n$ and $x \cdot a$ denotes the inner product of the two binary strings x and a modulo 2. (Note that ψ_a is of the form $\varphi \wedge \chi$.) We now have that ψ_a is satisfiable (i.e. there is a y such that $\varphi(y) \wedge y \cdot a = 1$) if and only if $a \cdot s = 1$ because $a \cdot s = 1$ because $a \cdot s = 1$ because $a \cdot s = 1$ we ask the NP machine whether ψ_a is true. That is, we start with the state $a \cdot s = 1$ and apply $a \cdot s = 1$ because $a \cdot s = 1$ because

$$\frac{1}{\sqrt{2^n}} \sum_{a \in \{0,1\}^n} |a\rangle \mapsto \frac{1}{\sqrt{2^n}} \sum_{a \in \{0,1\}^n} (-1)^{a \cdot s} |a\rangle. \tag{10}$$

Now another application of $H^{\otimes n}$ gives:

$$\frac{1}{2^n} \sum_{a,y \in \{0,1\}^n} (-1)^{a \cdot s + a \cdot y} |y\rangle = \frac{1}{2^n} \sum_{a,y \in \{0,1\}^n} (-1)^{a \cdot (s \oplus y)} |y\rangle = |s\rangle.$$
(11)

Hence measuring the final state in the computational basis gives the unique satisfying assignment s of φ .

⁶ Note this does not imply a = s.

To deal with an arbitrary number of solutions, we first use Theorem 15 and $O(\log n)$ queries to the NP oracle to find k such that $2^{k-2} \leq |\operatorname{sol}(\varphi)| < 2^{k-1}$. (All queries made by the approximate counting algorithm in [13] are of the form $\varphi \wedge \chi$.) Then, we invoke Lemma 13 to obtain $u(x) := h(x) = 0^k$ such that $\varphi \wedge u$ has a unique solution with probability $> \frac{1}{8}$. Applying the BV trick to $\varphi \wedge u$ then completes the proof. Furthermore, all queries made were of the claimed form.

4 Lower bound for existential query complexity of search

We will prove that all quantum algorithms for the search problem need $\Omega(\log n)$ existential queries, even if we allow the algorithm to additionally make $\operatorname{poly}(n)$ classical standard queries. To do so, we will reduce binary search to this problem in order to use Ambainis' lower bound for binary search [5]. A binary search problem consists of a monotonic binary string $x = 00 \dots 01 \dots 1$ and the task is to find the index of the first 1.

▶ **Theorem 3** (Restated). Any quantum algorithm with existential query access to $x \in \{0,1\}^N$ needs to make $\Omega(\log \log N) = \Omega(\log n)$ existential queries to find an i such that $x_i = 1$. This remains true even if the algorithm is allowed to make an additional poly(n) classical standard queries.

The proof will follow from the following lemma.

- ▶ **Lemma 18.** Consider the following statements:
- 1. There exists a quantum algorithm for search on strings of size $N = 2^n$ that makes q = 0-queries and poly(n) classical standard queries and succeeds with constant probability.
- **2.** There exists a quantum algorithm for search on strings of size N using $q + O(\log \log n)$ \exists -queries and no additional standard queries which succeeds with constant probability.
- 3. There exists a quantum algorithm for index sampling on strings of size N using $q + O(\log \log n)$ \exists -queries and no additional standard queries which succeeds with constant probability.
- **4.** There exists a quantum algorithm for binary search on strings of size n using $q+O(\log\log n)$ \exists -queries and no additional standard queries. The algorithm succeeds with constant probability.
- 5. There exists a quantum algorithm for binary search on strings of size n using q+O(log log n) standard quantum queries and no ∃-queries. The algorithm succeeds with constant probability.

Then, $1 \implies 2 \implies 3 \implies 4 \implies 5$.

It is worth noting that all success probabilities can be boosted to be bigger than any constant c < 1.

Proof. $1 \implies 2$: consider an algorithm for search using $q \exists$ -queries and poly(n) classical standard queries. We will modify the algorithm to get rid of the standard queries. Our new modified algorithm will act exactly the same as the original algorithm, except it does not actually perform the classical standard queries. Instead, it assumes the answer to those queries is 0 and keeps track of the positions that should have been queried in a set A. At the end of the algorithm, it checks if its assumptions were correct using an \exists -query. That is, it performs an \exists -query with string z defined by $z_i = 1$ iff the i-th index should have been queried by a classical standard query at some point.

Now there are now two options. Either the result of this ∃-query is 0, in which case the assumptions that all indices queried by classical standard queries were 0 is correct, and hence the modified algorithm and the original algorithm coincide. Alternatively, the result of

the \exists -query is 1. Then at least one of the assumptions was wrong. But now the algorithm has determined that the set $A \subseteq [N]$ contains some $i \in A$ with $x_i = 1$. Furthermore, A contains at most $\operatorname{poly}(n)$ elements since only $\operatorname{poly}(n)$ classical queries were made. The search algorithm from Theorem 1 makes only (succinct) existential queries, so we can now use it to search, within A, for an i with $x_i = 1$. Because we know that $|A| = \operatorname{poly}(n)$, approximately counting the number of solutions within A will take $O(\log \log |A|) = O(\log \log n)$ \exists -queries using the algorithm from [13]. Therefore, finding a solution within A given that there is one will take $O(\log \log n)$ existential queries.

 $2 \implies 3$: consider a permutation $\sigma \in S_N$ mapping [N] to itself drawn uniformly at random. With slight abuse of notation we define $\sigma(x)$ by $\sigma(x)_i = x_{\sigma(i)}$. Our algorithm for index sampling will apply the algorithm for search to $\sigma(x)$, undo the permutation, check if it is indeed a solution and output the result if it is, and abort (i.e. output \bot) if it is not. The probability of aborting is exactly the failure probability of the search algorithm. In the following we condition on the sampling algorithm not aborting and assume $x \neq 0^N$ (this case can be checked with $1 \exists$ -query).

Denote by $\operatorname{\sf search}(x)$ the output of the search algorithm on input x. Consider the probability $p(i) = \Pr[\sigma^{-1}(\operatorname{\sf search}(\sigma(x))) = i] = \Pr[\operatorname{\sf search}(\sigma(x)) = \sigma(i)]$. We will show that $p(i) = \frac{1}{|x|}$ if $x_i = 1$ and 0 otherwise. Note that there are two sources of randomness: the random choice of σ and potentially random behavior of the search algorithm. We can write:

$$p(i) = \sum_{y \in \{0,1\}^N} \sum_{k \in [N]} \Pr[\sigma(x) = y \land \sigma(i) = k \land \operatorname{search}(y) = k]$$

$$= \sum_{y,k} \Pr[\sigma(x) = y] \cdot \Pr[\sigma(i) = k | \sigma(x) = y] \cdot \Pr[\operatorname{search}(y) = k | \sigma(x) = y \land \sigma(i) = k].$$

$$(12)$$

Because the algorithm for search does not depend on σ , we have that

$$p_y(k) := \Pr[\operatorname{search}(y) = k | \sigma(x) = y \land \sigma(i) = k] = \Pr[\operatorname{search}(y) = k].$$
 (14)

The $p_y(k)$ are unknown, but because the algorithm solves the search problem we do know that $\Pr[\mathsf{search}(y) = k | y_k = 0] = 0$ and $\sum_{k:y_k=1} p_y(k) = 1$. Furthermore, we have

$$\Pr[\sigma(x) = y] = \begin{cases} 1/\binom{N}{|x|} & \text{if } |x| = |y| \\ 0 & \text{if } |x| \neq |y| \end{cases}$$
 (15)

and

$$\Pr[\sigma(i) = k | \sigma(x) = y] = \begin{cases} \frac{1}{|x|} & \text{if } x_i = y_k = 1\\ \frac{1}{N - |x|} & \text{if } x_i = y_k = 0\\ 0 & \text{if } x_i \neq y_k. \end{cases}$$
(16)

We can now put everything together to get

$$p(i) = \sum_{y:|x|=|y|} \frac{1}{\binom{N}{|x|}} \sum_{k:y_k=1} \frac{1}{|x|} p_y(k) = \frac{1}{|x|}$$

$$(17)$$

⁷ We can add $\land x \in A$ to all formulae to restrict the search to within A.

Essentially, the algorithm from [13] uses binary search to find $k \in [n]$ such that $2^{k-1} < |\operatorname{sol}(\varphi)| < 2^k$. Because $|A| = O(\operatorname{poly}(n))$, it is already known that $|\operatorname{sol}(\varphi(x) \wedge x \in A)| \le O(\operatorname{poly}(n)) = 2^{O(\log n)}$. Therefore, the binary search is sped up.

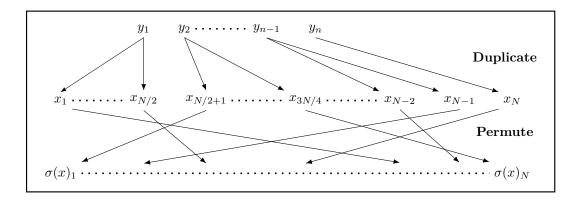


Figure 1 Modification of the binary-search oracle y in steps $2 \implies 3$ and $3 \implies 4$. Queries to $\sigma(x)$ are made by "going up the arrows".

if $x_i = 1$. On the other hand, if $x_i = 0$, we have that $p_y(k) = 0$ if $y_k = 0$ and that $\Pr[\sigma(i) = k | \sigma(x) = y] = 0$ if $y_k = 1$. Therefore, we have p(i) = 0 if $x_i = 0$.

 $3 \implies 4$: let $y \in \{0,1\}^n$ be a binary search instance. That is, y = 00...011...1 is monotonically increasing. For binary search, we want to find the smallest index i such that $y_i = 1$. We will now define another (exponentially longer) binary string $x \in \{0,1\}^N$ by $x_1 = x_2 = \cdots = x_{N/2} = y_1$, $x_{N/2+1} = \cdots = x_{3N/4} = y_2$ and so on, ending with $x_{N-2} = x_{N-1} = y_{\log N-1}$ and $x_n = y_{\log N}$, i.e.

$$x = \underbrace{y_1 y_1 \dots y_1}_{\frac{N}{2} \text{ times}} \underbrace{y_2 y_2 \dots y_2}_{\frac{N}{4} \text{ times}} \dots \underbrace{y_i y_i \dots y_i}_{\frac{N}{2^i} \text{ times}} \dots y_{\log N - 1} y_{\log N - 1} y_{\log N}. \tag{18}$$

If we sample an i with $x_i = 1$ uniformly at random, it will correspond to the smallest j with $y_j = 1$ with probability $> \frac{1}{2}$. This is because by construction each y_j appears more in x than all y_k for k > j together. Furthermore, each query to y (standard or existential) can be simulated by a single query of the same kind to x (see Fig. 1). Therefore, statement 3. allows us to solve binary search with constant success probability and $q + O(\log \log n)$ \exists -queries.

 $4 \implies 5$: because the strings considered in a binary search problem are monotonically increasing we can simulate an \exists -query on such a string using only a single standard query. Instead of an \exists -query with z, we find the largest i such that $z_i = 1$ and use a standard query to query the i-th bit. The claimed implication follows.

With this lemma in hand, Theorem 3 is easily proven.

Proof of Theorem 3. Suppose there exists an algorithm for search on $x \in \{0,1\}^N$ making $q = o(\log n)$ existential queries and $\operatorname{poly}(n)$ classical standard queries. Then, by Lemma 18 there is an algorithm for binary search on strings of length n making $q + O(\log \log n) = o(\log n)$ standard queries. This contradicts Ambainis' lower bound on binary search, which states that $\Omega(\log n)$ queries are required for binary search on size n strings [5]. Therefore, any search algorithm needs to make at least $q = \Omega \log n$ existential queries, even if it also makes $\operatorname{poly}(n)$ classical standard queries.

Corollary 4 is an easy consequence of the previous theorem.

▶ Corollary 4. Any quantum algorithm that is given existential query access to a string $x \in \{0,1\}^N$ and outputs an estimate c such that $2^{|x|-1} \le c < 2^{|x|}$, where |x| is the Hamming weight of x, needs to make at least $\Omega(\log \log N)$ queries to the oracle.

Proof. We show that the existence of such an approximate counter making $o(\log \log N) = o(\log n)$ \exists -queries implies the existence of an algorithm for search making $o(\log n)$ \exists -queries. Using the approximate counter and Lemma 13 we can reduce x to be of Hamming weight 1 with constant probability and $o(\log n)$ \exists -queries. The index of the unique 1 can then be extracted using the BV trick (Lemma 17) and a single \exists -query.

5 Conditional lower bound on number of NP queries for approximate counting

In order to prove Corollary 5 we will first concern ourselves with almost-uniform isolation algorithms. We will first prove the following theorem stating consequences of the existence of almost-uniform isolation algorithms making $o(\log n)$ NP queries. Thereafter, we will show that approximate counting with $o(\log n)$ NP queries implies almost-uniform isolation with $o(\log n)$ NP queries.

▶ Theorem 19. Let $\epsilon > 0$ and $p \in (0,1]$ be constants such that there exists an ϵ -almost-uniform isolation algorithm A_{iso} with success probability p making $Q(n) = o(\log n)$ queries to an NP-oracle. Then, $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ contains a P^{NP} -complete problem if this algorithm is classical and $\mathsf{FBQP}^{\mathsf{NP}[o(n)]}$ contains an $\mathsf{FP}^{\mathsf{NP}}$ -complete problem if it is quantum.

Proof. We will give a $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ algorithm to isolate the lexicographically least solution of an input formula φ , as outputting the last bit of this solution is P^{NP} -complete [21]. The idea of the algorithm will be as follows. We work in rounds. In the first round we sample n^2 almost-uniformly random solutions of φ . We will not explicitly know what these solutions are, but we can find a formula to which they are the unique solution. Next, we sample n^2 solutions of φ among all solutions that are lexicographically smaller than the all previously found solutions. We keep going like this until only one solution remains, which will be the lexicographically least solution of φ . We show that with high probability, the number of solutions that are smaller than the least solution found yet decreases by at least a factor $\frac{1}{n}$ every round. Therefore, we will, with high probability, need at most $\log_n(|sol(\varphi)|) = \frac{\log(|sol(\varphi)|)}{\log n} \leq \frac{n}{\log n}$ rounds to isolate the lexicographically least solution of φ . In the first round we apply A_{iso} to $\Psi_1(\vec{x}_1,\ldots,\vec{x}_{n^2}) \coloneqq \varphi(\vec{x}_1) \wedge \cdots \wedge \varphi(\vec{x}_{n^2})$. Here the \vec{x}_i denote fresh sets of variables and we use the vector notation to emphasize that they are n-bit

has a unique solution. This unique solution will be the concatenation of n^2 solutions of φ . In round r+1 we will do the following. From the previous round we have already constructed

strings and not bits. The result of this application will be $u_1(\vec{x}_1,\ldots,\vec{x}_{n^2})$ such that $\Psi_1 \wedge u_1$

$$\Psi_r(\vec{x}_{r,1},\ldots,\vec{x}_{r,n^2},\ldots,\vec{x}_{1,1},\ldots,\vec{x}_{1,n^2})$$

with a unique solution. In this unique solution, $\vec{x}_{i,1}, \dots, \vec{x}_{i,n^2}$ will be the solutions to φ picked in round i. We set

$$\chi_{r+1}(\vec{x}_{r+1,1}, \dots, \vec{x}_{r+1,n^2}, \dots, \vec{x}_{1,1}, \dots, \vec{x}_{1,n^2}) := \varphi(\vec{x}_{r+1,1}) \wedge \dots \wedge \varphi(\vec{x}_{r+1,n^2}) \\
\wedge \Psi_r(\vec{x}_{r,1}, \dots, \vec{x}_{r,n^2}, \dots, \vec{x}_{1,1}, \dots, \vec{x}_{1,n^2}) \\
\wedge \vec{x}_{r+1,1} <_{lex} \vec{x}_{r,1} \wedge \dots \wedge \vec{x}_{r+1,1} <_{lex} \vec{x}_{r,n^2} \\
\wedge \vec{x}_{r+1,n^2} <_{lex} \vec{x}_{r,n^2} \wedge \dots \wedge \vec{x}_{r+1,1} <_{lex} \vec{x}_{r,n^2}. (19)$$

In any satisfying assignment of χ_{r+1} , the first line of the RHS enforces that $\vec{x}_{r+1,1}, \ldots, \vec{x}_{r+1,n^2}$ are solutions of φ . The second line makes sure that $\vec{x}_{r,1}, \ldots, \vec{x}_{r,n^2}, \ldots, \vec{x}_{1,1}, \ldots, \vec{x}_{1,n^2}$ are set to the unique solutions picked in previous rounds. The third and fourth lines make sure that the new solutions are lexicographically strictly smaller than any solution picked in a previous round.

We now pick the new solutions of round r+1 by applying A_{iso} to χ_{r+1} . We call the round is successful if A_{iso} succeeds, i.e. if its output u_{r+1} is such that $\chi_{r+1} \wedge u_{r+1}$ has a unique solution. We can check if A_{iso} succeeded by spending 2 NP queries.¹⁰ In case of a success $\Psi_{r+1} := \chi_{r+1} \wedge u_{r+1}$ will have a unique solution, which is picked almost uniformly at random from all solutions of χ_{r+1} (by definition of A_{iso}). In this unique solution $\vec{x}_{r+1,1}, \ldots, \vec{x}_{r+1,n^2}$ will be the newly picked solutions. By construction they will be smaller than the solutions found in the previous rounds. The previously picked solutions $\vec{x}_{r,1}, \ldots, \vec{x}_{r,n^2}, \ldots, \vec{x}_{1,1}, \ldots, \vec{x}_{1,n^2}$ will be the same as in previous rounds because the second line of Equation 19 has a unique solution. Finally, we check if there are still smaller solutions available by checking if

$$\varphi(y) \wedge \Psi_{r+1}(\vec{x}_{r+1,1}, \dots, \vec{x}_{r+1,n^2}, \dots, \vec{x}_{1,1}, \dots, \vec{x}_{1,n^2}) \wedge y <_{lex} \vec{x}_{r+1,1} \wedge \dots \wedge y <_{lex} \vec{x}_{r+1,n^2}$$
 (20)

is still satisfiable. If it is satisfiable we proceed to the next round and if it is not then, in the unique solution of Ψ_{r+1} , one of the $\vec{x}_{r+1,i}$ will be the minimal solution of φ . Therefore,

$$\varphi(y) \land \Psi_{r+1}(\vec{x}_{r+1,1}, \dots, \vec{x}_{r+1,n^2}, \dots, \vec{x}_{1,1}, \dots, \vec{x}_{1,n^2}) \land y \leq_{lex} \vec{x}_{r+1,1} \land \dots \land y \leq_{lex} \vec{x}_{r+1,n^2}$$
 (21)

will have a unique solution where y is the lexicographically least assignment of φ (note the use of \leq instead of <). Asking the oracle if this formula is still satisfiable with the last bit of y set to 1 will then tell us the last bit of the lexicographically least solution of φ . Alternatively, a BQP-machine can use the BV trick to obtain the *entire* lexicographically least solution with one query and solve the FP^{NP}-complete problem of outputting the lexicographically least solution of φ [21].

We will proceed by proving that this algorithm succeeds with probability at least $\frac{2}{3}$ and makes at most o(n) NP queries using the following claims. The proofs of these claims can be found in Appendix A.1.

 \triangleright Claim 20 (Number of successful rounds needed). For sufficiently large n, the probability that the algorithm described above has not terminated after $\frac{n}{\log n}$ successful rounds is less than $\frac{1}{6}$ (a successful round is a round in which a unique solution remains after the application of A_{iso}).

 \triangleright Claim 21 (Probability of successful rounds). The probability that after $\frac{2n}{p \log n}$ rounds there have not been $\frac{n}{\log n}$ successful rounds is at most $\frac{1}{6}$ for sufficiently large n. Here, recall p is the success probability of A_{iso} .

From these claims it follows that with probability at least $\frac{2}{3}$, the algorithm will, after at most $\frac{2n}{p\log n}$ rounds, have terminated. In every round, Q(poly(n)) queries are made because only a polynomial amount of terms are added to Ψ_r every round (recall that Q(n) is the number of queries made by A_{iso}). Since $Q(n) = o(\log n)$ by assumption, we have $Q(n^c) = o(c \cdot \log n) = o(\log n)$. Hence the algorithm makes at most $\frac{2n}{p\log n}o(\log n) = o(n)$ queries.

Note that comparing only to the previous rounds solutions suffices.

¹⁰ One query is used to check if the formula is satisfiable and the other is used to check if there are two or more distinct solutions.

Corollary 5 follows by showing that an algorithm for approximate counting induces an algorithm for almost-uniform isolation with the same number of NP queries.

▶ Corollary 5. If there exists a classical randomized poly-time algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ contains a P^{NP} -complete problem. Similarly, if there is a poly-time quantum algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{BQP}^{\mathsf{NP}[o(n)]}$ contains an $\mathsf{FP}^{\mathsf{NP}}$ -complete problem.

The idea of the proof is that we can make a almost-uniform isolation algorithm by first approximately counting the number of solutions (using $o(\log n)$ NP queries by assumption) and then picking a suitable hash function. Using ideas from Dellanoy and Meel [14, Lemma 3] it can be shown that this procedure indeed gives an almost-uniform isolation algorithm. The full proof of the corollary can be found in Appendix A.2.

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A Omitted proofs

A.1 Claims in proof of Theorem 19

 \triangleright Claim 20 (Number of successful rounds needed). For sufficiently large n, the probability that the algorithm described above has not terminated after $\frac{n}{\log n}$ successful rounds is less than $\frac{1}{6}$ (a successful round is a round in which a unique solution remains after the application of A_{iso}).

Proof of Claim 20. Let $\vec{y}_1 < \cdots < \vec{y}_k$ denote all solutions to φ smaller than $\vec{y}_{min,r-1}$, the smallest solution found in round r-1. Define $g = \lceil \frac{k}{n} \rceil$. We now compute the probability that, in a single round r, $\vec{y}_{min,r}$ is larger than \vec{y}_g as

$$\Pr[\vec{y}_{min,r} >_{lex} \vec{y}_g] = \Pr\left[\vec{x}_{r,1} >_{lex} \vec{y}_g \wedge \dots \wedge \vec{x}_{r,n^2} >_{lex} \vec{y}_g\right]$$
(22)

$$= \Pr[(\vec{x}_{r,1}, \dots, \vec{x}_{r,n^2}) \in {\{\vec{y}_{g+1}, \dots, \vec{y}_k\}}^{n^2}]$$
(23)

$$\leq |\{\vec{y}_{g+1}, \dots, \vec{y}_k\}^{n^2}| \cdot \frac{1+\epsilon}{|\{\vec{y}_1, \dots, \vec{y}_k\}^{n^2}|}$$
(24)

$$= (k - g)^{n^2} \frac{1 + \epsilon}{k^{n^2}} \tag{25}$$

$$\leq \left(k\left(1 - \frac{1}{n}\right)\right)^{n^2} \frac{1 + \epsilon}{k^{n^2}} \tag{26}$$

$$= (1+\epsilon)\left(1-\frac{1}{n}\right)^{n^2},\tag{27}$$

where Equation 25 follows from a union bound and the definition of an ϵ -almost-uniform isolation algorithm Hence, by a union bound, the probability that in at least one of $\frac{n}{\log n}$ successful rounds $\vec{y}_{min,r} >_{lex} \vec{y}_{goal,r}$, i.e., the probability that in at least on of the rounds the search space is not cut down by at least a factor $\frac{1}{n}$ is

$$\Pr[\exists r \le \frac{n}{\log n} \text{ s.t. } \vec{y}_{min,r} >_{lex} \vec{y}_{g,r}] \le (1+\epsilon) \frac{n}{\log n} \left(1 - \frac{1}{n}\right)^{n^2}, \tag{28}$$

of which the right-hand side goes to 0 as n goes to ∞ . The claim follows.

 \triangleright Claim 21 (Probability of successful rounds). The probability that after $\frac{2n}{p \log n}$ rounds there have not been $\frac{n}{\log n}$ successful rounds is at most $\frac{1}{6}$ for sufficiently large n. Here, recall p is the success probability of A_{iso} .

Proof of claim 21. Each round succeeds with probability p. After $\frac{2n}{p \log n}$ rounds the expected number of successful rounds is $\frac{2n}{\log n}$. By a Chernoff bound we have:

$$\Pr[\#\text{successes} < \frac{n}{\log n}] \le \exp\left(-\frac{n}{4p\log n}\right). \tag{29}$$

For sufficiently large n, the right-hand side will indeed be at most $\frac{1}{6}$.

A.2 Full proof of Corollary 19

▶ Corollary 5. If there exists a classical randomized poly-time algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{BPP}^{\mathsf{NP}[o(n)]}$ contains a P^{NP} -complete problem. Similarly, if there is a poly-time quantum algorithm for approximate counting making $o(\log n)$ NP queries, then $\mathsf{BQP}^{\mathsf{NP}[o(n)]}$ contains an $\mathsf{FP}^{\mathsf{NP}}$ -complete problem.

Proof of Corollary 5. The approximate counting algorithms can be used to make an almost-uniform isolation algorithm as follows. First, use the approximate counting algorithm to find k such that $2^{k-2} \leq |\operatorname{sol}(\varphi)| \leq 2^{k-1}$. Next, choose a random hash function h from a set of pairwise independent hash functions from $\{0,1\}^n$ to $\{0,1\}^k$. By Lemma 13, the formula $\varphi(x) \wedge h(x) = 0^k$ will then have a unique solution with probability at least $\frac{1}{8}$. We claim that in this case the unique solution will be distributed almost-uniformly at random among all solutions of φ . Our proof of this claim is based on work by Dellanoy and Meel [14, Lemma 3].

¹¹We only require almost-uniformity in the case that there is a unique solution.

Before we prove the claim let us first introduce some notation. Let the random variable N denote the number of solutions of $\varphi(x) \wedge h(x) = 0^k$ and let SC denote the event that the approximate counting was successful, i.e. $2^{k-2} \leq |\operatorname{sol}(\varphi)| \leq 2^{k-1}$. We assume that SCoccurs with probability $1 - \delta$. For any fixed x, we are interested in

$$\Pr[h(x) = 0^k | N = 1] = \frac{\Pr[h(x) = 0^k \land N = 1]}{\Pr[N = 1]}$$
(30)

$$= \frac{\Pr[h(x) = 0^k \wedge N = 1]}{\sum_{y \in \operatorname{sol}(\varphi)} \Pr[h(y) = 0^k \wedge N = 1]}$$
(31)

$$= \frac{\Pr[N=1|h(x)=0^k] \Pr[h(x)=0^k]}{\sum_{y \in \text{sol}(\varphi)} \Pr[N=1|h(y)=0^k] \Pr[h(y)=0^k]}$$
(32)

$$= \frac{\Pr[N=1|h(x)=0^k]}{\sum_{y\in\text{sol}(\varphi)} \Pr[N=1|h(y)=0^k]}$$
(33)

and want to show that this probability is $\leq \frac{\epsilon}{|\operatorname{sol}(\varphi)|}$. Let $\chi(y)$ be 1 if $h(y) = 0^k$ and 0 otherwise. For any $x \in \operatorname{sol}(\varphi)$ we have:

$$\mathbb{E}\left[N\middle|h(x) = 0^k \wedge SC\right] = \mathbb{E}\left[\sum_{y \in \text{sol}(\varphi)} \chi(y)\middle|h(x) = 0^k \wedge SC\right]$$
(34)

$$= \sum_{y \in \text{sol}(\varphi)} \mathbb{E}\left[\chi(y) \middle| h(x) = 0^k \wedge SC\right]$$
(35)

$$=1+\frac{|\operatorname{sol}(\varphi)|-1}{2^{-k}}\tag{36}$$

where we use that h is a 2-wise independent hash function. By Markov's inequality this means that for all $x \in \operatorname{sol}(\varphi)$

$$\Pr[N = 1 | h(x) = 0^k \land SC] = 1 - \Pr[N \ge 2 | h(x) = 0^k \land SC]$$
(37)

$$\geq 1 - \frac{\mathbb{E}[N|h(x) = 0 \land SC]}{2} \tag{38}$$

$$\geq 1 - \frac{\mathbb{E}[N|h(x) = 0 \land SC]}{2}$$

$$\geq \frac{1}{2} - \frac{|\operatorname{sol}(\varphi)| - 1}{2^{k+1}}$$
(38)

$$\geq \frac{1}{4}.\tag{40}$$

Combining with Equation 33 and using that $\Pr[N=1|h(y)=0^k] \ge \Pr[N=1 \land SC|h(y)=0^k]$ and $Pr[N = 1|h(x) = 0^k] \le 1$ gives

$$\Pr[h(x) = 0^k | N = 1] \le \frac{1}{\sum_{y \in \text{sol}(\varphi)} \Pr[N = 1 \land SC | h(y) = 0^k]}$$
(41)

$$\leq \frac{1}{\sum_{y \in \operatorname{sol}(\varphi)} \Pr[N = 1 | h(y) = 0^k \land SC] \Pr[SC]}$$
(42)

$$\leq \frac{4}{(1-\delta)|\operatorname{sol}(\varphi)|}.\tag{43}$$

Note that this holds for any x. Hence the existence of an approximate counter gives us an almost-uniform isolation algorithm with $p = \frac{1-\delta}{8}$ and $\epsilon = \frac{3+\delta}{1-\delta}$. Furthermore, the approximate counter and isolation algorithm will make the same amount of NP queries (i.e. $o(\log n)$).