A Faster Algorithm for Pigeonhole Equal Sums

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Abstract -

An important area of research in exact algorithms is to solve Subset-Sum-type problems faster than meet-in-middle. In this paper we study *Pigeonhole Equal Sums*, a total search problem proposed by Papadimitriou (1994): given n positive integers w_1, \ldots, w_n of total sum $\sum_{i=1}^n w_i < 2^n - 1$, the task is to find two distinct subsets $A, B \subseteq [n]$ such that $\sum_{i \in A} w_i = \sum_{i \in B} w_i$.

Similar to the status of the Subset Sum problem, the best known algorithm for Pigeonhole Equal Sums runs in $O^*(2^{n/2})$ time, via either meet-in-middle or dynamic programming (Allcock, Hamoudi, Joux, Klingelhöfer, and Santha, 2022).

Our main result is an improved algorithm for Pigeonhole Equal Sums in $O^*(2^{0.4n})$ time. We also give a polynomial-space algorithm in $O^*(2^{0.75n})$ time. Unlike many previous works in this area, our approach does not use the representation method, but rather exploits a simple structural characterization of input instances with few solutions.

2012 ACM Subject Classification Theory of computation → Design and analysis of algorithms

Keywords and phrases Subset Sum, Pigeonhole, PPP

Digital Object Identifier 10.4230/LIPIcs.ICALP.2024.94

Category Track A: Algorithms, Complexity and Games

Funding Ce Jin: Supported by NSF grants CCF-2129139 and CCF-2127597. Work done while visiting the Simons Institute for the Theory of Computing.

Hongxun Wu: Supported by Avishay Tal's Sloan Research Fellowship, NSF CAREER Award CCF-2145474, and Jelani Nelson's ONR grant N00014-18-1-2562.

Acknowledgements We thank Ryan Williams for useful discussions.

1 Introduction

The Subset Sum problem is an important NP-hard problem in computer science: given positive integers w_1, w_2, \ldots, w_n and a target integer t, find a subset $A \subseteq [n]$ such that $\sum_{i \in A} w_i = t$. Subset Sum can be solved in $O(2^{n/2})$ time by a simple meet-in-middle algorithm [14], and an important open problem is to improve it to $O(2^{(1/2-\varepsilon)n})$. A long line of research attempts to solve Subset Sum faster using the representation method [15] and connections to uniquely decodable code pairs [3, 4, 22], but these techniques have so far only succeeded on average-case inputs [15, 8, 9] or restricted classes of inputs [2, 3]. Nevertheless, significant progress has been made for other variants of Subset Sum, including Equal Sums [17], 2-Subset Sum and Shifted Sums [1] and more general subset balancing problems [12], as well as Subset Sum in other computational settings such as Merlin–Arthur protocols [18], low-space algorithms [6, 19], quantum algorithms [1], and algorithms with lower-order run time improvements [13]. The general hope is that the tools developed for solving these variant problems might one day help solve the original Subset Sum problem.



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51st International Colloquium on Automata, Languages, and Programming (ICALP 2024). Editors: Karl Bringmann, Martin Grohe, Gabriele Puppis, and Ola Svensson;



94:1-94:11 Leibniz International Proceedings in Informatics



In this paper we study an interesting variant of Subset Sum called *Pigeonhole Equal Sums*:

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PIGEONHOLE EQUAL SUMS [20] Input: positive integers w_1, w_2, ..., w_n, with promise \sum_{i=1}^n w_i < 2^n - 1. Output: two different subsets A, B \subseteq [n] such that \sum_{i \in A} w_i = \sum_{i \in B} w_i.
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Since there are 2^n subsets $S \subseteq [n]$ with only $2^n - 1$ possible subset sums $\sum_{i \in S} w_i \in \{0, 1, \dots, 2^n - 2\}$ due to the promise, the pigeonhole principle guarantees that there exists a pair of subsets with the same subset sum.

Pigeonhole Equal Sums was introduced by Papadimitriou [20] as a natural example problem in the total search complexity class PPP. This problem has received attention in the TFNP literature [5, 21], and is conjectured to be PPP-complete [20].

From the algorithmic point of view, the current status of Pigeonhole Equal Sums is quite similar to that of the Subset Sum problem: a simple binary search with meet-in-middle solves Pigeonhole Equal Sums in $O^*(2^{n/2})$ time (see Section 2). Allcock, Hamoudi, Joux, Klingelhöfer, and Santha [1, Theorem 6.2] gave another $O^*(2^{n/2})$ -time algorithm based on dynamic programming (which is analogous to the alternative $O^*(2^{n/2})$ -time Subset Sum algorithm from [3]²). It remains open whether $O(2^{(1/2-\varepsilon)n})$ time is possible for Pigeonhole Equal Sums. Improvement of such type was achieved for the Equal Sums problem (without the pigeonhole promise) by Mucha, Nederlof, Pawlewicz, and Węgrzycki [17] via the representation method with $O(3^{(1/2-\varepsilon)n})$ run time for some $\varepsilon > 0.01$, but this result has no direct implications for Pigeonhole Equal Sums (for which the known $O^*(2^{n/2})$ time bound is already much better than $O(3^{n/2})$).

1.1 Our results

We give an algorithm that solves Pigeonhole Equal Sums faster than the previous $O^*(2^{n/2})$ running time [1].

▶ Theorem 1 (Main). Pigeonhole Equal Sums can be solved by a randomized algorithm in $O^*(2^{0.4n})$ time.

Surprisingly, unlike previous works on other variants of Subset Sum, our algorithm does not use the representation method [15] or tools from coding theory [3, 4, 22]. Instead, our main insight is a simple structural characterization of Pigeonhole Equal Sums instances with few solutions.

Our techniques also yield a fast polynomial-space algorithm for Pigeonhole Equal Sums, in an analogous way to the previous $O(3^{(1-\varepsilon)n})$ -time polynomial-space algorithm for Equal Sums [17].

▶ **Theorem 2.** Pigeonhole Equal Sums can be solved by a randomized algorithm in $O^*(2^{0.75n})$ time and poly(n) space.

For comparison, a straightforward algorithm based on binary search solves Pigeonhole Equal Sums in poly(n) space and $O^*(2^n)$ time (see the beginning of Section 4).

Theorem 1 and Theorem 2 will be proved in Section 3 and Section 4 respectively.

¹ We use $O^*(\cdot)$ to hide poly(n) factors.

² See also https://youtu.be/cHimhXXIwcg?t=454.

2 Preliminaries

Denote $[n] = \{1, ..., n\}$. Let $O^*(\cdot), \Omega^*(\cdot)$ hide poly(n) factors, where n is the number of input integers in the Pigeonhole Equal Sums problem.

Denote $w(A) = \sum_{i \in A} w_i$ for $A \subseteq [n]$. The pigeonhole promise states $w([n]) < 2^n - 1$. For a predicate p we define $\mathbf{1}[p] = 1$ if p is true and $\mathbf{1}[p] = 0$ if p is false. We need the following well-known lemma.

▶ Lemma 3 (Counting subset sums via meet-in-middle [14]). Given integers w_1, \ldots, w_n and t, we can compute $\#\{S \subseteq [n] : w(S) \le t\}$ in $O^*(2^{n/2})$ time. Moreover, we can list $S \subseteq [n]$ such that $w(S) \le t$ in $O^*(1)$ additional time per S.

Proof. Divide [n] into $S_1 = \{1, \ldots, \lfloor n/2 \rfloor\}$ and $S_2 = [n] \setminus S_1$, and every subset $S \subseteq [n]$ can be represented as $X \uplus Y, X \subseteq S_1, Y \subseteq S_2$. Compute and sort the two lists $A = \{w(X)\}_{X \subseteq S_1}$ and $B = \{w(Y)\}_{Y \subseteq S_2}$ of length $O(2^{n/2})$ each. Then for each $w(X) \in A$ we accumulate $|B \cap (-\infty, t - w(X))|$ to the answer. It is easy to augment this algorithm to support listing.

Pigeonhole Equal Sums via binary search

The following simple binary-search algorithm (described in [1, Remark 6.9 of arXiv version] and attributed to an anonymous referee) solves Pigeonhole Equal Sums in $O^*(2^{n/2})$ time: Maintain an interval $\{\ell, \ell+1, \ldots, r\}$ (initialized to $\ell=0, r=2^n-2$) that satisfies the pigeonhole invariant $r-\ell+1 < \#\{S \subseteq [n] : \ell \le w(S) \le r\}$. Initially this invariant is satisfied due to $w([n]) \le 2^n-2$. While $r>\ell$, pick the middle point $m=\lfloor \frac{\ell+r}{2} \rfloor$, and use meet-in-middle (Lemma 3) to compute $c_1=\#\{S\subseteq [n] : \ell \le w(S) \le m\}$ and $c_2=\#\{S\subseteq [n] : m+1\le w(S)\le r\}$ in $O^*(2^{n/2})$ time. Then we shrink the interval to $\{\ell,\ldots,m\}$ if $m-\ell+1< c_1$, or to $\{m+1,\ldots,r\}$ if $r-m< c_2$ (the invariant guarantees that at least one holds). After $\lceil \log_2(2^n-1) \rceil = n$ iterations we shrink to a singleton interval $\ell=r$. By the invariant, there exist two different $S_1,S_2\subseteq [n]$ such that $w(S_1)=w(S_2)=\ell$, and we can report such S_1,S_2 using meet-in-middle (Lemma 3).

This binary-search strategy will be used in our improved algorithms as well.

3 The improved algorithm

Let the *n* input integers be sorted as $0 < w_1 < w_2 < \cdots < w_n$ (assuming no trivial solution $w_i = w_j$ exists).

An assumption on prefix sums

If any proper prefix $\{w_1, \ldots, w_i\}$ $(i \leq n-1)$ already satisfies the pigeonhole promise $w([i]) < 2^i - 1$, then we can instead solve the smaller Pigeonhole Equal Sums instance $\{w_1, \ldots, w_i\}$ and obtain $A, B \subseteq [i], A \neq B$ with w(A) = w(B). Hence, without loss of generality we assume such prefix does not exist, i.e.,

$$w([i]) \ge 2^i - 1 \text{ for all } i \in [n-1].$$
 (1)

Frequencies f_t and parameter d

The frequency (also called bin size) of $t \in \mathbb{N}$ is the number of input subsets achieving sum t, denoted as $f_t = \#\{S \subseteq [n] : w(S) = t\}$. Since $w([n]) < 2^n - 1$, we know $f_t = 0$ for all $t \geq 2^n - 1$, and

$$\sum_{0 \le t < 2^n} f_t = 2^n. \tag{2}$$

Two different subsets achieving equal subset sum t imply $f_t > 1$. This motivates the following parameter,

$$d = \sum_{0 \le t \le 2^n} \max\{0, f_t - 1\},\tag{3}$$

which counts the (non-trivial) equality relations among all the 2^n subset sums. Using Equation (2), we can rewrite Equation (3) as $d = \sum_{0 \le t < 2^n} (f_t - \mathbf{1}[f_t \ge 1]) = 2^n - \sum_{0 \le t < 2^n} \mathbf{1}[f_t \ge 1]$, and thus obtain

$$d = \#\{0 \le t < 2^n : f_t = 0\},\tag{4}$$

which counts the non-subset-sums in $\{0, 1, \dots, 2^n - 1\}$. In particular, $d < 2^n$.

The equivalence between Equation (3) and Equation (4) is powerful. In the following we will give two different algorithms for Pigeonhole Equal Sums. The first one works for small d by analyzing the structure of input instances with few non-subset-sums (by Equation (4)). The second one works when d is large and hence there are many solutions (by Equation (3)) which allow a subsampling approach. These two algorithms are summarized as follows:

- ▶ **Lemma 4.** Given parameter $\Delta \leq 2^n/(3n^2)$, Pigeonhole Equal Sums with $d \leq \Delta$ can be solved deterministically in $O^*(\sqrt{\Delta})$ time.
- ▶ **Lemma 5.** Given parameter $2^{n/2} \le \Delta < 2^n$, Pigeonhole Equal Sums with $d \ge \Delta$ can be solved in $O^*((2^{2n}/\Delta)^{1/3})$ time by a randomized algorithm.

Combining these two lemmas implies our main result:

Proof of Theorem 1. Set $\Delta = 2^{0.8n}$ so that the two time bounds in Lemma 4 and Lemma 5 are balanced to $O^*(2^{0.4n})$. Given an instance of Pigeonhole Equal Sums (with unknown d), we run both algorithms in parallel, and return the answer of whichever terminates first.

3.1 Small d case via structural characterization

In this section we prove Lemma 4. Assume $d \leq \Delta \leq 2^n/(3n^2)$ and Δ is known.

Since $f_t = 0$ for all $w([n]) < t < 2^n$, from Equation (4) we know $d \ge 2^n - 1 - w([n])$, and hence $w([n]) \ge 2^n - 1 - d \ge 2^n - 1 - \Delta$. Combined with Equation (1) for $i \in [n-1]$, we get the following lower bound

$$w([i]) \ge 2^i - 1 - \Delta \text{ for all } i \in [n]. \tag{5}$$

The key step is to complement Equation (5) with a nearly matching upper bound:

▶ Lemma 6. For all $i \in [n]$,

$$w_i \le 2^{i-1} + \Delta. \tag{6}$$

Summing Equation (6) over i gives

$$w([i]) \le 2^i - 1 + i\Delta \tag{7}$$

for all $i \in [n]$.

Proof. Fix $i \in [n]$. Let M be the number of subsets $S \subseteq [n]$ with $w(S) < w_i$. Since $w_i < w_{i+1} < \cdots < w_n$, any such S must be contained in [i-1], and thus $M \le 2^{i-1}$. On the other hand, $M = \sum_{t=0}^{w_i-1} f_t \ge w_i - \#\{0 \le t < w_i : f_t = 0\} \ge w_i - d$ by Equation (4). Hence, $w_i \le M + d \le 2^{i-1} + \Delta$.

Comparing Equation (5) with Equation (7) gives the lower bound

$$w_i = w([i]) - w([i-1]) \ge (2^i - 1 - \Delta) - (2^{i-1} - 1 + (i-1)\Delta) = 2^{i-1} - i\Delta,$$

which is very close to the upper bound from Equation (6). Together we get

$$w_i - 2^{i-1} \in [-i\Delta, \Delta] \tag{8}$$

for all $i \in [n]$.

Equation (8) gives a very rigid structure of the large input numbers. In the next lemma we exploit this structure to improve the naive meet-in-middle subset sum counting algorithm from Lemma 3.

▶ Lemma 7. For any given $T < 2^n$, we can compute $\sum_{t=0}^T f_t$ in $O^*(\sqrt{\Delta})$ time.

Proof. Let i^* be the minimum $i^* \in [n]$ such that $2^{i^*} \geq 3n^2\Delta$, which exists by our assumption $\Delta \leq 2^n/(3n^2)$. Let $A = \{1, 2, ..., i^*\}$ and $B = \{i^* + 1, ..., n\}$.

By Equation (7), $w(A) < 2^{i^*} + n\Delta$.

For every $B' \subseteq B$, by Equation (8) we have

$$|w(B') - \sum_{j \in B'} 2^{j-1}| \le \sum_{j \in B'} |w_j - 2^{j-1}| \le \sum_{j \in B'} j\Delta \le n^2 \Delta.$$

In other words, the subset sums of $\{w_j\}_{j\in B}$ are $n^2\Delta$ -additively approximated by the subset sums of $\{2^{j-1}\}_{j\in B}$. The subset sums of the latter set form an arithmetic progression $\{k\cdot 2^{i^*}: 0\leq k<2^{n-i^*}\}$, namely all *n*-bit binary numbers whose lowest i^* bits are zeros. Notably, this arithmetic progression is very sparse: its difference 2^{i^*} is large enough compared to $w(A)<2^{i^*}+n\Delta$.

Given query T, we want to count the number of pairs (A', B') $(A' \subseteq A, B' \subseteq B)$ such that $w(A') + w(B') \le T$. To do this, we enumerate $B' \subseteq B$, and consider three cases (the non-trivial case is Case 3, where w(B') and $\sum_{i \in B'} 2^{j-1}$ are close to T):

- Case 1: $\sum_{j \in B'} 2^{j-1} \le T 2^{i^*} (n+n^2)\Delta$. Then, for all $A' \subseteq A$, we have $w(A') + w(B') \le w(A) + w(B') \le (2^{i^*} + n\Delta) + (n^2\Delta + \sum_{j \in B'} 2^{j-1}) \le T$. Hence B' contributes $2^{|A|}$ many pairs (A', B').
- Case 2: ∑_{j∈B'} 2^{j-1} > T + n²Δ.
 Then, for all A' ⊆ A, we have w(A') + w(B') ≥ w(B') ≥ ∑_{j∈B'} 2^{j-1} n²Δ > T. Hence B' does not contribute any pairs (A', B').

 Case 3: otherwise, ∑_{j∈B'} 2^{j-1} ∈ (T 2^{i*} (n + n²)Δ, T + n²Δ].
- Case 3: otherwise, $\sum_{j \in B'} 2^{j-1} \in (T-2^{i^*}-(n+n^2)\Delta, T+n^2\Delta]$. This interval has length $2^{i^*}+(n+n^2)\Delta+n^2\Delta \leq 2\cdot 2^{i^*}$ by our choice of i^* . Since $\sum_{j \in B'} 2^{j-1}$ is a multiple of 2^{i^*} in this interval, it has at most two possibilities, namely $2^{i^*} \cdot \lfloor \frac{T-(n+n^2)\Delta}{2^{i^*}} \rfloor$ and $2^{i^*} \cdot \left(\lfloor \frac{T-(n+n^2)\Delta}{2^{i^*}} \rfloor + 1\right)$, and then B' is uniquely determined by the binary decomposition of $\sum_{j \in B'} 2^{j-1}$. For each possible B', we count the number of $A' \subseteq A$ such that $w(A') \leq T - w(B')$ using meet-in-middle (Lemma 3) with time complexity $O^*(2^{|A|/2}) = O^*(2^{i^*/2}) = O^*(\sqrt{\Delta})$ by the definition of i^* .

Note that in $O^*(1)$ time we can easily find the (at most two) subsets B' satisfying Case 3, and also count the total contribution of Case 1. Hence the overall time complexity is $O^*(\sqrt{\Delta})$.

Using Lemma 7 we can solve Pigeonhole Equal Sums using binary search, in the same way as described in the last paragraph of Section 2. The running time is $O^*(\sqrt{\Delta})$. This finishes the proof of Lemma 4.

3.2 Large d case via subsampling

In this section we prove Lemma 5. Assume $2^{n/2} \le \Delta \le d < 2^n$, and Δ is known. We first use $d = \sum_{0 \le t < 2^n} \max\{0, f_t - 1\}$ (Equation (3)) to show that many subset sums t have large f_t , which then allows us to use subsampling to speed up the modular dynamic programming approach of [1, 3].

▶ **Lemma 8.** There exists $a \ j \in \{0, 1, ..., n-1\}$ such that $\#\{t : f_t > 2^j\} > \frac{\Delta}{2^{j+1}n}$.

Proof. By definition of d in Equation (3),

$$\Delta \le d = \sum_{t: f_t > 1} (f_t - 1) \le \sum_{0 \le j < n} \#\{t : 2^j < f_t \le 2^{j+1}\} \cdot (2^{j+1} - 1). \tag{9}$$

If the claimed inequality fails for all j, then

[RHS of Equation (9)]
$$\leq \sum_{0 \leq j \leq n} \frac{\Delta}{2^{j+1}n} \cdot (2^{j+1} - 1) < \Delta,$$

a contradiction.

Our algorithm enumerates all $j \in \{0, 1, ..., n-1\}$ (increasing the time complexity by a factor of $n = O^*(1)$), and from now on we assume j satisfies the inequality in Lemma 8. Define

$$h := 2^j + 1 \ge 2, \quad m := \left\lceil \frac{\Delta}{2^{j+1}n} \right\rceil > \frac{\Delta}{2hn}, \quad \text{and } X := \{t \in [2^n] : f_t \ge h\}.$$
 (10)

Here we defined the set X of frequent subset sums only for the sake of analysis. By Lemma 8,

$$|X| \ge m. \tag{11}$$

Readers are encouraged to focus on the case of h = 2 and $m \ge \Omega^*(\Delta)$ (which is the hardest case for our algorithm) at first read.

We first describe the behavior of our algorithm: Let $p \in [P, 2P]$ be a uniformly random prime (for some parameter $2 \le P \le 2m$ to be determined later in the "Time complexity" paragraph). For each $r \in \mathbb{Z}_p$, define bin $B_r := \{S \subseteq [n] : w(S) \equiv r \pmod{p}\}$. The algorithm picks a random bin index $r^* \in \mathbb{Z}_p$, and subsamples $C \subseteq B_{r^*}$ by keeping each $S \in B_{r^*}$ with probability α independently (for some $0 < \alpha \le \frac{1}{2h}$ to be determined later in the "Success probability" paragraph). Finally, a pair of distinct $S, S' \in C$ with w(S) = w(S') is reported (if exists).

Now we explain how to implement the algorithm above via dynamic programming (DP) similarly to [1, 3]. Build the DP table $D_{i,r} = \#\{S \subseteq [i] : w(S) \equiv r \pmod{p}\}$ (where $0 \le i \le n$ and $r \in \mathbb{Z}_p$) in $O^*(p)$ overall time via the transition $D_{i,r} = D_{i-1,r} + D_{i-1,(r-w_i) \bmod p}$ with initial values $D_{0,r} = \mathbf{1}[r=0]$. This DP computes the size of every bin $|B_r| = D_{n,r}$. Furthermore, for any bin B_r and integer $k \in [|B_r|]$, we can report the rank-k set S in B_r (in lexicographical order, where larger indices are compared first) by backtracing in the DP table in $O^*(1)$ time. Then, in order to subsample a collection of sets $C \subseteq B_{r^*}$ at rate α , we can first subsample their ranks in $[|B_{r^*}|]$ (in near-linear time in the output size, see e.g., [10]), and then recover the actual sets by backtracing.

Success probability

We study how the frequent subset sums, $X = \{t : f_t \ge h\}$, are distributed to the bins modulo a random prime p, using an argument similar to [3]. Setting

$$k := \left\lceil \frac{m}{4P} \right\rceil,\tag{12}$$

the following lemma shows that the bin B_{r^*} receives at least k frequent subset sums, with $\Omega^*(1)$ probability.

▶ **Lemma 9.** With at least $\Omega(1/n)$ probability over the choice of prime $p \in [P, 2P]$ and $r^* \in \mathbb{Z}_p$, there are at least k integers $t \in \mathbb{N}$ such that $\#\{S \in B_{r^*} : w(S) = t\} \geq h$.

Proof. Since $|X| \ge m$ by Equation (11), we arbitrarily pick $X' \subseteq X$ with |X'| = m for the sake of analysis. Let $c_{r,p} := \{t \in X' : t \equiv r \pmod{p}\}$. Then,

$$\begin{split} \underset{p \in [P,2P]}{\mathbf{E}} \left[\sum_{r \in \mathbb{Z}_p} c_{r,p}^2 \right] &= \sum_{x \in X', y \in X'} \underset{p \in [P,2P]}{\mathbf{Pr}} [p \mid x - y] \\ &\leq m + m^2 \cdot \frac{\log_P 2^n}{\Omega(P/\ln P)} \quad \text{(by } |x - y| \leq 2^n \text{ and the density of primes)} \\ &\leq O(n \cdot m^2/P). \quad \text{(by the assumption that } P \leq 2m) \end{split}$$

Then by Markov's inequality, with 0.9 success probability over the choice of p, we have $\sum_{r \in \mathbb{Z}_p} c_{r,p}^2 \leq O(n \cdot m^2/P)$. Conditioned on this happening, by Cauchy–Schwarz inequality we have

$$\sum_{r \in \mathbb{Z}_p} \mathbf{1}[c_{r,p} \ge \frac{m}{2p}] \ge \frac{\left(\sum_{r \in \mathbb{Z}_p} \mathbf{1}[c_{r,p} \ge \frac{m}{2p}] \cdot c_{r,p}\right)^2}{\sum_{r \in \mathbb{Z}_p} c_{r,p}^2} \\
\ge \frac{\left(\left(\sum_{r \in \mathbb{Z}_p} c_{r,p}\right) - p \cdot \frac{m}{2p}\right)^2}{O(n \cdot m^2/P)} = \frac{(|X'| - m/2)^2}{O(n \cdot m^2/P)} = \frac{(m/2)^2}{O(n \cdot m^2/P)} = \Omega(P/n),$$

and hence, by our choice of $k = \left\lceil \frac{m}{4P} \right\rceil \leq \left\lceil \frac{m}{2p} \right\rceil$,

$$\Pr_{r^* \in \mathbb{Z}_p} [c_{r^*,p} \ge k] \ge \Pr_{r^* \in \mathbb{Z}_p} [c_{r^*,p} \ge \frac{m}{2p}] \ge \frac{\Omega(P/n)}{p} = \Omega(1/n).$$

Conditioned on $c_{r^*,p} \geq k$ happening, we have at least k integers $t \in X' \subseteq X$ such that $t \equiv r^* \pmod{p}$. By definitions of B_{r^*} and X, this implies that there are at least k integers $t \in \mathbb{N}$ such that $\#\{S \in B_{r^*} : w(S) = t\} \geq h$, with overall success probability at least $0.9 \cdot \Omega(1/n) = \Omega(1/n)$ over the choice of p and r^* .

Recall our algorithm subsamples $C \subseteq B_{r^*}$ at rate $\alpha \in (0, \frac{1}{2h}]$, and fails iff w(S) are distinct for all $S \in C$. The failure probability of this step can be derived from the following lemma:

▶ **Lemma 10.** Let B' be a collection of kh colored balls $(h \ge 2, k \ge 1)$, with exactly h balls of color i for each color $i \in [k]$. Let $C' \subseteq B'$ be an i.i.d. subsample at rate $\alpha \in [0, \frac{1}{2h}]$. Then C' contains distinct colors with at most $\exp(-kh(h-1)\alpha^2/4)$ probability.

Proof. For each color $i \in [k]$, by Bernoulli's inequality, the probability that C' includes exactly two balls of color i is $\binom{h}{2}\alpha^2(1-\alpha)^{h-2} \geq \binom{h}{2}\alpha^2\left(1-(h-2)\alpha\right) \geq \binom{h}{2}\alpha^2/2$. Hence, the probability that C' includes at most one ball of every color $i \in [k]$ is at most $\left(1-\binom{h}{2}\alpha^2/2\right)^k \leq \exp\left(-k\binom{h}{2}\alpha^2/2\right) = \exp(-kh(h-1)\alpha^2/4)$.

We think of each set $S \in B_{r^*}$ as a ball of color w(S), and apply Lemma 10 to the k integers (colors) $t \in \mathbb{N}$ ensured by Lemma 9, each having at least h sets (balls) $S \in B_{r^*}$ with w(S) = t. We set the sample rate to be

$$\alpha := \frac{1}{2h\sqrt{k}} \le \frac{1}{2h}.\tag{13}$$

Then the failure probability of the subsampling step is at most

$$\exp(-kh(h-1)\alpha^2/4) = \exp(-\frac{h-1}{16h}) \le \exp(-1/32).$$

Overall, the probability that the algorithm successfully finds a solution is at least $\Omega(1/n) \cdot (1 - \exp(-1/32)) \ge \Omega(n^{-1})$.

Time complexity

The mod-p DP runs in $O^*(p) \leq O^*(P)$ time. Since the bins have total size $\sum_{r \in \mathbb{Z}_p} |B_r| = 2^n$, the chosen bin B_{r^*} has expected size $\mathbf{E}_{r^* \in \mathbb{Z}_p}[|B_{r^*}|] = 2^n/p \leq 2^n/P$, and hence the subsample $C \subseteq B_{r^*}$ has expected size $\mathbf{E}[|C|] \leq \alpha 2^n/P$. To detect a solution $S, S' \in C$ with w(S) = w(S'), we simply sort C in near-linear time. Hence the total expected running time is $O^*(P + \alpha 2^n/P)$. By Markov's inequality, with probability at least $1 - n^{-10}$, the algorithm terminates in $O^*(P + \alpha 2^n/P)$ time. By a union bound, the algorithm successfully finds a solution in time $O^*(P + \alpha 2^n/P)$ with probability at least $\Omega(n^{-1}) - n^{-10} \geq \Omega(n^{-1})$. This success probability can be boosted to 0.99 by repeating the algorithm O(n) times.

Recall from Equations (12) and (13) that $\alpha = \frac{1}{2h\sqrt{k}} = \frac{1}{2h\sqrt{\lceil m/4P \rceil}} \leq \frac{\sqrt{P}}{h\sqrt{m}}$, so the run time is (ignoring poly(n) factors)

$$P + \alpha 2^n / P \le P + \frac{2^n}{h\sqrt{mP}}.$$

Recall $h=2^j+1$ (where $0 \leq j \leq n-1$) and $m=\lceil \frac{\Delta}{2^{j+1}n} \rceil$, and hence $hm < h(1+\frac{\Delta}{2^{j+1}n}) \leq h+\frac{\Delta}{n} < (2^{n-1}+1)+\frac{2^n}{n} \leq 2^n$ (assuming $n \geq 3$). Now we set

$$P:=2m\cdot \min\left\{1, \big(\frac{2^n}{hm^2}\big)^{2/3}\right\},$$

and we first need to verify the requirement $2 \le P \le 2m$ introduced earlier: The upper bound is obvious. To see the lower bound, note that $2m \ge 2$ and $2m \cdot \left(\frac{2^n}{hm^2}\right)^{2/3} = 2\left(\frac{2^{2n}}{h^2m}\right)^{1/3} \ge 2\left(\frac{2^{2n}}{(hm)^2}\right)^{1/3} \ge 2$ (using the inequality $hm \le 2^n$ we just showed).

Hence, the overall running time is at most (ignoring poly(n) factors)

$$P + \frac{2^{n}}{h\sqrt{mP}} \leq 2m \left(\frac{2^{n}}{hm^{2}}\right)^{2/3} + \frac{2^{n}}{h\sqrt{m \cdot 2m}} \cdot \max\left\{1, \left(\frac{hm^{2}}{2^{n}}\right)^{1/3}\right\}$$

$$= 2 \cdot \frac{2^{2n/3}}{h^{2/3}m^{1/3}} + \frac{1}{\sqrt{2}} \max\left\{\frac{2^{n}}{hm}, \frac{2^{2n/3}}{h^{2/3}m^{1/3}}\right\}$$

$$\leq O\left(\frac{2^{2n/3}}{h^{2/3}m^{1/3}} + \frac{2^{n}}{hm}\right)$$

$$\leq O^{*}\left(\frac{2^{2n/3}}{h^{1/3}\Delta^{1/3}} + \frac{2^{n}}{\Delta}\right) \qquad \text{(by } hm > \frac{\Delta}{2^{n}} \text{ from Equation (10))}$$

$$\leq O^{*}\left(\frac{2^{2n/3}}{\Delta^{1/3}}\right). \qquad \text{(by } h > 1 \text{ and the assumption that } \Delta \geq 2^{n/2})$$

This finishes the proof of Lemma 5.

4 A polynomial-space algorithm

We now consider $\operatorname{poly}(n)$ -space algorithms for Pigeonhole Equal Sums. The straightforward binary search approach (described at the end of Section 2) can be adapted to run in $O^*(2^n)$ time and $\operatorname{poly}(n)$ space: instead of using meet-in-middle (Lemma 3, which requires large space), we count the number of valid subsets $S \subseteq [n]$ by brute force in $O^*(2^n)$ time and only $\operatorname{poly}(n)$ space.

We improve this $O^*(2^n)$ running time using the ideas from earlier sections. Again, consider two cases depending on whether parameter d from Equation (3) is small or large.

▶ Lemma 11. Given parameter $\Delta \leq 2^n/(3n^2)$, Pigeonhole Equal Sums with $d \leq \Delta$ can be solved deterministically in poly(n) space and $O^*(\Delta)$ time.

Proof Sketch. The proof is almost the same as Lemma 4 (see Section 3.1), with the only difference in Case 3 from the proof of Lemma 7: instead of using meet-in-middle, here we count the valid subsets $A' \subseteq A$ by brute force in $O^*(2^{|A|}) = O^*(2^{i^*}) = O^*(\Delta)$ time and only poly(n) space.

To solve the large d case, we need the low-space element distinctness algorithm by Beame, Clifford, and Machmouchi [7] (generalized in [6], and with a non-standard assumption removed by [11, 16]). This algorithm was also previously used for Subset Sum [6] and Equal Sums [17]. The following statement can be inferred from [11, Section 4.2 (proof of Theorem 1.1)].

▶ Theorem 12 (Low-space Element Distinctness, [7, 6, 11]). Given random access to an integer list a_1, \ldots, a_N (where $a_i \in [\operatorname{poly}(N)]$) that contains at least one pair $(i, j) \in [N] \times [N]$ with $a_i = a_j, i \neq j$, there is a randomized algorithm that reports such a pair using poly $\log N$ working memory and

$$O\left(\frac{N\sqrt{F_2}}{F_2 - N} \cdot \operatorname{poly} \log N\right)$$

time, where $F_2 = \sum_{i=1}^{N} \sum_{j=1}^{N} \mathbf{1}[a_i = a_j] \in [N+2, N^2].^3$

▶ **Lemma 13.** Given parameter $1 \le \Delta \le 2^n$, Pigeonhole Equal Sums with $d \ge \Delta$ can be solved in $O^*(2^{1.5n}/\Delta)$ time and poly(n) space by a randomized algorithm.

Proof. Apply Theorem 12 to the list $\{w(A)\}_{A\subseteq[n]}$ of length $N=2^n$ and we obtain a pair of distinct $A, A'\subseteq[n]$ with w(A)=w(A') as desired. The space complexity is $\operatorname{poly}\log(2^n)=\operatorname{poly}(n)$. To analyze the time complexity, note that

$$F_2 - 2^n = \sum_{A \subseteq [n]} \sum_{\substack{B \subseteq [n] \\ B \supseteq tA}} \mathbf{1}[w(A) = w(B)] = \sum_{0 \le t < 2^n} f_t(f_t - 1) \ge \sum_{0 \le t < 2^n} \max\{0, f_t - 1\} \stackrel{\text{Eq. (3)}}{=} d \ge \Delta,$$

so the time bound is (ignoring poly(n) factors)

$$\frac{2^n \sqrt{F_2}}{F_2 - 2^n} < \frac{2^{0.5n} F_2}{F_2 - 2^n} = 2^{0.5n} \left(1 + \frac{2^n}{F_2 - 2^n} \right) \le 2^{0.5n} \left(1 + \frac{2^n}{\Delta} \right) \le \frac{2 \cdot 2^{1.5n}}{\Delta}$$

as claimed.

We have $F_2 \ge N+2$ due to the following (N+2) pairs: $(1,1),(2,2),\ldots,(N,N)$ and (i,j),(j,i), where $a_i=a_j$ $(i \ne j)$.

Combining the two lemmas gives the desired result.

Proof of Theorem 2. Set $\Delta = 2^{0.75n}$ so that the two time bounds in Lemma 11 and Lemma 13 are balanced to $O^*(2^{0.75n})$. Given an instance of Pigeonhole Equal Sums (with unknown d), we run both algorithms in parallel, and return the answer of whichever terminates first.

5 Open problems

Allcock et al. [1] proposed a modular variant of the Pigeonhole Equal Sums problem: given integers w_1, \ldots, w_n and a modulus $m \leq 2^n - 1$, find two distinct subsets $A, B \subseteq [n]$ such that $\sum_{i \in A} w_i \equiv \sum_{i \in B} w_i \pmod{m}$. They obtained a $O^*(2^{n/2})$ -time algorithm for this problem. Can this result be improved as well?

Can we obtain faster algorithms for other problems in PPP (e.g., [5, 21])?

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