# **PACE Solver Description: Exact Solution of the One-Sided Crossing Minimization Problem by the MPPEG Team**

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#### **Abstract**

This is a short description of our solver oscm submitted by our team MPPEG to the PACE 2024 challenge both for the exact track and the parameterized track, available at [https://github.com/](https://github.com/pauljngr/PACE2024) [pauljngr/PACE2024](https://github.com/pauljngr/PACE2024) [\[9\]](#page-3-0) and <https://doi.org/10.5281/zenodo.11546972> [\[8\]](#page-3-1).

**2012 ACM Subject Classification** Mathematics of computing → Combinatorial optimization; Theory of computation  $\rightarrow$  Mathematical optimization; Theory of computation  $\rightarrow$  Parameterized complexity and exact algorithms; Human-centered computing  $\rightarrow$  Graph drawings

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**Supplementary Material**

*Software (Source Code)*: <https://github.com/pauljngr/PACE2024> [\[9\]](#page-3-0) *Software (Source Code)*: <https://doi.org/10.5281/zenodo.11546972> [\[8\]](#page-3-1)

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# <span id="page-0-0"></span>**1 Method**

We apply the approach to the one-sided crossing minimization problem presented in [\[10\]](#page-3-2). This article is surveyed by Patrick Healy and Nikola S. Nikolov in Chapter 13.5 of the Handbook of Graph Drawing and Visualization [\[7\]](#page-3-3) that is recommended on the PACE 2024 web page [\[13\]](#page-3-4). The method consists of a transformation of a one-sided crossing minimization instance to an instance of the linear ordering problem that is solved by branch&cut as introduced in [\[4\]](#page-3-5) and [\[5\]](#page-3-6). We also use problem decomposition and reduction techniques as well as a heuristic for finding a good initial solution. With the required brevity, we give a rough sketch of the major details.

The instances of the PACE 2024 challenge problem consist of a bipartite graph  $G =$  $(T \cup B, E)$  and a fixed linear ordering  $\pi_T = \langle t_1, t_2, \ldots, t_m \rangle$  of *T* ("the top nodes"). In the exact track and the parameterized track, the task is to find a linear ordering  $\pi_B$  of  $B = \{b_1, b_2, \ldots, b_n\}$  ("the bottom nodes") such that the number of edge crossings in a straight-line drawing of *G* with *T* and *B* on two parallel lines, following their linear orderings, is provably minimum. The NP-hardness of this task has been shown in [\[2\]](#page-3-7).



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## **27:2 PACE Solver Description by the MPPEG Team**

For a linear ordering  $\pi_B$  of *B* let

$$
x_{ij} = \begin{cases} 1 & \text{if } b_i \text{ appears before } b_j \text{ in } \pi_B, \\ 0 & \text{otherwise.} \end{cases}
$$

For  $i, j \in \{1, 2, \ldots, n\}$  let  $c_{ii} = 0$ , and for  $i \neq j$  let  $c_{ij}$  denote the number of crossings between the edges incident to  $b_i$  with the edges incident to  $b_j$  if  $b_i$  appears before  $b_j$  in  $\pi_B$ . Then the number of crossings induced by  $\pi_B$  is

$$
\operatorname{cr}(\pi_B) = \sum_{i=1}^n \sum_{j=1}^n c_{ij} x_{ij}.
$$

Since for any pair  $b_i \neq b_j$  in *B* we have  $x_{ji} = 1 - x_{ij}$ , we can reduce the number of variables to  $\binom{n}{2}$  and obtain

$$
\operatorname{cr}(\pi_B) = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} c_{ij} x_{ij} + c_{ji} (1 - x_{ij}) = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} (c_{ij} - c_{ji}) x_{ij} + \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} c_{ji}.
$$

For  $a_{ij} = c_{ij} - c_{ji}$  we solve the *linear ordering problem* as the following binary linear program, based on the complete digraph *D* with node set *B*.

(LO) minimize 
$$
\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} a_{ij} x_{ij}
$$
  
\nsubject to 
$$
\sum_{\substack{(b_i, b_j) \in C:\\ij}} (1 - x_{ji}) \le |C| - 1 \text{ for all circles } C \text{ in } D
$$
  
\nfor  $1 \le i < j \le n$   
\nfor  $1 \le i < j \le n$   
\nfor  $1 \le i < j \le n$   
\nfor  $1 \le i < j \le n$ .

If *z* is the optimum value of (LO),  $z + \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} c_{ji}$  is the minimum number of crossings. Notice that the classical linear ordering formulation [\[4,](#page-3-5) [5\]](#page-3-6) uses constraints for cycles of length three only. However, in our approach we also need longer cycles, since we remove some of the arcs as we shall describe in Section [2.](#page-1-0) The constraints of  $(LO)$  guarantee that the solutions correspond precisely to all permutations  $\pi_B$  of *B*. Furthermore, it can be shown that for complete digraphs the "3-cycle constraints" are necessary in any minimal description of the feasible solutions by linear inequalities, if the integrality conditions are dropped. The NP-hardness of the problem makes it unlikely that such a complete linear description can be found. Further classes of inequalities with a number of members exponential in *n* that must be present in a complete linear description of the feasible set, are known, and some of them can be exploited algorithmically. Indeed, small Möbius-ladder constraints, the one shown in Figure 3 of [\[4\]](#page-3-5), as well as the same in which all arcs are reversed, have been found useful in this crossing minimization context.

# <span id="page-1-0"></span>**2 Algorithm and Implementation**

When the integrality conditions in (LO) are dropped, we obtain a linear programming relaxation of (LO) which has been proven very useful in practical applications. The structure of our branch&cut algorithm oscm ("**o**ne-**s**ided **c**rossing **m**inimization") is similar to the one proposed in [\[4\]](#page-3-5). The algorithm starts with the trivial constraints  $0 \le x_{ij} \le 1$  that are

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handled implicitly by the linear programming solver, iteratively adds violated cycle and Möbius-ladder constraints, and deletes nonbinding constraints after a linear program has been solved, until the relaxation is solved. This requires a *separation* algorithm that, given the solution of some relaxation, is able to determine a violated inequality called *cutting plane*. If the optimum solution of the relaxation is integral, the algorithm stops, otherwise it is applied recursively to two subproblems in one of which a fractional  $x_{ij}$  is set to 1 and in the other set to 0. Thus, in the end, an optimum solution is found as the solution of some relaxation, along with a proof of optimality.

oscm makes use of the following observations, some of which stem from the literature in fixed-parameter algorithms for one-sided crossing minimization. Lemma [1](#page-2-0) allows us to decompose the given instance. Within the components, we can fix and eliminate variables from (LO) by Lemma [2,](#page-2-1) and we can exclude variables  $x_{ij}$  with  $a_{ij} = 0$  from (LO) by Lemma [3.](#page-2-2)

<span id="page-2-0"></span>▶ **Lemma 1** (Decomposition). For each node  $v \in B$ , we define the open interval  $I_v = |l_v, r_v|$ , *where*  $l_v$  *is the position of the leftmost and*  $r_v$  *the position of the rightmost neighbor of v in*  $\pi_T$ *. The union of the intervals*  $I_v$  *induces a partition*  $B_1, B_2, \ldots, B_k$  *of B such that every*  $I_{B_i} = \bigcup_{v \in B_i} I_v$ ,  $i = 1, ..., k$ , is an interval, and for any pair  $B_i, B_j$  the intervals  $I_{B_i}$  and  $I_{B_j}$  are disjoint. In every optimum  $\pi_B$  all the nodes of  $B_i$  appear before those of  $B_j$  if  $I_{B_i}$  is *to the left of*  $I_{B_j}$ .

Indeed, 51 of the 100 exact-public instances have between 2 and 154 components.

<span id="page-2-1"></span>▶ **Lemma 2** (Variable fixing [\[1\]](#page-3-8)). *If for any pair of nodes*  $b_i, b_j \in B$ *, we have*  $c_{ij} = 0$  *and*  $c_{ji} > 0$ , then every optimal solution of (LO) satisfies  $x_{ij} = 1$ , if  $i < j$ , and  $x_{ji} = 0$ , if  $i > j$ .

<span id="page-2-2"></span> $\blacktriangleright$  **Lemma 3** (Arbitrary ordering). Let  $\pi_B^{(p)}$  be a partial ordering induced by the variables  $x_{ij}$ *with*  $a_{ij} \neq 0$ , then there exist values  $x_{ij} \in \{0,1\}$  for  $a_{ij} = 0$  defining a total ordering  $\pi_B$  of B with no effect to the objective function value. This assignment can be found by topologically *sorting B with respect to*  $\pi_B^{(p)}$ *.* 

This setup has the advantage that (sometimes considerably) smaller linear programs need to be solved, but, on the other hand, separation becomes more involved. In order to obtain an optimal partial ordering  $\pi_B^{(p)}$  of *B* using the variables left in (LO), we need to include cycle constraints for larger cycles as already mentioned in Section [1.](#page-0-0)

For computational efficiency, oscm has a hierarchy of separation procedures. The first for 3-dicycles is based on depth first search. The second for dicycles of length at least 4 with integral weights is also based on depth first search. Violated dicycles are shortened via breadth first search, restricted to the cycle nodes, starting from back arcs of the preceding depth first search. The third applies shortest path techniques for separation of cycles containing fractional arcs as described for the related acyclic subdigraph problem in section 5 of [\[6\]](#page-3-9). First, the above separation procedures are applied on the graph containing only the arcs present in (LO). If all of the above do not find any violated inequalities, oscm extends the search to the fixed arcs. After separation, the linear program is resolved using the dual simplex method providing the same or a better lower bound on the minimum number of crossings. If the progress compared to the previous bound is small for a sequence of such lower bounds, oscm applies a heuristic for finding violated Möbius ladder inequalities, and if this does not lead to a significant improvement, the branch&cut phase is started.

Whenever a linear program has been solved, it is checked by topological sorting if the solution is the characteristic vector of a linear ordering. If not, a relaxed topological sorting procedure is applied in the pursuit of finding a better incumbent solution that provides an upper bound for the minimum number of crossings. oscm stops when the (integral) upper bound and the (possibly fractional) lower bound differ by less than 1, proving optimality.

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For small instances, oscm applies a variant of the heuristic "Kernighan-Lin 2" of [\[12\]](#page-3-10) for finding a decent initial solution before the optimization starts.

# **3 Performance**

Our program oscm, published in [\[9\]](#page-3-0) and [\[8\]](#page-3-1), consists of roughly 3500 lines of  $C/C++$  code. It makes use of the coin-or [\[11\]](#page-3-11) Cbc library, version 2.10.7 [\[3\]](#page-3-12).

We submitted oscm both to the exact track and the parameterized track of PACE 2024. In the official ranking, oscm received the first place in the exact track with 199 of the 200 instances instances solved in about 5682 seconds, and the third place in the paramaterized track with all 200 instances solved in about 25 seconds.

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