


# The Converse of the Real Orthogonal Holant Theorem

Ben Young<sup>1</sup> 

Department of Computer Sciences, University of Wisconsin-Madison, WI, USA

---

## Abstract

The Holant theorem is a powerful tool for studying the computational complexity of counting problems. Due to the great expressiveness of the Holant framework, a converse to the Holant theorem would itself be a very powerful *counting indistinguishability theorem*. The most general converse does not hold, but we prove the following, still highly general, version: if any two sets of real-valued signatures are Holant-indistinguishable, then they are equivalent up to an orthogonal transformation. This resolves a partially open conjecture of Xia (2010). Consequences of this theorem include the well-known result that homomorphism counts from all graphs determine a graph up to isomorphism, the classical sufficient condition for simultaneous orthogonal similarity of sets of real matrices, and a combinatorial characterization of sets of simultaneously orthogonally decomposable (odeco) symmetric tensors.

**2012 ACM Subject Classification** Mathematics of computing → Combinatorics; Mathematics of computing → Graph theory; Theory of computation → Problems, reductions and completeness

**Keywords and phrases** Holant, Counting Indistinguishability, Odeco

**Digital Object Identifier** 10.4230/LIPIcs.ICALP.2025.136

**Category** Track A: Algorithms, Complexity and Games

**Related Version** *Full Version*: <https://arxiv.org/abs/2409.06911> [43]

**Acknowledgements** The author thanks Jin-Yi Cai for helpful discussions and Ashwin Maran for his suggestion which improved the proof of Theorem 27. The author also thanks Guus Regts for pointing out reference [32].

## 1 Introduction

### Holant problems

Holant problems were introduced by Cai, Lu, and Xia [12] as a highly expressive framework for studying the computational complexity of counting problems. The problem  $\text{Holant}(\mathcal{F})$  is defined by a set  $\mathcal{F}$  of *signatures*, where a signature  $F$  of *arity*  $n$  on *domain*  $[q] := \{0, 1, \dots, q-1\}$  is a tensor in  $(\mathbb{C}^q)^{\otimes n}$ , or equivalently a function  $[q]^n \rightarrow \mathbb{C}$ . Given a *signature grid*  $\Omega$  – a multigraph in which every degree- $n$  vertex is assigned a  $n$ -ary signature from  $\mathcal{F}$  – the problem is to compute the *Holant value* of  $\Omega$ , which is the value of the contraction of  $\Omega$  as a tensor network (see Subsection 2.1 for formal definitions). For various  $\mathcal{F}$ ,  $\text{Holant}(\mathcal{F})$  captures a wide variety of natural counting problems on graphs, including counting partial or perfect matchings, graph homomorphisms, proper vertex or edge-colorings, or Eulerian orientations. Major complexity dichotomies classifying  $\text{Holant}(\mathcal{F})$  as either polynomial-time tractable or  $\#P$ -hard, depending on  $\mathcal{F}$ , have been proved for various combinations of restrictions on  $\mathcal{F}$  – for example, requiring that the signatures in  $\mathcal{F}$  be real- or nonnegative-real-valued, symmetric (invariant under reordering of their inputs), or on the Boolean domain  $q = 2$  [22, 9, 7, 23, 35].

---

<sup>1</sup> Corresponding author



© Ben Young;  
licensed under Creative Commons License CC-BY 4.0

52nd International Colloquium on Automata, Languages, and Programming (ICALP 2025).

Editors: Keren Censor-Hillel, Fabrizio Grandoni, Joël Ouaknine, and Gabriele Puppis

Article No. 136; pp. 136:1–136:20



Leibniz International Proceedings in Informatics

Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany



Holant problems were motivated by Valiant’s technique of *holographic transformations* [39]. In particular, Valiant’s *Holant theorem* (Theorem 7 below) states roughly that two pairs of signature sets  $(\mathcal{F}, \mathcal{F}')$  and  $(\mathcal{G}, \mathcal{G}')$  equivalent up to a certain linear transformation are *Holant-indistinguishable*, meaning that every bipartite signature grid  $\Omega$  has the same Holant value whether its vertices are assigned signatures from  $\mathcal{F} \cup \mathcal{F}'$  or from  $\mathcal{G} \cup \mathcal{G}'$ . Many problems which do not otherwise appear tractable are in fact tractable under a Holographic transformation to a known tractable problem [38]. Xia [41] conjectured the converse of the Holant theorem: if  $(\mathcal{F}, \mathcal{F}')$  and  $(\mathcal{G}, \mathcal{G}')$  are Holant-indistinguishable, then they are equivalent up to linear transformation. Xia’s general conjecture is false (see Subsection 2.2), but one case highlighted by Xia was left open. This paper proves that case, which is as follows.

► **Theorem** (Theorem 9, informal). *Sets  $\mathcal{F}$  and  $\mathcal{G}$  of real-valued signatures are equivalent under a real orthogonal transformation if and only if  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable.*

### Vertex and edge coloring models

This work uses and generalizes ideas from the theory of vertex coloring models and edge coloring models, two well-studied classes of Holant problems. De la Harpe and Jones [14] defined vertex and edge coloring models as extensions of statistical mechanics models (e.g. the Ising model), calling them “spin models” and “vertex models”, respectively. A vertex coloring model (also called a spin system) is defined by a graph  $X$  with edge and possibly vertex weights. Given an input graph  $K$ , one aims to compute the *partition function*, the number of (weighted) homomorphisms from  $K$  to  $X$ . As we discuss in Subsection 2.1, computing the partition function of a vertex coloring model without vertex weights is equivalent to  $\text{Holant}(A_X \cup \mathcal{EQ})$ , where  $A_X$  is the weighted adjacency matrix of  $X$  and  $\mathcal{EQ}$  is the set of *equality* signatures (we can model vertex weights by replacing  $\mathcal{EQ}$  with  $\mathcal{GEQ}$ , the set of weighted equalities). An edge coloring model is defined by a set  $\mathcal{F}$  of symmetric signatures containing exactly one signature of each arity, and the problem of computing its partition function is equivalent to  $\text{Holant}(\mathcal{F})$  (this restriction on  $\mathcal{F}$  ensures that edge coloring models take ordinary graphs, rather than signature grids, as input).

One thread of prior work on vertex and edge coloring models characterizes which graph parameters (scalar-valued functions defined on isomorphism classes of graphs) are expressible as vertex coloring models [20, 34] or as edge coloring models [37, 32, 16, 29]. Another, related, line of works compute the rank of *connection matrices* for vertex coloring models [25] and edge coloring models [28, 17]. See Regts’s thesis [30] for an overview of many of the above results. Following Freedman, Lovász, and Schrijver [20], these works all use some form of (labeled) *quantum graphs*, algebras of formal linear combinations of graphs equipped with labeled vertices or “half edges” incident to a single vertex. Each labeled quantum graph defines a tensor by evaluating its partition function when its labeled vertices are fixed to input values. All such constructions are special cases of our *quantum gadgets* below (see Definition 12). Many of these works also apply techniques from invariant theory, either of the symmetric group in the case of vertex coloring models [34], or, as in this work, of the orthogonal group  $O(q)$  in the case of edge-coloring models [37, 32, 16, 28, 17, 29].

### Counting Indistinguishability Theorems

Theorem 9 is a very general and powerful algebraic *counting indistinguishability theorem*. Such a theorem proves that two signatures, or sets of signatures, are indistinguishable as parameters for a counting problem if and only if they are equivalent under an algebraic transformation. These theorems exist for both vertex and edge coloring models, as well as

other counting problems [15, 21, 18]. Since the Holant framework captures a wide variety of counting problems, including both vertex and edge coloring models, many such theorems are special cases of Theorem 9 (see Section 4). If the counting problem in question is a generalized vertex coloring model ( $\text{Holant}(\mathcal{F} \cup \mathcal{EQ})$  for some  $\mathcal{F}$ ), then the algebraic transformation is isomorphism, and if the counting problem is, as in this work, a generalized edge coloring model, then it is orthogonal. The first counting indistinguishability theorem, proved by Lovász [26], states that two graphs are isomorphic if and only if they admit the same number of homomorphisms from all graphs. Much later, Lovász [25] extended this theorem to vertex coloring models with nonnegative real weights, followed by extensions to complex edge weights by Schrijver [34], and to weights from any field of characteristic zero by Cai and Govorov [8]. Young [42] extended Cai and Govorov’s proof to  $\#CSP$ , or  $\text{Holant}(\mathcal{F} \cup \mathcal{EQ})$  for any  $\mathcal{F}$ .

For edge coloring models, Schrijver [32] showed that  $\mathcal{F}$  and  $\mathcal{G}$  define indistinguishable real edge coloring models if and only if  $\mathcal{F}$  and  $\mathcal{G}$  are equivalent under a real orthogonal transformation. This is a special case of our Theorem 9. Schrijver’s proof exploits the specific nature of edge coloring models – that  $\mathcal{F}$  and  $\mathcal{G}$  consist of symmetric signatures and exactly one signature per arity – to transform input graphs into polynomials expressible in variables  $y_1, \dots, y_q$  (for  $\mathcal{F}$  and  $\mathcal{G}$  on domain  $[q]$ ), where a monomial with variable multiset  $\{y_{i_1}, \dots, y_{i_n}\}$  corresponds to the  $\{i_1, \dots, i_n\}$ -entry of the unique  $n$ -ary signature in  $\mathcal{F}$ . This enables the application of invariant-theoretic tools for polynomial rings. Another form of this result (allowing a complex orthogonal transformation) follows from Regts’s proof of [29, Lemma 5], which similarly encodes  $\mathcal{F}$  and  $\mathcal{G}$  as polynomials.

Holant-like indistinguishability theorems also arise in tensor network theory, where they are called *fundamental theorems*. It has been shown that two tensors are equivalent up to a *gauge transformation* (a concept similar to holographic transformation) if and only if they yield the same quantum state (roughly, Holant gadget signature) on every instance of certain vertex-regular tensor networks studied in quantum physics, e.g. PEPS tensor networks [1].

Mančinska and Roberson [27] introduced a new form of counting indistinguishability theorem, showing that two graphs are *quantum isomorphic* – an abstract relaxation of isomorphism – if and only if they admit the same number of homomorphisms from all planar graphs. Cai and Young [13] translated Mančinska and Roberson’s proof into the Holant framework and extended it to planar  $\#CSP$  (or  $\text{Pl-Holant}(\mathcal{F} \cup \mathcal{EQ})$ , where  $\text{Pl-Holant}$  restricts to planar signature grids), showing that real-valued  $\mathcal{F}$  and  $\mathcal{G}$  are planar- $\#CSP$ -indistinguishable iff they are quantum isomorphic.

### Odeco signature sets

A real-valued symmetric signature (tensor) is *orthogonally decomposable*, or *odeco* [31], if it is orthogonally transformable to a signature in  $\mathcal{GEQ}$ , the set of *generalized equality* signatures, which take nonzero values only when all of their inputs are equal. Hence odeco tensors generalize diagonalizable matrices. Call a set  $\mathcal{F}$  of signatures odeco if the signatures are simultaneously odeco (there is a single orthogonal transformation mapping  $\mathcal{F}$  into  $\mathcal{GEQ}$ ). In counting complexity, if  $\mathcal{F}$  is odeco, then  $\text{Holant}(\mathcal{F})$  is polynomial-time tractable, as  $\mathcal{F}$  maps into  $\mathcal{GEQ}$ , a trivially tractable set, under an orthogonal holographic transformation. Indeed, the tractability of *Fibonacci* signature sets [11] can, with one exception, be explained by such sets being simultaneously odeco (see e.g. [4, Section 2.2]). Fibonacci sets constitute almost all nontrivial tractable cases of  $\text{Holant}^*$  problems (an important variant of Holant which assumes the presence of all unary signatures) for symmetric signatures on the Boolean domain [12]. Odeco sets are a natural starting point for extending Fibonacci signatures to higher domains [24, 10], where no full complexity dichotomy for  $\text{Holant}^*$  is known.

Boralevi, Draisma, Horobeţ, and Robeva [2], resolving a conjecture of Robeva [31], showed using techniques from algebraic geometry that a single tensor  $F$  is odeco if and only if the signature of a certain  $F$ -gadget is symmetric. Using Theorem 9, we in Theorem 27 extend this characterization to sets of simultaneously odeco signatures:  $\mathcal{F}$  is odeco if and only if every connected  $\mathcal{F}$ -gadget has a symmetric signature. The latter condition is equivalent to the symmetry of the signatures of all gadgets in a set of small gadgets constructed from every pair of signatures in  $\mathcal{F}$ . Therefore, if  $\mathcal{F}$  is finite, our characterization yields a simple  $O(q^{2n-2}|\mathcal{F}|^2)$ -time algorithm (for  $\mathcal{F}$  on domain  $[q]$  with maximum arity  $n$ ) for deciding whether  $\mathcal{F}$  is odeco. This algorithm, and other potential bounded-time combinatorial algorithms using Theorem 9 to check whether there exists an orthogonal holographic transformation between two signature sets, could prove useful in making the tractability or intractability conditions of future higher-domain dichotomy theorems decidable. Our characterization also deepens the connection between Fibonacci and odeco signatures, as the original proof of tractability of any Fibonacci signature set  $\mathcal{F}$  [11] relied on the fact that every connected  $\mathcal{F}$ -gadget has a signature which is itself Fibonacci (in particular, is symmetric). One can view the (iii)  $\implies$  (ii) result in Theorem 27 as a general-domain version of this proof.

## Overview

The proof of Theorem 9 begins with a combinatorial-algebraic duality (Theorem 15) showing that combinatorial quantum  $\mathcal{F}$ -gadgets exactly capture all tensors invariant under the algebraic action of the group of orthogonal transformations stabilizing  $\mathcal{F}$ . The proof of Theorem 15 uses an invariant-theoretic result of Schrijver [33], and generalizes a proof of a similar result of Regts [28] for edge coloring models. Unifying the perspective of Regts with that of Mančinska and Roberson and Cai and Young [27, 13, 42], we find our proof of Theorem 15 analogous to proofs of similar results in the latter line of work (see [43, Remark 3.1]). However, Mančinska and Roberson and Cai and Young’s proofs of their counting indistinguishability theorems use *orbits* of the (quantum) symmetric group on the domain set  $[q]$ , which do not exist for the orthogonal group. Instead, we apply a novel method: induction on the domain size  $q$ . We show in Lemma 19 that, if  $\mathcal{F}$  and  $\mathcal{G}$  contain a nontrivial diagonal matrix (binary signature)  $D$ , then we can separate  $[q]$  into smaller subdomains and apply induction to complete the proof. Then we use Theorem 15 to show that, unless  $\mathcal{F}$  and  $\mathcal{G}$  are trivially transformable, we can add such a  $D$  to  $\mathcal{F}$  and  $\mathcal{G}$ .

In Section 4 we show that Theorem 9 encompasses a range of existing counting indistinguishability theorems, and yields some novel variations of these theorems. In Section 5 we show that Theorem 9 does not extend to complex-valued signatures, and conjecture an extension of the results of Mančinska and Roberson [27] and Cai and Young [13] to planar-Holant-indistinguishability and quantum orthogonal transformations. Our proof of Theorem 9, particularly the connections developed in [43, Section 3], is designed to be transformable into a proof of this conjecture; in Subsection 5.2, we discuss the remaining roadblocks to completing the proof.

## 2 Preliminaries, Background, and the Main Theorem

### 2.1 Holant Problems, Gadgets, and Signature Matrices

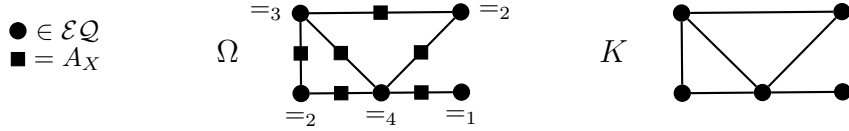
Let  $\mathbb{N}$  be the set of natural numbers, including 0. A *signature*  $F$  of finite *arity*  $n \in \mathbb{N}$  on finite *domain*  $V(F)$  is function  $V(F)^n \rightarrow \mathbb{C}$ . We will often take  $V(F) = [q] := \{0, 1, \dots, q-1\}$ , in which case we also view  $F$  as a tensor in  $(\mathbb{C}^q)^{\otimes n}$ . For  $\mathbf{x} = (x_1, \dots, x_n) \in V(F)^n$ , abbreviate  $F_{\mathbf{x}} := F(x_1, \dots, x_n) \in \mathbb{C}$ . Signature  $F$  is *symmetric* if its value depends only on the multiset of inputs, not on their order. Signatures in a set  $\mathcal{F}$  have a common domain, denoted  $V(\mathcal{F})$ .

For a signature set  $\mathcal{F}$ , a *signature grid* (or  $\mathcal{F}$ -grid)  $\Omega$  consists of an underlying multigraph with vertex set  $V$  and edge set  $E$ , and an assignment of a  $\deg(v)$ -ary signature  $F_v \in \mathcal{F}$  to each  $v \in V$ , along with an ordering  $e_1, \dots, e_{\deg(v)}$  of  $\delta(v)$  (the edges incident to  $v$ ) such that, if  $\sigma : E \rightarrow V(\mathcal{F})$  is an assignment of a value in  $V(\mathcal{F})$  to each edge of  $\Omega$ , then  $F_v$  evaluates to  $F_v(\sigma|_{\delta(v)}) := F_v(\sigma(e_1), \dots, \sigma(e_{\deg(v)}))$ . The problem  $\text{Holant}(\mathcal{F})$  is to compute the *Holant value*

$$\text{Holant}_\Omega = \text{Holant}_\Omega(\mathcal{F}) := \sum_{\sigma: E \rightarrow V(\mathcal{F})} \prod_{v \in V} F_v(\sigma|_{\delta(v)}). \quad (1)$$

The Holant value of a disconnected signature grid is the product of the Holant values of its connected components. For signature sets  $\mathcal{F}$  and  $\mathcal{F}'$ , define the problem  $\text{Holant}(\mathcal{F} \mid \mathcal{F}')$  on bipartite  $(\mathcal{F} \sqcup \mathcal{F}')$ -grids  $\Omega$ , with the vertices in the two bipartitions assigned signatures in  $\mathcal{F}$  and  $\mathcal{F}'$ , respectively.

For example, if  $\mathcal{F}$  is on the Boolean domain  $\{0, 1\}$  and consists of, for each arity  $n$ , a symmetric signature which evaluates to 1 on input strings of Hamming weight 1 and 0 on all other input strings, then  $\text{Holant}_\Omega(\mathcal{F})$  equals the number of perfect matchings in the multigraph underlying  $\Omega$ . For another example, let  $A_X \in \mathbb{R}^{q \times q}$  be the adjacency matrix of weighted graph  $X$ , and define the set  $\mathcal{EQ} = \{=_n \mid n \geq 1\}$  of *equality* signatures, where  $=_n(x_1, \dots, x_n)$  is 1 if  $x_1 = \dots = x_n$ , and is 0 otherwise. For an  $(A_X \mid \mathcal{EQ})$ -grid  $\Omega$ , let  $K$  be the graph resulting from ignoring (treating as edges) the degree-two vertices assigned  $A_X$  in the underlying graph of  $\Omega$ . Then the vertex coloring model  $\text{Holant}_\Omega(A_X \mid \mathcal{EQ})$  equals the number of graph homomorphisms from  $K$  to  $X$ . See Figure 1.



■ **Figure 1** The grid  $\Omega$  such that  $\text{Holant}_\Omega(A_X \mid \mathcal{EQ})$  counts homomorphisms from  $K$  to  $X$ .

Instead of viewing a signature  $F$  as a tensor in  $(\mathbb{C}^q)^{\otimes n}$  or function in  $\mathbb{C}^{[q]^n}$ , we can partition its inputs in two to view it naturally as a matrix.

► **Definition 1** ( $F^{m,d}, f$ ). For  $F \in (\mathbb{C}^q)^{\otimes n}$  and  $m, d \in \mathbb{N}$  with  $m + d = n$ , define the  $(m, d)$ -signature matrix, or flattening,  $F^{m,d} \in \mathbb{C}^{q^m \times q^d}$  of  $F$  by, for  $\mathbf{x} \in [q]^m$  and  $\mathbf{y} \in [q]^d$ ,

$$(F^{m,d})_{\mathbf{x}, \mathbf{y}} = F(x_0, \dots, x_{m-1}, y_{d-1}, \dots, y_0),$$

where we use  $[q^n] \cong [q]^n$  to index  $F^{m,d}$ . Write  $f = F^{n,0} \in \mathbb{C}^{q^n}$  – the signature vector of  $F$ .

We will often identify binary signatures in  $(\mathbb{C}^q)^{\otimes 2}$  with their 1, 1 signature matrices in  $\mathbb{C}^{q \times q}$ .

► **Definition 2** ( $\mathfrak{G}_{\mathcal{F}}, \mathfrak{G}_{\mathcal{F}}(m, d)$ ). For a signature set  $\mathcal{F}$ , an  $\mathcal{F}$ -gadget is a  $\mathcal{F}$ -grid equipped with an ordered set of dangling edges with zero or one endpoints. Define  $\mathfrak{G}_{\mathcal{F}}$  to be the set of all  $\mathcal{F}$ -gadgets, and  $\mathfrak{G}_{\mathcal{F}}(m, d) \subset \mathfrak{G}_{\mathcal{F}}$  to be the set of gadgets with  $m + d$  dangling edges  $\ell_0, \dots, \ell_{m-1}, r_{d-1}, \dots, r_0$  drawn with dangling ends in counterclockwise cyclic order around the gadget, with  $\ell_0, \dots, \ell_{m-1}$  and  $r_0, \dots, r_{d-1}$  on the left and right, respectively, from top to bottom.

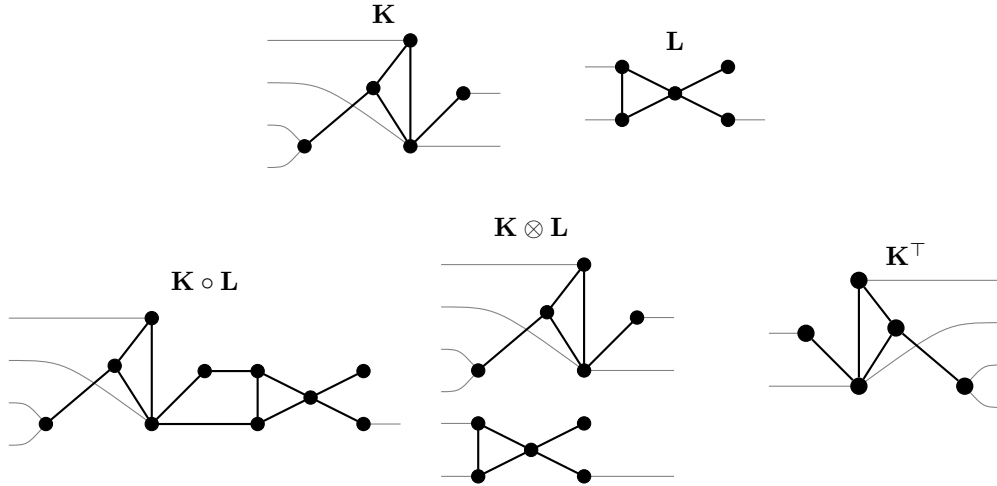
See Figure 2 for examples of gadgets. A gadget in  $\mathfrak{G}_{\mathcal{F}}(m, d)$  defines an  $(m + d)$ -ary signature in flattened form, with dangling edges representing inputs, as follows (cf. (1)):

► **Definition 3** ( $M(\mathbf{K})$ ). Define the signature matrix  $M(\mathbf{K}) \in \mathbb{C}^{q^m \times q^d}$  of  $\mathbf{K} \in \mathfrak{G}_{\mathcal{F}}(m, d)$  by

$$M(\mathbf{K})_{\mathbf{x}, \mathbf{y}} = \sum_{\substack{\sigma: E(\mathbf{K}) \rightarrow [q] \\ \forall i: \sigma(\ell_i) = x_i \\ \forall j: \sigma(r_j) = y_j}} \prod_{v \in V} F_v(\sigma|_{\delta(v)}) \quad \text{for } \mathbf{x} \in [q]^m \text{ and } \mathbf{y} \in [q]^d.$$

In other words,  $M(\mathbf{K})_{\mathbf{x}, \mathbf{y}}$  equals the Holant value of  $\mathbf{K}$  when the left and right dangling edges are fixed to the domain elements in  $\mathbf{x}$  and  $\mathbf{y}$ , respectively. For  $\mathbf{K} \in \mathfrak{G}_{\mathcal{F}}(m, d)$ , there is a unique  $F \in (\mathbb{C}^q)^{\otimes m+d}$ , the *signature* of  $\mathbf{K}$ , such that  $M(\mathbf{K}) = F^{m,d}$ . Note that  $F$  does not depend on the particular left/right partition (i.e. choice of  $m$  and  $d$ ) of a fixed cyclic order of  $\mathbf{K}$ 's dangling edges.

Define gadget operations  $\circ, \otimes, \top$ , illustrated in Figure 2 (see [43, Definition 2.4] for formal definitions). The three gadget operations induce the respective operations – composition, Kronecker product, transpose – on their signature matrices. See e.g. [4, Section 1.3].



■ **Figure 2** Operations on gadgets  $\mathbf{K} \in \mathfrak{G}(4, 2)$  and  $\mathbf{L} \in \mathfrak{G}(2, 1)$ . Dangling edges are drawn thinner.

► **Definition 4** ( $\langle \cdot, \cdot \rangle, \| \cdot \|$ ). For real-valued  $\mathcal{F}$  and  $n$ -ary  $\mathcal{F}$ -gadgets  $\mathbf{K}$  and  $\mathbf{L}$ , construct the signature grid  $\langle \mathbf{K}, \mathbf{L} \rangle$  by connecting the  $i$ th dangling edges of  $\mathbf{K}$  and  $\mathbf{L}$ , for  $i \in [n]$ . If  $\mathbf{K}$  and  $\mathbf{L}$  have signatures  $K$  and  $L$ , then define  $\langle K, L \rangle := \text{Holant}_{\langle \mathbf{K}, \mathbf{L} \rangle} = \langle K^{n,0}, L^{n,0} \rangle$  (the standard inner product on  $\mathbb{R}^{q^n}$ ).

$$\text{Define } \|F\| := \sqrt{\langle F, F \rangle} = \sqrt{\sum_{\mathbf{x}} F_{\mathbf{x}}^2}.$$

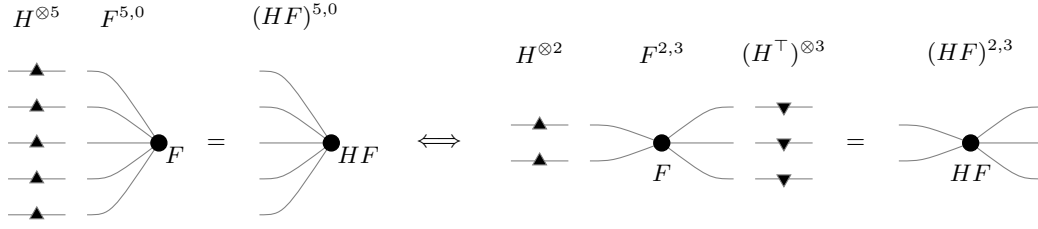
## 2.2 The Holant Theorem

► **Definition 5** ( $HF, H\mathcal{F}$ ). For invertible  $H \in GL_q(\mathbb{C})$  and  $F \in (\mathbb{C}^q)^{\otimes n}$ , let  $HF \in (\mathbb{C}^q)^{\otimes n}$  be the signature with vector  $H^{\otimes n} f$  – that is,  $(HF)^{n,0} = H^{\otimes n} f$  (see Figure 3).

For set  $\mathcal{F}$ , define  $H\mathcal{F} := \{HF \mid F \in \mathcal{F}\}$ .

We usually have  $H \in O(q)$ , the  $q \times q$  (real) orthogonal group. Throughout this work, we assume pairs  $\mathcal{F}$  and  $\mathcal{G}$  of signature sets are *similar*, meaning they have the same domain size  $q$  and there is a bijection  $\mathcal{F} \rightarrow \mathcal{G}$  such that, for  $n$ -ary  $F \in \mathcal{F}$ , the image  $G \in \mathcal{G}$  of  $F$ , called the signature *corresponding* to  $F$  and denoted by  $F \rightsquigarrow G$ , has the same arity  $n$ .





■ **Figure 3** Illustrating  $H^{\otimes n} f = (HF)^{n,0}$ , or equivalently  $H^{\otimes m} F^{m,d} (H^{\otimes})^{\otimes d} = (HF)^{m,d}$ .

► **Definition 6** ( $\mathbf{K}_{\mathcal{F} \rightarrow \mathcal{G}}, \Omega_{\mathcal{F} \rightarrow \mathcal{G}}$ ). For (similar) sets  $\mathcal{F}$  and  $\mathcal{G}$  and gadget  $\mathbf{K} \in \mathfrak{G}_{\mathcal{F}}$ , define the gadget  $\mathbf{K}_{\mathcal{F} \rightarrow \mathcal{G}} \in \mathfrak{G}_{\mathcal{G}}$  by replacing every  $F \in \mathcal{F}$  assigned to a vertex in  $\mathbf{K}$  by the corresponding  $G \in \mathcal{G}$ . If  $\mathbf{K}$  has zero dangling edges then it is an  $\mathcal{F}$ -grid  $\Omega$ , and is transformed to a  $\mathcal{G}$ -grid  $\Omega_{\mathcal{F} \rightarrow \mathcal{G}}$ .

The following theorem of Valiant [39] is a powerful reduction tool and the original motivation for Holant. For  $F \in (\mathbb{C}^q)^{\otimes n}$  and  $A \in \text{GL}_q(\mathbb{C})$ , define  $FA$  similarly to  $AF$ , by  $(FA)^{0,n} = F^{0,n} A^{\otimes n}$ .

► **Theorem 7** (The Holant Theorem). For any  $(\mathcal{F} \mid \mathcal{F}')$ -grid  $\Omega$  and matrix  $A \in \text{GL}_q(\mathbb{C})$ ,

$$\text{Holant}_{\Omega}(\mathcal{F} \mid \mathcal{F}') = \text{Holant}_{\Omega'}(\mathcal{F} A \mid A^{-1} \mathcal{F}'), \quad \text{where } \Omega' = \Omega_{(\mathcal{F} \mid \mathcal{F}') \rightarrow (\mathcal{F} A \mid A^{-1} \mathcal{F}')}.$$

Xia [41] conjectured that the converse of Theorem 7 holds as long as one of  $\mathcal{F}$  or  $\mathcal{F}'$  contain a signature with arity greater than one: if  $\text{Holant}_{\Omega}(\mathcal{F} \mid \mathcal{F}') = \text{Holant}_{\Omega_{(\mathcal{F} \mid \mathcal{F}') \rightarrow (\mathcal{G} \mid \mathcal{G}')}}(\mathcal{G} \mid \mathcal{G}')$  for every  $(\mathcal{F} \mid \mathcal{F}')$ -grid  $\Omega$ , then there is an  $A \in \text{GL}_q(\mathbb{C})$  such that  $\mathcal{G} = \mathcal{F} A$  and  $\mathcal{G}' = A^{-1} \mathcal{F}'$ . However, Cai, Guo, and Williams [9, Section 4.3] observe that this conjecture is false. They consider

$$\text{Holant}([0, 1, 0] \mid [a, b, 1, 0, 0]) \quad \text{and} \quad \text{Holant}([0, 1, 0] \mid [0, 0, 1, 0, 0])$$

for any  $a, b$  not both 0, where  $[0, 1, 0]$  and  $[a, b, 1, 0, 0]$  are symmetric signatures on the Boolean domain  $\{0, 1\}$  of arity  $n = 2, 4$ , respectively, specified by their values on input strings of Hamming weight 0 through  $n$ . These signature sets satisfy the hypothesis of Xia's conjecture, as they differ by the *vanishing* (Holant-indistinguishable from 0) signature set  $([0, 1, 0] \mid [a, b, 0, 0, 0])$ , but there is no  $A \in \text{GL}_2(\mathbb{C})$  satisfying  $[0, 1, 0]A = [0, 1, 0]$  and  $A^{-1}[a, b, 1, 0, 0] = [0, 0, 1, 0, 0]$ .

Cai, Guo, and Williams' counterexample exists due to the bipartiteness of  $\text{Holant}(\mathcal{F} \mid \mathcal{G})$ , as  $\langle [0, 0, 1, 0, 0], [0, 0, 1, 0, 0] \rangle = \|[0, 0, 1, 0, 0]\|^2 \neq \|[a, b, 1, 0, 0]\|^2 = \langle [a, b, 1, 0, 0], [a, b, 1, 0, 0] \rangle$ , so  $[a, b, 1, 0, 0]$  and  $[0, 0, 1, 0, 0]$  are not indistinguishable on general (non-bipartite) signature grids. To avoid such counterexamples, we consider the following well-known form of Theorem 7 that applies to non-bipartite grids  $\Omega$ . Replace each edge in  $\Omega$  by a path of length two, with central vertex assigned  $(=_2)$ . This does not change the Holant value of  $\Omega$ , which is now a  $\text{Holant}(\mathcal{F} \mid (=_2))$ -grid. Any  $H \in O(q)$  satisfies  $H^{-1}(=_2) = (= _2)$ , so Theorem 7 gives:

► **Corollary 8** (The Orthogonal Holant Theorem). If  $\mathcal{G} = H \mathcal{F}$  for orthogonal matrix  $H$ , then  $\text{Holant}_{\Omega}(\mathcal{F}) = \text{Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}}(\mathcal{G})$  for every  $\mathcal{F}$ -grid  $\Omega$ .

Xia also considers the converse of Corollary 8, and proves that it holds for specific  $\mathcal{F}$  and  $\mathcal{G}$  consisting of symmetric signatures with small domain and/or arity. The main result of this work is the converse of Corollary 8 for any sets  $\mathcal{F}$  and  $\mathcal{G}$  of real-valued signatures, with no restrictions.

► **Theorem 9** (Main Result). *The following are equivalent for sets  $\mathcal{F}, \mathcal{G}$  of real-valued signatures:*

- (i)  $\text{Holant}_\Omega(\mathcal{F}) = \text{Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}}(\mathcal{G})$  for every  $\mathcal{F}$ -grid  $\Omega$  ( $\mathcal{F}, \mathcal{G}$  are Holant-indistinguishable).
- (ii) *There is a real orthogonal matrix  $H$  such that  $H\mathcal{F} = \mathcal{G}$  ( $\mathcal{F}, \mathcal{G}$  are ortho-equivalent).*

### 3 The Proof of Theorem 9

Henceforth, assume all signatures are real-valued.

► **Definition 10** ( $T(\mathbb{R}^q)$ ). *Let  $T(\mathbb{R}^q) := \bigcup_{n \in \mathbb{N}} (\mathbb{R}^q)^{\otimes n}$  be the set of all domain- $[q]$  signatures.*

We will use the following notations from invariant theory [33, 28].

► **Definition 11** ( $T(\mathbb{R}^q)^Q, \text{Stab}(\mathcal{F})$ ). *For a subgroup  $Q \subset O(q)$  and  $\mathcal{F} \subset T(\mathbb{R}^q)$ , define*

$$T(\mathbb{R}^q)^Q := \{F \in T(\mathbb{R}^q) \mid HF = F \text{ for every } H \in Q\} \subset T(\mathbb{R}^q) \text{ and, dually,}$$

$$\text{Stab}(\mathcal{F}) := \{H \in O(q) \mid HF = F \text{ for every } F \in \mathcal{F}\} \subset O(q).$$

The following objects generalize the (labeled) *quantum graphs* originally introduced by Freedman, Lovász, and Schrijver [20], so-called because they are “superpositions” of graphs.

► **Definition 12** ( $\Omega_{\mathcal{F}}, \overline{\mathcal{F}}$ ). *An  $(m, d)$ -quantum  $\mathcal{F}$ -gadget is a formal (finite)  $\mathbb{R}$ -linear combination of gadgets in  $\mathfrak{G}_{\mathcal{F}}(m, d)$ . Extend the signature matrix function  $M$  linearly to the set  $\Omega_{\mathcal{F}}$  of quantum  $\mathcal{F}$ -gadgets and define the quantum gadget closure  $\overline{\mathcal{F}}$  of  $\mathcal{F}$  as the set of quantum  $\mathcal{F}$ -gadget signatures:*

$$\overline{\mathcal{F}} := \bigsqcup_{F^{m,d} \in M(\Omega_{\mathcal{F}})} F.$$

If sets  $\mathcal{F}$  and  $\mathcal{G}$  are similar, then  $\overline{\mathcal{F}}$  and  $\overline{\mathcal{G}}$  are similar, with the signature of  $\mathbf{K} \in \Omega_{\mathcal{F}}$  corresponding to the signature of  $\mathbf{K}_{\mathcal{F} \rightarrow \mathcal{G}} \in \Omega_{\mathcal{G}}$ .

The next two lemmas are nonplanar, orthogonal versions of [13, Lemmas 31 and 32].

► **Lemma 13.**  *$\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable iff  $\overline{\mathcal{F}}$  and  $\overline{\mathcal{G}}$  are Holant-indistinguishable.*

**Proof.** Any  $\mathcal{F}$ -grid or  $\mathcal{G}$ -grid is also a  $\overline{\mathcal{F}}$ -grid or  $\overline{\mathcal{G}}$ -grid, respectively, giving the ( $\Leftarrow$ ) direction. For ( $\Rightarrow$ ), we can express any  $\overline{\mathcal{F}}$ -grid  $\Omega$  as a quantum  $\mathcal{F}$ -grid by, for every vertex  $v$  in  $\Omega$  assigned a signature  $F^v \in \overline{\mathcal{F}} \setminus \mathcal{F}$ , replacing the subgadget of  $\Omega$  induced by  $v$  by the quantum  $\mathcal{F}$ -gadget with signature  $F^v$ , then linearly expanding to obtain a quantum  $\mathcal{F}$ -grid. Do the same for  $\Omega_{\overline{\mathcal{F}} \rightarrow \overline{\mathcal{G}}}$ . By assumption, the resulting corresponding quantum  $\mathcal{F}$  and  $\mathcal{G}$ -grids have the same values, so  $\text{Holant}_\Omega = \text{Holant}_{\Omega_{\overline{\mathcal{F}} \rightarrow \overline{\mathcal{G}}}}$ . ◀

► **Lemma 14.** *For any orthogonal  $H$ ,  $H\mathcal{F} = \mathcal{G}$  iff  $H\overline{\mathcal{F}} = \overline{\mathcal{G}}$  (in particular,  $H\overline{\mathcal{F}} = \overline{H\mathcal{F}}$ ).*

Lemma 14, proved in the full version [43, Lemma 4.1.2], follows from the fact that orthogonal transformations respect the operations  $\circ, \otimes, \top, +$  used to construct quantum gadgets.

The following key theorem, also proved in the full version [43, Theorem 3.2], extends an edge coloring model result of Regts [28, Theorem 3].

► **Theorem 15.** *Let  $\mathcal{F}$  be a set of signatures on domain  $[q]$ . Then  $T(\mathbb{R}^q)^{\text{Stab}(\mathcal{F})} = \overline{\mathcal{F}}$ .*

The  $\supset$  inclusion of Theorem 15 again follows from the fact that orthogonal transformations respect the operations used to construct quantum gadgets, so any orthogonal matrix stabilizing  $\mathcal{F}$  stabilizes any quantum  $\mathcal{F}$ -gadget signature. The proof of the much deeper  $\subset$  inclusion, which shows that every tensor in  $T(\mathbb{R}^q)^{\text{Stab}(\mathcal{F})}$  is concretely realizable as a quantum gadget signature, uses an invariant-theoretic result of Schrijver [33].



► **Definition 16** ( $\oplus$ ). Let  $F, G$  be  $n$ -ary signatures on domains  $V(F), V(G)$ , both of size  $q$ . The direct sum  $F \oplus G$  of  $F$  and  $G$  is an  $n$ -ary signature on domain  $V(F) \sqcup V(G)$  defined by

$$(F \oplus G)_x = \begin{cases} F_x & x \in V(F)^n \\ G_x & x \in V(G)^n \\ 0 & \text{otherwise} \end{cases} \quad \text{for } x \in (V(F) \sqcup V(G))^n.$$

For signature sets  $\mathcal{F}$  and  $\mathcal{G}$ , define  $\mathcal{F} \oplus \mathcal{G} = \{F \oplus G \mid F \in \mathcal{F} \rightsquigarrow G \in \mathcal{G}\}$ .

View  $H \in \text{Stab}(\mathcal{F} \oplus \mathcal{G}) \subset \mathbb{R}^{(V(\mathcal{F}) \sqcup V(\mathcal{G})) \times (V(\mathcal{F}) \sqcup V(\mathcal{G}))}$  as a block matrix indexed by  $V(\mathcal{F})$  and  $V(\mathcal{G})$ . The next lemma is the only nonconstructive step in the proof of Theorem 9.

► **Lemma 17.** If  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable, then  $\text{Stab}(\mathcal{F} \oplus \mathcal{G})$  contains a matrix which is not block-diagonal.

**Proof.** Suppose every  $H \in \text{Stab}(\mathcal{F} \oplus \mathcal{G})$  is block-diagonal. Then the block-diagonal matrix  $A = I \oplus 2I$  satisfies  $HA = AH$ , or equivalently  $H^{\otimes 2} A^{2,0} = A^{2,0}$  (see Figure 3), for every  $H \in \text{Stab}(\mathcal{F} \oplus \mathcal{G})$ . Thus  $A \in T(\mathbb{R}^{2q})^{\text{Stab}(\mathcal{F} \oplus \mathcal{G})}$ , so, by Theorem 15,  $A$  is realizable as the signature of a quantum gadget: there exist binary  $(\mathcal{F} \oplus \mathcal{G})$ -gadgets  $\mathbf{K}^1, \dots, \mathbf{K}^p$  and  $c_1, \dots, c_p \in \mathbb{R}$  such that

$$A = \sum_{i=1}^p c_i M(\mathbf{K}^i). \quad (2)$$

Any connected component of a gadget  $\mathbf{K}^i$  disconnected from the component(s) of  $\mathbf{K}^i$  containing the two dangling edges contributes only an overall multiplicative factor; by absorbing this factor into  $c_i$ , we may assume each  $\mathbf{K}^i$  has no components without a dangling edge. By definition of  $\oplus$ , inputting an  $x \in V(\mathcal{F})$  along a dangling edge of  $\mathbf{K}^i$  forces any edge assignment with nonzero value to assign an element of  $V(\mathcal{F})$  to every edge in that dangling edge's connected component. So, for any  $x, y \in V(\mathcal{F})$ , we have  $M(\mathbf{K}^i)_{x,y} = M(\mathbf{K}_{(\mathcal{F} \oplus \mathcal{G}) \rightarrow \mathcal{F}}^i)_{x,y}$ . Similar reasoning applies to  $\mathcal{G}$ , so the  $V(\mathcal{F}), V(\mathcal{F})$  and  $V(\mathcal{G}), V(\mathcal{G})$  blocks of (2) are

$$I = \sum_{i=1}^p c_i M(\mathbf{K}_{(\mathcal{F} \oplus \mathcal{G}) \rightarrow \mathcal{F}}^i) \text{ and } 2I = \sum_{i=1}^p c_i M(\mathbf{K}_{(\mathcal{F} \oplus \mathcal{G}) \rightarrow \mathcal{G}}^i), \quad (3)$$

respectively. Let  $\Omega^i$  be the  $\mathcal{F}$ -grid resulting from connecting the two dangling edges of  $\mathbf{K}_{(\mathcal{F} \oplus \mathcal{G}) \rightarrow \mathcal{F}}^i$ . Then taking the trace of the equations in (3) gives

$$\sum_{i=1}^p c_i \text{Holant}_{\Omega^i}(\mathcal{F}) = q \neq 2q = \sum_{i=1}^p c_i \text{Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}^i}(\mathcal{G}),$$

so there is some  $i$  for which  $\text{Holant}_{\Omega^i}(\mathcal{F}) \neq \text{Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}^i}(\mathcal{G})$ . ◀

The next definition and its applications use a simple but powerful idea of Shao and Cai [35, Section 8.2]: isolating all vertices of an  $\mathcal{F} \cup \{F\}$ -grid  $\Omega$  assigned  $F$ , the rest of  $\Omega$  is an  $\mathcal{F}$ -gadget.

► **Definition 18** (Subgadget,  $\overline{\mathbf{K}}$ ). Let  $\mathbf{J}$  be a gadget. A subgadget  $\mathbf{K} \subset \mathbf{J}$  induced by a subset  $U \subset V(\mathbf{J})$  of vertices of  $\mathbf{J}$  is a gadget composed of the vertices in  $U$  and all of their incident edges: internal edges of  $\mathbf{J}$  incident to exactly one vertex in  $U$  become new dangling edges of  $\mathbf{K}$ . For any  $\mathbf{K} \subset \mathbf{J}$ , there is a unique  $\overline{\mathbf{K}} \subset \mathbf{J}$  (induced by  $V(\mathbf{J}) \setminus U$ ), called the complement of  $\mathbf{K}$ , such that, upon reconnecting the new dangling edges of  $\mathbf{K}$  and  $\overline{\mathbf{K}}$ , we recover  $\mathbf{J}$ .

We often take  $\mathbf{J}$  to be a signature grid (0-ary gadget)  $\Omega$ , in which case  $\Omega = \langle \mathbf{K}, \overline{\mathbf{K}} \rangle$ .

## 136:10 The Converse of the Real Orthogonal Holant Theorem

We next state the main inductive lemma.

► **Lemma 19.** *Let  $\mathcal{F}$  and  $\mathcal{G}$  be signature sets on domain  $[q]$ , and suppose Theorem 9 holds for all  $\mathcal{F}'$ ,  $\mathcal{G}'$  on domain smaller than  $q$ . If  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable and contain corresponding copies of a diagonal matrix (binary signature)  $D \notin \text{span}(I)$ , then  $\mathcal{F}$  and  $\mathcal{G}$  are ortho-equivalent.*

The proof of Lemma 19 in the full version [43, Lemma 4.3] proceeds roughly as follows. The quantum gadget closure  $\overline{\{D\}}$  of  $\{D\}$  is a vector space closed under both entrywise sum and entrywise product (the latter equivalent to composition, as  $D$  is diagonal). Therefore, by a standard Vandermonde interpolation argument,  $D \notin \text{span}(I)$  implies that  $\overline{\{D\}}$  contains the binary signatures  $\mathbb{1}_X$  and  $\mathbb{1}_Y$  for a nontrivial partition  $[q] = X \sqcup Y$  of the domain, where e.g.  $\mathbb{1}_X$  acts as  $=_2$  on  $X$  and 0 on  $Y$ . Replacing, say, each edge of an  $\mathcal{F}$ - or  $\mathcal{G}$ -grid by  $\mathbb{1}_X$ , we effectively obtain an  $\mathcal{F}|_X$ - or  $\mathcal{G}|_X$ -grid, respectively (where  $\mathcal{F}|_X$  is the restriction of  $\mathcal{F}$  to the subdomain  $X$ ). Thus  $\mathcal{F}|_X$  and  $\mathcal{G}|_X$  are Holant-indistinguishable, so, inductively,  $\mathcal{F}|_X$  and  $\mathcal{G}|_X$  are ortho-equivalent. Similarly,  $\mathcal{F}|_Y$  and  $\mathcal{G}|_Y$  are ortho-equivalent. These two subdomain transformations alone do not necessarily transform the “off-diagonal blocks” of  $\mathcal{F}$  into the corresponding blocks of  $\mathcal{G}$ , so some more work is required. We add some useful auxiliary signatures to  $\mathcal{F}$  and  $\mathcal{G}$  between the successive  $X$  and  $Y$  subdomain transformations, using subgadgets to show Holant-indistinguishability is preserved, and finally obtain a full transformation from  $\mathcal{F}$  to  $\mathcal{G}$ .

The final step is to realize the diagonal matrix  $D$  in the statement of Lemma 19 and apply induction. Say  $\mathcal{F}$  is *quantum-gadget-closed* if  $\mathcal{F} = \overline{\mathcal{F}}$ .

**Proof of Theorem 9.**  $(ii) \implies (i)$  is Corollary 8. We show  $(i) \implies (ii)$ . Let  $\mathcal{F}, \mathcal{G}$  be Holant-indistinguishable. We proceed by induction on the domain size  $q$ . The full version shows the case  $q = 1$  in the proof of [43, Theorem 2.3], so assume  $q > 1$ . Lemmas 13 and 14 show that replacing  $\mathcal{F}$  and  $\mathcal{G}$  by  $\overline{\mathcal{F}}$  and  $\overline{\mathcal{G}}$  does not affect their Holant-indistinguishability or ortho-equivalence, so we may assume  $\mathcal{F}$  and  $\mathcal{G}$  are quantum-gadget-closed. By Lemma 17, there is an  $H \in \text{Stab}(\mathcal{F} \oplus \mathcal{G})$  with, WLOG, nonzero block  $(V(\mathcal{G}), V(\mathcal{F}))$ . Let  $U^\top DV$  be the singular value decomposition of this block, with  $U, V$  orthogonal and  $D \neq 0$  diagonal. Replace  $\mathcal{F}$  with  $V\mathcal{F}$  and  $\mathcal{G}$  with  $U\mathcal{G}$  (this does not change whether  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable or ortho-equivalent). This replaces  $\mathcal{F} \oplus \mathcal{G}$  with  $(V\mathcal{F}) \oplus (U\mathcal{G}) = (V \oplus U)(\mathcal{F} \oplus \mathcal{G})$  (by [43, Equation A.1]), which, by [43, Proposition 4.2], replaces  $\text{Stab}(\mathcal{F} \oplus \mathcal{G})$  with  $(V \oplus U) \circ \text{Stab}(\mathcal{F} \oplus \mathcal{G}) \circ (V \oplus U)^\top$ . In particular,  $H$  is replaced with

$$\begin{bmatrix} V & 0 \\ 0 & U \end{bmatrix} \begin{bmatrix} * & * \\ U^\top DV & * \end{bmatrix} \begin{bmatrix} V^\top & 0 \\ 0 & U^\top \end{bmatrix} = \begin{bmatrix} * & * \\ U(U^\top DV)V^\top & * \end{bmatrix} = \begin{bmatrix} * & * \\ D & * \end{bmatrix}.$$

To summarize, after transforming  $\mathcal{F}$  by  $V$  and  $\mathcal{G}$  by  $U$ , we have  $H = \begin{bmatrix} * & * \\ D & * \end{bmatrix} \in \text{Stab}(\mathcal{F} \oplus \mathcal{G})$  for nonzero diagonal  $D$ . We consider two cases for  $D$ : either  $D \in \text{span}(I)$  or  $D \notin \text{span}(I)$ . First, suppose  $D \in \text{span}(I)$ , so  $D = cI$  for  $c \neq 0$ . Let  $F \rightsquigarrow G$  be nonzero  $n$ -ary signatures with  $n \geq 2$ . By part 3 of [43, Proposition 3.1] (see Figure 3),  $H \in \text{Stab}(\mathcal{F} \oplus \mathcal{G})$  gives

$$H^{\otimes n-1} (F \oplus G)^{n-1,1} = (F \oplus G)^{n-1,1} H. \quad (4)$$

By [43, Proposition A.1] (with  $K := F \oplus G$ ) and [43, Equation 2.2], we can write (4) as a

block matrix equation

$$\begin{bmatrix} * & * & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ * & * & \dots & * \\ D^{\otimes n-1} & * & \dots & * \end{bmatrix} \begin{bmatrix} F^{n-1,1} & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & 0 \\ 0 & G^{n-1,1} \end{bmatrix} = \begin{bmatrix} F^{n-1,1} & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & 0 \\ 0 & G^{n-1,1} \end{bmatrix} \begin{bmatrix} * & * \\ D & * \end{bmatrix}. \quad (5)$$

The bottom-left block of (5) is  $D^{\otimes n-1}F^{n-1,1} = G^{n-1,1}D$ ; using  $D = cI$ , this is equivalent to

$$c^{n-2}F = G. \quad (6)$$

Then

$$\|F\|^2 = \langle F, F \rangle = \langle G, G \rangle = c^{2(n-2)}\|F\|^2. \quad (7)$$

As  $\mathcal{F}$  and  $\mathcal{G}$  are quantum-gadget-closed, there are some  $\mathcal{F} \ni F \rightsquigarrow G \in \mathcal{G}$  with arity  $n \geq 3$ , so (7) gives  $c = \pm 1$ . Now applying (6) to any  $n$ -ary pair  $F \rightsquigarrow G$  with  $n \geq 2$  gives  $c^n F = c^{n-2}F = G$ , so  $(cI)F = G$ , with  $cI \in O(q)$ .

For unary ( $n = 1$ )  $F$  and  $G$ , since  $\mathcal{F}$  and  $\mathcal{G}$  are quantum-gadget-closed, they contain the ternary signatures  $F^{\otimes 3}$  and  $G^{\otimes 3}$ , respectively. So, by the previous paragraph,  $(cI)F^{\otimes 3} = G^{\otimes 3}$ , or equivalently  $(cF)^{\otimes 3} = G^{\otimes 3}$ , which implies  $cF = G$ . Combining the non-unary and unary cases, we have  $(cI)\mathcal{F} = \mathcal{G}$ .

Otherwise,  $D \notin \text{span}(I)$ . We will show  $\mathcal{F} \cup \{D\}$  and  $\mathcal{G} \cup \{D\}$  are Holant-indistinguishable, then apply Lemma 19. Consider an  $\mathcal{F} \cup \{D\}$ -grid  $\Omega$  with at least one vertex assigned  $D$  (if  $\Omega$

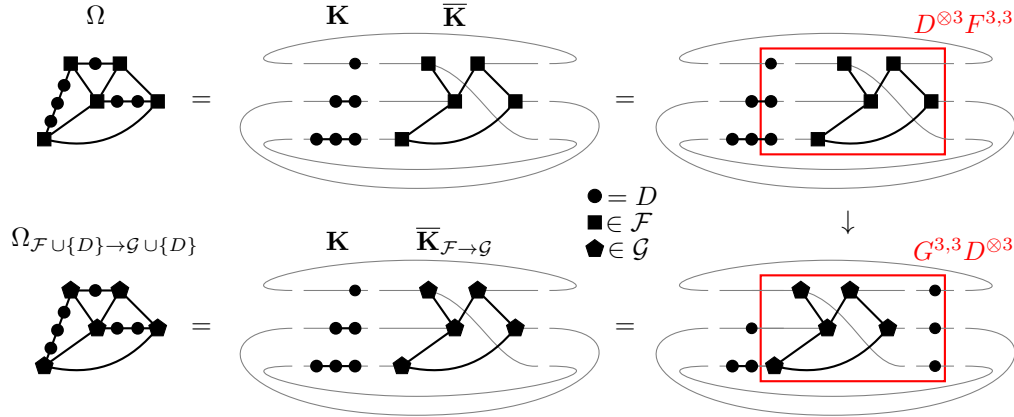


Figure 4 The Holant-value-preserving transformation from  $\Omega$  to  $\Omega_{\mathcal{F} \cup \{D\} \rightarrow \mathcal{G} \cup \{D\}}$ .

has no such vertex then we are done, as  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable). Let  $\mathbf{K} \subset \Omega$  be the subgadget induced by all vertices assigned  $D$ . Any connected component of  $\mathbf{K}$  is either a cycle or a binary path gadget with signature  $D^m$  for some  $m$ . The multiplicative factors from corresponding  $D$ -cycles in  $\Omega$  and  $\Omega_{\mathcal{F} \cup \{D\} \rightarrow \mathcal{G} \cup \{D\}}$  cancel, so assume  $\mathbf{K}$  consists of  $p$  disconnected path gadgets. By rearranging the dangling edges of  $\mathbf{K}$  and  $\bar{\mathbf{K}}$ , we may assume  $\mathbf{K} \in \mathfrak{G}_{\{D\}}(p, p)$  with  $M(\mathbf{K}) = \bigotimes_{i=1}^p D^{m_i}$  for  $m_1, \dots, m_p \geq 1$ , and furthermore that  $\bar{\mathbf{K}} \in \mathfrak{G}_{\mathcal{F}}(p, p)$ , and that connecting the  $i$ th left input and  $i$ th right input of  $\mathbf{K} \circ \bar{\mathbf{K}}$ , for  $i \in [p]$ , reconstructs  $\Omega$  (see Figure 4). Since  $\bar{\mathbf{K}}$  is an  $\mathcal{F}$ -gadget and  $\mathcal{F}$  is quantum-gadget-closed,  $\bar{\mathbf{K}}$

## 136:12 The Converse of the Real Orthogonal Holant Theorem

has signature  $F$  for some  $F \in \mathcal{F}$ . Then, with  $G \rightsquigarrow F$ ,

$$\text{Holant}_\Omega = \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i} \right) \circ F^{p,p} \right) \text{ and } \text{Holant}_{\Omega_{\mathcal{F} \cup \{D\} \rightarrow \mathcal{G} \cup \{D\}}} = \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i} \right) \circ G^{p,p} \right). \quad (8)$$

As in (4), part 3 of [43, Proposition 3.1] gives  $H^{\otimes p}(F \oplus G)^{p,p} = (F \oplus G)^{p,p} H^{\otimes p}$ . As in (5), the bottom left block of this equation is  $D^{\otimes p} F^{p,p} = G^{p,p} D^{\otimes p}$ . Now applying (8), we have

$$\begin{aligned} \text{Holant}_\Omega &= \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i} \right) F^{p,p} \right) = \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i-1} \right) D^{\otimes p} F^{p,p} \right) \\ &= \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i-1} \right) G^{p,p} D^{\otimes p} \right) = \text{tr} \left( \left( \bigotimes_{i=1}^p D^{m_i} \right) G^{p,p} \right) \\ &= \text{Holant}_{\Omega_{\mathcal{F} \cup \{D\} \rightarrow \mathcal{G} \cup \{D\}}} . \end{aligned}$$

Thus  $\mathcal{F} \cup \{D\}$  and  $\mathcal{G} \cup \{D\}$  are Holant-indistinguishable. By induction, Lemma 19 gives that  $\mathcal{F} \cup \{D\}$  and  $\mathcal{G} \cup \{D\}$  are ortho-equivalent. Therefore  $\mathcal{F}$  and  $\mathcal{G}$  are ortho-equivalent.  $\blacktriangleleft$

### 4 Consequences of Theorem 9

In this section, we exploit the expressiveness of the Holant framework to show that Theorem 9 encompasses a variety of existing results, and derive a few novel consequences.

#### 4.1 Counting CSP and graph homomorphisms

For a signature set  $\mathcal{F}$ , define the *counting constraint satisfaction problem*  $\#\text{CSP}(\mathcal{F})$  with *constraint function* set  $\mathcal{F}$  to be the problem  $\text{Holant}(\mathcal{F} \mid \mathcal{EQ})$ . Vertices assigned signatures in  $\mathcal{F}$  and  $\mathcal{EQ}$  are *constraints* and *variables*, respectively, and an  $(\mathcal{F} \mid \mathcal{EQ})$ -grid  $\Omega$  is a constraint-variable incidence graph, where a variable appears in all of its incident constraints. Then  $\text{Holant}_\Omega(\mathcal{F} \mid \mathcal{EQ})$  is the sum over all variable assignments of the product of the constraint evaluations. Like Holant,  $\#\text{CSP}$  is a well-studied problem in counting complexity, with broad dichotomy theorems classifying  $\#\text{CSP}(\mathcal{F})$  as either tractable or  $\#\text{P}$ -hard [3, 19, 6, 5].

By inserting a dummy degree-2 constraint vertex assigned  $(=_2) \in \mathcal{EQ}$  between adjacent variable vertices and combining adjacent constraint vertices assigned  $=_a$  and  $=_b$  into a single constraint vertex assigned  $=_{a+b-2}$ , we see that  $\text{Holant}(\mathcal{F} \cup \mathcal{EQ})$  is equivalent to  $\text{Holant}(\mathcal{F} \mid \mathcal{EQ})$ . Say  $\mathcal{F}$  and  $\mathcal{G}$  are *isomorphic* if there exists a permutation matrix  $H$  satisfying  $H\mathcal{F} = \mathcal{G}$  – in other words,  $\mathcal{F}$  and  $\mathcal{G}$  are the same up to relabeling of their domains. Using a standard Vandermonde interpolation argument, Xia [41] shows that  $H$  satisfies  $H\mathcal{EQ} = \mathcal{EQ}$  if and only if  $H$  is a permutation matrix. Say that  $\mathcal{F}$  and  $\mathcal{G}$  are  $\#\text{CSP}$ -indistinguishable if  $\mathcal{F} \cup \mathcal{EQ}$  and  $\mathcal{G} \cup \mathcal{EQ}$  are Holant-indistinguishable (in other words, every  $\#\text{CSP}$  instance has the same value whether we use constraint functions from  $\mathcal{F}$  or from  $\mathcal{G}$ ). Applying Theorem 9 to  $\mathcal{F} \cup \mathcal{EQ}$  and  $\mathcal{G} \cup \mathcal{EQ}$ , we obtain the main result of Young [42] for real-valued constraint functions.

► **Corollary 20.** *Let  $\mathcal{F}$  and  $\mathcal{G}$  be sets of real-valued constraint functions. Then  $\mathcal{F}$  and  $\mathcal{G}$  are isomorphic if and only if  $\mathcal{F}$  and  $\mathcal{G}$  are  $\#\text{CSP}$ -indistinguishable.*

As discussed in Subsection 2.1,  $\text{Holant}(A_X \mid \mathcal{EQ}) \equiv \#\text{CSP}(A_X)$  counts the number of homomorphisms to the graph  $X$ . Therefore Corollary 20 is a generalization of the classical theorem of Lovász [26] that two graphs are isomorphic if and only if they admit the same number of homomorphisms from every graph.

Let  $\mathcal{EQ}_2 \subset \mathcal{EQ}$  be the set of equality signatures of even arity. Schrijver [33] shows<sup>2</sup> that  $H$  satisfies  $H\mathcal{EQ}_2 = \mathcal{EQ}_2$  if and only if  $H$  is a signed permutation matrix (a matrix with entries in  $\{0, \pm 1\}$  and exactly one nonzero entry in each row and column). As above,  $\text{Holant}(\mathcal{F} \cup \mathcal{EQ}_2)$  is equivalent to  $\text{Holant}(\mathcal{F} \mid \mathcal{EQ}_2)$  (critically, if  $=_a \in \mathcal{EQ}_2$  and  $=_b \in \mathcal{EQ}_2$ , then  $=_{a+b-2} \in \mathcal{EQ}_2$ ). Then, defining  $\#\text{CSP}^2(\mathcal{F}) := \text{Holant}(\mathcal{F} \mid \mathcal{EQ}_2)$  as  $\#\text{CSP}(\mathcal{F})$  restricted to instances in which every variable appears an even number of times [7, 22], we have

► **Corollary 21.** *Let  $\mathcal{F}$  and  $\mathcal{G}$  be sets of real-valued constraint functions. Then there is a signed permutation matrix  $P$  satisfying  $\mathcal{G} = P\mathcal{F}$  if and only if  $\mathcal{F}$  and  $\mathcal{G}$  are  $\#\text{CSP}^2$ -indistinguishable.*

In particular, since (unweighted) graph adjacency matrices  $A_X$  and  $A_Y$  have entries in  $\{0, 1\}$ , we have  $P^{\otimes 2}(A_X)^{2,0} = (A_Y)^{2,0} \implies (P')^{\otimes 2}(A_X)^{2,0} = (A_Y)^{2,0}$ , where  $P'$  is the permutation matrix created by flipping every  $-1$  entry of  $P$  to 1. Therefore we have the following novel (to our knowledge) sharpening of Lovász's theorem.

► **Corollary 22.** *Graphs  $X$  and  $Y$  are isomorphic if and only if they admit the same number of homomorphisms from those graphs in which all vertices have even degree.*

## 4.2 Simultaneous matrix similarity

Let  $\mathcal{F}$  and  $\mathcal{G}$  be sets of binary signatures, thought of as matrices. Any connected  $\mathcal{F}$ -grid  $\Omega$  is a cycle. Breaking an edge of the cycle, we obtain a binary path gadget with signature matrix  $\prod_{i=1}^c F_i$ , where, depending on its orientation, each  $F_i \in \mathcal{F}$  or  $F_i^\top \in \mathcal{F}$ . Connecting the path's two dangling edges, we reform  $\Omega$ , which thus has Holant value  $\text{tr}(\prod_{i=1}^c F_i)$ . Let  $\Gamma_{\mathcal{F}}$  be the set of all finite products of matrices in  $\mathcal{F}$  and  $\mathcal{F}^\top := \{F^\top \mid F \in \mathcal{F}\}$ . Define  $\Gamma_{\mathcal{G}}$  similarly and, for a word  $w \in \Gamma_{\mathcal{F}}$ , construct  $w_{\mathcal{F} \rightarrow \mathcal{G}} \in \Gamma_{\mathcal{G}}$  by replacing every character  $F$  or  $F^\top$  in  $w$  by the corresponding  $G$  or  $G^\top$ , respectively. For orthogonal  $H$ , we have  $H\mathcal{F} = \mathcal{G} \iff HF^{1,1} = G^{1,1}H$  for every  $F \rightsquigarrow G$  (see Figure 3), so, in this setting, Theorem 9 is equivalent to the following real-valued case of a classical theorem from representation theory, due to Specht [36] and Wiegmann [40]. Grohe, Rattan, and Seppelt [21] also give a combinatorial proof.

► **Corollary 23.** *Let  $\mathcal{F}, \mathcal{G} \subset \mathbb{R}^{q \times q}$ . Then there is an  $H \in O(q)$  such that  $HF = GH$  for every  $\mathcal{F} \ni F \rightsquigarrow G \in \mathcal{G}$  if and only if  $\text{tr}(w) = \text{tr}(w_{\mathcal{F} \rightarrow \mathcal{G}})$  for every  $w \in \Gamma_{\mathcal{F}}$ .*

Suppose  $\mathcal{F} = \{A_X\}$  and  $\mathcal{G} = \{A_Y\}$  for graphs  $X$  and  $Y$ . Transform an  $A_X$ -grid  $\Omega$  to a  $(A_X \mid \mathcal{EQ})$ -grid  $\Omega'$  by inserting a dummy degree-2 vertex assigned  $(=_2) \in \mathcal{EQ}$  between every consecutive pair of vertices in the cycle. Recall from Subsection 2.1 that  $\text{Holant}_{\Omega'}(A_X \mid \mathcal{EQ})$  counts the number of homomorphisms from graph  $K$  to  $X$ , where  $K$  is the graph obtained from  $\Omega'$  by ignoring the vertices assigned  $A_X$ . Here  $K$  is a cycle, so we have the following well-known result, an alternate formulation of this case of Corollary 23.

► **Corollary 24.** *Let  $X$  and  $Y$  be graphs. Then there is an orthogonal matrix  $H$  satisfying  $HA_X = A_YH$  if and only if  $X$  and  $Y$  admit the same number of homomorphisms from all cycles.*

<sup>2</sup> The First Fundamental Theorem for  $S_q^\pm \subset O(q)$  (the group of signed permutation matrices) states that  $T(\mathbb{R}^q)^{S_q^\pm} = \overline{\mathcal{EQ}_2}$ . It follows as in the proof of Theorem 15 that  $\text{Stab}(\mathcal{EQ}_2) = S_q^\pm$ . The fact that  $\text{Stab}(\mathcal{EQ}) = S_q \subset O(q)$  (the group of permutation matrices) similarly follows from the First Fundamental Theorem for  $S_q$ , which states that  $T(\mathbb{R}^q)^{S_q} = \overline{\mathcal{EQ}}$ .

A matrix  $H$  is *pseudo-stochastic* if all of its rows and columns sum to 1. Dell, Grohe, and Rattan [15] proved that graphs  $X$  and  $Y$  admit the same number of homomorphisms from all paths if and only if there is a pseudo-stochastic matrix  $H$  such that  $HA_X = A_Y H$ . Theorem 9 gives a novel combination of this result with Corollary 24, and reproduces a combinatorial explanation for the connection between pseudo-stochastic matrices and homomorphisms from paths [21].

► **Corollary 25.** *Let  $X$  and  $Y$  be graphs. Then there is a pseudo-stochastic orthogonal matrix  $H$  satisfying  $HA_X = A_Y H$  if and only if  $X$  and  $Y$  admit the same number of homomorphisms from all cycles and paths.*

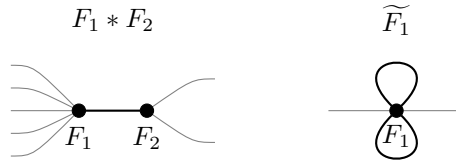
**Proof.** Consider  $\text{Holant}(A_X \cup \{=1\})$ . Any  $A_X \cup \{=1\}$ -grid is a disjoint union of cycles composed of signatures assigned  $A_X$  and paths with degree-2 internal vertices assigned  $A_X$  and degree-1 endpoints assigned  $(=1) \in \mathcal{EQ}$ . As discussed before Corollary 24, every cycle  $A_X$ -grid  $\Omega$  has the same Holant value as  $\Omega_{A_X \rightarrow A_Y}$  iff  $X$  and  $Y$  admit the same number of homomorphisms from every cycle. Similarly, the Holant value of each path component is the number of homomorphisms to  $X$  from the underlying path. Thus  $X$  and  $Y$  admit the same number of homomorphisms from all cycles and all paths iff  $A_X \cup \{=1\}$  and  $A_Y \cup \{=1\}$  are Holant-indistinguishable. By Theorem 9, this is equivalent to the existence of an orthogonal  $H$  satisfying  $HA_X = A_Y H$  and  $H(=1) = (=1)$ . The vector form of  $=1$  is the all-ones vector, so  $H(=1) = (=1)$  if and only if the rows of  $H$  sum to 1 and (since  $H(=1) = (=1) \iff H^\top(=1) = (=1)$ ) the columns of  $H$  sum to 1. ◀

### 4.3 Odeco signature sets

► **Definition 26** ( $\mathcal{GEQ}$ , odeco). *Define the set of general equalities (or weighted equalities) on domain  $[q]$  as  $\mathcal{GEQ} = \{=_{\mathbf{a}}^n \mid n \geq 1, \mathbf{a} \in \mathbb{R}^{[q]}\}$ , where  $=_{\mathbf{a}}^n$  is the symmetric  $n$ -ary signature defined by  $(=_{\mathbf{a}}^n)_{\mathbf{x}} = a_q$  if  $x_1 = \dots = x_n = q$ , and  $(=_{\mathbf{a}}^n)_{\mathbf{x}} = 0$  otherwise. A set  $\mathcal{F}$  of symmetric signatures is orthogonally decomposable, or odeco, if there is an  $H \in O(q)$  such that  $H\mathcal{F} \subset \mathcal{GEQ}$ .*

Robeva [31] coined the term “odeco” for individual symmetric tensors (signatures). A binary  $\mathcal{GEQ}$  signature has a diagonal signature matrix, so the spectral theorem states that every (real) symmetric binary signature is odeco. Any nonzero edge assignment for a connected  $\mathcal{GEQ}$ -gadget  $\mathbf{K}$  must assign all edges, including dangling edges, the same value, so, if  $\mathbf{K}$  has arity  $n$  and is composed of vertices assigned signatures with weights  $\mathbf{a}^1, \dots, \mathbf{a}^p$ , then  $\mathbf{K}$  has signature  $=_{\mathbf{a}^1 \bullet \dots \bullet \mathbf{a}^p}^n \in \mathcal{GEQ}$ , where  $\bullet$  denotes entrywise product. Thus, if  $\mathcal{F} \subset \mathcal{GEQ}$ , then  $\text{Holant}(\mathcal{F})$  is polynomial-time tractable.

For symmetric signatures  $F_1, F_2 \in \mathcal{F}$ , construct the signature  $F_1 * F_2 \in \overline{\mathcal{F}}$  from  $F_1 \otimes F_2$  by connecting an input of  $F_1$  and an input of  $F_2$  (see Figure 5). For  $\mathbf{x} \in [q]^{n_1-1}$  and  $\mathbf{y} \in [q]^{n_2-1}$ ,



■ **Figure 5** Illustrating (the gadgets with signatures)  $F_1 * F_2$  and  $\widetilde{F_1}$  for 6-ary  $F_1$  and 3-ary  $F_2$ .

we have (with vectors viewed as input lists)

$$(F_1 * F_2)(\mathbf{x}, \mathbf{y}) = \sum_{z \in [q]} F_1(\mathbf{x}, z) F_2(\mathbf{y}, z).$$



► **Theorem 27.** *Let  $\mathcal{F}$  be a set of real-valued symmetric tensors. The following are equivalent.*

- (i)  $\mathcal{F}$  is odeco.
- (ii) Every connected  $\mathcal{F}$ -gadget has a symmetric signature.
- (iii) For every  $F_1, F_2 \in \mathcal{F}$ ,  $F_1 * F_2$  is symmetric.

Robeva [31] conjectured the equivalence of items (i) and (iii) when  $\mathcal{F}$  contains a single signature. Boralevi, Draisma, Horobeț, and Robeva [2] confirmed this conjecture using techniques from algebraic geometry. Using Theorem 9, we give a combinatorial proof for any set of symmetric signatures.

► **Remark 28.** If  $\mathcal{F}$  is a set of symmetric binary signatures (matrices), then  $F_1 * F_2 = F_1 \circ F_2 = F_1 F_2$  (a matrix product). Symmetric matrices commute iff their product is symmetric, so Theorem 27 encompasses the fact that commuting symmetric matrices are simultaneously diagonalizable.

**Proof of Theorem 27.** (i)  $\implies$  (ii),(iii): Suppose  $H\mathcal{F} \subset \mathcal{GEQ}$  for some  $H \in O(q)$ . Let  $K \in \overline{\mathcal{F}}$  be the signature of a connected  $\mathcal{F}$ -gadget (e.g.  $K = F_1 * F_2$ ). By Lemma 14,  $HK = J$ , where  $J$  is the signature of a connected  $\mathcal{GEQ}$ -gadget. Then  $J \in \mathcal{GEQ}$ , so  $J$ , and thus  $K = H^{-1}J$ , are symmetric.

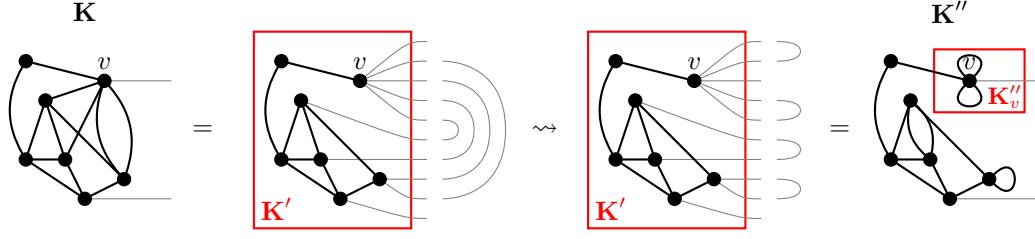
(ii)  $\implies$  (i): By [43, Proposition 5.1], we may replace every  $F \in \mathcal{F}$  by  $F * F$  to assume all signatures in  $\mathcal{F}$  have even arity. For  $F \in \mathcal{F}$ , let  $\tilde{F}$  be the matrix of the binary signature constructed by connecting all but one pair of inputs of  $F$  (see Figure 5). Every  $\tilde{F}$ , and every  $\tilde{F}_1 \circ \tilde{F}_2$  for  $F_1, F_2 \in \mathcal{F}$ , is symmetric by assumption. Thus, as in Remark 28, the  $\tilde{F}$  for  $F \in \mathcal{F}$  all commute.

▷ **Claim 29.** If  $\mathbf{K}$  is a connected binary  $\mathcal{F}$ -gadget with  $p$  vertices, assigned signatures  $F_1, \dots, F_p \in \mathcal{F}$ , then  $M(\mathbf{K}) = \prod_{i=1}^p \tilde{F}_i$ .

We prove Claim 29 by induction on  $p$ . For  $p = 1$ , by the symmetry of  $F_1$ , every connected binary  $F_1$ -gadget with a single vertex has signature  $\tilde{F}_1$ . Now suppose  $p \geq 2$ . Then  $\mathbf{K}$  contains a vertex  $v$  whose removal does not disconnect  $\mathbf{K}$ . Assume WLOG that  $v$  is assigned signature  $F_p$ . Construct  $\mathbf{K}'$  from  $\mathbf{K}$  by breaking all but one edge between  $v$  and other vertices (see Figure 6). Since  $\mathbf{K}'$  remains connected, its signature is symmetric by assumption. Create a binary gadget  $\mathbf{K}''$  from  $\mathbf{K}'$  by arbitrarily pairing up and connecting all but one dangling edge incident to  $v$ , and similarly pairing up and connecting all but one dangling edge incident to the other vertices of  $\mathbf{K}'$ . We may also recover  $\mathbf{K}$  from  $\mathbf{K}'$  by connecting possibly different pairs of dangling edges (reforming the edges broken to create  $\mathbf{K}'$ ) and, since the signature of  $\mathbf{K}'$  is symmetric, the signature of a gadget produced by connecting dangling edges of  $\mathbf{K}'$  does not depend on which pairs of dangling edges we connect (although the underlying graphs of the gadgets differ). Therefore  $M(\mathbf{K}'') = M(\mathbf{K})$ .

Let  $\mathbf{K}''_v$  be the subgadget of  $\mathbf{K}''$  induced by  $v$ . Then  $M(\mathbf{K}''_v) = \tilde{F}_p$  (see Figure 6), and  $\mathbf{K}'' = \mathbf{K}''_v \circ \overline{\mathbf{K}''_v}$ . So, applying induction to  $\overline{\mathbf{K}''_v}$ , which has  $p - 1$  vertices, gives  $M(\mathbf{K}) = M(\mathbf{K}'') = M(\mathbf{K}''_v) \circ M(\overline{\mathbf{K}''_v}) = \tilde{F}_p \circ \prod_{i=1}^{p-1} \tilde{F}_i = \prod_{i=1}^p \tilde{F}_i$  (recall that the  $\tilde{F}_i$  commute). This proves Claim 29.

The matrices  $\tilde{F}$  for  $F \in \mathcal{F}$  are symmetric and commute, so they are simultaneously diagonalizable: there is an  $H \in O(q)$  such that, for every  $F \in \mathcal{F}$ ,  $H\tilde{F}H^\top = (=_{\frac{\mathbf{a}^F}{2}})$  for some  $\mathbf{a}^F \in \mathbb{R}^q$ . Replace  $\mathcal{F}$  with  $H\mathcal{F}$  to assume each  $\tilde{F} = (=_{\frac{\mathbf{a}^F}{2}})$  (this does not change whether  $\mathcal{F}$  is odeco). Define  $\mathcal{G} = \{ (=_{\frac{\mathbf{a}^F}{2}}) \mid F \in \mathcal{F} \} \subset \mathcal{GEQ}$ , a set similar to  $\mathcal{F}$ . Let  $\Omega$  be an  $\mathcal{F}$ -grid, containing signatures  $F_1, \dots, F_p$ . Break some edge of  $\Omega$  to produce a connected binary



■ **Figure 6** Illustrating the proof of Claim 29 when  $v$  has a single incident dangling edge in  $\mathbf{K}$ . The cases where  $v$  has zero or two incident dangling edges are similar.

$\mathcal{F}$ -gadget  $\mathbf{K}$ . By Claim 29,

$$M(\mathbf{K}) = \prod_{i=1}^p \tilde{F}_i = \prod_{i=1}^p (=2^{\mathbf{a}^{F_i}}) = \left( =2^{\mathbf{a}^{F_1} \bullet \dots \bullet \mathbf{a}^{F_p}} \right) = M(\mathbf{K}_{\mathcal{F} \rightarrow \mathcal{G}}).$$

Reconnecting the dangling edges of  $\mathbf{K}$ , we find  $\text{Holant}_{\Omega} = \text{Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}}$ . Thus  $\mathcal{F}$  and  $\mathcal{G}$  are Holant-indistinguishable, so, by Theorem 9,  $\mathcal{F}$  and  $\mathcal{G}$  are ortho-equivalent. Hence  $\mathcal{F}$  is odeco.

(iii)  $\implies$  (ii): Let  $K$  be the  $n$ -ary signature of a connected  $\mathcal{F}$ -gadget  $\mathbf{K}$ . Every unary signature is trivially symmetric, so assume  $n \geq 2$ . It suffices to show that, for any fixed partial input  $\mathbf{z} \in [q]^{n-2}$  to  $K$  and any  $x, y \in [q]$ , we have  $K(x, y, \mathbf{z}) = K(y, x, \mathbf{z})$  (where we assume WLOG that  $x$  and  $y$  are the first two inputs to  $K$  by reordering the dangling edges of  $\mathbf{K}$ ). Let  $u$  and  $w$  be the vertices of  $\mathbf{K}$  incident to the first and second dangling edges of  $\mathbf{K}$  (after reordering). If  $u = w$  then we are done, as every  $F \in \mathcal{F}$  is symmetric. Otherwise, since  $\mathbf{K}$  is connected, it contains a path  $P = (u = v_0, v_1, \dots, v_{p-2}, v_{p-1} = w)$  from  $u$  to  $w$ , where  $v_i$  is assigned signature  $F_i \in \mathcal{F}$ , for  $i \in [p]$ . Let  $E(P) := \{e_0, e_1, \dots, e_{p-1}, e_p\}$  be the edges of  $P$ , including the dangling edges  $e_0$  and  $e_p$  incident to  $u$  and  $w$ , respectively. Then  $e_i$  and  $e_{i+1}$  are inputs to  $F_i$  for all  $i \in [p]$ . For any fixed assignment  $\sigma : E(\mathbf{K}) \setminus E(P) \rightarrow [q]$ , define the matrix  $F_i^\sigma \in \mathbb{R}^{q \times q}$  by  $(F_i^\sigma)_{a,b} := F_i(\sigma|_{\delta(v_i)}, a, b)$  (that is, fix the inputs to  $F_i$  from edges outside of  $E(P)$ ). Then

$$K(x, y, \mathbf{z}) = \sum_{\substack{\sigma : E(\mathbf{K}) \setminus E(P) \rightarrow [q] \\ \sigma(D) = \mathbf{z}}} \left( \prod_{v \in V(\mathbf{K}) \setminus P} F_v(\sigma|_{\delta(v)}) \right) F_P^\sigma(x, y), \quad (9)$$

where  $D$  is the ordered list of the last  $n - 2$  dangling edges of  $\mathbf{K}$  and

$$\begin{aligned} F_P^\sigma(x, y) &= \sum_{\substack{\phi : E(P) \rightarrow [q] \\ \phi(e_0) = x, \phi(e_p) = y}} \prod_{i=0}^{p-1} F_i(\sigma|_{\delta(v_i)}, \phi(e_i), \phi(e_{i+1})) \\ &= \sum_{\substack{\phi : E(P) \rightarrow [q] \\ \phi(e_0) = x, \phi(e_p) = y}} \prod_{i=0}^{p-1} (F_i^\sigma)_{\phi(e_i), \phi(e_{i+1})} = \left( \prod_{i=0}^{p-1} F_i^\sigma \right)_{x, y}. \end{aligned}$$

On the RHS of (9),  $x$  and  $y$  appear only in  $F_P^\sigma(x, y)$ . Thus it suffices to show that, for any

fixed  $\sigma$ ,  $F_P^\sigma(x, y) = F_P^\sigma(y, x)$ . For any  $i, j \in [p]$  and  $a, b \in [q]$ ,

$$\begin{aligned} (F_i^\sigma F_j^\sigma)_{a,b} &= \sum_{z \in [q]} (F_i^\sigma)_{a,z} (F_j^\sigma)_{z,b} = \sum_{z \in [q]} F_i(\sigma|_{\delta(v_i)}, a, z) F_j(\sigma|_{\delta(v_j)}, b, z) \\ &= (F_i * F_j)(\sigma|_{\delta(v_i)}, a, \sigma|_{\delta(v_j)}, b) = (F_i * F_j)(\sigma|_{\delta(v_i)}, b, \sigma|_{\delta(v_j)}, a) \\ &= \sum_{z \in [q]} F_i(\sigma|_{\delta(v_i)}, b, z) F_j(\sigma|_{\delta(v_j)}, a, z) = \sum_{z \in [q]} (F_i^\sigma)_{b,z} (F_j^\sigma)_{z,a} = (F_i^\sigma F_j^\sigma)_{b,a}, \end{aligned}$$

where the fourth equality uses the assumption that  $F_i * F_j$  is symmetric. Thus  $F_i^\sigma F_j^\sigma$  is symmetric. Both  $F_i^\sigma$  and  $F_j^\sigma$  are symmetric, as  $F_i$  and  $F_j$  are symmetric, so, as in Remark 28,  $F_i^\sigma$  and  $F_j^\sigma$  commute. Therefore

$$F_P^\sigma(x, y) = \left( \prod_{i=0}^{p-1} F_i^\sigma \right)_{x,y} = \left( \prod_{i=0}^{p-1} F_i^\sigma \right)_{y,x}^\top = \left( \prod_{i=0}^{p-1} F_{p-1-i}^\sigma \right)_{y,x}^\top = \left( \prod_{i=0}^{p-1} F_i^\sigma \right)_{y,x} = F_P^\sigma(y, x).$$

◀

## 5 Possible Variations of Theorem 9

### 5.1 Complex-valued signatures

Although we have focused on real-valued signatures and matrices, the general and orthogonal Holant Theorems hold for complex-valued signatures and matrices. However, Theorem 9 does not hold for general sets  $\mathcal{F}$  and  $\mathcal{G}$  of complex-valued signatures, even when we allow  $H$  to be complex. Cai, Guo, and Williams [9] and Draisma and Regts [17] consider another counterexample involving vanishing signatures, namely the unary signature  $F \in \mathbb{C}^{[2]^1}$  defined by  $F_0 = 1$  and  $F_1 = i$ . Any  $F$ -grid  $\Omega$  with at least one vertex satisfies  $\text{Holan}_\Omega(F) = 0$ , as  $\Omega$  is a disjoint union of  $K_2$  complete graphs, each with value  $[1, i]^\top [1, i] = 0$ . Thus  $F$  is Holant-indistinguishable from 0, but there is no orthogonal matrix  $H$ , real or complex, satisfying  $H[1, i]^\top = [0, 0]^\top$ .

Cai and Young [13] and Young [42] prove their counting indistinguishability theorems for complex-valued signature sets with the assumption that the sets are *conjugate-closed*, meaning they must contain the entrywise conjugate of each of their complex signatures. It is feasible that Theorem 9 could similarly hold for complex-valued conjugate-closed  $\mathcal{F}$  and  $\mathcal{G}$  and complex orthogonal  $H$  (this invalidates the above counterexample, as  $[1, i]^\top [1, -i] \neq 0$ ).

### 5.2 Quantum orthogonal matrices and planar signature grids

A *quantum orthogonal matrix*  $U$  is, roughly, a matrix whose entries are self-adjoint elements of an abstract, not necessarily commutative,  $C^*$ -algebra, satisfying the relation  $UU^\top = U^\top U = I \otimes \mathbf{1}$ , where  $\mathbf{1}$  is the identity element of the  $C^*$ -algebra. Just as a permutation matrix is an orthogonal matrix that stabilizes  $\mathcal{EQ}$ , a *quantum permutation matrix* is a quantum orthogonal matrix that stabilizes  $\mathcal{EQ}$  (see e.g. [13, Equation 27]). The main theorem of Cai and Young [13], extending the result of Mančinska and Roberson [27], is a planar, quantum version of Corollary 20:  $\mathcal{F}$  and  $\mathcal{G}$  are  $\text{Pl-Holant}(\cdot \cup \mathcal{EQ})$ -indistinguishable (planar- $\#$ CSP-indistinguishable) if and only if there is a quantum permutation matrix  $U$  satisfying  $U\mathcal{F} = \mathcal{G}$  ( $\mathcal{F}$  and  $\mathcal{G}$  are *quantum isomorphic*). Removing  $\mathcal{EQ}$ , we should obtain the following planar, quantum version of Theorem 9.

► **Conjecture 30.** Let  $\mathcal{F}, \mathcal{G}$  be sets of real-valued signatures. Then the following are equivalent.

- (i)  $\text{Pl-Holant}_{\Omega}(\mathcal{F}) = \text{Pl-Holant}_{\Omega_{\mathcal{F} \rightarrow \mathcal{G}}}(\mathcal{G})$  for every planar  $\mathcal{F}$ -grid  $\Omega$ .
- (ii) There is a quantum orthogonal matrix  $U$  such that  $U\mathcal{F} = \mathcal{G}$ .

Cai and Young [13, Theorem 5] prove (ii)  $\implies$  (i) when  $U$  is a quantum permutation matrix; however, their proof only relies on  $U$  being a quantum orthogonal matrix. Therefore, to prove Conjecture 30, it suffices to show (i)  $\implies$  (ii). The *Tannaka-Krein duality* for the quantum symmetric group used by Mančinska and Roberson and Cai and Young [13, Theorem 3] has a more general version for the quantum orthogonal group  $O_q^+$  [27, Theorem 2.13]. This version is analogous to the theorem of Schrijver used to prove Theorem 15, but concerning quantum stabilizer subgroups of  $O_q^+$  and planar gadgets. Then, following the proof of [13, Theorem 4], but omitting the gadgets  $E^{1,0}$  and  $E^{1,2}$  used to construct  $\mathcal{EQ}$ , we will obtain a quantum analogue of our Theorem 15 for planar quantum  $\mathcal{F}$ -gadgets, giving a quantum analogue of Lemma 17. The rest of the proof of Theorem 9, however, involves nonplanar signature grid manipulations (e.g. in Figure 4 it is in general impossible to embed  $\Omega$  such that every instance of  $D$  lies on the outer face) and, more critically, relies on the existence of the singular value decomposition of a submatrix of a real orthogonal matrix, then on viewing the resulting diagonal matrix as a signature. It is yet unclear whether the same or similar reasoning applies to a submatrix of a quantum orthogonal matrix.

---

## References

- 1 Arturo Acuaviva, Visu Makam, Harold Nieuwboer, David Pérez-García, Friedrich Sittner, Michael Walter, and Freek Witteveen. The minimal canonical form of a tensor network. In *64th IEEE Annual Symposium on Foundations of Computer Science, FOCS 2023, Santa Cruz, CA, USA, November 6-9, 2023*, pages 328–362, Los Alamitos, CA, USA, November 2023. IEEE. doi:10.1109/FOCS57990.2023.00027.
- 2 Ada Boralevi, Jan Draisma, Emil Horobet, and Elina Robeva. Orthogonal and unitary tensor decomposition from an algebraic perspective. *Israel Journal of Mathematics*, 222(1):223–260, October 2017. doi:10.1007/s11856-017-1588-6.
- 3 Andrei A. Bulatov. The complexity of the counting constraint satisfaction problem. *J. ACM*, 60(5):34:1–34:41, October 2013. doi:10.1145/2528400.
- 4 Jin-Yi Cai and Xi Chen. *Complexity Dichotomies for Counting Problems*, volume 1. Cambridge University Press, 2017. doi:10.1017/9781107477063.002.
- 5 Jin-Yi Cai and Xi Chen. Complexity of counting CSP with complex weights. *J. ACM*, 64(3):19:1–19:39, June 2017. doi:10.1145/2822891.
- 6 Jin-Yi Cai, Xi Chen, and Pinyan Lu. Nonnegative weighted #csp: An effective complexity dichotomy. *SIAM J. Comput.*, 45(6):2177–2198, 2016. doi:10.1137/15M1032314.
- 7 Jin-Yi Cai, Zhiguo Fu, Heng Guo, and Tyson Williams. A holant dichotomy: Is the FKT algorithm universal? In Venkatesan Guruswami, editor, *IEEE 56th Annual Symposium on Foundations of Computer Science, FOCS 2015, Berkeley, CA, USA, 17-20 October, 2015*, pages 1259–1276. IEEE, IEEE Computer Society, 2015. doi:10.1109/FOCS.2015.81.
- 8 Jin-yi Cai and Artem Govorov. On a theorem of lovász that  $(\cdot, H)$  determines the isomorphism type of  $H$ . *ACM Trans. Comput. Theory*, 13(2):11:1–11:25, 2021. doi:10.1145/3448641.
- 9 Jin-Yi Cai, Heng Guo, and Tyson Williams. A complete dichotomy rises from the capture of vanishing signatures. *SIAM J. Comput.*, 45(5):1671–1728, 2016. doi:10.1137/15M1049798.
- 10 Jin-Yi Cai and Jin Soo Ihm. Holant\* dichotomy on domain size 3: A geometric perspective, 2025. doi:10.48550/arXiv.2504.14074.
- 11 Jin-yi Cai, Pinyan Lu, and Mingji Xia. Holographic algorithms by fibonacci gates and holographic reductions for hardness. In *49th Annual IEEE Symposium on Foundations of*

- Computer Science, FOCS 2008, October 25-28, 2008, Philadelphia, PA, USA*, pages 644–653. IEEE, IEEE Computer Society, 2008. doi:10.1109/FOCS.2008.34.
- 12 Jin-yi Cai, Pinyan Lu, and Mingji Xia. Computational complexity of holant problems. *SIAM J. Comput.*, 40(4):1101–1132, 2011. doi:10.1137/100814585.
  - 13 Jin-Yi Cai and Ben Young. Planar  $\#csp$  equality corresponds to quantum isomorphism - A holant viewpoint. *ACM Trans. Comput. Theory*, 16(3):18:1–18:41, September 2024. doi:10.1145/3689486.
  - 14 Pierre de la Harpe and Vaughan F. R. Jones. Graph invariants related to statistical mechanical models: Examples and problems. *J. Comb. Theory B*, 57(2):207–227, March 1993. doi:10.1006/jctb.1993.1017.
  - 15 Holger Dell, Martin Grohe, and Gaurav Rattan. Lovász meets weisfeiler and leman. In Ioannis Chatzigiannakis, Christos Kaklamanis, Dániel Marx, and Donald Sannella, editors, *45th International Colloquium on Automata, Languages, and Programming, ICALP 2018, July 9-13, 2018, Prague, Czech Republic*, volume 107 of *LIPIcs*, pages 40:1–40:14, Dagstuhl, Germany, 2018. Schloss Dagstuhl – Leibniz-Zentrum für Informatik. doi:10.4230/LIPIcs.ICALP.2018.40.
  - 16 Jan Draisma, Dion C. Gijswijt, László Lovász, Guus Regts, and Alexander Schrijver. Characterizing partition functions of the vertex model. *Journal of Algebra*, 350(1):197–206, January 2012. doi:10.1016/j.jalgebra.2011.10.030.
  - 17 Jan Draisma and Guus Regts. Tensor invariants for certain subgroups of the orthogonal group. *Journal of Algebraic Combinatorics*, 38(2):393–405, September 2013. doi:10.1007/s10801-012-0408-7.
  - 18 Zdenek Dvorák. On recognizing graphs by numbers of homomorphisms. *J. Graph Theory*, 64(4):330–342, 2010. doi:10.1002/jgt.20461.
  - 19 Martin E. Dyer and David Richerby. An effective dichotomy for the counting constraint satisfaction problem. *SIAM J. Comput.*, 42(3):1245–1274, 2013. doi:10.1137/100811258.
  - 20 Michael Freedman, László Lovász, and Alexander Schrijver. Reflection positivity, rank connectivity, and homomorphism of graphs. *Journal of the American Mathematical Society*, 20(1):37–51, 2007.
  - 21 Martin Grohe, Gaurav Rattan, and Tim Seppelt. Homomorphism tensors and linear equations. In Mikolaj Bojanczyk, Emanuela Merelli, and David P. Woodruff, editors, *49th International Colloquium on Automata, Languages, and Programming, ICALP 2022, July 4-8, 2022, Paris, France*, volume 229 of *LIPIcs*, pages 70:1–70:20. Schloss Dagstuhl – Leibniz-Zentrum für Informatik, 2022. doi:10.4230/LIPIcs.ICALP.2022.70.
  - 22 Sangxia Huang and Pinyan Lu. A dichotomy for real weighted holant problems. *Comput. Complex.*, 25(1):255–304, 2016. doi:10.1007/s00037-015-0118-3.
  - 23 Jiabao Lin and Hanpin Wang. The complexity of boolean holant problems with nonnegative weights. *SIAM J. Comput.*, 47(3):798–828, 2018. doi:10.1137/17M113304X.
  - 24 Yin Liu. A combinatorial view of holant problems on higher domains. In *Computing and Combinatorics: 30th International Conference, COCOON 2024, Shanghai, China, August 23–25, 2024, Proceedings, Part II*, pages 368–380, Berlin, Heidelberg, 2025. Springer-Verlag. doi:10.1007/978-981-96-1093-8\_31.
  - 25 László Lovász. The rank of connection matrices and the dimension of graph algebras. *Eur. J. Comb.*, 27(6):962–970, 2006. doi:10.1016/j.ejc.2005.04.012.
  - 26 László Lovász. Operations with structures. *Acta Mathematica Hungarica*, 18(3-4):321–328, 1967.
  - 27 Laura Mancinska and David E. Roberson. Quantum isomorphism is equivalent to equality of homomorphism counts from planar graphs. In Sandy Irani, editor, *61st IEEE Annual Symposium on Foundations of Computer Science, FOCS 2020, Durham, NC, USA, November 16-19, 2020*, pages 661–672. IEEE, 2020. doi:10.1109/FOCS46700.2020.00067.
  - 28 Guus Regts. The rank of edge connection matrices and the dimension of algebras of invariant tensors. *Eur. J. Comb.*, 33(6):1167–1173, August 2012. doi:10.1016/j.ejc.2012.01.014.

- 29 Guus Regts. A characterization of edge-reflection positive partition functions of vertex-coloring models. In *The Seventh European Conference on Combinatorics, Graph Theory and Applications*, CRM Series, pages 305–311, Pisa, 2013. Scuola Normale Superiore. doi:10.1007/978-88-7642-475-5\_49.
- 30 Guus Regts. *Graph parameters and invariants of the orthogonal group*. PhD thesis, Universiteit van Amsterdam, 2013.
- 31 Elina Robeva. Orthogonal decomposition of symmetric tensors. *SIAM J. Matrix Anal. Appl.*, 37(1):86–102, January 2016. doi:10.1137/140989340.
- 32 Alexander Schrijver. Graph Invariants in the Edge Model. In Martin Grötschel, Gyula O. H. Katona, and Gábor Sági, editors, *Building Bridges: Between Mathematics and Computer Science*, pages 487–498. Springer, Berlin, Heidelberg, 2008. doi:10.1007/978-3-540-85221-6\_16.
- 33 Alexander Schrijver. Tensor subalgebras and first fundamental theorems in invariant theory. *Journal of Algebra*, 319(3):1305–1319, February 2008. doi:10.1016/j.jalgebra.2007.10.039.
- 34 Alexander Schrijver. Graph invariants in the spin model. *J. Comb. Theory B*, 99(2):502–511, 2009. doi:10.1016/j.jctb.2008.10.003.
- 35 Shuai Shao and Jin-Yi Cai. A dichotomy for real boolean holant problems. In Sandy Irani, editor, *61st IEEE Annual Symposium on Foundations of Computer Science, FOCS 2020, Durham, NC, USA, November 16-19, 2020*, pages 1091–1102. IEEE, 2020. doi:10.1109/FOCS46700.2020.00105.
- 36 Wilhelm Specht. Zur theorie der matrizen. ii. *Jahresbericht der Deutschen Mathematiker-Vereinigung*, 50:19–23, 1940.
- 37 Balázs Szegedy. Edge coloring models and reflection positivity. *Journal of the American Mathematical Society*, 20(4):969–988, May 2007. doi:10.1090/S0894-0347-07-00568-1.
- 38 Leslie G. Valiant. Accidental algorithms. In *47th Annual IEEE Symposium on Foundations of Computer Science (FOCS 2006), 21-24 October 2006, Berkeley, California, USA, Proceedings*, pages 509–517. IEEE, IEEE Computer Society, 2006. doi:10.1109/FOCS.2006.7.
- 39 Leslie G. Valiant. Holographic algorithms. *SIAM J. Comput.*, 37(5):1565–1594, 2008. doi:10.1137/070682575.
- 40 NA Wiegmann. Necessary and sufficient conditions for unitary similarity. *Journal of the Australian Mathematical Society*, 2(1):122–126, 1961.
- 41 Mingji Xia. Holographic reduction: A domain changed application and its partial converse theorems. In Samson Abramsky, Cyril Gavaille, Claude Kirchner, Friedhelm Meyer auf der Heide, and Paul G. Spirakis, editors, *Automata, Languages and Programming, 37th International Colloquium, ICALP 2010, Bordeaux, France, July 6-10, 2010, Proceedings, Part I*, volume 6198 of *Lecture Notes in Computer Science*, pages 666–677. Springer, Springer, 2010. doi:10.1007/978-3-642-14165-2\_56.
- 42 Ben Young. Equality on all #csp instances yields constraint function isomorphism via interpolation and intertwiners, 2022. doi:10.48550/arXiv.2211.13688.
- 43 Ben Young. The converse of the real orthogonal holant theorem, 2024. doi:10.48550/arXiv.2409.06911.