





Searching for Falsified Clause in Random $(\log n)$ -CNFs Is Hard for Randomized Communication

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Abstract

We show that for a randomly sampled unsatisfiable $O(\log n)$ -CNF over n variables the randomized two-party communication cost of finding a clause falsified by the given variable assignment is linear in n .

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1 Introduction

This paper studies the communication complexity of *Falsified Clause Search Problem*.

► **Definition 1** ([31]). Let X, Y be two disjoint sets of boolean variables and φ be a CNF formula over the variables $X \sqcup Y$. We define Falsified Clause Search Problem or Search_φ associated with formula φ in the following way:

input: a pair $(x, y) \in \{0, 1\}^X \times \{0, 1\}^Y$;

output: a clause $C \in \varphi$ that is violated by the input (x, y) .

Communication lower bounds for search problems have applications in many areas of complexity theory. We consider two areas that are the most relevant and explain the applicability of communication lower bounds.

Proof complexity. This area of complexity theory studies how hard it is to prove that a given formula φ is unsatisfiable; in other words, what is the length of the shortest proof in a certain proof system. Lower bounds for the proof systems often correspond to lower bounds on a run-time of SAT-solvers, and there are intricate connections to other areas of complexity theory, such as, for example, circuit complexity.

There is a general framework for obtaining lower bounds on the length of the shortest proofs via communication. Suppose that, for an unsatisfiable CNF formula φ , we divide the variables into two disjoint groups X and Y in an arbitrary way. For a fixed proof system



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\mathfrak{C} we can try to transform efficient proof of φ into an efficient communication protocol for Search_φ . A lower bound on the communication complexity of Search_φ then implies a lower bound on the length of a proof of φ in \mathfrak{C} .

This framework seems to originate from [31]. Following this reduction, lower bounds for many different proof systems were obtained, for example: tree-like Cutting Planes [26, 25, 10, 6], tree-like Threshold proof system [5], tree-like $\text{Res}(\oplus)$ [28], etc. [25, 21]. Depending on the communication model, even dag-like proofs can be analyzed via this framework [30, 35, 24, 12, 13, 40].

The lower bounds that can be achieved via this technique depend on the power of the communication model: the more powerful model we consider, the bigger class of proof systems we get the lower bound for. The choice of the formula φ is important here as well, in a sense that we need to be able to show the lower bound on the communication complexity of Search_φ . Typically, φ is artificially built for this purpose. In this paper, we show a communication lower bound for the natural class of formulas (without usage of ad hoc constructions) that is a candidate for being hard for all propositional proof systems.

Circuit complexity. Natural embedding of Search_φ into a monotone Karchmer–Wigderson relation [29, 36] gives us the opportunity to use it for proving lower bounds for the monotone models of computation. From communication lower bounds, strong results are known for monotone formulas [37], monotone circuits [13, 32], monotone span programs [37, 34], etc. Communication is also the main instrument for showing separation between those models [34, 17], and trade-off results [9, 19]. These type of results are based on ad hoc constructions of the formulas φ . Namely, φ is designed in order to be able to show communication lower bound.

1.1 Random CNF

To be more precise we start with the definition of random CNF formulas.

► **Definition 2.** Let $\mathfrak{F}(m, n, \Delta)$ denote the distribution of random Δ -CNF on n variables obtained by sampling m clauses (out of the $\binom{n}{\Delta} 2^\Delta$ possible clauses) uniformly at random with repetitions.

The famous result of Chvátal–Szemerédi says that if we pick a formula from this distribution with the proper parameters, the resulting formula will be unsatisfiable with high probability.

► **Theorem 3** (Chvátal–Szemerédi, [7]). For any $\Delta \geq 3$ whp $\varphi \sim \mathfrak{F}(m, n, \Delta)$ is unsatisfiable if $m \geq \ln 2 \cdot 2^\Delta n$.

These types of distributions appear not only in most of the areas in computer science, but in general mathematics and physics as well [33]. An interesting application is due to Feige [11], who conjectured the following statement: no polynomial time algorithm may prove whp the unsatisfiability of a random $O(1)$ -CNF formula with arbitrary large constant clause density. Assuming Feige’s conjecture, it is known that some problems are hard to approximate: vertex covering, PAC learning DNFs [8], etc.

As a candidate to be hard to refute in all proof systems, random CNFs are actively studied and lower bounds are known for many different proof systems [23, 4, 3, 1, 38]. Recent developments in this direction utilize the connection between proof complexity of φ and communication complexity of Search_φ . In particular, lower bounds for the Cutting Planes

proofs of random $O(\log n)$ -CNF [24, 12, 40] follow this strategy. However, these results only consider lower bounds on deterministic *dag-like communication complexity* of Search_φ based on random $O(\log n)$ -CNF.

In this paper, we analyse the randomized tree-like communication of this problem that is incomparable with deterministic dag-like communication. This is a natural problem in a natural model, which also provides a way to explore how techniques used for structured formulas might extend to more typical instances like random CNFs. The main result is the following.

► **Theorem 4.** *Let $c > 0$ be a large enough constant, $n > 0, \Delta \geq c \log n, m = O(n2^\Delta)$. If $\varphi \sim \mathfrak{F}(m, n, \Delta)$ and $\mathbf{X}, \mathbf{Y} \subseteq [n]$ is a partition of variables that is taken uniformly at random, then whp over choice of φ and partition \mathbf{X}, \mathbf{Y} the randomized communication complexity of Search_φ is $\Omega(n)$.*

1.2 Prior Results and Technique

For several types of formulas φ , the randomized communication complexity of Search_φ is well-studied. The approach for proving such bounds is the reduction of *Unique Disjointness* function to Search_φ . The main success in this direction is the reduction based on *critical block sensitivity* [25, 21], we also include some earlier results, though there is some difference in the technique [5]. More precisely, for this technique one should assume that $\varphi = \psi \circ g$ (we take some formula ψ and, in place of each variable, we substitute a carefully chosen gadget g with fresh variables). Assuming that Search_ψ has critical block sensitivity m (that is a generalization of the block sensitivity measure), it is possible to reduce instances of unique disjointness of size $\text{poly}(m)$ to Search_φ .

The general framework for working with such formulas of $\psi \circ g$ is called *lifting*, and the idea is to “lift” the hardness of ψ with respect to another complexity measure to communication complexity via gadget. Lifting can be based on the other complexity measures as well. For example, it can also be implemented for randomized decision tree complexity instead of critical block sensitivity [16]; however, this method requires the lower bound on the randomized decision tree complexity, which might be non-trivial, especially in case of Search_φ problem. Such lower bound is known for Tseitin formulas [15], together with [16] it yields the lower bound for randomized communication complexity of Search for Tseitin formulas lifted by Inner Product.

The notable exception here is the lower bound on Search problem for *Binary Pigeonhole Principle* (BPHP) [27]. These formulas are not lifted, however the proof is also the reduction of Unique Disjointness to the Search problem. This reduction based on the inner symmetry of BPHP.

A different kind of proof of a Search_φ lower bound was given by Yang and Zhang [42] (based on [41, 42]), who prove a lower bound for a weak version of BPHP. In contrast to the previous works this one is not a reduction from Unique Disjointness. Instead, they directly apply the structure versus randomness framework from the lifting literature [18, 16] to the potential protocol that computes Search_φ .

Our proof of Theorem 4 combines the approach of [18, 16, 42] with the analysis of expander graphs via closure argument that was developed for proof complexity purposes in [3, 2]. However, we use the iterative construction of the closure from [39]. In part, this is also inspired by [20].

More precisely, the proof of our result is based on the following steps.

1. Following [24, 12, 40] we divide variables between Alice and Bob uniformly at random.
2. Following the line of work on lifting of randomized decision trees [18, 16, 42, 14] we show that every communication protocol can be converted into a more structured one, a so-called subcube-like protocol. In such a communication protocol, each rectangle is a product of two sets with some bits fixed and the remaining pseudorandom.
3. Due to the nature of our random CNFs, the invariant that all clauses contain pseudorandom variables is not strong enough on its own. Search problem still might become trivial early on in communication protocol; for example, if the contradiction could be narrowed down to a small set of clauses. To avoid this problem, we use the closure trick [3, 2, 39, 20], that allows us to maintain expansion property on the pseudorandom part of the graph.
4. Following [14], we show that the number of fixed bits in each rectangle is at most $O(d/\varepsilon)$ if we allow error ε , where d is the communication complexity of the original protocol.

In addition, we show the better error bound dependency on the protocol depth d than in [14]. We give a more refined analysis of the conversion to the subcube-like protocols. More precisely, we show that the number of fixed bits in each rectangle is $O(d)$ even when we allow for the $\exp(-d)$ error.

2 Notation and Tools

We denote the standard binary entropy function by $H(p) := p \log(1/p) + (1-p) \log(1/(1-p))$.

► **Definition 5.** A bipartite graph $G = (L, R, E)$ is called an $(r, \Delta, \alpha\Delta)$ -expander, if all vertices in L have degree at most Δ and for any set $S \subseteq L$ such that $|S| \leq r$ it holds that $|N(S)| \geq \alpha\Delta|S|$, where $N_G(S)$ denotes the set of neighbours of S in G (we omit the subscript if the graph is clear from the context).

With a CNF formula φ over n variables and with m clauses we associate a graph $G_\varphi := ([m], [n], E)$ in a natural way: $(i, j) \in E$ iff the i -th clause contains the j -th variable. The following Lemma gives us some useful properties of underlying graphs of random CNFs. It follows from a standard computation, which was featured, for example, in [40, Lemma A.2].

► **Lemma 6.** Let $n > 0$, $\eta > 0$ be an arbitrary constant, $\Delta = c \log n$, for a large enough constant c depends on η , $m = O(n2^\Delta)$. Let $G := ([m], [n], E)$ be a bipartite graph, such that each $i \in [m]$ chooses Δ neighbours uniformly at random over $\binom{n}{\Delta}$ possibilities. Then G is an $(r, \Delta, (1-\eta)\Delta)$ -expander for $r = \Omega(n/\Delta)$.

Instead of working directly with randomised communication, we use the equivalent characterisation through distributional communication complexity. That is, we prove a lower bound against deterministic protocols that achieve error ε with respect to a certain distribution on inputs (here we use uniform distribution), and a lower bound against randomised protocols that achieve error ε follows. Below, “communication protocol” refers to a deterministic communication protocol.

3 Refuting Bipartite CNFs

In this section we mainly prove a special “bipartite” case of Theorem 4. We show in Section 3.1 that it actually implies the general case.

► **Theorem 7.** *Let $\alpha > 0$ be an absolute constant. Let $G_1 := ([m], [n], E_1)$, $G_2 := ([m], [n], E_2)$ be two $(r, \Delta, \alpha\Delta)$ -expanders, and $X, Y := \{0, 1\}^n$. For each $i \in [m]$, let C_i be a disjunction of variables in $\{x_j \mid j \in N_{G_1}(i)\} \cup \{y_j \mid j \in N_{G_2}(i)\}$ with arbitrary signs. Then for every communication protocol $\Pi: \{0, 1\}^n \times \{0, 1\}^n \rightarrow [m]$ of depth d at most $O(\Delta r)$:*

$$\Pr_{\substack{(\mathbf{x}, \mathbf{y}) \sim X \times Y \\ i \sim \Pi(\mathbf{x}, \mathbf{y})}} [C_i(\mathbf{x}, \mathbf{y}) = 0] \leq d \cdot 2^{-\Omega(\Delta)} + \exp(-d).$$

This section is organized as follows. In Section 3.1, we derive Theorem 4 from Theorem 7. In Section 3.2, we formally define subcube-like protocols and provide necessary tools. In Section 3.3, we give a more refined analysis of the conversion from general protocols to subcube-like ones in [14]. In Section 3.4, we show the hardness of Search_φ against subcube-like protocols when the underlying graphs are good expanders. Finally, in Section 3.5, we put everything together and derive Theorem 7.

3.1 Deriving Theorem 4 from Theorem 7

The main part of the argument that reduces the general case to the bipartite is a clean-up lemma essentially saying that incurring a small error we can treat the general case as bipartite. Similar arguments have been made in [24, 12, 40].

Let $\varphi = \bigwedge_{i \in [m]} C_i$ be a Δ -CNF with the set of variables $[n]$. Let $A \sqcup B = [n]$ be a partition of the variables. Let $G_A := ([m], A, E_A)$ and $G_B := ([m], B, E_B)$ be the graphs with edges connecting a clause with all variables from one of the sets mentioned in it. Let $\text{ERROR}_A \subseteq [m]$ and $\text{ERROR}_B \subseteq [m]$ be the sets of clauses with degree exceeding $(1 - \delta)\Delta$ in G_A and G_B respectively. It means that clauses from $[m] \setminus (\text{ERROR}_A \cup \text{ERROR}_B)$ have at least $\delta\Delta$ variables from A and B . We then say that (A, B) is δ -good partition for φ if

1. $\Pr_{\mathbf{x} \sim \{0, 1\}^A} [\forall i \in \text{ERROR}_A \text{ we have } C_i(\mathbf{x}, \cdot) \equiv 1] \geq 1 - 2^{-\Omega(\Delta)}.$
2. $\Pr_{\mathbf{y} \sim \{0, 1\}^B} [\forall i \in \text{ERROR}_B \text{ we have } C_i(\cdot, \mathbf{y}) \equiv 1] \geq 1 - 2^{-\Omega(\Delta)}.$
3. $G_A - \text{ERROR}_A - \text{ERROR}_B$ and $G_B - \text{ERROR}_A - \text{ERROR}_B$ are $(r, \Delta, \delta\Delta/2)$ -expanders, where $r = \Omega(n/\Delta)$.

In this definition we assume that δ is an absolute constant and hidden constants depend on it.

► **Lemma 8.** *Let $\varphi \sim \mathfrak{F}(m, n, \Delta)$ with $\Delta = c \log n$ and $m = \alpha 2^\Delta n$, where $c, \alpha > 0$ are constants, and $c \geq 40$. Let \mathbf{X}, \mathbf{Y} be a uniformly random partition of $[n]$. Then whp (\mathbf{X}, \mathbf{Y}) is a δ -good partition for φ for any $\delta \leq 1/10$.*

We defer the proof of this lemma to Section A.

Proof of Theorem 4. Applying Lemma 8, we get that the variable partition $\mathbf{X} \sqcup \mathbf{Y} = [n]$ is $1/10$ -good wrt φ . Let $G_1 := G_{\mathbf{X}} - \text{ERROR}_{\mathbf{X}} - \text{ERROR}_{\mathbf{Y}}$, $G_2 := G_{\mathbf{Y}} - \text{ERROR}_{\mathbf{X}} - \text{ERROR}_{\mathbf{Y}}$. Note that the left parts of these graphs have equal size. We can add dummy variables to the right parts of these graphs to make them equal as well for the simplicity of notation.

By Lemma 8, the probability over $(\mathbf{x}, \mathbf{y}) \sim \{0, 1\}^{\mathbf{X}} \times \{0, 1\}^{\mathbf{Y}}$ for the $\text{ERROR}_{\mathbf{X}}$ or $\text{ERROR}_{\mathbf{Y}}$ to not be immediately satisfied is $2^{-\Omega(\Delta)}$. This means that if we consider a protocol for the problem Search_φ for the variable partition $\mathbf{X} \sqcup \mathbf{Y}$ with the probability of success ε , we can reinterpret it as a protocol for G_1 and G_2 with the probability of success at least $\varepsilon - 2^{-\Omega(\Delta)}$.

We apply Theorem 7 with $\alpha := \frac{1}{20}$. Since $r\Delta$ can be as large as $\Omega(n)$ by Lemma 6, we can pick the constants depending on α such that the probability from Theorem 7 is less than $\frac{1}{100}$. Then the probability of success for the problem from Theorem 4 is less than $\frac{1}{100} + 2^{-\Omega(\Delta)}$, and the theorem follows. ◀

3.2 Density Restoring Machinery

Every communication protocol Π can be seen as a tree (not necessarily binary). Let $\mathcal{N}(\Pi)$ denote the set of all nodes in Π . Each node $v \in \mathcal{N}(\Pi)$ is associated with a rectangle, denoted $R_v = X_v \times Y_v$.

► **Definition 9** (Min-entropy). For a random variable \mathbf{x} , let $\mathbf{H}_\infty(\mathbf{x}) = \min_{\mathbf{x}} \log \frac{1}{\Pr[\mathbf{x}=\mathbf{x}]}$.

► **Definition 10** (Spread variables). Let $\mathbf{x} \in \{0,1\}^n$ be a random boolean vector. We say \mathbf{x} is γ -spread if for every $I \subseteq [n]$ we have $\mathbf{H}_\infty(\mathbf{x}_I) \geq \gamma|I|$.

► **Definition 11** (Structured variables). Let $\mathbf{x} \in \{0,1\}^n$ be a random boolean vector and $I \subseteq [n]$. We say \mathbf{x} is (I, γ) -structured if there exists some $\mathbf{a}_I \in \{0,1\}^I$ such that

- $\Pr[\mathbf{x}_I = \mathbf{a}_I] = 1$;
- $\mathbf{x}_{[n] \setminus I}$ is γ -spread.

► **Definition 12** (Subcube-like rectangle). A rectangle $R = X \times Y \subseteq \{0,1\}^n \times \{0,1\}^n$ is γ -subcube-like with respect to (I, J) where $I, J \subseteq [n]$ if $\mathbf{x} \sim X$ is (I, γ) -structured and $\mathbf{y} \sim Y$ is (J, γ) -structured. In which case, we use $\text{fix}(X) := I$ and $\text{fix}(Y) := J$ to denote the fixed part of X and Y respectively.

► **Definition 13** (Subcube-like protocols [14]). A communication protocol $\Pi: \{0,1\}^n \times \{0,1\}^n \rightarrow S$ is γ -subcube-like if for every node $v \in \mathcal{N}(\Pi)$ in the protocol tree, R_v is γ -subcube-like.

► **Definition 14** (Codimension). The codimension of a subcube-like rectangle $R = X \times Y$ is defined as the total number of fixed positions in X and Y , denoted $\text{codim}(R) := |\text{fix}(X)| + |\text{fix}(Y)|$. The codimension of a subcube-like protocol Π is the maximum codimension of subcube-like rectangles associated with any nodes in the protocol tree of Π , denoted $\text{codim}(\Pi) := \max_{v \in \mathcal{N}(\Pi)} \text{codim}(R_v)$.

► **Lemma 15** (Density Restoring Partition [22]). Let $\mathbf{x} \in \{0,1\}^n$ be a random boolean vector with support $X \subseteq \{0,1\}^n$ and $0 < \gamma < 1$ be a fixed parameter. There exists a partition

$$X = X^1 \sqcup X^2 \sqcup X^3 \cdots \sqcup X^r$$

such that for each $j \in [r]$, $\mathbf{x} \mid \mathbf{x} \in X^j$ is (I^j, γ) -structured with respect to some $I^j \subseteq [n]$.

Moreover, if we denote $p^{\geq j} := \Pr[\mathbf{x} \in X^j \sqcup \cdots \sqcup X^r]$, then it holds that:

$$\mathbf{H}_\infty(\mathbf{x}_{[n] \setminus I^j} \mid \mathbf{x} \in X^j) \geq \mathbf{H}_\infty(\mathbf{x}) - \gamma|I^j| - \log(1/p^{\geq j}).$$

3.3 Subcube-like protocols from general protocols

Göös et al. [14] show how to convert an arbitrary communication protocol into a subcube-like one. Specifically, they prove the following.

► **Lemma 16** ([14]). Let Π be a communication protocol of depth d and $\varepsilon > 0$. There exists a subcube-like protocol $\tilde{\Pi}$ of codimension $\text{codim}(\tilde{\Pi}) = O(d/\varepsilon)$ such that

$$\Pr_{\mathbf{x}, \mathbf{y}}[\Pi(\mathbf{x}, \mathbf{y}) \neq \tilde{\Pi}(\mathbf{x}, \mathbf{y})] \leq \varepsilon.$$

Their bound is tight in the constant-error regime. However, it degenerates when $\varepsilon = O(d/n)$.

In this subsection, we give a more refined analysis of the reduction in [14], which makes the bound applicable in the inverse polynomial error regime (when $d = \Omega(\log n)$). We remark that such an analysis has been implicitly provided in [22].

► **Lemma 17.** *Let Π be a communication protocol of depth d . There exists a γ -subcube-like protocol $\tilde{\Pi}$ of codimension $\text{codim}(\tilde{\Pi}) = \frac{7}{1-\gamma} \cdot d$ such that*

$$\Pr_{\mathbf{x}, \mathbf{y}}[\Pi(\mathbf{x}, \mathbf{y}) \neq \tilde{\Pi}(\mathbf{x}, \mathbf{y})] \leq \exp(-d).$$

We include a simplified version of the algorithm for such conversion from [14] for completeness. This algorithm simulates a subcube-like protocol Π' on an input (x, y) , given a general protocol Π .

■ **Algorithm 2 (simplified) conversion from [14].**

$v \leftarrow \text{root of } \Pi, X \times Y = \{0, 1\}^n \times \{0, 1\}^n, I \leftarrow \emptyset.$
while v is not a leaf **do**
 $v_0, v_1 \leftarrow \text{children of } v$
 Suppose Alice **sends** a bit at v (otherwise swap X and Y , I and J)
 Let $X = X^0 \sqcup X^1$ be the partition according to the bit Alice sends
 Let $X^b = \bigsqcup_i X^{b,i}$ be the density-restoring partition (with parameter γ and sets I^i , respectively), where $x \in X^b$.
 $X \leftarrow X^{b,i}, I \leftarrow I \cup I^i$ where $x \in X^i$
 Alice **sends** $(b, C(i))$ to Bob (here $C(i)$ is any encoding of i)
 $v \leftarrow v^b$
end while
Output the label $\Pi(v)$

Proof. Let Π' be as given by Algorithm 2 in [14]. More precisely, let the protocol tree of Π' consist all the possible configurations at the end of each iteration plus the initial one as the root (so the tree is not necessarily binary). Observe that Π' has depth d , though may have much larger general communication complexity.

For any $x, y \in \{0, 1\}^n$, we have $\Pi(x, y) = \Pi'(x, y)$. It suffices to show $\Pr_{\mathbf{x}, \mathbf{y}}[\text{codim}(R(\mathbf{x}, \mathbf{y})) > \frac{7}{1-\gamma} \cdot d] \leq \exp(-d)$, where $R(x, y)$ is the unique rectangle associated with the leaves of Π that contains (x, y) . The desired $\tilde{\Pi}$ can then be obtained by shaving all the nodes in the protocol tree of Π' associated with a rectangle of codimension greater than $\frac{7}{1-\gamma} \cdot d$.

For each node $v \in \mathcal{N}(\Pi')$, define the entropy deficiency of v as

$$\mathbf{D}_\infty(v) := \mathbf{D}_\infty(X_v) + \mathbf{D}_\infty(Y_v),$$

where

$$\mathbf{D}_\infty(X_v) := n - |\text{fix}(X_v)| - \mathbf{H}_\infty(\mathbf{x}_{[n] \setminus \text{fix}(X_v)}), \quad \mathbf{x} \sim X_v$$

and $\mathbf{D}_\infty(Y_v)$ is defined analogously.

Now consider running Π' on x, y , and let $v_0, \dots, v_d \in \mathcal{N}(\Pi')$ denote all the nodes on the execution path. Fix any $k \in [d]$ and let us simply use u and v to denote v_{k-1} and v_k . Suppose without loss of generality that it is Alice who sends a bit to Bob in the k -th iteration. Recall that in each iteration Alice first partitions $X_u = X_u^0 \sqcup X_u^1$ according to the bit she sends. Then she performs the density-restoring partition with parameter γ on $X_u^b = X_u^{b,1} \sqcup \dots \sqcup X_u^{b,r}$ where $x \in X_u^b$. Finally, she determines the unique $X_u^{b,i}$ that contains x . Then for the next configuration, $X_v = X_u^{b,i}$. Let us define

$$\begin{aligned}
q_u^b &:= \Pr[\mathbf{x} \in X_u^b \mid \mathbf{x} \in X_u], \\
p_u^{b, \geq j} &:= \Pr\left[\mathbf{x} \in \bigcup_{k \geq j} X_u^{b, k} \mid \mathbf{x} \in X_u^b\right] \quad \forall j \in [r], \\
h_k(x, y) &:= \log(1/q_u^b) + \log(1/p_u^{b, \geq i}), \\
n_k(x, y) &:= |\text{fix}(X_v) \setminus \text{fix}(X_u)|.
\end{aligned}$$

We have the following simple fact.

► **Fact 18.** $D_\infty(v) \leq D_\infty(u) - (1 - \gamma)n_k(x, y) + h_k(x, y)$.

Proof.

$$\begin{aligned}
D_\infty(v) - D_\infty(u) &= -|\text{fix}(X_v)| + |\text{fix}(X_u)| + \mathbf{H}_\infty(X_v) - \mathbf{H}_\infty(X_u) \\
&= -n_k(x, y) + (\mathbf{H}_\infty(X_v) - \mathbf{H}_\infty(X_u^b)) + (\mathbf{H}_\infty(X_u^b) - \mathbf{H}_\infty(X_v)) \\
(\text{from Lemma 15}) &\leq -n_k + \log(1/q_u^b) + (\gamma \cdot n_k(x, y) + \log(1/p_u^{b, \geq i})) \\
&= -(1 - \gamma)n_k(x, y) + h_k(x, y). \quad \blacktriangleleft
\end{aligned}$$

Together with the nonnegativity of D_∞ , we can bound the codimension of $R(x, y)$ by $h(x, y) := \sum_{k=1}^d h_k(x, y)$ up to a multiplicative factor.

► **Claim 19.** For every $x, y \in \{0, 1\}^n$, $\text{codim}(R(x, y)) \leq \frac{1}{1-\gamma} \cdot h(x, y)$.

Proof. Consider the path in the tree leading to the leaf $R(x, y)$, this path being of length d . Summing up the inequalities from Fact 18 along that path, we get:

$$D_\infty(v_d) - D_\infty(v_0) \leq -(1 - \gamma) \sum_{j=1}^d n_j(x, y) + \sum_{j=1}^d h_j(x, y)$$

Since $D_\infty(v_d)$ is non-negative and $D_\infty(v_0) = 0$, it follows that:

$$\text{codim}(R(x, y)) = \sum_{j=1}^d n_j(x, y) \leq \frac{1}{1-\gamma} \sum_{j=1}^d h_j(x, y) = \frac{1}{1-\gamma} \cdot h(x, y). \quad \blacktriangleleft$$

We also observe that $h_k(\mathbf{x}, \mathbf{y})$ has an exponential tail for each $k \in [d]$, even conditioned on any node v of depth $k - 1$ being reached.

► **Claim 20.** For every node $v \in \mathcal{N}(\Pi')$ of depth $0 \leq k < d$ and threshold $\gamma \geq 0$,

$$\Pr_{\mathbf{x}, \mathbf{y}}[h_{k+1}(\mathbf{x}, \mathbf{y}) \geq 1 + \gamma \mid \mathbf{v}_k = v] \leq 2^{-\gamma}.$$

Proof. Let \mathbf{b}, \mathbf{i} be as defined in the $(k + 1)$ -th iteration of Algorithm 2 given \mathbf{x}, \mathbf{y} . We have

$$\begin{aligned}
&\Pr_{\mathbf{x}, \mathbf{y}}[h_{k+1}(\mathbf{x}, \mathbf{y}) \geq 1 + \gamma \mid \mathbf{v}_k = v] \\
&= \sum_{b \in \{0, 1\}} \Pr[\mathbf{b} = b \mid \mathbf{v}_k = v] \cdot \Pr[\log(1/q_v^b) + \log(1/p_v^{b, \geq \mathbf{i}}) \geq 1 + \gamma \mid \mathbf{b} = b, \mathbf{v}_k = v] \\
&= \sum_{b \in \{0, 1\}} q_v^b \cdot \Pr\left[q_v^b \cdot p_v^{b, \geq \mathbf{i}} \leq 2^{-\gamma-1} \mid \mathbf{b} = b, \mathbf{v}_k = v\right] \\
&\leq \sum_{b \in \{0, 1\}} q_v^b \cdot \min\{1, 2^{-\gamma-1} \cdot q_v^b\} \\
&\leq 2^{-\gamma},
\end{aligned}$$

where in the second last inequality, we use the property that $\Pr[p_v^{b, \geq \mathbf{i}} \leq t \mid \mathbf{b} = b, \mathbf{v}_k = v] \leq t$ for all $t \in [0, 1]$. \blacktriangleleft

Finally, we need the following adaptive version of Bernstein's inequality, whose proof can be found in Section C.

► **Lemma 21.** *Let $\mathbf{a}_1, \dots, \mathbf{a}_n \in \mathbb{R}$ be a random sequence of reals and $\zeta > 0$ be some fixed parameter. If for any $1 \leq k \leq n$ and $a_1, \dots, a_{k-1} \in \mathbb{R}$ such that $\Pr[\mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] > 0$,*

$$\Pr[\mathbf{a}_k \geq x \mid \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] \leq \exp(-\zeta x),$$

then

$$\Pr\left[\sum_{i=1}^n \mathbf{a}_i \geq \frac{4}{\zeta} n\right] \leq \exp(-n).$$

We are now ready to bound the codimension of $R(\mathbf{x}, \mathbf{y})$. Let $(\mathbf{a}_k := h_k(\mathbf{x}, \mathbf{y}) - 1)_{k \in [d]} \in \mathbb{R}^d$ be a random sequence of reals. By Claim 20, \mathbf{a} satisfies the condition in Lemma 21 with $\zeta = \ln 2$. Therefore,

$$\Pr[h(\mathbf{x}, \mathbf{y}) \geq 7d] = \Pr\left[\sum_{i=1}^d \mathbf{a}_i \geq 6d\right] \leq \exp(-d).$$

Together with Claim 19, we conclude that

$$\Pr\left[\text{codim}(R(\mathbf{x}, \mathbf{y})) \geq \frac{7}{1-\gamma} \cdot d\right] \leq \Pr[h(\mathbf{x}, \mathbf{y}) \geq 7d] \leq \exp(-d). \quad \blacktriangleleft$$

3.4 Lower bound against subcube-like protocols

The following lemma is implicit in [20], we include its proof in Section B for completeness.

► **Lemma 22.** *Let $0 < \beta < \alpha < 1$ and let $\Pi: \{0, 1\}^n \times \{0, 1\}^n \rightarrow S$ be a subcube-like protocol of codimension $\text{codim}(\Pi) := d \leq (\alpha - \beta)^2 r \Delta / 4$, and $G_1 = ([m], [n], E_1), G_2 = ([m], [n], E_2)$ be two $(r, \Delta, \alpha\Delta)$ -expanders. Then there exist families $\{\text{Cl}^X(v)\}_{v \in \mathcal{N}(\Pi)}, \{\text{Cl}^Y(v)\}_{v \in \mathcal{N}(\Pi)}$ of subsets of $[m]$ such that the following conditions hold:*

1. *For every non-root $v \in \mathcal{N}(\Pi)$, let u denote v 's parent. Then $\text{Cl}^X(u) \subseteq \text{Cl}^X(v)$ and $\text{Cl}^Y(u) \subseteq \text{Cl}^Y(v)$.*
2. *For every $v \in \mathcal{N}(\Pi)$, $G_1 - \text{Cl}^X(v) - N(\text{Cl}^X(v)) - \text{fix}(X_v)$ and $G_2 - \text{Cl}^Y(v) - N(\text{Cl}^Y(v)) - \text{fix}(Y_v)$ are both $(r, \Delta, \beta\Delta)$ -expanders.*
3. *For every $v \in \mathcal{N}(\Pi)$, $|\text{Cl}^X(v)|, |\text{Cl}^Y(v)| \leq \frac{1}{\alpha - \beta} d / \Delta$.*

► **Lemma 23.** *As in Theorem 7 let $G_1 := ([m], [n], E_1), G_2 := ([m], [n], E_2)$ be two $(r, \Delta, \alpha\Delta)$ -expanders, and $X, Y := \{0, 1\}^n$. For each $i \in [m]$, let C_i be a disjunction of variables in $\{x_j \mid j \in N_{G_1}(i)\} \cup \{y_j \mid j \in N_{G_2}(i)\}$ with arbitrary signs. Let $\Pi: \{0, 1\}^n \times \{0, 1\}^n \rightarrow [m]$ be a γ -subcube-like communication protocol of $\text{codim}(\Pi) := d$. If $d \leq \alpha^2 r \Delta / 4$, then*

$$\Pr_{\mathbf{x}, \mathbf{y}}[C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i = \Pi(\mathbf{x}, \mathbf{y})] \leq O(2^{-\gamma \alpha \Delta / 2} \cdot d).$$

Proof. We rephrase the success probability of Π as follows: Sample a random leaf ℓ of Π with probability $|R_\ell|/2^{2n}$. Then

$$\Pr_{\mathbf{x}, \mathbf{y}}[C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i = \Pi(\mathbf{x}, \mathbf{y})] = \mathbb{E}_\ell \left[\Pr_{(\mathbf{x}, \mathbf{y}) \sim R_\ell} [C_{\Pi(\ell)}(\mathbf{x}, \mathbf{y}) = 0] \right]. \quad (1)$$

Let $\{\text{Cl}^X(v)\}_{v \in \mathcal{N}(\Pi)}, \{\text{Cl}^Y(v)\}_{v \in \mathcal{N}(\Pi)}$ be given by Lemma 22 with respect to Π, G_1, G_2 and $\beta = \alpha/2$. For each node $v \in \mathcal{N}(\Pi)$, define $J_v := \text{Cl}^X(v) \cup \text{Cl}^Y(v)$. We first observe that for each leaf ℓ , Π has low success probability on R_ℓ if $\Pi(\ell) \notin J_\ell$.

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▷ **Claim 24.** Let ℓ be any leaf in the protocol tree of Π . Suppose that $i \notin J_\ell$. Then

$$\Pr_{(\mathbf{x}, \mathbf{y}) \sim R_\ell} [C_i(\mathbf{x}, \mathbf{y}) = 0] \leq 2^{-\gamma\alpha\Delta/2}.$$

Proof. By the definition of J_ℓ , we have $i \notin \text{Cl}^X(\ell)$. Let $A \subseteq [n] \setminus (\text{fix}(X) \cup N(\text{Cl}^X(\ell)))$ be the set of neighbors of i in $G_1 - \text{Cl}^X(\ell) - N(\text{Cl}^X(\ell)) - \text{fix}(X_\ell)$, by the expansion we get $|A| \geq \alpha\Delta/2$. Since $X_\ell \times Y_\ell$ is γ -subcube-like we have that $\mathbf{x}_{[n] \setminus \text{fix}(X_\ell)}$ is γ -spread. In particular, $\mathbf{H}_\infty(\mathbf{x}_A) \geq \gamma|A| \geq \gamma\alpha\Delta/2$. Let $\tau \in \{0, 1\}^A$ be the unique assignment that violates all literals of C_i in A . The min-entropy bound above then implies $\Pr[C_i(\mathbf{x}, \mathbf{y}) = 0] \leq \Pr[\mathbf{x}_A = \tau] \leq 2^{-\gamma\alpha\Delta/2}$. \triangleleft

On the other hand, unfortunately, it is possible that

$$p_i(\ell) := \Pr_{(\mathbf{x}, \mathbf{y}) \sim R_\ell} [C_i(\mathbf{x}, \mathbf{y}) = 0]$$

is close to 1 for some $i \in J_\ell$. Nevertheless, we can show that this can happen only for a small fraction of leaves.

▷ **Claim 25.** Let ℓ be a random leaf sampled as stated above. Then

$$\mathbb{E}_\ell \left[\sum_{i \in J_\ell} p_i(\ell) \right] \leq 2^{-\gamma\alpha\Delta/2} \cdot d.$$

Proof. First, denoting by $\ell_{\mathbf{x}, \mathbf{y}}$ the leaf containing (\mathbf{x}, \mathbf{y}) , we can write

$$\begin{aligned} \mathbb{E}_\ell \left[\sum_{i \in J_\ell} p_i(\ell) \right] &= \sum_{i \in [m]} \mathbb{E}_\ell [\mathbf{1}_{i \in J_\ell} \cdot p_i(\ell)] \\ &= \sum_{i \in [m]} \Pr_{\mathbf{x}, \mathbf{y}} [i \in J_{\ell_{\mathbf{x}, \mathbf{y}}} \wedge C_i(\mathbf{x}, \mathbf{y}) = 0] \\ &= \sum_{i \in [m]} \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \cdot \Pr_{\mathbf{x}, \mathbf{y}} [i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \\ &\leq \max_{i \in [m]} \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \cdot \sum_{i \in [m]} \Pr_{\mathbf{x}, \mathbf{y}} [i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \\ &= \max_{i \in [m]} \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \cdot \mathbb{E}_\ell [|J_\ell|]. \end{aligned}$$

Observe that $|J_\ell| \leq d$ for every leaf ℓ , it suffices to show

$$\Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \leq 2^{-\gamma\alpha\Delta/2}.$$

for every $i \in [m]$. Now let us fix an arbitrary $i \in [m]$. The event “ $i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}$ ” can be reinterpreted as follows: with

$$V_i := \{v \in \mathcal{N}(\Pi) \mid i \in J_v \text{ and the parent of } v \text{ does not satisfy that}\}$$

we have that $i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}$ if and only if $(\mathbf{x}, \mathbf{y}) \in \bigsqcup_{v \in V_i} R_v$ (the rectangles form a partition since the nodes in V_i are maximally close to the root). Then

$$\Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \leq \sum_{v \in V_i} \Pr_{\mathbf{x}, \mathbf{y}} [(\mathbf{x}, \mathbf{y}) \in R_v \mid i \in J_{\ell_{\mathbf{x}, \mathbf{y}}}] \cdot \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid (\mathbf{x}, \mathbf{y}) \in R_v].$$

Since the right-hand side is a convex combination of $\Pr[C_i(\mathbf{x}, \mathbf{y}) = 0 \mid (\mathbf{x}, \mathbf{y}) \in R_v]$ for $v \in V_i$, it suffices to bound the maximum of these probabilities.

The crucial observation to conclude the proof is that $i \notin \text{Cl}^X(v)$ if Bob spoke in the parent node of v and $i \notin \text{Cl}^Y(v)$ if Alice spoke in that node. In any case, an argument similar to that in Claim 24 applies and we have $\Pr[C_i(\mathbf{x}, \mathbf{y}) = 0 \mid (\mathbf{x}, \mathbf{y}) \in R_v] \leq 2^{-\gamma\alpha\Delta/2}$, which concludes the proof. \triangleleft

Now we are ready to show the desired bound. Combining the above two claims, we have

$$\begin{aligned} (1) &= \Pr[\Pi(\ell) \notin J_\ell] \cdot \mathbb{E}_\ell \left[\Pr_{(\mathbf{x}, \mathbf{y}) \sim R_\ell} [C_{\Pi(\ell)}(\mathbf{x}, \mathbf{y}) = 0] \mid \Pi(\ell) \notin J_\ell \right] \\ &\quad + \Pr[\Pi(\ell) \in J_\ell] \cdot \mathbb{E}_\ell \left[\Pr_{(\mathbf{x}, \mathbf{y}) \sim R_\ell} [C_{\Pi(\ell)}(\mathbf{x}, \mathbf{y}) = 0] \mid \Pi(\ell) \in J_\ell \right] \\ &\leq 2^{-\gamma\alpha\Delta/2} + \mathbb{E}_\ell \left[\sum_{i \in J_\ell} p_i(\ell) \right] \\ &= O(d/2^{\gamma\alpha\Delta/2}). \end{aligned} \quad \blacktriangleleft$$

3.5 Proof of Theorem 7

We first restate the theorem for convenience.

► **Theorem 7.** *Let $\alpha > 0$ be an absolute constant. Let $G_1 := ([m], [n], E_1)$, $G_2 := ([m], [n], E_2)$ be two $(r, \Delta, \alpha\Delta)$ -expanders, and $X, Y := \{0, 1\}^n$. For each $i \in [m]$, let C_i be a disjunction of variables in $\{x_j \mid j \in N_{G_1}(i)\} \cup \{y_j \mid j \in N_{G_2}(i)\}$ with arbitrary signs. Then for every communication protocol $\Pi: \{0, 1\}^n \times \{0, 1\}^n \rightarrow [m]$ of depth d at most $O(\Delta r)$:*

$$\Pr_{\substack{(\mathbf{x}, \mathbf{y}) \sim X \times Y \\ i \sim \Pi(\mathbf{x}, \mathbf{y})}} [C_i(\mathbf{x}, \mathbf{y}) = 0] \leq d \cdot 2^{-\Omega(\Delta)} + \exp(-d).$$

Proof. Let $\tilde{\Pi}$ be a subcube-like protocol given by Lemma 17 with respect to Π and $\gamma = \alpha$. Then $\text{codim}(\Pi') \leq \frac{7d}{1-\alpha}$. Moreover,

$$\Pr_{\mathbf{x}, \mathbf{y}} [\Pi(\mathbf{x}, \mathbf{y}) \neq \tilde{\Pi}(\mathbf{x}, \mathbf{y})] \leq \exp(-d).$$

We can then apply Lemma 23 and conclude that

$$\begin{aligned} \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i = \Pi(\mathbf{x}, \mathbf{y})] &\leq \\ \Pr_{\mathbf{x}, \mathbf{y}} [C_i(\mathbf{x}, \mathbf{y}) = 0 \mid i = \tilde{\Pi}(\mathbf{x}, \mathbf{y})] + \exp(-d) &= d \cdot 2^{-\Omega(\Delta)} + \exp(-d). \end{aligned} \quad \blacktriangleleft$$

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A Proof of Lemma 8

We start by proving Item 1 (Item 2 is analogous). Let $N^{\mathbf{X}}(i)$ for $i \in [m]$ be the set of neighbors of i in \mathbf{X} and $N^{\mathbf{Y}}(i)$ in \mathbf{Y} . Then $\text{ERROR}_{\mathbf{X}} = \{i \in [m] \mid |N^{\mathbf{X}}(i)| > (1 - \delta)\Delta\}$. We then write

$$\begin{aligned}
 \mathbb{E}[|\text{ERROR}_{\mathbf{X}}|] &= \sum_{i \in [m]} \Pr[|N^{\mathbf{X}}(i)| > (1 - \delta)\Delta] \\
 &= \sum_{i \in [m]} \sum_{S \subseteq N(i): |S| \geq (1 - \delta)\Delta} \Pr[\mathbf{X} \cup N(i) = S] \\
 &= m \sum_{j \leq \delta\Delta} \binom{m}{m-j} 2^{-\Delta} \\
 &\leq m 2^{-(1-H(\delta))\Delta} \\
 &= \alpha n \cdot 2^{H(\delta)\Delta} \\
 &= \alpha n^{1+c H(\delta)}
 \end{aligned}$$

On the other hand for every $i \in \text{ERROR}_{\mathbf{X}}$ we have

$$\Pr_{\mathbf{x} \sim \{0,1\}^{\mathbf{X}}} [C_i(\mathbf{x}, \cdot) \neq 1] = 2^{-|N^{\mathbf{X}}(i)|} \leq 2^{-(1-\delta)\Delta} = n^{-c(1-\delta)}.$$

Then by a union bound we get

$$\Pr_{\mathbf{x} \sim \{0,1\}^{\mathbf{X}}} [\exists i \in \text{ERROR}_{\mathbf{X}}: C_i(\mathbf{x}, \cdot) \neq 1] \leq |\text{ERROR}_{\mathbf{X}}| \cdot n^{-c(1-\delta)}.$$

Then by Markov's inequality applied to $|\text{ERROR}_{\mathbf{X}}|$ with probability $1 - \varepsilon$ over \mathbf{X} we have

$$\begin{aligned} \Pr_{\mathbf{x} \sim \{0,1\}^{\mathbf{X}}} [\exists i \in \text{ERROR}_{\mathbf{X}} : C_i(\mathbf{x}, \cdot) \neq 1] &\leq 1/\varepsilon \cdot \mathbb{E}[|\text{ERROR}_{\mathbf{X}}|] \cdot n^{-c(1-\delta)} \\ &= \alpha/\varepsilon \cdot n^{1+cH(\delta)-c(1-\delta)} \\ &= \alpha/\varepsilon \cdot n^{1-c(1-\delta-H(\delta))} \end{aligned}$$

Now it remains to prove Item 3. First, let $\mathbf{G} := ([m], [n], E_{\mathbf{X}} \sqcup E_{\mathbf{Y}})$ be the union of $G_{\mathbf{X}}$ and $G_{\mathbf{Y}}$. By Lemma 6 whp over φ the graph \mathbf{G} is an $(r, \Delta, (1-\eta)\Delta)$ -expander for any η and $r = \Omega_{\eta}(\frac{n}{\Delta})$. Now it is sufficient to show $G_{\mathbf{X}} - \text{ERROR}_{\mathbf{Y}}$ is an $(r, \Delta, (\delta - 2\eta)\Delta)$ -expander whp, $G_{\mathbf{Y}} - \text{ERROR}_{\mathbf{X}}$ is distributed identically to $G_{\mathbf{X}} - \text{ERROR}_{\mathbf{Y}}$ and removing additional nodes from the left-hand side does not reduce expansion.

We in fact show that conditioned on the fact that \mathbf{G} is an $(r, \Delta, (1-\eta)\Delta)$ -expander, $G_{\mathbf{X}} - \text{ERROR}_{\mathbf{Y}}$ is $(r, \Delta, (\delta - 2\eta)\Delta)$ -expander with probability 1. Consider an arbitrary subset $U \subseteq [m]$ of size at most r . For every such subset we need to have $|N(U) \setminus \mathbf{Y} \setminus N(\text{ERROR}_{\mathbf{Y}})| \geq (\delta - 2\eta)\Delta|U \setminus \text{ERROR}_{\mathbf{Y}}|$. Here and below $N(S) = N_{\mathbf{G}}(S)$. Consider the set $\partial U := \{v \in N(U) \mid v \text{ is connected with a single node in } U\}$. Then $|\partial U| \geq (1 - 2\eta)|U|\Delta$: indeed the number of edges incident to U can be estimated in two ways:

$$\Delta|U| = |E \cap (U \times [n])| \geq |\partial U| + 2(|N(U)| - |\partial U|) \geq 2(1 - \eta)\Delta|U| - |\partial U|.$$

Then we can partition the set $N(U)$ into sets N_i for $i \in U$ where $N_i \subseteq N(i)$ and $|N_i| \geq (1 - 2\eta)\Delta$: find a node $i \in U$ such that $|\partial U \cap N(i)| \geq (1 - 2\eta)\Delta$, let $N_i := \partial U \cap N(i)$ and continue the process for $U \setminus \{i\}$, the reason the resulting sets form a partition is that $N_i \cap N(U \setminus \{i\}) = \emptyset$ by the definition of ∂U .

For every $Y \subseteq [n]$ if $|N(i) \setminus Y| \geq \delta\Delta$, then $|N_i \setminus Y| \geq |N(i) \setminus Y| - |N(i) \setminus N_i| \geq (\delta - 2\eta)\Delta$. It follows that after removing $\text{ERROR}_{\mathbf{Y}}$ (vertices for which $|N(i) \setminus \mathbf{Y}| < \delta\Delta$), every vertex i in the set $U \setminus \text{ERROR}_{\mathbf{Y}}$ in $G_{\mathbf{X}}$ has at least $(\delta - 2\eta)\Delta$ neighbours in $N_i \setminus \mathbf{Y}$. As N_i is a partition, it follows that $|N(U) \setminus \text{ERROR}_{\mathbf{Y}} \setminus N(\text{ERROR}_{\mathbf{Y}})| \geq (\delta - 2\eta)\Delta|U \setminus \text{ERROR}_{\mathbf{Y}}|$.

Finally, choosing $\eta = \delta/4$ completes the proof. By Lemma 6 this particular choice only affects the hidden constant in $r = \Omega(n/\Delta)$.

B Proof of Lemma 22

Since $\text{Cl}^{\mathbf{X}}$ and $\text{Cl}^{\mathbf{Y}}$ are independent of each other, we just focus on constructing $\text{Cl}^{\mathbf{X}}$. It suffices to prove the following lemma:

► **Lemma 26.** *Let $G = ([m], [n], E)$ be an $(r, \Delta, \alpha\Delta)$ -expander. Let \mathcal{T} be a tree with nodes labeled with subsets of $[n]$, where $S_v \subseteq [n]$ denotes the label of v such that*

- *For the root of \mathcal{T} , the node r we have $S_r = \emptyset$.*
- *If u is a parent of v , then $S_u \subseteq S_v$.*
- *For every u we have $|S_u| \leq d \leq (\alpha - \beta)^2 r \Delta / 4$.*

Then there for every node u to \mathcal{T} there exists a set $T_u \subseteq [m]$ such that

- (a) *The graph $G_u := G - T_u - S_u - N(T_u)$ is an $(r, \Delta, \beta\Delta)$ -expander.*
- (b) *If u is a parent of v , then $T_u \subseteq T_v$.*
- (c) *$|T_u| \leq \frac{1}{\alpha - \beta} d / \Delta$.*

To finish the proof of Lemma 22 given Lemma 26 we just let \mathcal{T} be the tree of the protocol and S_u be $\text{fix}(X_u)$, then take $\text{Cl}^{\mathbf{X}}(u) := T_u$.

We now proceed to prove Lemma 26. Wlog we may assume that if u is a parent of v we have $|S_v \setminus S_u| \leq 1$ (just by replacing a single edge in \mathcal{T} by a chain of edges).

We construct the sets T_u inductively starting from the root r where $T_r = \emptyset$. Suppose u is a parent of node v and we have constructed T_u . If $S_u = S_v$, we just let $T_v := T_u$, so assume that $S_v \setminus S_u = \{i\}$. Let $G'_u := G_u - i$. Let us find the largest set $B_v \subseteq [m] \setminus T_u$ such that $|B_v| \leq r$ and $|N_{G'_u}(B_v)| \leq \beta\Delta|B_v|$ and let $T_v := T_u \cup B_v$. Then $G_v = G'_u - T_u - N_{G'_u}(T_u)$. It is clear that T satisfies Item (b).

Proof of Item (c). We show by induction on the depth ℓ of a node u that $|T_u| \leq \frac{1}{\alpha-\beta}\ell/\Delta$. The base case is satisfied since for the root r the set T_r is empty. Now let u be a node at depth ℓ and v be its child at depth $\ell + 1$. We have that $|T_u| \leq \frac{1}{\alpha-\beta}\ell/\Delta$, we need to prove that $|T_v| = |T_u \cup B_v| \leq \frac{1}{\alpha-\beta}(\ell + 1)/\Delta$.

On the one hand $N_{G'_u}(B_v) = N_G(B_v) \setminus (N_G(T_u) \cup S_v)$. On the other hand, $|N_{G'_u}(B_v)| \leq \beta\Delta|B_v|$. By the expansion of G we have $|N_G(B_v)| \geq \alpha\Delta|B_v|$. Hence $|N_G(T_u) \cup S_v| \geq (\alpha - \beta)\Delta|B_v|$. By the assumption on the tree $|S_v| = \ell + 1$, and by induction hypothesis $|T_u| \leq \frac{1}{\alpha-\beta}\ell/\Delta$, so $|N_G(T_u)| \leq \frac{1}{\alpha-\beta}\ell$.

Combining the two inequalities, we get $\frac{1}{\alpha-\beta}\ell + (\ell + 1) \geq (\alpha - \beta)\Delta|B_v|$.

From that, we get $|B_v| \leq 2 \cdot \frac{1}{(\alpha-\beta)^2\Delta} \cdot (\ell + 1) \leq r/2$, where the last inequality follows from the assumptions on ℓ . Then we get that $|T_v| \leq |T_u| + |B_v| \leq r$. Now we can use expansion of G to bound $|N_G(T_v)| \geq \alpha\Delta|T_v|$. On the other hand, let $r = w_0, w_1, \dots, w_\ell = u, w_{\ell+1} = v$ be the path in \mathcal{T} from the root to v . We then have

$$N_G(T_v) \subseteq \bigcup_{i=0}^{\ell} N_{G'_{w_i}}(B_{w_{i+1}}) \cup S_v.$$

By the choice of sets B we get $|N_G(T_v)| \leq \beta\Delta|T_v| + |S_v|$. Combining the two bounds we get $|T_v| \leq \frac{1}{\alpha-\beta}|S_v|/\Delta$, which concludes the proof.

Proof of Item (a). Pick the node v at depth $\ell + 1$ such that G_v is not an $(r, \Delta, \beta\Delta)$ -expander, and v is the closest to the root among such nodes. In particular, for its parent u the graph G_u is $(r, \Delta, \beta\Delta)$ -expander. Then there exists a set T of size at most r such that $N_{G_v}(T) < \beta\Delta|T|$. By expansion of G we get $|N_G(T)| \geq \alpha\Delta|T|$. Then, since $N_{G_v}(T) = N_G(T) \setminus (N_G(T_v) \cup S_v)$ we have

$$\frac{1}{2}(\alpha - \beta)\Delta r \geq \frac{2}{\alpha - \beta}\ell \geq \frac{1}{\alpha - \beta}\ell + (\ell + 1) \geq |N_G(T_v) \cup S_v| \geq (\alpha - \beta)\Delta|T|.$$

The left-hand side follows from Item (c) and the right-hand side follows from the analysis above. Then $|T| \leq r/2$. Since by the proof of Item (c) we have that $|B_v| \leq r/2$, we get $|T \cup B_v| \leq r$, yet $|N_{G'_u}(T \cup B_v)| < \beta\Delta|B_v| + \beta\Delta|T| \leq \beta\Delta|B_v \sqcup T|$, contradicting the choice of B_v .

C Proof of Lemma 21

► **Lemma 21.** Let $\mathbf{a}_1, \dots, \mathbf{a}_n \in \mathbb{R}$ be a random sequence of reals and $\zeta > 0$ be some fixed parameter. If for any $1 \leq k \leq n$ and $a_1, \dots, a_{k-1} \in \mathbb{R}$ such that $\Pr[\mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] > 0$,

$$\Pr[\mathbf{a}_k \geq x \mid \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] \leq \exp(-\zeta x),$$

then

$$\Pr \left[\sum_{i=1}^n \mathbf{a}_i \geq \frac{4}{\zeta} n \right] \leq \exp(-n).$$

Proof. Let $\lambda \in (0, \zeta)$ be some parameter that will be determined later. First, observe that for any $1 \leq k \leq n$ and $a_1, \dots, a_{k-1} \in \mathbb{R}$ such that $\Pr[\mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] > 0$,

$$\mathbb{E}[\exp(\lambda \mathbf{a}_k) \mid \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1}] \leq \zeta \int_0^\infty \exp(\lambda x) \cdot \exp(-\zeta x) \cdot dx = \zeta / (\zeta - \lambda). \quad (2)$$

Next, we prove by induction on k from n to 1 that

$$\mathbb{E} \left[\exp \left(\lambda \sum_{i=k}^n \mathbf{a}_i \right) \middle| \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{k-1} = a_{k-1} \right] \leq \left(\frac{\zeta}{\zeta - \lambda} \right)^{n-k+1}. \quad (3)$$

The base case $k = n$ is exactly (2). Now assume that (3) holds for all $k \geq m+1$. Then

$$\begin{aligned} & \mathbb{E} \left[\exp \left(\lambda \sum_{i=m}^n \mathbf{a}_i \right) \middle| \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{m-1} = a_{m-1} \right] \\ & \leq \sum_{a_m} \Pr[\mathbf{a}_m = a_m \mid \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{m-1} = a_{m-1}] \cdot \exp(\lambda a_m) \cdot \\ & \quad \cdot \mathbb{E} \left[\exp \left(\lambda \sum_{i=m+1}^n \mathbf{a}_i \right) \middle| \mathbf{a}_1 = a_1, \dots, \mathbf{a}_m = a_m \right] \\ & \leq \left(\frac{\lambda}{\zeta - \lambda} \right)^{n-m} \cdot \mathbb{E}[\exp(\lambda \mathbf{a}_m) \mid \mathbf{a}_1 = a_1, \dots, \mathbf{a}_{m-1} = a_{m-1}] \\ & = \left(\frac{\lambda}{\zeta - \lambda} \right)^{n-m+1}. \end{aligned}$$

Finally, by setting $\lambda = \zeta/2$, we conclude that

$$\begin{aligned} \Pr \left[\sum_{i=1}^n \mathbf{a}_i \geq \frac{4}{\zeta} n \right] &= \Pr \left[\exp \left(\lambda \sum_{i=1}^n \mathbf{a}_i \right) \geq \exp \left(\frac{4\lambda}{\zeta} n \right) \right] \\ &\leq \mathbb{E} \left[\exp \left(\lambda \sum_{i=1}^n \mathbf{a}_i \right) \right] \cdot \exp(-2n) \\ &\leq \left(\frac{\zeta}{\zeta - \lambda} \right)^n \cdot \exp(-2n) \\ &\leq \exp(-n). \end{aligned} \quad \blacktriangleleft$$