

# Zero-Knowledge Authenticator for Blockchain: Policy-Private and Obliviously Updateable

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## Abstract

Transaction details and participant identities on the blockchain are often publicly exposed. In this work, we posit that blockchain's transparency should not come at the cost of privacy. To that end, we introduce *zero-knowledge authenticators* (zkAt), a new cryptographic primitive for privacy-preserving authentication on public blockchains. zkAt utilizes zero-knowledge proofs to enable users to authenticate transactions, while keeping the underlying authentication policies private.

Prior solutions for such *policy-private authentication* required the use of threshold signatures, which can only hide the threshold access structure itself. In comparison, zkAt provides privacy for *arbitrarily complex* authentication policies, and offers a richer interface even within the threshold access structure by, for instance, allowing for the combination of signatures under distinct signature schemes.

In order to construct zkAt, we design a compiler that transforms the popular Groth16 non-interactive zero knowledge (NIZK) proof system into a NIZK with equivocable verification keys, a property that we define in this work. Then, for any zkAt constructed using proof systems with this new property, we show that all public information must be independent of the policy, thereby achieving policy-privacy.

Next, we give an extension of zkAt, called zkAt<sup>+</sup> wherein, assuming a trusted authority, policies can be updated obliviously in the sense that a third-party learns no new information when a policy is updated by the policy issuer. We also give a theoretical construction for zkAt<sup>+</sup> using recursive NIZKs, and explore the integration of zkAt into modern blockchains. Finally, to evaluate their feasibility, we implement both our schemes for a specific threshold access structure. Our findings show that zkAt achieves comparable performance to traditional threshold signatures, while also attaining privacy for significantly more complex policies with very little overhead.

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## 1 Introduction

Blockchain technology, with its decentralized architecture, offers a transparent and immutable ledger of transactions, fostering trust among participants without the need for centralized intermediaries. To authenticate a blockchain transaction, a user creates a digital signature on the transaction. If said signature verifies under the user's signature verification key, then the transaction is permanently added to the blockchain by the validators.

This transparency, however, often comes at the cost of privacy, as transaction details, participant identities, and contract logic are exposed to public scrutiny on most blockchains. While there has been significant progress in enabling confidential transactions with privacy-preserving blockchains, such as Zcash and Monero, and mixing services [28, 20, 19, 30, 27], practical privacy concerns extend beyond just transaction details. For instance, public access to account authentication logic makes it easier for malicious actors to design targeted attacks. Consider, for example, threshold multi-signature [32], which is a popular authentication mechanism supported by most blockchains for protecting high-value transactions. In threshold multi-signature,  $n$  different signing keys are generated such that signatures under at least  $t \leq n$  of those keys are needed to authorize a transaction. However, this reveals the access structure  $(n, t)$  giving critical information to attackers who learn exactly how many accounts they need to compromise.

In this work, we investigate approaches to conceal the account authentication logic (i.e., the policy) on smart-contract supporting public blockchains such as Ethereum, Solana, Aptos and Sui. A popular solution to hide the access structure is to enforce it *off-chain* with threshold signatures [17, 18] issued by a set of custodians or guardians. In such a threshold authenticator<sup>1</sup>, a single key is secret-shared between  $n$  parties such that any  $t$  parties can generate partial signatures, and aggregate them into a complete signature. Crucially, this final signature looks identical to a signature generated by the original key. Thus, threshold authenticators hide the access structure (both  $t$  and  $n$ ) and inherently obscure the identity of the signers within a group against blockchain transaction observers. This additional privacy makes them a preferable alternative to the aforementioned multi-signature authenticators, as noted by a recent user study [38].

**The need for complex authentication policies.** Threshold-wallets (i.e., cryptographic wallets with authentication enforced via threshold signatures), however, do not support the broad range of authentication needs seen in practice. A recent example is that of *account abstraction* [43] which allows for programmable access to user accounts via smart contracts instead of relying exclusively on private keys. For one, threshold-wallets – by definition – only support a threshold access structure rather than arbitrary boolean formulae. It is also not possible to combine existing keys created under different signature schemes, so for instance, it is not possible to create a 1-out-of-2 access structure between an ECSDA and an EdDSA key under existing threshold signature schemes. Further, some implementations of threshold EdDSA wallets can inadvertently reveal that the wallet is a threshold-wallet rather than a single key<sup>2</sup>!

In practice, authentication policy designers may want to use transaction data to select an appropriate access structure so as to balance usability and security concerns. Which is to say that they might, for instance, want to use 2-out-of-3 keys for high-value transactions above

<sup>1</sup> An authenticator is simply an authentication mechanism which encodes a general access structure in the form of an authentication policy.

<sup>2</sup> Many threshold EdDSA libraries introduce randomness in signatures on retries. So if different signatures for the same message are observed, it can reveal the use of a threshold-wallet [34].

a certain amount, and only 1-out-of-3 keys otherwise; or they might require authorization from a special administrator key for certain transactions. Rich contextual policies like these are commonly seen in smart-contract based authenticators [42, 3] (where the authentication policy is expressed in a smart contract) and off-chain authenticators [8, 24] (where the authentication policy is enforced off-chain by a trusted party). Finally, zero-knowledge proofs offer similarly complex authentication policies with some limited degree of privacy for the inputs to the policy, but not the policy itself [40].

To summarize, existing threshold authenticators offer some amount of privacy but only support very specific authentication policies. On the flip side, smart-contract authenticators leverage the rich language support to encode arbitrarily complex policies, but cannot hide the authentication policy; and zero-knowledge proofs offer only limited privacy but for general authentication policies. This is also summarized in Table 1 below.

This observation leads to the central question that motivates this work: *can we build private authenticators that are capable of hiding arbitrarily complex policies?*

**Our results.** To address this question, we design a new class of authentication schemes to validate user transactions on the blockchain while hiding the underlying (authentication) policy. Since they leverage zero-knowledge proof systems as the core building block, we refer to these schemes as *zero-knowledge authenticators* (zkAt). We summarize our contributions below:

- (1) **Formalizing zero-knowledge authenticators:** we formalize the notion of zero-knowledge authenticators with policy-privacy for policies expressible as general NP statements. Specifically, the property of policy-privacy guarantees that an adversary learns nothing about the underlying policy besides its public inputs.
- (2) **Constructing zero-knowledge authenticators:** we give a practical construction for zkAt by building on the seminal work of Groth [29], known colloquially as simply “Groth16”. More precisely, we define the property of verification-key equivocation and show that non-interactive zero-knowledge proof systems (NIZK) with this property can be generically used to instantiate zkAt. Then, we modify the Groth16 construction so that it satisfies verification-key equivocation, thus yielding a zkAt.
- (3) **Oblivious policy updates:** once policy-privacy has been achieved, a second interesting question emerges: *can policies be updated without leaking any new public information?* As our third contribution, we resolve this question by introducing  $\text{zkAt}^+$ , which extends our standard zkAt by additionally allowing for such oblivious policy updates. We also give a theoretical construction for  $\text{zkAt}^+$  using recursive NIZKs.
- (4) **Demonstrating practicality:** we implement both zkAt and  $\text{zkAt}^+$ , and evaluate them against similar (but less expressive) threshold signatures. Our results demonstrate that zkAt offers comparable performance, even for more complex policy semantics, and as the underlying NIZK is a succinct argument of knowledge (zk-SNARK), the final proof size is independent of the policy.

## 1.1 Application overview

Our stated goal is to build a private authenticator for transactions on public blockchains. More precisely, we want to build an authenticator for arbitrarily complex policies, such that the underlying policy remains hidden from all parties that did not generate the policy and/or the proof. Before delving into the technical details, we explore two scenarios where zkAt can be applied.

■ **Table 1** A comparison between authenticators. “Expressiveness” refers to whether complex policies can be specified. “Policy anonymity set” indicates the level of policy privacy achieved: None (no privacy), a pre-defined policy set (some privacy), all policies (full privacy).

Type	Expressiveness	Policy anonymity set	Oblivious updates
Multi-signature	Limited	None	N/A
Threshold	Limited	Pre-defined	N/A
Smart-contract	Rich	None	N/A
Zero-knowledge	Rich	Pre-defined	✗
zkAt	Rich	All	✗
zkAt <sup>+</sup>	Rich	All	✓

- (1) **Delegated transactions.** High-level executives at an organization hold shared custody of the organizational finances in the form of a threshold-wallet. The board members of the organization vote on the policies required to authenticate transactions made with the wallet. They might, for instance, require an authentication policy stating that all “large” transactions initiated by the company must be signed by all the executives and at least 50% of the board. For privately-held organizations, it may be in their interest to keep such policies hidden from the public as an added layer of protection for the organizational funds.
- (2) **Self-custody solutions.** As another example, consider an individual user who wants to protect their assets using a policy that requires, for instance, the user’s valid JSON web tokens (JWT) issued by two-out-of-three OpenID providers (cf. [4] for a discussion on JWT and OpenID) the user has previously registered, in order to authenticate a transaction.

In both examples, zkAt makes it more challenging for attackers to mount a successful attack by hiding all information about the authentication logic and access structure. In particular, in the first example, not only do attackers external to the organization not know how many signatures are needed to authenticate a transaction, they do not even know whether this threshold varies by amount and, if so, what the amount is! Similarly, in the second example, the attackers don’t know which OpenID providers the user has registered and, by extension, which accounts they need to compromise.

► **Remark 1.1.** We wish to emphasize that, more generally, in the scenarios we envision, the authentication policies are hidden from the validators as well as other (public) third-parties. At first brush, this may seem counter-intuitive – how can a validator validate a transaction without knowing the underlying policy? Our claim is that there is no reason for the validator to know the policy at all! Whatever the policy may be, a validator’s only concern is to ensure that the transaction satisfies it, i.e., given the transaction as input, the verification algorithm accepts under the policy issuer’s verification key. Importantly, as both our examples illustrate, the policy issuer could be the user (prover) themselves, and it is in their interest to design strong authentication policies.

## 1.2 Technical overview

To illustrate our technical ideas, we will begin by first considering a simple approach where we only attempt to hide the user’s secret credentials.

**A simple first approach.** The idea is to instantiate a general-purpose zero-knowledge proof system (such as [29, 25]) with the policy circuit as input to the setup. As a concrete example, to create a 1-out-of-2 multi-signature between two existing on-chain accounts while also hiding the identities of the two multi-signature participants, a user can proceed in the following manner:

- **Setup.** Create commitments  $c_1, c_2$  to two addresses, i.e.,  $c_i = \text{Commit}(\text{addr}_i; r_i)$ , where each address  $\text{addr}_i$  is a signature verification key for some signer. Create a designated-prover NIZK (DP-NIZK) proving key and (proof) verification key pair  $(\text{pk}, \text{vk})$  for the policy  $P$  given by the relation,

$$\left\{ \begin{array}{l} x := (c_1, c_2, \text{tx}_{\text{pb}}), \\ w := (\text{addr}, r, \sigma, \text{tx}_{\text{pv}}) \end{array} : \begin{array}{l} \left( \begin{array}{l} c_1 = \text{Commit}(\text{addr}; r) \vee \\ c_2 = \text{Commit}(\text{addr}; r) \end{array} \right) \\ \wedge \text{Sig.Verify}(\text{addr}, \text{tx}_{\text{pb}} || \text{tx}_{\text{pv}}, \sigma) = 1 \end{array} \right\},$$

where  $\text{tx}_{\text{pb}}$  (resp.  $\text{tx}_{\text{pv}}$ ) is the public (resp. private) part of the transaction. The proof verification key  $\text{vk}$  acts as the user's on-chain address.

- **Signing.** Split the transaction into public and private components, as determined by the privacy requirements. Collect signature  $\sigma$  on the full transaction  $\text{tx}_{\text{pb}} || \text{tx}_{\text{pv}}$  from one of the two accounts  $\text{addr} = \text{addr}_1$  or  $\text{addr} = \text{addr}_2$ . Generate a ZK proof  $\pi = \text{ZK.Prove}(\text{pk}, (c_1, c_2, \text{tx}_{\text{pb}}), (\text{addr}, r, \sigma, \text{tx}_{\text{pv}}))$  proving that the policy is satisfied or, in other words, the signature verifies with one of the two addresses.

Thus the resulting signature is nothing but a zero-knowledge proof, and the privacy guarantee for the private inputs follows straightforwardly from the zero-knowledge property. Notably, the above sketch already permits more expressive policies than threshold signatures albeit without hiding the policy – the fact that  $P$  is a 1-out-of-2 authentication policy is known to the public, however the actual addresses remain hidden thanks to the hiding property of the commitment (as long as  $r_1$  and  $r_2$  are secret), as do the private inputs to the circuit.

Next, in order to hide the *policy* itself, the most obvious solution would be to universalize the circuit. For example, we could make the circuit do  $n = \text{poly}(\lambda)$  signature verifications irrespective of the policy. This would allow policies that use up to  $n$  signatures to use the same circuit. In effect, the “policy anonymity set” consists of all policies that use up to  $n$  signatures. While this straw-man approach works to an extent, it results in poor signing performance (even if someone's policy only uses  $O(1)$  signatures, they need to verify all  $n$  to generate the signature). Moreover, as policies become more expressive, the policy anonymity set grows exponentially (if there are  $m$  possible triggers, a universal circuit would have to verify all the  $2^m$  possibilities). Thus the signing overhead for our simple first approach scales proportionally to the size of the policy anonymity set, resulting in an unsatisfactory trade-off between privacy and performance.

**Achieving efficient policy privacy.** In order to achieve policy-privacy without sacrificing performance, we must ask a more fundamental question about our sketch above (without the universalized circuit): assuming that the proving key is held privately by the user, do the verification key and proof reveal any non-trivial information about the policy?

A priori, it is not at all obvious whether a zkAt is directly constructible from any existing NIZK schemes. So, as a first step, we must formalize our, presently abstract, policy-privacy property. To that end, we define a new property for NIZK proof systems, which we call *verification key equivocation* (vk-equivocation). At a high level, in the vk-equivocation experiment, an adversary – holding a proof verification key – must identify which of the two

policies (of its choice) was an honest proof created with respect to, for a statement (also of its choice) that satisfies both policies. We find this to be a novel and practically useful property that, to the best of our knowledge, has not been previously considered in designated proof systems. The crucial observation is that if the underlying NIZK has equivocable verification keys, or in other words if the verification key is independent of the underlying relation (which encodes the policy), then a zkAt (instantiated according to our simple first approach) hides the policy since the proof is already zero-knowledge!

Our next task then is to develop such a proof system with vk-equivocation. For this, we turn to the work of Groth [29], that describes a NIZK for quadratic arithmetic programs (QAPs) from pairing-based assumptions (cf. § 2.3 for a description of the scheme). In particular, we find that a simple modification to Groth16 is sufficient to achieve this property. At a high level, our main observation is that the only component linking the verification key to the underlying relation are the evaluations of the QAP polynomials at a random point  $x$ ; and as it turns out, we can interpolate fresh polynomials that behave exactly as the original ones on the characteristic points (so that they describe the same arithmetic circuit), but additionally, also evaluate at  $x$  to *a priori* uniformly chosen values. These fresh polynomials now define an updated QAP. This essentially fixes the evaluation of the polynomials at  $x$  independently of the relation, and consequently the resulting verification key is made completely independent of the updated QAP. Most notably, this modification affects no change to the proof verification function, thus making it fully compatible with existing Groth16 verifiers!

The overall workflow for setting up zkAt keys should thus be:

1. Choose a policy and encode it into a circuit,
2. Run the modified Groth16 setup to generate the trapdoor, proving and verification keys,
3. Delete the trapdoor (as we explain shortly, if storing a sensitive secret is acceptable and oblivious policy updates are desired, then the trapdoor can be persisted); and
4. Store the proving key, and publish the verification key as the on-chain address.

Interestingly, unlike in most other use cases of Groth16, the presence of a trusted setup phase is not a problem. This is because it is in the interest of the user, who is the trusted setup generator, to delete the trapdoor safely as otherwise their account could be compromised.

**Updating policies obliviously.** Policy issuers may want to be able to update their policies for any number of reasons, such as for operational reasons such as periodic key rotation. A trivial way to do this, of course, is to issue a fresh set of keys with respect to the new policy. However, recall that the verification key acts as the user’s on-chain address, and thus the trivial approach would require updating user addresses every time a policy is changed. It is therefore desirable to grant policy issuers the ability to update policies without having to change the verification keys. We call this feature *oblivious updateability*.

Interestingly, our Groth16-zkAt already achieves oblivious updateability in a limited sense – the idea is to retain the trapdoor for our modified proof system (in cold storage), so that new proving keys can be generated at will. Old policies and the corresponding proving keys can then be retired by adding a clause within the policy circuit to check that the current time is less than a fixed expiry time (this assumes that the current time is accessible as a public input, a feature commonly available on most blockchains).

However, persisting the trapdoor carries significantly more risk as a leaked trapdoor breaks security. Moreover, we only know our Groth16-zkAt to be securely updateable assuming that an attacker cannot access two different proving keys corresponding to the same verification



key, but in situations such as oblivious policy updates, this is not necessarily the case – a user (prover) with two proving keys for the same verification key can learn non-trivial information about the trapdoor and possibly break soundness of the NIZK.

**Maliciously-secure oblivious policy updates.** The upshot of this is that we must extend our zkAt definition so as to realize maliciously-secure policy updates in the strongest sense, i.e., one where the adversary is given access to an update oracle, which returns updated proving keys (corresponding to the same verification key) for policy updates of the adversary’s choice. We call the extended primitive that satisfies the stronger update security,  $\text{zkAt}^+$ .

The main technical challenge in constructing a  $\text{zkAt}^+$  is to somehow fix the proof verification key across policy updates since it acts as the user’s on-chain address while *securely* updating the proving key. As we just explained, however, the Groth16-zkAt approach does not quite work, so we approach this problem from a new direction. As usual, during authentication, the user will still compute a proof  $\pi_I$  that the transaction satisfies the underlying policy using a (not necessarily designated-prover) NIZK. The astute reader may observe that the user cannot send this proof in the clear, since it obviously contains information about the policy. Indeed, instead the user recursively composes this proof with another “outer” NIZK proof  $\pi_O$ , essentially proving that it has a proof that the transaction satisfies the policy. Notably, as this outer NIZK has the same structure for any policy, there need only be a single global common reference string (CRS),  $\text{crs}_O$  that can be used to generate and verify outer proofs for *all* users.

Observe, also, that the policy can now be updated obliviously, since  $\text{crs}_O$  does not depend on any policy specific information. Unfortunately, this construction is incomplete, since there are no clear candidate public keys that could play the role of a user address. One might again be tempted to set the “inner” NIZK proof’s verification key as the user’s address, but remember that this is not possible if we want oblivious updates. Instead of a proof verification key acting as the user’s on-chain address, we let it be given by a *signature* verification key  $\text{vk}$ , for a signing-verification key pair  $(\text{sk}, \text{vk})$  generated by the user. Now, in addition to the inner proof  $\pi_I$ , the user must additionally compute a signature  $\sigma$  on the inner proof’s CRS,  $\text{crs}_I$ , and then, in the outer proof, also prove that  $\sigma$  verifies under  $\text{vk}$ . Thus, the public instance for the outer NIZK is the address  $\text{vk}$  along with any other public transaction data, and the private witness is  $\text{crs}_I$ ,  $\pi_I$  and  $\sigma$ .

In order to update a policy, the policy issuer simply computes a fresh  $\text{crs}_I$  for the new policy and signs it with its secret signing key  $\text{sk}$ . Clearly, this reveals no information regarding the update on the chain. Moreover, this construction has maliciously-secure policy updates by soundness of the both NIZKs.

We remark that in applications where the policy issuers are distinct from the users (which, recall, need not always be the case) there does arise a subtle issue with this approach, namely that a user holding an older proving key can still authenticate with respect to that policy. This, however, is easily circumvented by having the policy issuer additionally sign an arbitrarily chosen tag that the user must prove belongs to a public set of currently accepted tags, and has the benefit of allowing policies to expire gracefully.

### 1.3 Related Work

**Existing blockchain authentication methods.** Threshold [17, 18, 33, 6, 41, 2] and multi-signing [39, 9] are commonly used authentication mechanisms in blockchain settings. Our solution offers all the same benefits of threshold-based solutions such as privacy of signers, compact signatures while also capturing more complex policy semantics beyond the threshold.

Moreover, with our zkAt, one can do this with *pre-existing* signing keys and without requiring any expensive distributed key-generation, a pre-requisite for threshold signatures. Smart-contract based authenticators [3, 42] (sometimes called account abstraction wallets [43]) are popular for their flexibility and security features, for example, account recovery, flexible policies for high-value transactions, ability to change policies over time. However, these offer no notion of privacy. Interestingly, zkAt can be used to turn any smart-contract based authenticator private.

**Attribute-based authentication.** Another common authentication mechanism is based on user attributes satisfying certain pre-specified criteria [37, 35]. Indeed, this is nothing but a policy-based authentication mechanism with the important distinction that the authentication policy need not be private. Put another way, one may view zkAt as an extension of attribute-based authentication that offers stronger privacy guarantees for not just the user's attributes, but also the constraints on those attributes.

**Functional commitments.** A functional commitment scheme [36] enables a user to succinctly commit to a function (from a specified family), such that the user can later verifiably reveal values of the function at desired inputs. Such a commitment must be *binding* to the function and may additionally also *hide* [10] it. We observe that zkAt realizes a sort of function-private functional commitment scheme for functions with binary outputs, with  $\text{vk}$  being the commitment to the policy function. Perhaps for the first time, our equivocable Groth16 construction gives a functional commitment where the underlying function is updateable or equivocable (given the trapdoor) without changing the commitment.

## 2 Preliminaries

In this section, we provide preliminaries needed for this work.

**Notation.** Let  $\lambda$  denote the security parameter, and PPT denote probabilistic polynomial-time. We use  $\leftarrow \$$  to denote the output of a randomized algorithm,  $\leftarrow$  to denote output of a deterministic algorithm, and  $:=$  for assignment. For our security definitions, we use notation similar to [29].

Following common convention we use lowercase bold-face letters to denote vectors and uppercase bold-face letters for matrices. For a vector  $\mathbf{x}$ ,  $x_i$  denotes its  $i^{\text{th}}$  element. In general  $\mathbb{G}$  denotes a group and  $\mathbb{F}$  a field. Let  $g_i$  be the generator of a group  $\mathbb{G}_i$ , then we write  $g_i^x$  for  $x \in \mathbb{F}$  as  $[x]_i$  and  $a[x]_i := g_i^{ax}$ , for some  $a \in \mathbb{F}$ . As usual,  $\mathbb{Z}$  is the ring of integers and  $\mathbb{Z}_p$  is the ring of integers modulo  $p$  for some integer  $p > 0$ . Finally,  $\mathbb{Z}_p[X]$  is the ring of polynomials with coefficients in  $\mathbb{Z}_p$ , and for any polynomial  $U(X) \in \mathbb{Z}_p[X]$  the notation  $\deg(U)$  denotes its degree.

**Bilinear pairings.** Let  $\mathbb{G}_1$  and  $\mathbb{G}_2$  be groups of the same prime order  $q$  with the generators  $g_1, g_2$  respectively. Using the notation from [29], we denote the pairing map  $\mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$  as  $=: [a]_1 \cdot [b]_2 = [ab]_T$  for any  $a, b \in \mathbb{Z}_q$ .

### 2.1 Cryptographic Building Blocks

We recall the definitions for some common cryptographic primitives that we use in our constructions.



### 2.1.1 Signature schemes

A signature scheme  $\text{Sig}$  over message space  $\mathcal{M}$  consists of the following polynomial time algorithms:

- $\text{Setup}(1^\lambda) \rightarrow (\text{vk}, \text{sk})$ . is a randomized algorithm that takes security parameter  $\lambda$  as input and returns a pair of keys  $(\text{vk}, \text{sk})$ , where  $\text{vk}$  is the verification key and  $\text{sk}$  is the signing key.
- $\text{Sign}(\text{sk}, M) \rightarrow \sigma$ . is a possibly randomized algorithm that takes as input the signing key  $\text{sk}$ , and a message  $M \in \mathcal{M}$ , and returns a signature  $\sigma$ .
- $\text{Verify}(\text{vk}, M, \sigma) \rightarrow \{0, 1\}$ . is a deterministic algorithm that takes as input the verification key  $\text{vk}$ , a message  $M \in \mathcal{M}$ , and a signature  $\sigma$ . It outputs 1 (accept) or 0 (reject).

A signature scheme satisfies correctness if for all  $\lambda \in \mathbb{N}$ ,  $M \in \mathcal{M}$ , and every signing-verification key pair  $(\text{vk}, \text{sk}) \leftarrow \text{Setup}(1^\lambda)$ , every signature  $\sigma \leftarrow \text{Sign}(\text{sk}, M)$ ,  $\text{Verify}(\text{vk}, M, \sigma) = 1$ .

► **Definition 2.1** (Existential unforgeability under chosen messages). *A signature scheme  $\text{Sig} = (\text{Setup}, \text{Sign}, \text{Verify})$  is existentially unforgeable under chosen messages if for every PPT attacker  $\mathcal{A}$  there exists a negligible function  $\epsilon(\cdot)$  such that for all  $\lambda \in \mathbb{N}$  the following probability is at most  $\epsilon(\lambda)$*

$$\Pr \left[ \text{Verify}(\text{vk}, M^*, \sigma^*) = 1 : \begin{array}{l} (\text{vk}, \text{sk}) \leftarrow \text{Setup}(1^\lambda) \\ (M^*, \sigma^*) \leftarrow \mathcal{A}^{\text{O}_{\text{sk}}}(\text{vk}) \end{array} \right]$$

and  $\mathcal{A}$  should never have queried  $M^*$  to the signing oracle,  $\text{O}_{\text{sk}}(\cdot)$ .

### 2.1.2 Non-interactive zero-knowledge

Let  $\mathcal{C}_\lambda := \{C : \{0, 1\}^{\text{poly}(\lambda)} \rightarrow \{0, 1\}\}$  be a family of boolean circuits computable in polynomial time. Then, a non-interactive proof system for a circuit  $\mathcal{C}_\lambda$  consists of the following polynomial time algorithms:

- $\text{Setup}(1^\lambda, C) \rightarrow (\text{crs}, \tau)$ . The setup algorithm takes as input the security parameter  $\lambda$  and a circuit  $C$ , and outputs a common reference string  $\text{crs}$  and a trapdoor  $\tau$ .
- $\text{Prove}(\text{crs}, x, w) \rightarrow \pi$ . The prover algorithm takes as input a  $\text{crs}$ , an instance  $x$ , and a witness  $w$ . It outputs a proof  $\pi$ .
- $\text{Verify}(\text{crs}, x, \pi) \rightarrow 0/1$ . The verification algorithm takes as input a  $\text{crs}$ , an instance  $x$ , and a proof  $\pi$ . It outputs 1 (accept) or 0 (reject).

► **Definition 2.2** (Non-interactive zero-knowledge). *Given a circuit  $C$ , a NIZK proof system for an NP relation  $\mathcal{R} = \{(x, w) : C(x||w) = 1\}$  must satisfy the following properties:*

- **Completeness:** *For every  $\lambda \in \mathbb{N}$ , and every  $\text{crs}$  computed as  $(\text{crs}, \tau) \leftarrow \text{Setup}(1^\lambda, C)$ , any instance and witness pair  $(x, w) \in \mathcal{R}$ ,*

$$\Pr [\text{Verify}(\text{crs}, x, \pi) = 1 : \pi \leftarrow \text{Prove}(\text{crs}, x, w)] = 1.$$

- **Soundness:** *For every PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\epsilon(\cdot)$  such that for all  $\lambda \in \mathbb{N}$  the following probability is at most  $\epsilon(\lambda)$ ,*

$$\Pr \left[ \text{Verify}(\text{crs}, x, \pi) = 1 \wedge x \notin \mathcal{L}_{\mathcal{R}} : \begin{array}{l} (\text{crs}, \tau) \leftarrow \text{Setup}(1^\lambda, C) \\ (x, \pi) \leftarrow \mathcal{A}(\text{crs}) \end{array} \right]$$

where  $\mathcal{L}_{\mathcal{R}}$  is the language specified by  $\mathcal{R}$ .

- **Zero-knowledge:** *There exists a PPT simulator  $\mathcal{S} = (\mathcal{S}_1, \mathcal{S}_2)$  for every adversary  $\mathcal{A}$  and such that there is a negligible function  $\epsilon(\cdot)$  such that for every  $\lambda \in \mathbb{N}$  and every  $(x, w) \in \mathcal{R}$  the following probability is at most  $\epsilon(\lambda)$ ,*

$$\left| \Pr \left[ \begin{array}{l} \mathcal{A}(\text{crs}, x, \pi) = 1 : \\ (\text{crs}, \tau) \leftarrow \text{Setup}(1^\lambda, \text{C}) \\ \pi \leftarrow \text{Prove}(\text{crs}, x, w) \end{array} \right] - \Pr \left[ \begin{array}{l} \mathcal{A}(\text{crs}, x, \pi) = 1 : \\ (\text{crs}, \tau) \leftarrow \mathcal{S}_1(1^\lambda, \text{C}) \\ \pi \leftarrow \mathcal{S}_2(\tau, x) \end{array} \right] \right|$$

**Argument of Knowledge.** Further, a NIZK proof system is an *argument of knowledge* if it satisfies the following additional property:

- **(Computational) Knowledge soundness:** For every PPT adversary  $\mathcal{A}$  there exists a PPT extractor  $\mathcal{E}$  and a negligible function  $\epsilon(\cdot)$ , such that for all  $\lambda \in \mathbb{N}$  the following probability is at most  $\epsilon(\lambda)$ ,

$$\Pr \left[ \text{Verify}(\text{crs}, x^*, \pi^*) = 1 \wedge (x^*, w^*) \notin \mathcal{R} \quad : \quad \begin{array}{l} (\text{crs}, \tau) \leftarrow \text{Setup}(1^\lambda, \text{C}) \\ ((x^*, \pi^*); w^*) \leftarrow (\mathcal{A} \parallel \mathcal{E})(\text{crs}) \end{array} \right]$$

where the notation  $((x^*, \pi^*); w^*) \leftarrow (\mathcal{A} \parallel \mathcal{E})(\text{crs})$ , taken from [29], is shorthand for  $(x^*, \pi^*) \leftarrow \mathcal{A}(\text{crs})$  and  $w^* \leftarrow \mathcal{E}(x^*, \pi^*)$  such that  $\mathcal{E}$  gets access to  $\mathcal{A}$ 's code.

**Designated-prover NIZK.** A (publicly verifiable) designated-prover NIZK scheme (DP-NIZK) for a circuit  $\text{C}$  consists of the following polynomial time algorithms:

- $\text{Setup}(1^\lambda, \text{C}) \rightarrow (\text{vk}, \text{pk}, \tau)$ . The setup algorithm takes as input the security parameter  $\lambda$  and a boolean circuit  $\text{C}$ , and outputs a verification key  $\text{vk}$ , a proving key  $\text{pk}$  and a trapdoor  $\tau$ .
- $\text{Prove}(\text{pk}, x, w) \rightarrow \pi$ . The prover algorithm takes as input the proving key  $\text{pk}$ , an instance  $x$ , and a witness  $w$ . It outputs a proof  $\pi$ .
- $\text{Verify}(\text{vk}, x, \pi) \rightarrow 0/1$ . The verification algorithm takes as input a verification key  $\text{vk}$ , an instance  $x$ , and a proof  $\pi$ . It outputs 1 (accept) or 0 (reject).

A DP-NIZK scheme must further satisfy the same properties as described in Definition 2.2 with the  $\text{crs}$  appropriately replaced by  $\text{pk}$  and  $\text{vk}$ .

## 2.2 Quadratic arithmetic programs

A quadratic arithmetic program (QAP) comprises of a finite field  $\mathbb{Z}_p$  for some prime  $p$  with  $|p| = \lambda$ , integers  $\ell \leq m$ , and polynomials  $\{U_i(X), V_i(X), W_i(X)\}_{i=0}^m$  and  $T(X)$  in  $\mathbb{Z}_p[X]$  with  $\deg(U_i), \deg(V_i), \deg(W_i) < \deg(T) = n$  (for all  $i \in [0, m]$ ) such that, for  $a_0 := 1$ , it defines the following relation

$$\left\{ \begin{array}{l} x := (a_i)_{i \in [0, \ell]} \in \mathbb{Z}_p^\ell \\ w := (a_i)_{i \in [\ell+1, m]} \in \mathbb{Z}_p^{m-\ell} : \\ \sum_{i=0}^m a_i U_i(X) \cdot \sum_{i=0}^m a_i V_i(X) = \sum_{i=0}^m a_i W_i(X) \pmod{T(X)} \end{array} \right\}$$

In this work, we consider proof systems for satisfiability of general arithmetic circuits, which consist of addition and multiplication gates over the finite field  $\mathbb{Z}_p$ . Gennaro, et al. [26] gave an efficient technique for converting any arithmetic circuit into a QAP, thus allowing us to prove statements encoded as general arithmetic circuits using Groth16.

### 2.3 Recalling Groth16

Since it will be essential to one of our constructions, we now recall the Groth's NIZK argument for QAPs (and therefore for any arithmetic circuit).

Now, for some prime  $p$  such that  $|p| = \lambda$ , groups  $\mathbb{G}_1 = \langle g_1 \rangle$  and  $\mathbb{G}_2 = \langle g_2 \rangle$  and  $\mathbb{G}_T$  such that the pairing  $e : \mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$  is a bilinear map. Consider a QAP,

$$\mathcal{R} = \left\{ p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e, g_1, g_2, \ell, \{U_i(X), V_i(X), W_i(X)\}_{i=0}^m, T(X) \right\},$$

that defines a field  $\mathbb{Z}_p$  and a language of statements  $(a_1, \dots, a_\ell) \in \mathbb{Z}_p^\ell$  and witnesses  $(a_{\ell+1}, \dots, a_m) \in \mathbb{Z}_p^{m-\ell}$  such that for  $a_0 = 1$ , polynomials  $\{U_i(X), V_i(X), W_i(X)\}_{i=0}^m$  and  $T(X)$  in  $\mathbb{Z}_p[X]$  with  $\deg(U_i), \deg(V_i), \deg(W_i) < \deg(T) = n$  (for all  $i \in [0, m]$ ) and  $T(X) := \prod_{i=1}^n (X - r_i)$  for all distinct  $r_i \in \mathbb{Z}_p^*$ , the following holds

$$\sum_{i=0}^m a_i U_i(X) \cdot \sum_{i=0}^m a_i V_i(X) - \sum_{i=0}^m a_i W_i(X) = H(X)T(X)$$

for some degree  $(n-2)$  polynomial  $H(X) \in \mathbb{Z}_p[X]$ . Then, the Groth16 NIZK argument is given by the following algorithms:

- **Setup.** The setup algorithm accepts the QAP  $\mathcal{R}$  as input, sets the secret trapdoor  $\tau := (\alpha, \beta, \gamma, \delta, x)$  for  $\alpha, \beta, \gamma, \delta, x \leftarrow \mathbb{Z}_p^*$ , and outputs it along with the verifier key  $\text{vk}$  and the prover key  $\text{pk}$  where

$$\begin{aligned} \text{vk} &:= \left( [\alpha]_1, [\beta]_2, [\gamma]_2, [\delta]_2, \left\{ [\chi_i]_1 := \left[ \frac{\beta U_i(x) + \alpha V_i(x) + W_i(x)}{\gamma} \right]_1 \right\}_{i=0}^\ell \right), \\ \text{pk} &:= \left( \begin{aligned} &[\alpha]_1, [\beta]_1, [\beta]_2, [\delta]_1, [\delta]_2, \left\{ [\theta_j]_1 := \left[ \frac{x^j T(x)}{\delta} \right]_1 \right\}_{j=0}^{n-2} \\ &\{[\psi_i]_1 := [U_i(x)]_1\}_{i=0}^m, \{[\varphi_i]_2 := [V_i(x)]_2\}_{i=0}^m, \\ &\{[\zeta_i]_1 := \left[ \frac{\beta U_i(x) + \alpha V_i(x) + W_i(x)}{\delta} \right]_1\}_{i=\ell+1}^m \end{aligned} \right). \end{aligned}$$

- **Prove.** The proving algorithm samples  $r, s \leftarrow \mathbb{Z}_p^*$  and, using the instance and witness  $(a_1, \dots, a_\ell, a_{\ell+1}, \dots, a_m) \in \mathbb{Z}_p^m$ , sets the polynomial

$$H(X) := \frac{\sum_{i=0}^m a_i U_i(X) \cdot \sum_{i=0}^m a_i V_i(X) - \sum_{i=0}^m a_i W_i(X)}{T(X)}.$$

It then computes

$$\pi := \left( \begin{aligned} &[A]_1 := [\alpha]_1 + r[\delta]_1 + \sum_{i=0}^m a_i [\psi_i]_1, \\ &[B]_2 := [\beta]_2 + s[\delta]_2 + \sum_{i=0}^m a_i [\varphi_i]_2, \\ &[C]_1 := (s[\alpha]_1 + r[\beta]_1 + rs[\delta]_1 + \sum_{i=\ell+1}^m a_i [\zeta_i]_1 + \sum_{j=0}^{n-2} h_j [\theta_j]_1) \end{aligned} \right) \quad (1)$$

given the  $\text{pk}$ , and outputs  $\pi$  as the proof.

- **Verify.** Given the  $\text{vk}$ , the instance  $(a_1, \dots, a_\ell) \in \mathbb{Z}_p^\ell$  and a proof  $\pi := ([A]_1, [B]_2, [C]_1)$ , the verifier simply checks whether:

$$[A]_1 \cdot [B]_2 \stackrel{?}{=} [\alpha]_1 \cdot [\beta]_2 + [C]_1 \cdot [\delta]_2 + \left( \sum_{i=0}^\ell a_i [\chi_i]_1 \right) \cdot [\gamma]_2$$

and outputs the result.

### 3 Zero-knowledge Authenticators with Policy-privacy

We now formalize the notion of a zero-knowledge authenticator for a family of authentication policies and construct such an authenticator under a mild assumption that the underlying NIZK has equivocal (verification) keys, a property which we also define.

#### 3.1 Zero-knowledge authenticator

We define a zero-knowledge authenticator for a family of authentication policies. As mentioned in the introduction, a zkAt can easily capture low-level semantics of an authentication mechanism as a (polynomial-size) circuit.

Let  $\Pi = \{f_\lambda : \{0, 1\}^{\text{poly}(\lambda)} \rightarrow \{0, 1\}\}$  be a family of authentication policies, then a *zero-knowledge authenticator* for any policy  $P \in \Pi$  over the message space  $\mathcal{M}$  and private input space  $\Omega$  consists of the following polynomial time algorithms:

- **Setup**( $1^\lambda, P$ )  $\rightarrow (\text{vk}_P, \text{pk}_P)$ . The setup algorithm takes as input the security parameter  $\lambda$  and the authentication policy  $P$ . It outputs a public verification key  $\text{vk}_P$  and a secret proving key<sup>3</sup>  $\text{pk}_P$ .
- **AuthProve**( $\text{pk}_P, M, \omega$ )  $\rightarrow \pi / \perp$ . The proving algorithm takes as input the secret key  $\text{pk}_P$ , a message  $M \in \mathcal{M}$  to be signed and some private input  $\omega \in \Omega$ . It outputs a proof  $\pi$  or  $\perp$ .
- **AuthVfy**( $\text{vk}_P, M, \pi$ )  $\rightarrow \{0, 1\}$ . The verification algorithm takes as input the public verification key  $\text{vk}_P$ , a message  $M \in \mathcal{M}$ , and a proof  $\pi$ . It outputs 0 or 1.

► **Definition 3.1** (Zero-knowledge Authenticator). *A zero-knowledge authenticator must satisfy the following properties with respect to any policy  $P \in \Pi$ :*

- **Completeness:** *For every message  $M \in \mathcal{M}$  and for every string  $\omega \in \Omega$  such that  $P(M|\omega) = 1$ ,*

$$\Pr \left[ \text{AuthVfy}(\text{vk}_P, M, \pi) = 1 : \begin{array}{l} (\text{vk}_P, \text{pk}_P) \leftarrow \$ \text{Setup}(1^\lambda, P) \\ \pi \leftarrow \$ \text{AuthProve}(\text{pk}_P, M, \omega) \end{array} \right] = 1$$

- **Knowledge soundness:** *For every PPT adversary  $\mathcal{A}$  there exists a PPT extractor  $\mathcal{E}$  and a negligible function  $\epsilon(\cdot)$  satisfying, for all  $\lambda \in \mathbb{N}$  the following probability is at most  $\epsilon(\lambda)$*

$$\Pr \left[ \begin{array}{l} \text{AuthVfy}(\text{vk}_P, M^*, \pi^*) = 1 \\ \wedge P(M^*|\omega^*) \neq 1 \end{array} : \begin{array}{l} (\text{vk}_P, \text{pk}_P) \leftarrow \$ \text{Setup}(1^\lambda, P) \\ ((M^*, \pi^*); \omega^*) \leftarrow (\mathcal{A}||\mathcal{E})(\text{vk}_P, \text{pk}_P) \end{array} \right]$$

- **(Perfect) zero-knowledge:** *There exists a PPT simulator  $\mathcal{S} = (\mathcal{S}_1, \mathcal{S}_2)$  such that for every PPT adversary  $\mathcal{A}$ , every  $\lambda \in \mathbb{N}$ , every  $M \in \mathcal{M}$  and every string  $\omega \in \Omega$  such that  $P(M|\omega) = 1$ ,*

$$\Pr \left[ \begin{array}{l} \mathcal{A}(\text{vk}_P, M, \pi) = 1 : \\ (\text{vk}_P, \text{pk}_P) \leftarrow \$ \text{Setup}(1^\lambda, P) \\ \pi \leftarrow \$ \text{AuthProve}(\text{pk}_P, M, \omega) \end{array} \right] = \Pr \left[ \begin{array}{l} \mathcal{A}(\text{vk}_P, M, \pi) = 1 : \\ (\text{vk}_P, \text{pk}_P, \tau) \leftarrow \mathcal{S}_1(1^\lambda, P) \\ \pi \leftarrow \mathcal{S}_2(\tau, M) \end{array} \right]$$

<sup>3</sup> We can assume that  $\text{pk}_P$  also implicitly contains  $P$ .

- **Policy privacy:** For every stateful PPT adversary  $\mathcal{A}$ , there is a negligible function  $\epsilon(\cdot)$  such that the following probability is at most  $1/2 + \epsilon(\lambda)$

$$\Pr \left[ \begin{array}{l} \forall \hat{b} \in \{0, 1\} : P_{\hat{b}}(M^* || \omega_b^*) = 1 \\ \wedge \mathcal{A}(\pi) = b \end{array} : \begin{array}{l} \{0, 1\} \leftarrow \$ b \\ P_0, P_1 \leftarrow \mathcal{A}(1^\lambda) \\ (vk_{P_b}, pk_{P_b}) \leftarrow \$ \text{Setup}(1^\lambda, P_b) \\ (M^*, \omega_0^*, \omega_1^*) \leftarrow \mathcal{A}(vk_{P_b}) \\ \pi \leftarrow \$ \text{AuthProve}(pk_{P_b}, M^*, \omega_b^*) \end{array} \right]$$

Before giving a formal construction, we must define a new object called designated-prover NIZK schemes (DP-NIZK) with *equivocable verification keys*. At a high level, the property of verification-key equivocation guarantees that the verification key of a DP-NIZK scheme is independent of its circuit. Looking ahead to our construction, when instantiated with a DP-NIZK with this property, we will be able to reduce the policy privacy of our zkAt to the verification key equivocation of the DP-NIZK.

### 3.1.1 Verification-key equivocation

Informally, the vk-equivocation game models and adversary who, given a verification key and a proof for a statement in languages specified by both circuits of its choice, must decide which of the said circuits does the key (and proof) correspond to.

► **Definition 3.2** (vk-equivocation). *A publicly verifiable DP-NIZK scheme has equivocable verification keys if for every stateful PPT adversary  $\mathcal{A}$ , there is a negligible function  $\epsilon(\cdot)$  such that the following probability is at most  $\frac{1}{2} + \epsilon(\lambda)$ ,*

$$\Pr \left[ \begin{array}{l} \forall \hat{b} \in \{0, 1\} : C_{\hat{b}}(x^* || w_b^*) = 1 \\ \wedge \mathcal{A}(\pi) = b \end{array} : \begin{array}{l} \{0, 1\} \leftarrow \$ b \\ C_0, C_1 \leftarrow \mathcal{A}(1^\lambda) \\ (vk_b, pk_b) \leftarrow \$ \text{Setup}(1^\lambda, C_b) \\ (x^*, w_0^*, w_1^*) \leftarrow \mathcal{A}(vk_b) \\ \pi \leftarrow \$ \text{Prove}(pk_b, x^*, w_b^*) \end{array} \right]$$

where each circuit  $C_b$  encodes an NP relation  $\mathcal{R}_b = \{(x, w) : C_b(x || w) = 1\}$

## 3.2 Construction

We now provide our construction. It requires a publicly-verifiable DP-NIZK scheme with vk-equivocation  $\text{NIZK} = (\text{NIZK.Setup}, \text{NIZK.Prove}, \text{NIZK.Verify})$  for the relation  $\mathcal{R}_P = \{(x, w) : P(x || w) = 1\}$ .

- $\text{Setup}(1^\lambda, P) \rightarrow (vk_P, pk_P)$ . Give the security parameter  $\lambda$  and the policy  $P$  as input, the setup algorithm runs the NIZK setup to obtain the verification and the prover keys, i.e.,  $(vk_{zk}, pk_{zk}) \leftarrow \$ \text{NIZK.Setup}(1^\lambda, P)$ . It then outputs  $vk_P := vk_{zk}$ , and  $pk_P := pk_{zk}$ .
- $\text{AuthProve}(pk_P, M, \omega) \rightarrow \pi / \perp$ . It parses  $pk_P$  to obtain  $pk_{zk}$  and then computes the proof  $\pi \leftarrow \$ \text{NIZK.Prove}(pk_{zk}, x := M, w := \omega)$  and outputs it.
- $\text{AuthVfy}(vk_P, M, \pi) \rightarrow \{0, 1\}$ . It returns the output of  $\text{NIZK.Verify}(vk_P, M, \pi)$ .

**Security.** We claim that the above construction is a zkAt. The knowledge soundness and zero-knowledge properties of zkAt follow directly from the underlying DP-NIZK so we only focus on policy privacy, which we claim follows when the DP-NIZK proof has equivocable verification keys. The formal theorem statement and proof are presented in the full version of this article [14].

## 4

 An Equivocable Groth16

In Section 3.1.1 we defined proofs with equivocable verification keys that are required to instantiate our zkAt construction. We now explain how to concretely build this primitive from the DP-NIZK of Groth [29].

A crucial observation towards achieving policy-privacy is that the Groth16 verification key can be equivocated – in the sense that one can perform the Groth16 setup in a way that guarantees that  $\mathbf{vk}$  can be sampled independently of the relation. We give a bootstrapping compiler to build an equivocable Groth16 scheme given the non-equivocable version.

Now, for some prime  $p$  such that  $|p| = \lambda$ , groups  $\mathbb{G}_1 = \langle g_1 \rangle$  and  $\mathbb{G}_2 = \langle g_2 \rangle$  and  $\mathbb{G}_T$  such that the pairing  $\mathbf{e} : \mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$  is a bilinear map, consider a QAP,

$$\mathcal{R} = \left\{ p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, \mathbf{e}, g_1, g_2, \ell, \{U_i(X), V_i(X), W_i(X)\}_{i=0}^m, T(X) \right\}, \quad (2)$$

that defines a field  $\mathbb{Z}_p$  and a language of statements  $(a_1, \dots, a_\ell) \in \mathbb{Z}_p^\ell$  and witnesses  $(a_{\ell+1}, \dots, a_m) \in \mathbb{Z}_p^{m-\ell}$  such that for  $a_0 = 1$ , polynomials  $\{U_i(X), V_i(X), W_i(X)\}_{i=0}^m$  and  $T(X)$  in  $\mathbb{Z}_p[X]$  with  $\deg(U_i), \deg(V_i), \deg(W_i) < \deg(T) = n$  (for all  $i \in [0, m]$ ) and  $T(X) := \prod_{i=1}^n (X - r_i)$  for all distinct  $r_i \in \mathbb{Z}_p^*$ , the following holds

$$\sum_{i=0}^m a_i U_i(X) \cdot \sum_{i=0}^m a_i V_i(X) - \sum_{i=0}^m a_i W_i(X) = H(X)T(X)$$

for some degree  $(n-2)$  polynomial  $H(X) \in \mathbb{Z}_p[X]$ . Then, we have the following constructive procedure:

1. Sample  $x, \{y_{U,i}\}_{i=0}^m, \{y_{V,i}\}_{i=0}^m, \{y_{W,i}\}_{i=0}^m \leftarrow \mathbb{Z}_p^*$ .
2. For every symbol  $S \in \{U, V, W\}$  and for every  $i \in [0, m]$  interpolate the polynomial  $\tilde{S}_i(X) \in \mathbb{Z}_p[X]$  over coordinates

$$\tilde{S}_i(x) = y_{S,i} \text{ and } \forall j \in [n] : \tilde{S}_i(r_j) = S_i(r_j) = S_{i,j}.$$

Given this, we claim that the modified QAP:

$$\tilde{\mathcal{R}} = \left\{ p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, \mathbf{e}, g_1, g_2, \ell, \{\tilde{U}_i(X), \tilde{V}_i(X), \tilde{W}_i(X)\}_{i=0}^m, T(X) \right\} \quad (3)$$

defines the same relation as  $\mathcal{R}$ . Formally,

► **Lemma 4.1.**  *$T(X)$  divides  $\sum_{i=0}^m a_i \tilde{U}_i(X) \cdot \sum_{i=0}^m a_i \tilde{V}_i(X) - \sum_{i=0}^m a_i \tilde{W}_i(X)$  if and only if  $(a_1, \dots, a_m)$  is a satisfying assignment for  $\mathcal{R}$ .*

Please refer to the full version of this article [14] for the proof of this lemma.

In short, by interpolating fresh polynomials  $\{\tilde{U}_i, \tilde{V}_i, \tilde{W}_i\}_i$  that behave exactly as  $\{U_i, V_i, W_i\}_i$  (respectively, for each  $i$ ), but also evaluate at  $x$  to uniformly chosen values  $\{y_{U,i}, y_{V,i}, y_{W,i}\}_i$  (respectively, for each  $i$ ), we have essentially fixed the evaluation of the polynomials at  $x$  independently of the relation. The result is that the verification key generated during setup will now be made completely independent of the updated QAP which, as we have just shown, defines the same relation as the original QAP. Accordingly, let us now define the modified setup algorithm (prove and verify algorithms do not change):

- **Setup.** The setup algorithm accepts a QAP  $\mathcal{R}$  as explained by (2), sets the secret trapdoor  $\tau := (\alpha, \beta, \gamma, \delta, x, \{y_{U,i}\}_{i=0}^m, \{y_{V,i}\}_{i=0}^m, \{y_{W,i}\}_{i=0}^m)$  for all values in  $\tau$  sampled uniformly from  $\mathbb{Z}_p^*$ , and outputs it along with the verifier key  $\mathbf{vk}$  and the prover key  $(\mathbf{pk}, \tilde{\mathcal{R}})$  where  $\tilde{\mathcal{R}}$  is of the form described in (3) and,



$$\begin{aligned} \text{vk} &:= \left( [\alpha]_1, [\beta]_2, [\gamma]_2, [\delta]_2, \left\{ [\chi_i]_1 := \left[ \frac{\beta y_{U,i} + \alpha y_{V,i} + y_{W,i}}{\gamma} \right]_1 \right\}_{i=0}^{\ell} \right), \\ \text{pk} &:= \left( [\alpha]_1, [\beta]_1, [\beta]_2, [\delta]_1, [\delta]_2, \left\{ [\theta_j]_1 := \left[ \frac{x^j T(x)}{\delta} \right]_1 \right\}_{j=0}^{n-2}, \right. \\ &\quad \left. \left\{ [\psi_i]_1 := [y_{U,i}]_1 \right\}_{i=0}^m, \left\{ [\varphi_i]_2 := [y_{V,i}]_2 \right\}_{i=0}^m, \right. \\ &\quad \left. \left\{ [\zeta_i]_1 := \left[ \frac{\beta y_{U,i} + \alpha y_{V,i} + y_{W,i}}{\delta} \right]_1 \right\}_{i=\ell+1}^m \right). \end{aligned}$$

**Security.** The main theorem for this construction states that it is a NIZK proof system with vk-equivocation. In addition, completeness and zero-knowledge follow straightforwardly, so we only show that this construction is also knowledge-sound. This is described and proven formally in the full version of this article [14].

## 5 Obliviously Updateable Policy-privacy

In this section, we introduce zkAt with *oblivious updates* wherein, given a  $\text{pk}_P$  with respect to an existing policy  $P \in \Pi$ , a policy issuer can update  $\text{pk}_P$  to  $\text{pk}_{P'}$  for some new policy  $P' \in \Pi$  without updating the corresponding  $\text{vk}_P$ . Following the formal definition, we give a generic construction for zkAt using recursive NIZKs.

An *obliviously updateable* zkAt, that we call  $\text{zkAt}^+$ , additionally consists of the following polynomial time algorithms:

- $\text{Gen}(1^\lambda) \rightarrow \text{pp}$ . The parameter generation algorithm as input the security parameter  $\lambda$ , and outputs public parameters  $\text{pp}$  for the protocol. All algorithms of  $\text{zkAt}^+$  take  $\text{pp}$  as input, but we omit it for brevity. This algorithm is run once and for all.
- $\text{Setup}(1^\lambda, P) \rightarrow (\text{vk}_P, \text{pk}_P, \kappa)$ . The setup algorithm takes as input the security parameter  $\lambda$  and the authentication policy  $P$ . It outputs a public verification key  $\text{vk}_P$ , a secret proving key  $\text{pk}_P$  and a secret update key  $\kappa$ .
- $\text{PolUpdate}(\text{pk}_P, \kappa, P') \rightarrow \text{pk}_{P'}/\perp$ . The policy update algorithm takes as input the secret proving key  $\text{pk}_P$ , a secret update key  $\kappa$ , and an updated policy  $P'$ . It outputs the updated secret proving key  $\text{pk}_{P'}$ .

► **Definition 5.1** (Obliviously updateable Policy-private Zero-knowledge Authenticator). A  $\text{zkAt}^+$  must additionally satisfy the following properties for policies  $P, P' \in \Pi$ ,

- **Update completeness:** For every message  $M \in \mathcal{M}$  and for every string  $\omega \in \Omega$  such that  $P'(M||\omega) = 1$ , we must have that

$$\Pr \left[ \begin{array}{l} \text{AuthVfy}(\text{vk}_P, M, \pi) = 1 \\ \text{pp} \leftarrow \text{Gen}(1^\lambda) \\ (\text{vk}_P, \text{pk}_P, \kappa) \leftarrow \text{Setup}(1^\lambda, P) \\ \text{pk}_{P'} \leftarrow \text{PolUpdate}(\text{pk}_P, P') \\ \pi \leftarrow \text{AuthProve}(\text{pk}_{P'}, M, \omega) \end{array} \right] = 1$$

- **Update knowledge soundness:** For every PPT adversary  $\mathcal{A}$  there exists a PPT extractor  $\mathcal{E}$  and a negligible function  $\epsilon(\cdot)$ , for all  $\lambda \in \mathbb{N}$  such that the following probability is at most  $\epsilon(\lambda)$ ,

$$\Pr \left[ \begin{array}{l} \text{AuthVfy}(\text{vk}_P, M^*, \pi^*) = 1 \wedge \\ \forall P' \in \mathcal{Q}_P : P'(M^*||\omega^*) \neq 1 \end{array} : \begin{array}{l} \text{pp} \leftarrow \text{Gen}(1^\lambda) \\ P \leftarrow \mathcal{A}(\text{pp}) \\ (\text{vk}_P, \text{pk}_P, \kappa) \leftarrow \text{Setup}(1^\lambda, P) \\ ((M^*, \pi^*); \omega^*) \leftarrow (\mathcal{A}^{\text{pk}_P} || \mathcal{E})(\text{pp}) \end{array} \right]$$

where the oracle  $\mathcal{O}_\kappa(\cdot)$  takes as input an updated policy  $P^{(i)} \in \Pi$  in its  $i^{\text{th}}$ -query. It adds  $P^{(i)}$  to the set  $Q_P$  (initially set to  $\{P\}$ ) and outputs the signing key  $\text{pk}_P^{(i)}$  under  $P^{(i)}$  by running  $\text{PolUpdate}(\text{pk}_P, \kappa, P^{(i)})$ .

► **Remark 5.2.** Since the verification key  $\text{vk}_P$  is independent of the policy updates, the view of the policy privacy adversary remains unaltered from that in Definition 3.1. So, policy privacy is actually implicit in the definition.

## 5.1 Construction

In this section, we describe our generic  $\text{zkAt}^+$  construction for *disjunctive* policy updates, which we explain next.

**Disjunctive policy updates.** Let  $P \in \Pi$  be an existing policy. Then, an update  $P' \in \Pi$  is a disjunctive update if and only if  $P'$  is a disjunction of  $P$  with some other valid policy. Thus, for each  $P \in \Pi$ , we can define the predicate  $\text{Adm}_P(P') = 1 \Leftrightarrow P' \in \{P \vee f : \forall f \in \Pi\}$ .

Barring the trivial idea of re-running the setup and distributing fresh keys under the new policy, obviously performing *general* policy updates, which is to say non-disjunctive updates, appears to be somewhat challenging. This is because it would require a way to revoke a proving key under an older policy without also revoking the corresponding verification key. Nevertheless, as we explain show in the full version of this article [14], allowing the older proving key to expire via a simple tagging mechanism suffices for general policy updates with only minor generic modification to the overall construction.

At a high level, our  $\text{zkAt}^+$  construction, recursively composes NIZK proofs with the “inner” proof corresponding to the policy predicate, and the “outer” proof to the *knowledge* of a valid proof to the policy predicate as well as a signature on the inner verification key for soundness. Thus a verifier simply verifies this outer proof and is convinced of the user’s authenticity. Like in our Groth16-zkAt construction, our  $\text{zkAt}^+$  construction also requires maintaining a sensitive secret  $\kappa$  that gets used whenever the policies need to be updated, so that when a new policy is created, the issuer simply issues a fresh signature on the new inner verification key (using  $\kappa$ ). Importantly, no change is made to the outer keys so that all third parties remain unaware of the update.

**Tools required.** The construction below utilizes a signature scheme  $\text{Sig} = (\text{Sig.Setup}, \text{Sig.Sign}, \text{Sig.Verify})$ , an inner NIZKAoK scheme  $\text{NIZK}_I = (\text{NIZK}_I.\text{Setup}, \text{NIZK}_I.\text{Prove}, \text{NIZK}_I.\text{Verify})$  which encodes the policy, and an outer NIZKAoK scheme,  $\text{NIZK}_O = (\text{NIZK}_O.\text{Setup}, \text{NIZK}_O.\text{Prove}, \text{NIZK}_O.\text{Verify})$  for the following relation

$$\mathcal{R}_O = \left\{ \begin{array}{l} x := (\text{vk}_\sigma, M) \\ w := (\text{crs}_I, \pi_I, \sigma) \end{array} : \begin{array}{l} \text{NIZK}_I.\text{Verify}(\text{crs}_I, M, \pi_I) = 1 \\ \wedge \text{Sig.Verify}(\text{vk}_\sigma, \text{crs}_I, \sigma) = 1 \end{array} \right\}$$

- **Gen( $1^\lambda$ )  $\rightarrow$  pp.** Given the security parameter  $\lambda$  as input, the parameter generation algorithm generates the NIZK crs as  $\text{crs}_O \leftarrow \$ \text{NIZK}_O.\text{Setup}(1^\lambda, C_O)$ , where  $C_O$  is the circuit encoding  $\mathcal{R}_O$ , and outputs  $\text{pp} := \text{crs}_O$ . This algorithm is run once and for all.
- **Setup( $1^\lambda, P$ )  $\rightarrow$  ( $\text{vk}_P, \text{pk}_P, \kappa$ ).** Given the security parameter  $\lambda$  and the policy  $P$  as input, the setup algorithm runs the inner NIZK setup to obtain  $\text{crs}_I \leftarrow \$ \text{NIZK}_I.\text{Setup}(1^\lambda, P)$ . Next, it creates the signing and verification keys for the signature scheme as  $(\text{vk}_\sigma, \text{sk}_\sigma) \leftarrow \$ \text{Sig.Setup}(1^\lambda)$ , and then signs  $\text{crs}_I$  to obtain  $\sigma \leftarrow \$ \text{Sig.Sign}(\text{sk}_\sigma, \text{crs}_I)$ . Finally, it outputs  $\text{vk}_P := \text{vk}_\sigma$ ,  $\text{pk}_P := (\text{crs}_I, \text{vk}_\sigma, \sigma)$ , and  $\kappa := \text{sk}_\sigma$ .

- $\text{AuthProve}(\text{pk}_P, M, \omega) \rightarrow \pi / \perp$ . It first parses  $\text{pk}_P$  as  $(\text{crs}_I, \text{vk}_\sigma, \sigma)$  and continues only if  $\text{Sig.Verify}(\text{vk}_\sigma, \text{crs}_I, \sigma) = 1$ , otherwise it aborts and outputs  $\perp$ . It then computes the proof  $\pi_I \leftarrow \text{NIZK}_I.\text{Prove}(\text{crs}_I, x := M, w := \omega)$  and then outputs the final proof  $\pi$  computed as  $\pi \leftarrow \text{NIZK}_O.\text{Prove}(\text{pp}, x := (\text{vk}_\sigma, M), w := (\text{crs}_I, \pi_I, \sigma))$ .
- $\text{AuthVfy}(\text{vk}_P, M, \pi) \rightarrow \{0, 1\}$ . Returns the output of  $\text{NIZK}_O.\text{Verify}(\text{pp}, x := (\text{vk}_P, M), \pi)$ .
- $\text{PolUpdate}(\text{pk}_P, \kappa, P') \rightarrow \text{pk}'_P / \perp$ . If  $\text{Adm}_P(P') \neq 1$ , it outputs  $\perp$  and aborts. Otherwise, it parses  $\text{pk}_P$  as  $(\text{crs}_I, \text{vk}_\sigma, \sigma)$ . Then, the update algorithm re-runs the inner NIZK setup with this input to obtain  $\text{crs}'_I \leftarrow \text{NIZK}_I.\text{Setup}(1^\lambda, P')$ . Next, it signs  $\text{crs}'_I$  to obtain  $\sigma' \leftarrow \text{Sig.Sign}(\kappa, \text{crs}'_I)$ . Finally, it outputs  $\text{pk}'_P := (\text{crs}'_I, \text{vk}_\sigma, \sigma')$ .

► **Remark 5.3.** Depending on the application scenario, the setup could be combined with the parameter generation algorithm so that both are performed once, and the rest of the protocol proceeds identically. This could be potentially useful in a situation where, for instance, an organization has an authorized list of users who can create transactions on behalf of the organization, while keeping their individual identities private. Moreover, this gives a *maximally* private authentication scheme while still demanding accountability from the users. On the other hand, if a protocol requires identities from individual users, one can perform the setup for each user and set the (hash of)  $\text{vk}_\sigma$  as their corresponding addresses.

**Security.** The main security theorem and the corresponding proof for this section are provided in the full version of this article [14].

## 6 Application: Private On-chain Authentication

We now discuss how our zkAt construction can be integrated into a blockchain. First, recall that  $\text{zkAt}^+$  uses existing NIZKs in a black-box manner, so its instantiation is relatively straightforward. Even our concrete Groth16-zkAt uses the standard Groth16 proving and verification algorithms. Therefore, the only major requirement for integrating our zkAt constructions is the support for on-chain NIZK verification. Fortunately, many smart-contract supporting chains like Ethereum, Aptos and Sui already support on-chain NIZK verification for Groth16 (among others), and can thus readily integrate any of our constructions. Therefore, zkAt can act as a drop-in replacement for any existing authenticator used on public blockchains including multi-signature, threshold signatures and smart-contract based authenticators.

Next, we give a practical instantiation of our zkAt. As a concrete example we will consider the policy – “*require  $t$ -out-of- $n$  signatures*,” where  $t, n$  and the  $n$  signature verification keys are all to be hidden with the zkAt. The concrete zkAt would look as follows:

- **Setup.** Create commitments  $c_1, c_2, \dots, c_n$  to the  $n$  account addresses, i.e.,  $c_i \leftarrow \text{Commit}(\text{addr}_i; r_i)$  where address  $\text{addr}_i$  is a signature verification key for the  $i^{\text{th}}$  signer. Then, create a Merkle tree digest of the  $n$  commitments,  $\text{root} \leftarrow \text{MT.Commit}(\{c_1, \dots, c_n\})$ . Run the Groth16-zkAt setup to create a (designated-prover) NIZK proving key and (proof) verification key pair  $(\text{pk}_P, \text{vk}_P) \leftarrow \text{Setup}(1^\lambda, P)$  for the policy  $P$  given by the relation,

$$\left\{ \begin{array}{l} x := (\text{root}, \text{tx}_{\text{pb}}), \\ w := \left( \{\text{addr}_i, r_i, c_i, \sigma_i\}_{i=1}^t, \text{tx}_{\text{pv}} \right) \end{array} \right\} : \left\{ \begin{array}{l} \forall i \neq k \in [t], \text{addr}_i \neq \text{addr}_k \\ \wedge c_i = \text{Commit}(\text{addr}_i; r_i) \\ \wedge \text{Sig.Verify}(\text{addr}_i, \text{tx}_{\text{pb}} || \text{tx}_{\text{pv}}, \sigma_i) = 1 \\ \wedge \text{MT.Includes}(\text{root}, c_i) = 1 \end{array} \right\},$$

where  $\text{tx}_{\text{pb}}$  (resp.  $\text{tx}_{\text{pv}}$ ) is the public (resp. private) part of the transaction. The proof verification key  $\text{vk}$  acts as the user’s on-chain address.

- **Sign.** Split the transaction into public and private components, as determined by the privacy requirements. Collect signatures  $\{\sigma_1, \sigma_2, \dots, \sigma_t\}$  on the full transaction  $\text{tx}_{\text{pb}} \parallel \text{tx}_{\text{pv}}$  from  $t$  accounts  $\{i_1, i_2, \dots, i_t\}$ . Finally, generate the authentication proof with public input  $M := (\text{root}, \text{tx}_{\text{pb}})$  and private input  $\omega := (\{\text{addr}_i, r_i, c_i, \sigma_i\}_{i=1}^t, \text{tx}_{\text{pv}})$ , i.e.,  $\pi \leftarrow \text{AuthProve}(\text{pk}_p, M, \omega)$  proving that the user has  $t$  valid signatures on the transaction from the set of  $n$  signers committed in the Merkle tree.

In particular, this design only requires implementing  $t$  signature verifications in the circuit. Assuming the use of a NIZK-friendly signature scheme which only requires a few thousand R1CS constraints per verification, this is concretely efficient (cf. § 7). Using standard signature schemes (which might be necessary if we want to use existing accounts) can lead to costlier circuits. Concretely, each secp256k1 verification requires 1.5M constraints [1] and Ed25519 verification requires 2.5M constraints [23]. However, proving only takes a few seconds on cloud machines<sup>4</sup>, so these are still practical for reasonable  $t$  values seen in practice.

► **Remark 6.1.** Successfully integrating zkAt into a blockchain, will also require some standardization effort with regards to the zkAt's public inputs so as not to unintentionally leak some information about the policy by virtue of using any specific public input. Below, we provide a brief list of the required public inputs to a zkAt. Note that a blockchain designer may decide to support all or a subset of these.

- **Transaction details ( $\text{tx}_{\text{pb}}$ ):**
  - **Digest:** specifying just a transaction digest however requires parsing the transaction within a zero-knowledge proof. Transaction parsing can be simplified by carefully designing the format of a transaction, e.g., structure it in the format of a Merkle tree. We leave concrete specification for future work.
  - **Amount:** if supporting a specific type of transaction is enough, e.g., amount transfers, then exposing the transaction amount as a public input can make the signature generation process very efficient.
  - **Other fields:** similarly, other common fields of a transaction, e.g., the sender address, can be exposed as public inputs.
- **Time:** most blockchains support some notion of time. Including time allows specifying time-based policies, e.g., use a certain set of credentials before market close and another after. If the trapdoor is persisted in a safe place (e.g., cold storage), then time allows oblivious policy updates. Say we want to rotate keys once a month, then we can embed an expiry date after a month, and use the trapdoor to generate a new policy when needed.
- **Support for web2 credentials:** certain blockchains support authentication based on existing credentials issued by web2 providers, e.g., e-Passports [31], OpenID Connect credentials [4]. To support these, the chains use oracles to fetch the public keys of an issuer. In this case, a Merkle root of all the authorized public keys can serve as a public input.

## 7 Implementation and Evaluation

We implemented, both, our zkAt construction by instantiating with standard Groth16 (as a proxy for the Groth16-zkAt), as well as zkAt<sup>+</sup> constructions in Go using the **gnark** library [11]<sup>5</sup> (we chose this library since it supports both Groth16 and recursive composition). All our experiments were done on a laptop equipped with an Apple M3 Pro chip and we report means over 100 executions.

<sup>4</sup> It only takes 6s to verify Ed25519 signatures on a 16-core 32G RAM machine [23].

<sup>5</sup> GitHub: <https://github.com/Consensys/gnark>

■ **Table 2** Comparison of zkAt with threshold signatures. Signer time is equivalently the prover time in zkAt.

	Signer time (ms)	Verifier time (ms)
zkAt for P	50.97	0.89
2-of-3 threshold	0.03	0.07

**Policy choice.** We restrict our implementations to the policy P described abstractly in the previous section as: “*require 1-out-of-3 signatures for transaction amounts under 1000 units, otherwise require 2-out-of-3*”. We find that this sufficiently captures complex policy semantics not offered by, for instance, a traditional ( $t$ -out-of- $n$ ) threshold scheme while also giving a reasonable basis for comparison between the two.

We remark that our scheme generalizes to arbitrary circuits, and for zkAt, the proving time is no worse than a standard zk-SNARK which is very commonly used in practice so we expect an identical scalability profile since the prove and verify algorithms do not change. Our intention for comparing with a 2-of-3 scheme is simply to demonstrate that the computational cost for additional privacy is nominal. Of course, one can formulate more complex policies with greater number of constraints, but we note here that even as the prover time increases for more complex policies, the proof size and the verification time remain the same due to the use of zk-SNARK. Moreover, since the proof computation would be performed offline, and the (online) verification time is reasonably efficient, and depends essentially only on the size of the public input, we do not expect a significant bottleneck to the scalability of our protocol.

## 7.1 Evaluation of zkAt

In our proof-of-concept implementation a prover first draws an integer valued transaction amounts uniformly, creates the required number of EdDSA signatures [7] over a ZK-friendly curve and then constructs the zkAt proof with the transaction data as the public input and the signatures and their corresponding verification keys as the private input. In short, the NIZK verification circuit checks whether one or two of the signatures verify depending on the transaction amount.

Concretely, the basic zkAt was implemented over the BN254 curve and the resulting R1CS had 24,564 constraints. Table 2 compares our implementation against a 2-of-3 threshold scheme. While the signer (prover) time for zkAt is noticeably higher relative to the threshold scheme, it is still small in absolute terms. The verification times are within an order of magnitude.

## 7.2 Evaluation of zkAt<sup>+</sup>

As before, in our simple proof-of-concept implementation a prover first draws an integer valued transaction amounts uniformly, creates the required number of EdDSA signatures [7]. It then constructs the inner proof that the necessary number of verifying signatures were obtained for the for the given transaction amount. Next, using the transaction data and its signature verification key (which can be thought of as the prover’s address) as the public input, it constructs the outer proof that (i) the inner NIZK circuit accepts and; (ii) it has a verifying signature (with respect to the signature verification key corresponding to its address) on the proving key used to compute the inner proof. In our implementation,

we instantiate the proof system with the Groth16 due to its compact proof size as well as support for its recursive composition inside **gnark**, although other choices of proof systems such as [25, 16, 15] are equally valid.

We implement the zkAt<sup>+</sup> over the SNARK-friendly 2-chain<sup>6</sup> of BLS12-377 inner curve [5, 12] and BW6-761 [13, 21] outer curve which was shown to be highly efficient for Groth16 [21, 22]. The inner and outer R1CSs have 24,172 and 40,474 constraints respectively. Table 3 gives the prover and verifier times for our implementation.

■ **Table 3** Prover and verifier times for zkAt<sup>+</sup>. The preprocessing time is given for each signer.

<b>Inner prover</b>	<b>Preprocessing</b>	325.15
<b>time (ms)</b>	<b>Proof generation</b>	78.55
<b>Outer prover time (ms)</b>		644.54
<b>Verifier time (ms)</b>		7.47

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<sup>6</sup> A 2-chain is a pair of pairing-friendly elliptic curves such that the base field of one curve is equal to the scalar field of the other. This enables efficient proof composition of up to one level [12].



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