

Approximation Schemes for k-Subset Sum Ratio and k-Way Number Partitioning Ratio

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Abstract

The SUBSET SUM RATIO problem (SSR) asks, given a multiset A of positive integers, to find two disjoint subsets of A such that the *largest-to-smallest* ratio of their sums is minimized. In this paper we study the k -version of SSR, namely k -SUBSET SUM RATIO (k -SSR), which asks to minimize the *largest-to-smallest* ratio of sums of k disjoint subsets of A . We develop an approximation scheme for k -SSR running in $O(n^{2k}/\varepsilon^{k-1})$ time, where $n = |A|$ and ε is the error parameter. To the best of our knowledge, this is the first FPTAS for k -SSR for fixed $k > 2$.

We also study the k -WAY NUMBER PARTITIONING RATIO (k -PART) problem, which differs from k -SSR in that the k subsets must constitute a partition of A ; this problem in fact corresponds to the objective of minimizing the largest-to-smallest sum ratio in the family of MULTIWAY NUMBER PARTITIONING problems. We present a more involved FPTAS for k -PART, also achieving $O(n^{2k}/\varepsilon^{k-1})$ time complexity. Notably, k -PART is also equivalent to the MINIMUM ENVY-RATIO problem with identical valuation functions, which has been studied in the context of fair division of indivisible goods. Thus, for the case of identical valuations, our FPTAS represents a significant improvement over the $O(n^{4k^2+1}/\varepsilon^{2k^2})$ bound obtained by Nguyen and Rothe's FPTAS [36] for MINIMUM ENVY-RATIO with general additive valuations.

Lastly, we propose a second FPTAS for k -SSR, which employs carefully designed calls to the first one; the new scheme has a time complexity of $\tilde{O}(n/\varepsilon^{3k-1})$, thus being much faster when $n \gg 1/\varepsilon$.

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1 Introduction

The SUBSET SUM and PARTITION problems are fundamental in combinatorial optimization, with numerous applications in fair resource allocation, cryptography and scheduling. The EQUAL SUBSET SUM (ESS) problem [43] asks for two disjoint subsets of a given set with equal sums, while its optimization counterpart, SUBSET SUM RATIO (SSR) [43, 4], asks to minimize the ratio of sums of two disjoint subsets. These NP-hard problems arise in bioinformatics [15, 14], game theory and economics [30, 18], and cryptography [44], among others. A special case of ESS belongs to PPP [37], which is a subclass of TFNP, further adding to the ongoing interest for these problems.

In this paper we consider variations involving multiple subsets, namely k -SUBSET SUM RATIO (k -SSR) and k -WAY NUMBER PARTITIONING RATIO (k -PART)². In k -SSR, the goal is to find k disjoint subsets such that the *largest-to-smallest* ratio of their sums is minimized, while k -PART further requires these subsets to form a partition of the input. Since these problems are NP-hard, we consider approximation algorithms. In particular, we present fully polynomial-time approximation schemes (FPTASs) for both problems.

Our results apply, among others, to scheduling tasks across k processors (e.g. [39, 17, 22, 16]), as well as to *fair division of indivisible goods*, in particular the study of *envy* in discrete settings (e.g. [30, 36, 28] and more recently [2, 41, 45]). Specifically, k -PART is equivalent to the MINIMUM ENVY-RATIO problem [30] with identical valuation functions, for which we achieve a significant improvement over (more general) earlier work [36].

Related work

Since Bellman’s seminal work on SUBSET SUM [5], no major improvement had occurred until a recent spike in interest culminated in various faster pseudo-polynomial algorithms [26, 7, 23, 12] and FPTASs [25, 20].

The ESS and SSR problems have seen recent advances, including FPTASs for SSR [35, 31, 1, 8] and an improved exact algorithm for ESS [33]. Notably, Bringmann [8] provided an SSR FPTAS running in time $O(n/\varepsilon^{0.9386})$, which is faster than known SUBSET SUM lower bounds. He achieved this in part by considering two cases based on input density. If the input is dense, a pigeonhole argument guarantees two subsets with sums within $1 + \varepsilon$ and removing their intersection yields an approximate solution. If the input is sparse, keeping only the $\text{polylog}(1/\varepsilon)$ largest elements is proven to be sufficient for the approximation. Regarding variations of (EQUAL) SUBSET SUM involving $k \geq 2$ subsets, [3] extends the techniques of [26, 7] to provide both deterministic and randomized pseudo-polynomial algorithms.

For PARTITION, advances include a number of (very) recent FPTASs [34, 9, 11], with the latest work by Chen et al. [13] achieving an FPTAS running in time $\tilde{O}(n + 1/\varepsilon)$, which is near optimal under the Strong Exponential Time Hypothesis. In MULTIWAY NUMBER PARTITIONING [6, 40, 27, 38], different objective functions define various approaches to distributing elements among subsets, such as minimizing the maximum sum, maximizing the minimum sum, minimizing the *largest-to-smallest* difference of sums, or minimizing the *largest-to-smallest* ratio of sums. These objectives are not comparable: there are instances showing that the corresponding optimal solutions differ; we discuss this in detail in Subsection 2.1, along with applications of each variation.

² This problem is usually referred to as “multiway”, but we call it “ k -way” to emphasize that k is fixed.

Bazgan et al. [4] provided the first FPTAS for SSR and demonstrated that SUBSET SUM DIFFERENCE does not admit an FPTAS, unless $P = NP$. Similar arguments that apply to PARTITION have been further studied in [42]. Additionally, various related problems such as 3-PARTITION and BIN PACKING are strongly NP-hard [19], implying that they do not admit an FPTAS or a pseudo-polynomial time algorithm unless $P = NP$. However, these problems involve a non-constant number of subsets. For our problems, we consider a *fixed* number k of disjoint subsets. This restriction is quite natural in applications, as it is more likely to distribute a great amount of items to a small number of agents.

Sahni presents an FPTAS for MULTIWAY NUMBER PARTITIONING in [39] that minimizes the largest sum. However, this technique does not seem applicable to minimize the ratio. In [30], Lipton et al. show a PTAS for MINIMUM ENVY-RATIO with identical valuations and non-constant number k of agents, while claiming the existence of an FPTAS when k is constant. Furthermore, in [36] Nguyen and Rothe show an FPTAS running in $O(n^{4k^2+1}/\varepsilon^{2k^2})$ time for the same problem with fixed k and general additive valuation functions.

Our contribution

In this paper, we present two FPTASs for k -SSR achieving running times³ of $O(n^{2k}/\varepsilon^{k-1})$ and $\tilde{O}(n/\varepsilon^{3k-1})$, and an FPTAS for k -PART running in time $O(n^{2k}/\varepsilon^{k-1})$. The latter represents a considerable improvement when compared to the FPTAS of [36], which is the best known bound for k -PART, although it concerns a more general setting. Note that the largest-to-smallest ratio of sums is the only objective function considered in the literature that both admits an FPTAS and is of interest to the study of *envy*. Our approach builds upon methods established for SSR [35, 31, 8], while introducing novel strategies to address the multi-subset setting and to comply with the partitioning constraint.

We present our first FPTAS for k -SSR in Section 3; to the best of our knowledge, this is the first ever FPTAS for this problem. To this end, we define a restricted version of k -SSR, for which we present a pseudo-polynomial time algorithm. Our approach relies on proving that the optimal solution of the restricted problem satisfies certain properties, while carefully handling edge cases involving large singleton sets.

Concerning k -PART, the partitioning constraint renders the application of our techniques more involved; we overcome this in Section 4 by identifying a *perfect* restriction parameter that ensures the existence of a *well-behaved* optimal solution. Interestingly, although this does not yield a pseudo-polynomial time algorithm for the respective restricted case, combining the aforementioned property with approximation techniques yields an FPTAS for k -PART.

Additionally, building on Bringmann's work [8], we find that applying density arguments to k -SSR encounters an obstacle in the dense case: obtaining k subsets with approximately equal sums is unhelpful, since removing their intersection does not guarantee a feasible k -SSR solution. We resolve this in Section 5 by restricting our density argument to singletons and subsequently using our FPTAS from Section 3 as a subroutine on reduced instances.

2 Preliminaries

2.1 Objective functions for Multiway Number Partitioning

There are four objective functions commonly used for MULTIWAY NUMBER PARTITIONING, each one having applications in different fields.

³ $\tilde{O}$ hides $\text{polylog}(1/\varepsilon)$ factors.

1. Minimizing the largest sum. This is also known as makespan minimization and is used for the Minimum Finish Time problem in the context of identical machine scheduling [39, 22]. It is also used in problems related to bin packing [29].
2. Maximizing the smallest sum. This objective function has been studied in works related to scheduling [17] and bin packing [29]. It has also been studied in works related to the *maximin share* [21, 28, 10], which is a criterion for fair item allocation. Note that algorithms for objective function (2) can be modified to work for (1) and vice versa [27].
3. Minimizing the difference between the largest and the smallest sum. This objective function is less common, but it has been studied in works related to MULTIWAY NUMBER PARTITIONING, such as [32, 27]. No FPTAS exists for this objective [4, 42].
4. Minimizing the ratio between the largest and the smallest sum (e.g. [3]). Although this objective function is often overlooked in works regarding MULTIWAY NUMBER PARTITIONING (e.g. [27, 40]), it has been studied in the field of fair division, in the form of the MINIMUM ENVY-RATIO problem [30, 36], as well as in the context of scheduling [16].

In this paper, we consider (4) as an objective function for k -way Number Partitioning. Observe that all four objectives are equivalent when $k = 2$; this does not hold for $k \geq 3$. This is well known for the first three objectives [27], but, for the sake of completeness, we will provide some counterexamples that prove that (4) is not equivalent to any of the other three, for $k \geq 3$.

It is easy to find counterexamples for the first two. Consider input $\{1, 2, 3, 10\}$ for $k = 3$. The partition $(\{1\}, \{2, 3\}, \{10\})$ minimizes the largest sum, but does not have optimal ratio. Similarly, consider $\{5, 5, 5, 10\}$ for $k = 3$. The partition $(\{5\}, \{5\}, \{5, 10\})$ maximizes the smallest sum, but does not have optimal ratio.

Finally, consider input $\{16, 16, 18, 20, 24, 27, 29, 40\}$ for $k = 4$. The following table compares the solution that minimizes the difference with the solution that minimizes the ratio.

■ **Table 1** Comparison of MULTIWAY NUMBER PARTITIONING solutions.

Solution	Sums	Difference	Ratio
$\{40\}, \{16, 16, 18\}, \{24, 27\}, \{29, 20\}$	$\{40, 50, 51, 49\}$	11	1.275
$\{40, 16\}, \{24, 20\}, \{16, 29\}, \{18, 27\}$	$\{56, 44, 45, 45\}$	12	1.27273

2.2 Notation and problem definitions

Let $[n] = \{1, \dots, n\}$ for a positive integer n . We assume that the input $A = \{a_1, \dots, a_n\}$ of our problems is sorted⁴, i.e. $a_1 \leq \dots \leq a_n$.

► **Definition 1** (Sum of a subset of indices). *Given a multiset $A = \{a_1, \dots, a_n\}$ of positive integers and a set of indices $S \subseteq [n]$ we define:*

$$\Sigma(S, A) = \sum_{i \in S} a_i$$

⁴ If the input is not sorted, an additional $O(n \log n)$ time would be required. This does not affect the time complexity of any of our algorithms, assuming that $\tilde{O}$ also hides $\text{polylog}(n)$ factors.

► **Definition 2** (Max and Min of k subsets). Let $A = \{a_1, \dots, a_n\}$ be a multiset of positive integers and $S_1, \dots, S_k$ be k disjoint subsets of $[n]$. We define the maximum and minimum sums obtained by these sets on A as:

$$M(S_1, \dots, S_k, A) = \max_{i \in [k]} \Sigma(S_i, A) \quad \text{and} \quad m(S_1, \dots, S_k, A) = \min_{i \in [k]} \Sigma(S_i, A)$$

► **Definition 3** (Largest-to-smallest ratio of k subsets). Let $A = \{a_1, \dots, a_n\}$ be a multiset of positive integers and $S_1, \dots, S_k$ be k disjoint subsets of $[n]$. We define the largest-to-smallest ratio of these k subsets on A as:

$$\mathcal{R}(S_1, \dots, S_k, A) = \begin{cases} \frac{M(S_1, \dots, S_k, A)}{m(S_1, \dots, S_k, A)} & \text{if } m(S_1, \dots, S_k, A) > 0 \\ +\infty & \text{if } m(S_1, \dots, S_k, A) = 0 \end{cases}$$

Throughout the paper, we refer to the largest-to-smallest ratio of k subsets simply as *ratio*.

We define the k -SUBSET SUM RATIO (k -SSR) problem as follows.

► **Definition 4** (k -SSR). Given a multiset $A = \{a_1, \dots, a_n\}$ of positive integers, find k disjoint subsets $S_1, \dots, S_k$ of $[n]$, such that $\mathcal{R}(S_1, \dots, S_k, A)$ is minimized.

► **Observation 5.** Only the maximum and minimum sums affect the ratio function. If the remaining sets are altered without a sum becoming greater than the maximum or smaller than the minimum, the ratio remains unaffected. Additionally, if the minimum sum increases or the maximum sum decreases (while the other remains unchanged), the ratio decreases.

Note that multisets are allowed as input, since they are not a trivial case for k -SSR (in contrast to regular SSR), unless there is a number with multiplicity k or more. Throughout the paper, when referring to a solution $S = (S_1, \dots, S_k)$, we will use the simplified notations $\mathcal{R}(S, A)$, $M(S, A)$, $m(S, A)$ to denote ratio, maximum and minimum sums respectively.

We similarly define the k -WAY NUMBER PARTITIONING RATIO (k -PART) problem.

► **Definition 6** (k -PART). Given a multiset $A = \{a_1, \dots, a_n\}$ of positive integers, find k disjoint subsets $S_1, \dots, S_k$ of $[n]$ with $\bigcup_{i=1}^k S_i = [n]$, such that $\mathcal{R}(S_1, \dots, S_k, A)$ is minimized.

3 An FPTAS for k -Subset Sum Ratio

We define a restricted version of k -SSR, called k -SSR_R, in which the largest element⁵ of the first set (S_1) is forced to be the smallest among the maxima of all k sets and equal to a given number p . This definition generalizes the *Semi-Restricted Subset-Sums Ratio* of [31].

► **Definition 7** (k -SSR_R). Given a sorted multiset $A = \{a_1, \dots, a_n\}$ of positive integers and an integer p , $1 \leq p \leq n - k + 1$, find k disjoint subsets $S_1, \dots, S_k$ of $[n]$ with $\max(S_1) = p$ and $\max(S_i) > p$ for $1 < i \leq k$, such that $\mathcal{R}(S_1, \dots, S_k, A)$ is minimized.⁶

Most of this section is dedicated to producing an FPTAS for the restricted version k -SSR_R, which is subsequently used as a subroutine to obtain an FPTAS for k -SSR, by iterating over all possible values of p . Similar reductions to a version with restricted largest elements have been used in approximation schemes for SUBSET SUM RATIO [35, 31, 8]. In our paper, this technique is used to guarantee that each set S_i contains a sufficiently large element, which is critical to ensure that the algorithm is an FPTAS.

⁵ Throughout the paper, the term *element* of a set refers to the index $1 \leq i \leq n$ instead of the value a_i , unless explicitly stated otherwise.

⁶ The case $p > n - k + 1$ would result in the instance having no feasible solution.

3.1 Properties of optimal solutions to k -SSR_R instances

First, we want to guarantee that there is always an optimal solution to k -SSR_R that satisfies a few important properties. Recall that the input is sorted. Define $Q = \sum_{i=1}^p a_i$ and $q = \max\{i \mid a_i \leq Q\}$. Since $Q = \Sigma([p], A)$ and $S_1 \subseteq [p]$, the following is immediate by Def. 2.

► **Observation 8.** *For all feasible solutions $(S_1, \dots, S_k)$ to a k -SSR_R instance (A, p)*

$$m(S_1, \dots, S_k, A) \leq \Sigma(S_1, A) \leq Q.$$

Observations 5 and 8 are used in the proof of the following theorem to transform solutions without increasing their ratio.

► **Theorem 9.** *For any k -SSR_R instance (A, p) there exists an optimal solution whose sets satisfy the following:*

1. *For all sets S_i containing only elements j with $a_j \leq Q$ it holds that $\Sigma(S_i, A) < 2Q$.*
2. *Every set containing an element j with $a_j > Q$ is a singleton.*
3. *The union of singleton sets $\{j\}$ s.t. $a_j > Q$ is $\bigcup_{i=1}^x \{q + i\}$, where $x \geq 0$ is the number of these singleton sets.*

Proof. Let $S = (S_1, \dots, S_k)$ be an arbitrary feasible solution. If it violates property 1, i.e. there exists a set S_i in S that only contains elements j with $a_j \leq Q$ and has sum $\Sigma(S_i, A) \geq 2Q$, we transform it as follows. For all $j \in S_i$, we have

$$m(S, A) \leq \Sigma(S_1, A) \leq Q \leq \Sigma(S_i \setminus \{j\}, A) < \Sigma(S_i, A) \leq M(S, A).$$

Thus, if we remove an element j from S_i , the ratio cannot increase. We remove the smallest element from S_i . As long as the solution still has a set S_i violating property 1, we can apply the same process repeatedly, until there are no more such sets. Since we are only removing elements, no new sets violating property 1 may appear during this process. Note that the largest element of every set remains intact, therefore the k -SSR_R restrictions $\max(S_1) = p$ and $\max(S_i) > p$ for $1 < i \leq k$ are satisfied.

If the new solution S' violates property 2, i.e. it contains a set S_i with an element j s.t. $a_j > Q$ and $|S_i| > 1$, we apply the following transformation. It holds that

$$m(S', A) \leq \Sigma(S_1, A) \leq Q < \Sigma(\{j\}, A) < \Sigma(S_i, A) \leq M(S', A).$$

As such, replacing set S_i with set $\{j\}$ will result in equal or smaller ratio. We repeat this for every such set S_i , thus yielding a solution in which every such set is a singleton. Note that the derived solution is a feasible k -SSR_R solution and it still satisfies property 1, since we did not modify sets that contain only elements j with $a_j \leq Q$.

Finally, if the new solution S'' violates property 3, i.e. it contains a singleton set $\{u\}$ s.t. $a_u > Q$ and there is an unselected⁷ element v such that $q < v < u$, we do the following. It holds that

$$m(S'', A) \leq \Sigma(S_1, A) \leq Q < \Sigma(\{v\}, A) \leq \Sigma(\{u\}, A) \leq M(S'', A).$$

Thus, selecting v instead of u does not increase the ratio. Repeating this for all u and v satisfying the above mentioned property forces the union of these singleton sets to contain the smallest indices possible, yielding a feasible solution that satisfies all three properties.

In conclusion, for any feasible solution we can find another one that satisfies all properties 1, 2, 3 and has equal or smaller ratio. Thus, applying this to an arbitrary optimal solution proves the theorem. ◀

⁷ An element that is not in any set S_i of the solution.

3.2 A pseudo-polynomial time algorithm for k -SSR_R

In this subsection we present an exact algorithm for k -SSR_R, which runs in $O(nQ^{k-1})$ time and returns an optimal solution satisfying the properties of Theorem 9. We present the algorithm in two parts for simplicity. Algorithm 1 picks certain singleton sets with large elements and calls Algorithm 2 to obtain candidate partial solutions for the remaining sets. We next describe both algorithms in detail; we refer the reader to the full version of this paper [24] for the respective pseudocode.

■ **Algorithm 1** Exact_ k -SSR_R(A, p).

Input: A sorted multiset $A = \{a_1, \dots, a_n\}, a_i \in \mathbb{Z}^+$ and an integer $p, 1 \leq p \leq n - k + 1$.

Output: Disjoint subsets $(S_1, \dots, S_k)$ of $[n]$ with $\max(S_1) = p, \max(S_i) > p$ for $1 < i \leq k$, minimizing $\mathcal{R}(S_1, \dots, S_k, A)$.

Algorithm 1 iterates over all possible values for the number x of singleton sets $\{j\}$, with $a_j > Q$, specifically $x \in \{0, \dots, \min\{k-1, n-q\}\}$. To construct these x sets, it picks the smallest elements of A that are greater than Q , in accordance to property 3 of Theorem 9. For each x , Algorithm 1 then calls Algorithm 2 as a subroutine; the latter uses dynamic programming (DP) to output partial solutions for $A' = \{a_i \in A \mid a_i \leq Q\}$ and $k' = k - x$. Note that Algorithm 2 is only called in cases where $p \leq |A'| - k' + 1 = q - k + x + 1$; otherwise, there is no feasible k -SSR_R solution for that value of x (see Definition 7).

Algorithm 1 appends the x precalculated singletons to each partial solution returned by Algorithm 2 and compares the ratio of each solution in order to find the best one. The k -SSR_R solution returned by Algorithm 1 is optimal, as will be shown in Theorem 12.

■ **Algorithm 2** DP_ k -SSR_R(A, k, p).

Input: A sorted multiset $A = \{a_1, \dots, a_q\}, a_i \in \mathbb{Z}^+$, an integer $k \geq 1$ and an integer $p, 1 \leq p \leq q - k + 1$, with the restriction $a_q \leq \sum_{i=1}^p a_i$.

Output: A set *solutions* containing tuples of k disjoint subsets $(S_1, \dots, S_k)$ of $[q]$, with $\max(S_1) = p, \max(S_i) > p$ for $1 < i \leq k$.

Algorithm 2 draws from techniques of [31] and [35], while employing a more involved DP formulation to address the multi-subset setting. A DP table T is used to systematically construct partial solution sets, while ensuring that the constraints of k -SSR_R are satisfied.

Each cell of the DP table stores a tuple $(S_1, \dots, S_k, \text{sum}_1)$, where S_j ($1 \leq j \leq k$) are the sets associated with said cell and $\text{sum}_1 = \Sigma(S_1, A)$. The coordinates of a cell $T_i[D][V]$ consist of the following components:

1. An index i , indicating the number of elements examined so far.
2. A *difference vector* $D = [d_2, d_3, \dots, d_k]$ (sorted in nondecreasing order) that encodes the differences between the sum of S_1 and the sums of the other sets, i.e. $d_j = \Sigma(S_1, A) - \Sigma(S_j, A)$.
3. A Boolean *validity vector* $V = [v_2, v_3, \dots, v_k]$, where each v_j is **true** iff $\max(S_j) > p$.

The differences are used as coordinates in the DP table instead of the sums of the sets, in order to decrease the complexity of the algorithm by an order of Q (see Lemma 13). We also introduce V as a coordinate, which is crucial to ensure optimality without increasing the complexity of the algorithm; essentially, the ratio of two tuples can be compared only if all of their validity Booleans are equal (see Lemma 10).

We now describe the DP process in a bottom-up manner. All cells $T_i[D][V]$ are initialized to empty tuples, except the cell $T_0[a_p, \dots, a_p][\mathbf{false}, \dots, \mathbf{false}]$, which is initialized to $(\{p\}, \emptyset, \dots, \emptyset, a_p)$, thus forcing p to be contained in S_1 . Every element $i \in [q]$ is processed in increasing order. Assuming row T_{i-1} contains tuples constructed by using elements up to $i-1$ (along with p , which is always in S_1), the next row T_i is filled as follows. For each non-empty tuple $C = (S_1, \dots, S_k, \text{sum}_1)$ contained in some cell $T_{i-1}[D][V]$, $D = [d_2, \dots, d_k]$, $V = [v_2, \dots, v_k]$, we sequentially consider the cases below:

- Element i is not added to any set of C . In this case, C is copied into $T_i[D][V]$.
- Element i is added to S_1 (only if $i < p$). This produces a new tuple $C' = (S_1 \cup \{i\}, \dots, S_k, \text{sum}_1 + a_i)$ to be inserted into $T_i[D'][V]$, where $D' = [d'_2, \dots, d'_k]$ is the appropriately updated difference vector, i.e. $d'_j = d_j + a_i$, $1 < j \leq k$.
- Element $i \neq p$ is added to each set S_j ($1 < j \leq k$), one at a time. This produces a new tuple $(S_1, \dots, S_j \cup \{i\}, \dots, S_k, \text{sum}_1)$, a difference vector $[d'_2, \dots, d'_k]$ and a validity vector $[v'_2, \dots, v'_k]$. Specifically, v'_j is set to **true** if $i > p$ and d'_j is set to $d_j - a_i$, while $v'_u = v_u$ and $d'_u = d_u$ for all $u \neq j$. This difference vector is then sorted in nondecreasing order, while the sets and the validity vector are rearranged accordingly, thus producing a tuple C' and vectors D', V' . The tuple C' is then inserted into $T_i[D'][V']$.

Algorithm 2 prevents the new tuple from being inserted into a cell in the following cases:

1. The cell already contains another tuple with equal or larger sum_1 (see Lemma 10).
2. The updated vector D' contains a difference smaller than or equal to $-2Q$. Such a tuple can be ignored, due to property 1 of Theorem 9.

In the end, Algorithm 2 returns all non-empty tuples contained in cells $T_q[D][\mathbf{true}, \dots, \mathbf{true}]$ (for all D), thus ensuring that every partial solution returned to Algorithm 1 complies with the k -SSR_R restrictions. This concludes the description of Algorithm 2.

We say that a *conflict* occurs when a tuple is to be stored in a cell $T_i[D][V]$ which is already occupied by another (non-empty) tuple. Note that conflicting tuples must have the same difference and validity vectors and only use elements up to i . Since the DP process must minimize the overall ratio, including potential singletons not participating in said process, conflict resolution becomes more involved for $k > 2$.

► **Lemma 10 (Conflict resolution).** *Let $C_1 = (S_1, \dots, S_k, \text{sum}_1)$ and $C_2 = (S'_1, \dots, S'_k, \text{sum}'_1)$ be two tuples that result in a conflict in a cell $T_i[D][V]$ of the DP table of Algorithm 2, such that $\text{sum}_1 < \text{sum}'_1$. No optimal k -SSR_R solution may use⁸ C_1 .*

Proof. Let S be a k -SSR_R solution. Split S in two: S_{small} , containing the k' sets returned by Algorithm 2, and S_{large} , containing the singletons $\{j\}$ s.t. $a_j > Q$. Assume S_{small} uses C_1 .

By assumption, C_1 and C_2 have identical D and V vectors and their sets involve only elements from $[i]$. This implies that any combination of elements larger than i that can later be added to sets of C_1 to construct a partial⁹ solution with vectors D', V' can also be added to the corresponding sets of C_2 to construct a partial solution with the same vectors D', V' . Let S'_{small} be the partial solution obtained by using C_2 instead of C_1 and adding the same combination of elements that would have been used to obtain S_{small} from C_1 . Consider the solution S' that is obtained by appending S_{large} to S'_{small} . Note that S_{small} and S'_{small} have the same validity vector, hence S' is also a feasible k -SSR_R solution.

⁸ In our dynamic programming framework, we say that a solution S uses a tuple $C = (S_1, \dots, S_k, \text{sum}_1)$ if C appears in an intermediate step of the construction of S .

⁹ We denote as *partial* a solution for which S_{large} will be appended to obtain a feasible k -SSR_R solution.

Let M_s, m_s be the maximum and minimum sum of S_{small} respectively and M'_s, m'_s those of S'_{small} . Since $sum_1 < sum'_1$ and the difference vector D is the same for both solutions, it follows that $M_s < M'_s$, $m_s < m'_s$ and $M_s - m_s = M'_s - m'_s$. From these, we obtain

$$\frac{M_s}{m_s} > \frac{M'_s}{m'_s}. \quad (1)$$

Let $\{t\} \in S_{large}$ be the singleton set with the largest element. For all $\{i\} \in S_{large}$ such that $i \neq t$, we have

$$m_s \leq \Sigma(S_1, A) \leq Q < \Sigma(\{i\}, A) \leq \Sigma(\{t\}, A).$$

This implies that $\{i\}$ ($i \neq t$) does not affect the ratio and $\{t\}$ only affects the ratio if $a_t > M_s$. Thus, all the sets in S_{large} can be ignored when calculating $\mathcal{R}(S, A)$, except for $\{t\}$ if $a_t > M_s$. The same holds for $\mathcal{R}(S', A)$ and M'_s . Consider three cases:

1. $M_s < M'_s < a_t$. In this case, $\mathcal{R}(S, A) = a_t/m_s$ and $\mathcal{R}(S', A) = a_t/m'_s$. Since $m_s < m'_s$: $\mathcal{R}(S, A) > \mathcal{R}(S', A)$.
2. $M_s < a_t \leq M'_s$. In this case, $\mathcal{R}(S, A) = a_t/m_s > M_s/m_s$ and $\mathcal{R}(S', A) = M'_s/m'_s$. By inequality (1): $\mathcal{R}(S, A) > \mathcal{R}(S', A)$.
3. $a_t \leq M_s < M'_s$. In this case, $\mathcal{R}(S, A) = M_s/m_s$ and $\mathcal{R}(S', A) = M'_s/m'_s$. By inequality (1): $\mathcal{R}(S, A) > \mathcal{R}(S', A)$.

In all cases $\mathcal{R}(S, A) > \mathcal{R}(S', A)$, therefore S cannot be optimal. $\blacktriangleleft$

► **Lemma 11** (Feasibility). *Every k -tuple of sets considered by Algorithm 1 is a feasible k -SSR_R solution.*

Proof. The x singletons constructed by Algorithm 1 are disjoint and contain elements larger than q . In Algorithm 2, every element $i \leq q$ is processed in increasing order and added to at most one set at a time. As such, all sets are disjoint. Note that p is contained in S_1 and S_1 cannot receive a larger element. Recall that v_i is set to **true** when S_i receives an element $j > p$ and Algorithm 2 only returns solutions with $V = [\mathbf{true}, \dots, \mathbf{true}]$. Thus, all k -SSR_R restrictions regarding the largest element of each set are satisfied. $\blacktriangleleft$

The proof of the following theorem uses Lemmas 10 and 11. The main idea is to show that Algorithm 1 considers and therefore finds the optimal solution whose existence is guaranteed by Theorem 9.

► **Theorem 12** (Optimality). *Algorithm 1 returns an optimal solution for k -SSR_R.*

Proof. Lemma 11 guarantees that Algorithm 1 returns a feasible k -SSR_R solution, so we only need to prove that its ratio is optimal. Let S^* be the optimal k -SSR_R solution guaranteed by Theorem 9. We split S^* in two: S^*_{large} , which contains the singleton sets $\{j\}$ s.t. $a_j > Q$, and S^*_{small} , which contains the rest of the sets. Note that the number x of these singletons is bounded by $k-1$ or by the amount of sufficiently large elements, i.e. $n-q$. Thus, Algorithm 1 considers every S_{large} that satisfies properties 2 and 3 of Theorem 9, including S^*_{large} .

Recall that Algorithm 1 uses Algorithm 2 as a subroutine in order to obtain candidate partial solutions, to which S^*_{large} will be appended. We would like S^*_{small} to be contained in the solutions returned by Algorithm 2.

Algorithm 2 prunes a solution if a difference d_j would become lower than or equal to $-2Q$. Any S_{small} satisfying property 1 of Theorem 9 will not be affected by this. This includes S^*_{small} .

When there is a conflict in a cell $T_i[D][V]$ between two tuples with different sum_1 values, S_{small}^* cannot use the one with smaller sum_1 (by Lemma 10), since S^* is a optimal. When there is a conflict in a cell $T_i[D][V]$ between two tuples C_1, C_2 with equal sum_1 values, observe that both tuples have exactly the same sums (but different sets), use only elements up to i and have the same validity vector. This implies that any combination of elements greater than i that can later be added to sets of C_1 can also be added to the same sets of C_2 and vice versa. Thus, any combination of sums that can be reached through C_1 can also be reached through C_2 and vice versa. This means that if one of these tuples leads to S_{small}^* , the other one leads to another partial solution S_{small}^{**} , which has exactly the same sums as S_{small}^* . Appending S_{large}^* to S_{small}^{**} yields a solution with the same sums as S^* , i.e. another optimal solution.

It follows directly from the description of Algorithm 2 that it constructs every possible combination of disjoint sets $S_1, \dots, S_{k-x}$ with $\max(S_1) = p$, apart from the ones pruned as explained in the previous paragraphs.

Therefore, the partial solutions returned by Algorithm 2 contain S_{small}^* or another partial solution with the same sums, which also leads to an optimal solution when appended with S_{large}^* . In either case, Algorithm 1 will find an optimal solution when iterating to find the best ratio. $\blacktriangleleft$

► **Lemma 13** (Complexity). *Algorithm 1 runs in time $O(nQ^{k-1})$.*

Proof. Algorithm 1 calls Algorithm 2 once for each value of x in $\{0, \dots, \min\{k-1, n-q\}\}$, where x is the number of singleton sets $\{j\}$ s.t. $a_j > Q$. For a fixed x , Algorithm 2 is applied to an instance with $k' = k - x$ sets and $q = O(n)$ elements.

Due to the pruning done in Algorithm 2, it holds that $\forall j \in \{2, \dots, k'\}: -2Q < d_j \leq Q$, where the second inequality holds because $\Sigma(S_1, A) \leq Q$. Since the algorithm stores D in non-decreasing order (i.e., $d_2 \leq d_3 \leq \dots \leq d_{k'}$), there are $O((3Q)^{k'-1}/(k'-1)!)$ distinct difference vectors. Taking into account the validity vector V and all T_i 's, we obtain the following bound for the amount of DP cells: $O(n(6Q)^{k'-1}/(k'-1)!)$.

For constant k , this bound becomes $O(nQ^{k'-1})$. Note that all operations of Algorithm 2 on DP cells (such as sorting the difference vector after adding an element) run in time $O(k')$, so the time complexity of Algorithm 2 is $O(nQ^{k'-1}) = O(nQ^{k-x-1})$. Hence, the time complexity of Algorithm 1 is bounded by:

$$\sum_{x=0}^{k-1} nQ^{k-x-1} = O(nQ^{k-1}) \quad \blacktriangleleft$$

3.3 FPTASs for k -SSR_R and k -SSR

By Theorem 12 and Lemma 13, Algorithm 1 solves k -SSR_R in pseudo-polynomial time. To obtain an FPTAS for k -SSR_R, we scale (and round down) the input set by a factor $\delta = \frac{\varepsilon \cdot a_p}{3 \cdot n}$ (cf. [31, 35]).

This leads to Algorithm 3, which calls Algorithm 1 in order to find an optimal solution for the rounded input A_r , yielding a $(1 + \varepsilon)$ -approximation of the (largest-to-smallest) ratio of an optimal solution $(S_1^*, \dots, S_k^*)$ of the original k -SSR_R instance.

■ **Algorithm 3** FPTAS_ k -SSR_R(A, p, ε).

Input: A sorted multiset $A = \{a_1, \dots, a_n\}$, $a_i \in \mathbb{Z}^+$, an integer p such that $1 \leq p \leq n - k + 1$ and an error parameter $\varepsilon \in (0, 1)$.

Output: Disjoint subsets $(S_1, \dots, S_k)$ of $[n]$ with $\max(S_1) = p$, $\max(S_i) > p$ for $1 < i \leq k$ and $\mathcal{R}(S_1, \dots, S_k, A) \leq (1 + \varepsilon) \cdot \mathcal{R}(S_1^*, \dots, S_k^*, A)$.

- 1: $\delta \leftarrow \frac{\varepsilon \cdot a_p}{3 \cdot n}$, $A_r \leftarrow \emptyset$
- 2: **for** $i \leftarrow 1, \dots, n$ **do** $a_i^r \leftarrow \lfloor \frac{a_i}{\delta} \rfloor$, $A_r \leftarrow A_r \cup \{a_i^r\}$
- 3: $(S_1, \dots, S_k) \leftarrow \text{Exact-}k\text{-SSR}_R(A_r, p)$ ▷ Call Alg. 1 for rounded instance
- 4: **return** $S_1, \dots, S_k$

► **Theorem 14** (k -SSR_R approximation). *Let $(S_1, \dots, S_k)$ be the sets returned by Algorithm 3 for a k -SSR_R instance $(A = \{a_1, \dots, a_n\}, p)$ with error parameter ε . Let $(S_1^*, \dots, S_k^*)$ be an optimal solution for the same k -SSR_R instance. Then:*

$$\mathcal{R}(S_1, \dots, S_k, A) \leq (1 + \varepsilon) \cdot \mathcal{R}(S_1^*, \dots, S_k^*, A)$$

The proof of Theorem 14 closely follows the proofs presented in section 5 of [31]. We include the proof in the full version of this paper [24].

By Lemma 13, Algorithm 3 solves k -SSR_R in $O(nQ^{k-1})$ (where $Q = \sum_{i=1}^p a_i^r$). Since we scaled the input by δ , the values of Q are bounded as follows:

$$Q^{k-1} = \left(\sum_{i=1}^p a_i^r \right)^{k-1} \leq (n \cdot a_p^r)^{k-1} \leq \left(\frac{n \cdot a_p}{\delta} \right)^{k-1} = \left(\frac{3 \cdot n^2}{\varepsilon} \right)^{k-1} = \frac{3^{k-1} \cdot n^{2k-2}}{\varepsilon^{k-1}}$$

Therefore, Algorithm 3 runs in time $O(n^{2k-1}/\varepsilon^{k-1})$. To obtain an FPTAS for the (unrestricted) k -SSR problem, we run Algorithm 3 once for each possible value of p ($1 \leq p \leq n - k + 1$) and pick the solution with the best ratio. Thus, we obtain the main theorem of this section.

► **Theorem 15.** *There is an FPTAS for k -SSR that runs in $O(n^{2k}/\varepsilon^{k-1})$ time.*

4 An FPTAS for k -way Number Partitioning Ratio

In this section we present an FPTAS for k -WAY NUMBER PARTITIONING RATIO (k -PART) by extending and refining the techniques of Section 3. Recall that in the case of k -PART, every element needs to be assigned to a set. We define the following restricted version of k -PART, which is analogous to k -SSR_R.

► **Definition 16** (k -PART_R). *Given a sorted multiset $A = \{a_1, \dots, a_n\}$ of positive integers and an integer p , $1 \leq p \leq n - k + 1$, find k disjoint subsets $S_1, \dots, S_k$ of $[n]$ with $\bigcup_{i=1}^k S_i = [n]$, $\max(S_1) = p$ and $\max(S_i) > p$ for $1 < i \leq k$, such that $\mathcal{R}(S_1, \dots, S_k, A)$ is minimized.*

The main challenge in expanding our technique to k -PART stems from the fact that there exist instances (A, p) of k -PART_R where all optimal solutions violate one or more of the properties of Theorem 9. This is a problem, since the time complexity of our k -SSR_R algorithm relies heavily on Theorem 9.

We overcome this by showing that there exists a p^* such that the corresponding optimal solution S^* to (A, p^*) is well-behaved (see Theorem 18). It suffices to guarantee that for p^* , the algorithm will consider S^* (or another equivalent solution) as a candidate solution for the rounded k -PART_R instance. Note that since S^* is not guaranteed to be optimal for the rounded k -PART_R instance, we obtain *neither an exact algorithm nor an FPTAS* for the restricted problem k -PART_R.

4.1 Properties of k -PART_R instances

► **Definition 17** (Perfect p). Let $A = \{a_1, \dots, a_n\}$ be the input to the k -PART problem. We call a number $p : 1 \leq p \leq n - k + 1$ perfect for A if the optimal solution(s) for the k -PART_R instance (A, p) have the same (largest-to-smallest) ratio as the optimal solution(s) for the k -PART instance A .

We define $Q = \sum_{i=1}^p a_i$ and $q = \max\{i \mid a_i \leq Q\}$, in the same manner as we did for k -SSR_R. The next theorem is analogous to Theorem 9, with a key difference: its properties are only guaranteed for some *perfect* p . Its proof uses arguments similar in spirit to the proof of Theorem 9. Special attention is required since elements that violate a property are reassigned to other sets rather than being removed entirely from the solution. This may cause some k -PART_R restriction to be violated, thus rendering the proof significantly more involved.

► **Theorem 18.** Given a k -PART instance A , there exists a perfect p for A , for which there is an optimal solution for the k -PART_R instance (A, p) satisfying the following properties:

1. For all sets S_i containing only elements $j \leq q$ it holds that $\Sigma(S_i, A) < 2Q$.
2. All elements $j > q$ are contained in singleton sets.

Proof. For some arbitrary value of p , let $S = (S_1, \dots, S_k)$ be an arbitrary feasible solution for the k -PART_R instance (A, p) . If S breaks property 2, i.e. there exists a set S_i in S with $|S_i| > 1$ containing an element $j > q$, we do the following. Let S_m be a set with minimum sum¹⁰ and u the smallest element of S_i . The following inequalities hold.

$$m(S, A) = \Sigma(S_m, A) \leq Q < \Sigma(S_i \setminus \{u\}, A)$$

$$\Sigma(S_m \cup \{u\}, A) \leq Q + a_u < \Sigma(S_i, A) \leq M(S, A)$$

From these we can infer that, by moving u from S_i to S_m , the minimum sum cannot decrease and the maximum cannot increase. Thus, we move u as described, yielding a solution S' with $\mathcal{R}(S', A) \leq \mathcal{R}(S, A)$. However, it might be the case that S_m is S_1 and adding u to it breaks the restrictions of k -PART_R, so S' might not be a feasible solution for (A, p) . For the new solution S' , define p' as the minimum among the maxima of its k sets and call S_1 the set that contains p' . Note that S' is a feasible solution for the k -PART_R instance (A, p') .

If S' still breaks property 2 for $Q' = \sum_{i=1}^{p'} a_i$ and $q' = \max\{i \mid a_i \leq Q'\}$, apply the same process. By doing this repeatedly, p cannot decrease and the ratio of the solution cannot increase. Note that p cannot exceed $n - k + 1$ with this process, so at some point p will stop increasing. Let p_f be this final value and define Q_f, q_f accordingly for p_f . Repeating the process will at some point yield a solution that satisfies property 2 for the k -PART_R instance (A, p_f) .

Let S'' be the feasible solution for the k -PART_R instance (A, p_f) , derived from the process described in the previous paragraphs. S'' satisfies property 2 for values Q_f, q_f . If S'' breaks property 1, i.e. it contains a set S_i consisting only of elements $j \leq q_f$ and having sum $\Sigma(S_i, A) \geq 2Q_f$, we apply the following transformation. Let S_m be a set with minimum sum. Take the smallest element j from S_i and move it to S_m . Observe that $a_j \leq Q_f$. Hence, the following inequalities hold.

$$m(S'', A) = \Sigma(S_m, A) \leq Q_f \leq \Sigma(S_i \setminus \{j\}, A)$$

$$\Sigma(S_m \cup \{j\}, A) \leq 2Q_f \leq \Sigma(S_i, A) \leq M(S'', A)$$

¹⁰There could be multiple sets with the same (minimum) sum.

From these we can infer that, by moving j from S_i to S_m , the minimum sum cannot decrease and the maximum cannot increase. We repeatedly apply this process until we end up with a solution satisfying property 1, just like we did in the previous paragraphs for property 2, since the same arguments hold regarding the increment of p and the ratio not increasing. Furthermore, this transformation preserves property 2, as p cannot decrease through this process and we only add elements to the set with the smallest sum (which cannot be a set containing an element $j > q$).

In conclusion, for any feasible solution for a k -PART_R instance (A, p) that violates some property, we can find a feasible solution for another k -PART_R instance (A, p_{new}) that satisfies both properties and has equal or smaller ratio. Suppose we apply this to an optimal solution for a k -PART_R instance (A, p) , with p being *perfect*¹¹ for the k -PART instance A . Since the ratio of the solution does not increase throughout the constructions described in this proof, any new p' obtained by the constructions must also be *perfect* and the solution obtained must be optimal for (A, p') . ◀

Let p^* be one of the *perfect* elements guaranteed to exist by Theorem 18 for A and S^* be a respective optimal solution for (A, p^*) satisfying properties 1 and 2. The following lemmas indicate that we can prune certain values of p , without missing S^* . We define $x = n - q$. Intuitively, for (A, p^*) , x is the number of large elements that must be contained in singleton sets in S^* , according to Theorem 18.

► **Lemma 19.** *If for a k -PART_R instance (A, p) we have $x > k - 1$, then $p \neq p^*$.*

Proof. Since S_1 cannot contain elements $j > q$, there are $k - 1$ sets that can contain such elements. The number of these elements is x . If $x > k - 1$, by the pigeonhole principle, every feasible solution contains a set with two or more of these elements. This contradicts property 2 of Theorem 18, therefore $p \neq p^*$. ◀

► **Lemma 20.** *If for a k -PART_R instance (A, p) we have $x = k - 1$ and $p < q$, then $p \neq p^*$.*

Proof. Because $p < q$, S_1 cannot contain q . Thus, there are at least $x + 1 = k$ elements that cannot be contained in S_1 , with $k - 1$ of them being greater than q . By the pigeonhole principle, every feasible solution contains a set with two or more elements, one of which is greater than q . This contradicts property 2 of Theorem 18, therefore $p \neq p^*$. ◀

4.2 Obtaining an FPTAS for k -PART

We now present the main algorithm for k -PART. Its design is analogous to that of Algorithms 1 and 3, with two important differences:

1. There is no iteration for different amounts of singletons with elements $j > q$. Instead, we prune some values of p according to Lemmas 19 and 20 and fix x singletons for the rest.
2. In contrast to k -SSR_R, we do not necessarily obtain the optimal solution to each rounded k -PART_R instance (A_r, p) . Interestingly, we will later prove that the obtained solution is sufficient for the algorithm to be an FPTAS for k -PART.

¹¹ Such a p always exists, by definition.

Algorithm 4 FPTAS_ k -PART(A, ε).

Input: A sorted multiset $A = \{a_1, \dots, a_n\}$, $a_i \in \mathbb{Z}^+$ and an error parameter $\varepsilon \in (0, 1)$.
Output: Disjoint subsets $(S_1, \dots, S_k)$ of $[n]$, such that $\bigcup_{i=1}^k S_i = [n]$ and their ratio satisfies $\mathcal{R}(S_1, \dots, S_k, A) \leq (1 + \varepsilon)\mathcal{R}(S_1^*, \dots, S_k^*, A)$.

```

for  $p \leftarrow 1, \dots, n - k + 1$  do
     $best\_ratio[p] \leftarrow \infty$ ,  $best\_solution[p] \leftarrow (\emptyset, \dots, \emptyset)$ 
for  $p \leftarrow 1, \dots, n - k + 1$  do
     $Q \leftarrow \sum_{i=1}^p a_i$ ,  $q \leftarrow \max\{i \mid a_i \leq Q\}$ ,  $x \leftarrow n - q$ 
    if  $x > k - 1 \vee (x = k - 1 \wedge p < q)$  then ▷ Lemmas 19 and 20
        continue to next  $p$ 
    for  $y \leftarrow 1, \dots, x$  do  $S_{k-x+y} \leftarrow \{q + y\}$  ▷  $x$  singletons
     $\delta \leftarrow \frac{\varepsilon \cdot a_p}{3 \cdot n}$ ,  $A_r \leftarrow \emptyset$ ,  $k' \leftarrow k - x$ 
    for  $i \leftarrow 1, \dots, n$  do  $a_i^r \leftarrow \lfloor \frac{a_i}{\delta} \rfloor$ ,  $A_r \leftarrow A_r \cup \{a_i^r\}$ 
     $A'_r \leftarrow \{a_1^r, \dots, a_q^r\}$  ▷  $q$  items for DP
     $DP\_solutions \leftarrow DP\_k\text{-PART}_R(A'_r, k', p, Q/\delta)$ 
    for all  $(S_1, \dots, S_{k'})$  in  $DP\_solutions$  do
         $current\_ratio \leftarrow \mathcal{R}(S_1, \dots, S_{k'}, A_r)$  ▷  $k$ -PARTR solution
        if  $current\_ratio < best\_ratio[p]$  then
             $best\_solution[p] \leftarrow (S_1, \dots, S_{k'})$  ▷ Best solution for each  $A_r$ 
             $best\_ratio[p] \leftarrow current\_ratio$  ▷ and its ratio
     $final\_ratio \leftarrow \infty$ ,  $final\_solution \leftarrow 0$ 
for  $p \leftarrow 1, \dots, n - k + 1$  do ▷ Iterate for all  $p$  to find best sol. for  $A$ 
     $current\_ratio \leftarrow \mathcal{R}(best\_solution[p], A)$ 
    if  $current\_ratio < final\_ratio$  then
         $final\_solution \leftarrow best\_solution[p]$ 
         $final\_ratio \leftarrow current\_ratio$ 
return  $final\_solution$ 

```

Algorithm 4 calls a dynamic programming subroutine for each value of p , in order to find candidate partial solutions for elements $j \leq q$. This DP subroutine, $DP_k\text{-PART}_R(A'_r, k', p, Q)$, is a direct extension of Algorithm 2, with the following three differences.

1. The case of an element not being added to any set is skipped.
2. When a conflict occurs in a DP cell $T_i[D][V]$, we do not use sum_1 to resolve it. For k -PART_R every element is included in some set, thus conflicts can only occur between tuples with identical sums. Hence, it does not matter which tuple is preferred (as proven in Theorem 12 for conflicts with equal sums) and there is no need to ever consider sum_1 .
3. The bound for pruning large negative differences is chosen as $-2Q/\delta$, where $Q = \sum_{i=1}^p a_i$ is calculated using the *initial* values a_i instead of the scaled and rounded values a_i^r . This is necessary to avoid pruning edge case solutions. We will expand on this in Lemma 22.

The respective pseudocode is included in the full version of this work [24]. We now present the following lemma, whose proof is essentially identical to that of Lemma 11.

► **Lemma 21** (Feasibility). *Every k -tuple of sets whose $\mathcal{R}(S_1, \dots, S_k, A_r)$ value is considered by Algorithm 4 is a feasible solution for the k -PART_R instance (A_r, p) .*

Recall that we defined p^* and S^* as a *perfect* p and a respective optimal solution for (A, p^*) whose existence is guaranteed by Theorem 18.

► **Lemma 22** (Near-optimality). *When Algorithm 4 iterates to compare the ratio of solutions for the k -PART_R instance (A_r, p^*) , it will consider either S^* or another solution $S = (S_1, \dots, S_k)$ with $\Sigma(S_i, A_r) = \Sigma(S_i^*, A_r)$, $\forall i \in [k]$.*

Proof. According to Lemmas 19 and 20, we cannot have $x > k-1$ or $x = k-1$ and $p < q$ for $p = p^*$. The singleton sets enforced by Algorithm 4 are exactly the singleton sets contained in S^* , according to property 2 of Theorem 18.

Recall that a solution in the DP subroutine is pruned if it has some difference $d_j \leq -2Q/\delta$. By property 1 of Theorem 18, it holds that $\Sigma(S_i^*, A) < 2Q$, $\forall i \in [k-x]$. Since A_r contains elements scaled by δ and rounded down, we infer that $\forall i \in [k-x]$

$$\Sigma(S_i^*, A_r) \leq \Sigma(S_i^*, A)/\delta < 2Q/\delta.$$

This implies that for S^* , there will be no $d_j \leq -2Q/\delta$ (at any point in its dynamic programming construction).

As already explained, DP conflicts for k -PART_R occur only between tuples with identical sums. Recall that two conflicting tuples have the same vectors D, V and only use elements up to some element i , so any combination of elements that can be added to sets of one tuple can also be added to the respective sets of the other tuple. This is explained in more detail in the proof of Theorem 12. We infer that it is possible for S^* to be overwritten by another solution $S = (S_1, \dots, S_k)$ with $\Sigma(S_i, A_r) = \Sigma(S_i^*, A_r)$, $\forall i \in [k]$.

The DP subroutine constructs every possible combination of disjoint sets $S_1, \dots, S_{k-x}$ with $\max(S_1) = p$ and $\bigcup_{i=1}^k S_i = [q]$, apart from the ones pruned by the cases mentioned in the previous paragraph. Thus, the lemma follows. ◀

► **Theorem 23.** *Algorithm 4 is an FPTAS for k -PART that runs in time $O(n^{2k}/\varepsilon^{k-1})$.*

Proof. We rely upon the observation that the proof of Theorem 14 does not necessarily require an optimal solution for the rounded k -SSR_R instance A_r ; it suffices for the algorithm to consider a k -SSR_R solution S with $\mathcal{R}(S, A_r) \leq \mathcal{R}(S_{opt}, A_r)$, where S_{opt} is an optimal solution for the k -SSR instance A (prior to rounding).

First, consider the case $p \neq p^*$. If the conditions of Lemma 19 or 20 are met, this p will be skipped. Otherwise, a k -tuple of sets will be found, which is a feasible solution for the k -PART_R instance (A_r, p) , according to Lemma 21.

Second, consider the case $p = p^*$. By Lemma 22, Algorithm 4 will consider S^* or another solution S with $\mathcal{R}(S, A_r) = \mathcal{R}(S^*, A_r)$ as a solution for the instance (A_r, p^*) . Therefore, for the solution S_{alg} found by the algorithm in this iteration, it holds that $\mathcal{R}(S_{alg}, A_r) \leq \mathcal{R}(S^*, A_r)$. With the aforementioned observation, the proof of the following inequality is identical to the proof of Theorem 14.

$$\mathcal{R}(S_{alg}, A) \leq (1 + \varepsilon)\mathcal{R}(S^*, A)$$

Taking into account both cases, the final solution returned by the algorithm is a feasible k -PART solution for A and has ratio smaller than or equal to that of the solution found in the p^* -th iteration. It follows that Algorithm 4 is a $(1 + \varepsilon)$ -approximation for k -PART.

We now analyze the complexity of the algorithm. The DP subroutine runs in time $O(n(Q/\delta)^{k-1})$, by the same reasoning as in the proof of Lemma 13 for $x = 0$ (which is the worst case). Taking into account the iteration for all possible values of p and using a bound for Q/δ just like we did for k -SSR, we infer that Algorithm 4 runs in $O(n^{2k}/\varepsilon^{k-1})$. ◀

5 An FPTAS for k -SSR with linear dependence on n

In this section, we provide an alternative FPTAS for k -SSR, using techniques inspired from [8] to reduce the problem to smaller instances. We then use the k -SSR FPTAS of Section 3 to solve them.

We define an alternative restricted version of k -SSR, called k -SSR_L, in which the solution is forced to contain the largest element of the input. This definition is based on the SSR_L problem defined in [8].

► **Definition 24** (k -SSR_L). *Given a sorted multiset $A = \{a_1, \dots, a_n\}$ of positive integers, find k disjoint subsets $S_1, \dots, S_k$ of $[n]$ with $n \in \bigcup_{i=1}^k S_i$, such that $\mathcal{R}(S_1, \dots, S_k, A)$ is minimized.*

Let $A = \{a_1, \dots, a_n\}$ be a sorted multiset of n positive integers. We denote by $A[l, r]$ the subset of A consisting of all items a_i , such that $l \leq i \leq r$. We also use the notation $\text{sum}(A) = \sum_{a \in A} a$.

For a multiset $A = \{a_1, \dots, a_n\}$, we denote the optimal ratio of the k -SSR instance A as $\text{OPT}(A)$ and the optimal ratio of the k -SSR_L instance A as $\text{OPT}_L(A)$. By definition, it holds that $1 \leq \text{OPT}(A) \leq \text{OPT}_L(A)$.

► **Lemma 25.** *Let $A = \{a_1, \dots, a_n\}$ be a sorted multiset of positive integers. It holds that*

$$\text{OPT}(A) = \min_{k \leq j \leq n} \text{OPT}_L(A[1, j]).$$

Proof. Let $t \in \{k, \dots, n\}$ be the maximum element¹² contained in some optimal solution S of the k -SSR instance A . By Definition 24, S is a feasible solution for the k -SSR_L instance $A[1, t]$. This implies $\text{OPT}(A) \geq \min_{k \leq j \leq n} \text{OPT}_L(A[1, j])$.

Note that any feasible solution for a k -SSR_L instance $A[1, j]$ (for any $j \in \{k, \dots, n\}$) is a feasible solution for the k -SSR instance A . Thus, it also holds that $\text{OPT}(A) \leq \min_{k \leq j \leq n} \text{OPT}_L(A[1, j])$. ◀

Lemma 26 provides the key structural insight of our algorithm. Intuitively, the fact that a k -SSR_L solution contains the largest element allows us to consider only the largest $O((1/\varepsilon) \ln(1/\varepsilon))$ elements for each k -SSR_L instance $A[1, j]$, in order to obtain a $(1 + \varepsilon)$ -approximation of the optimal k -SSR solution.

► **Lemma 26** (Largest elements). *For any sorted multiset $A = \{a_1, \dots, a_n\}$ of positive integers and any $\varepsilon \in (0, 1)$, the following holds:*

$$\text{OPT}(A) \leq \min_{k \leq j \leq n} \text{OPT}_L(A[j - C + 1, j]) \leq (1 + \varepsilon) \text{OPT}(A), \quad (2)$$

where $C = (c + 1)(k - 1)$ and $c = 1 + \left\lceil \left(1 + \frac{1}{\varepsilon}\right) \ln \frac{2(k-1)}{\varepsilon^2} \right\rceil$.

Proof. Since $A[j - C + 1, j]$ is a subset of A and k -SSR_L is (by definition) a restricted version of k -SSR, it follows directly that

$$\text{OPT}(A) \leq \text{OPT}(A[j - C + 1, j]) \leq \text{OPT}_L(A[j - C + 1, j]).$$

Note that the above is true for any $j \in [n]$, therefore it is also true for the minimum. Thus, the first inequality of (2) holds. As for the second inequality of (2), we distinguish between two cases.

¹² If $t < k$, all feasible solutions for both problems have infinite ratio.

Case 1 (Dense case): There exists some $v \in \{k, \dots, n\}$ s.t. $a_v \leq (1 + \varepsilon)a_{v-k+1}$. Since $C \geq k$, by setting $S_i = \{v - k + i\}$ for each $i \in [k]$, we obtain

$$\min_{k \leq j \leq n} \text{OPT}_L(A[j - C + 1, j]) \leq \text{OPT}_L(A[v - C + 1, v]) \leq (1 + \varepsilon) \leq (1 + \varepsilon)\text{OPT}(A).$$

Case 2 (Sparse case): For all $v \in \{k, \dots, n\}$ it holds that $a_v > (1 + \varepsilon)a_{v-k+1}$. By repeatedly applying this inequality, it follows that for all $v \in \{k, \dots, n\}$ and all $i \geq 1$ such that $v - i(k - 1) \geq 1$,

$$a_{v-i(k-1)} < (1 + \varepsilon)^{-i} a_v. \quad (3)$$

Thus, for all such v, i it holds that

$$\begin{aligned} \text{sum}\left(A[v - (i + 1)(k - 1) + 1, v - i(k - 1)]\right) &\leq (k - 1)a_{v-i(k-1)} \\ &< (k - 1)(1 + \varepsilon)^{-i} a_v. \quad [\text{by Ineq. (3)}] \end{aligned} \quad (4)$$

Consider the set $A[1, v - c(k - 1)]$. Splitting this set into subsets of size (at most) $k - 1$ and using (4) for each of them yields

$$\text{sum}\left(A[1, v - c(k - 1)]\right) \leq (k - 1) \sum_{i=0}^{\infty} (1 + \varepsilon)^{-(c+i)} a_v = \frac{(k - 1)a_v}{\varepsilon(1 + \varepsilon)^{c-1}}, \quad (5)$$

where the last step is calculated as the sum of a geometric series. Note that (5) holds even if $v - c(k - 1) < 1$, since then it would be $\text{sum}\left(A[1, v - c(k - 1)]\right) = 0$. Substituting c into (5) and using $(1 + \varepsilon)^{1+1/\varepsilon} > e$ yields

$$\text{sum}\left(A[1, v - c(k - 1)]\right) < \frac{\varepsilon}{2} \cdot a_v.$$

For any $k \leq j \leq n$, we choose $v = j - k + 1$ to obtain

$$\text{sum}\left(A[1, j - C]\right) < \frac{\varepsilon}{2} \cdot a_{j-k+1}. \quad (6)$$

Intuitively, inequality (6) is a bound for the sum of the $j - C$ *smallest* elements of A . This inequality will be used to obtain a $(1 + \varepsilon)$ -approximation by removing these elements from an optimal k -SSR_L solution.

Let $S = (S_1, \dots, S_k)$ be an optimal solution for the k -SSR_L instance $A_j = A[1, j]$. We define

$$S_M = \arg \max_{S_i \in S} \Sigma(S_i, A_j) \quad \text{and} \quad S_m = \arg \min_{S_i \in S} \Sigma(S_i, A_j).$$

Now consider the k -SSR_L instance $A'_j = A[j - C + 1, j]$ (i.e. A'_j contains the C *largest* elements of A_j). For each $S_i \in S$, we define $S'_i = S_i \cap [j - C + 1, j]$, i.e. the subset of S_i that contains elements i such that $a_i \in A'_j$. We also define the respective k -tuple of sets, $S' = (S'_1, \dots, S'_k)$, and the following sets,

$$S_{\mathcal{M}} = \arg \max_{S'_i \in S'} \Sigma(S'_i, A'_j) \quad \text{and} \quad S_{\mu} = \arg \min_{S'_i \in S'} \Sigma(S'_i, A'_j).$$

Observe that, by the above definitions, $S'_{\mathcal{M}}$ is a set with maximum sum among all sets in S' and S'_{μ} is a set with minimum sum among all sets in S' . By (6), for all $i \in [k]$ it holds that

$$\Sigma(S_i, A_j) - \Sigma(S'_i, A'_j) \leq \text{sum}\left(A[1, j - C]\right) < \frac{\varepsilon}{2} \cdot a_{j-k+1}.$$

Therefore, we obtain

$$\Sigma(S'_i, A'_j) \leq \Sigma(S_i, A_j) \leq \Sigma(S'_i, A'_j) + (\varepsilon/2) \cdot a_{j-k+1}, \quad \forall i \in [k]. \quad (7)$$

We now obtain a bound for the ratio $\mathcal{R}(S', A'_j)$ as follows.

$$\begin{aligned} \mathcal{R}(S', A'_j) &= \frac{\Sigma(S'_M, A'_j)}{\Sigma(S'_\mu, A'_j)} \leq \frac{\Sigma(S_M, A_j)}{\Sigma(S_\mu, A_j) - \frac{\varepsilon}{2} \cdot a_{j-k+1}} \quad [\text{by Ineq. (7)}] \\ &\leq \frac{\Sigma(S_M, A_j)}{\Sigma(S_m, A_j) - \frac{\varepsilon}{2} \cdot a_{j-k+1}}. \end{aligned} \quad (8)$$

Recall that $j \in \bigcup_{i=1}^k S_i$ (by Definition 24), which implies that $\Sigma(S_M, A_j) \geq a_j$. Let $S^s = \{S_1^s, \dots, S_k^s\}$ be a solution consisting of k singleton sets that contain the k largest elements of A_j , i.e. $S_i^s = \{j - i + 1\}$, $\forall i \in [k]$. Since S^s is a feasible solution for k -SSR_L with ratio a_j/a_{j-k+1} , it holds that $\mathcal{R}(S, A_j) = \Sigma(S_M, A_j)/\Sigma(S_m, A_j) \leq a_j/a_{j-k+1}$. Thus, we have

$$\Sigma(S_m, A_j) \geq \Sigma(S_M, A_j) \cdot \frac{a_{j-k+1}}{a_j} \geq a_{j-k+1}.$$

Combining this with (8), we obtain

$$\mathcal{R}(S', A'_j) \leq \frac{\Sigma(S_M, A_j)}{\Sigma(S_m, A_j) (1 - \frac{\varepsilon}{2})} = \frac{2}{2 - \varepsilon} \cdot \mathcal{R}(S, A_j).$$

Assuming $\varepsilon \in (0, 1)$, this becomes $\mathcal{R}(S', A'_j) \leq (1 + \varepsilon)\mathcal{R}(S, A_j)$. Note that some set S'_i contains j , so S' is a feasible solution for the k -SSR_L instance A'_j , therefore

$$\text{OPT}_L(A'_j) \leq \mathcal{R}(S', A'_j) \leq (1 + \varepsilon)\mathcal{R}(S, A_j) = (1 + \varepsilon)\text{OPT}_L(A_j).$$

By the definitions of A_j, A'_j , we have the following for all $j \in \{k, \dots, n\}$:

$$\text{OPT}_L(A[j - C + 1, j]) \leq (1 + \varepsilon)\text{OPT}_L(A[1, j]).$$

Taking the minimum over all $j \in \{k, \dots, n\}$ and using Lemma 25, we have

$$\min_{k \leq j \leq n} \text{OPT}_L(A[j - C + 1, j]) \leq (1 + \varepsilon)\text{OPT}(A). \quad \blacktriangleleft$$

► **Lemma 27 (Reduction).** *If there is a $(1 + \varepsilon)$ -approximation algorithm for k -SSR_L running in time $T_L(n, \varepsilon)$, then there is a $(1 + \varepsilon)$ -approximation algorithm for k -SSR running in time*

$$O\left(nT_L\left(\frac{9k}{\varepsilon} \ln \frac{k}{\varepsilon}, \frac{\varepsilon}{3}\right)\right).$$

Proof. Let A be a multiset of n positive integers $a_1 \leq \dots \leq a_n$. For each $j \in \{k, \dots, n\}$, consider $A'_j = A[j - C + 1, j]$, where

$$C = (c + 1)(k - 1) \quad \text{and} \quad c = 1 + \left\lceil \left(1 + \frac{1}{\varepsilon}\right) \ln \frac{2(k - 1)}{\varepsilon^2} \right\rceil.$$

Using the given $(1 + \varepsilon)$ -approximation algorithm for each of the k -SSR_L instances A'_j , we receive a k -tuple of sets $S^j = (S_1^j, \dots, S_k^j)$ for which $\mathcal{R}(S^j, A'_j) \leq (1 + \varepsilon)\text{OPT}_L(A'_j)$. Thus,

$$\min_{k \leq j \leq n} \mathcal{R}(S^j, A'_j) \leq (1 + \varepsilon) \min_{k \leq j \leq n} \text{OPT}_L(A'_j) \leq (1 + \varepsilon)^2 \text{OPT}(A). \quad [\text{by Lemma 26}]$$

For all $j \in \{k, \dots, n\}$, the set A'_j contains at most C elements, with

$$C = (k-1) \left(2 + \left\lceil \left(1 + \frac{1}{\varepsilon} \right) \ln \frac{2(k-1)}{\varepsilon^2} \right\rceil \right) < 3k + k \left(1 + \frac{1}{\varepsilon} \right) \ln \frac{2k}{\varepsilon^2} < \frac{9k}{\varepsilon} \ln \frac{k}{\varepsilon},$$

assuming $k \geq 2$ and $\varepsilon \in (0, 1)$. As such, we have to run the given algorithm on $O(n)$ k -SSR_L instances of size bounded by $(9k/\varepsilon) \ln(k/\varepsilon)$ in order to obtain a $(1 + \varepsilon)^2$ -approximation for $\text{OPT}(A)$. For all $\varepsilon \in (0, 1)$ it holds that $(1 + \varepsilon)^2 \leq 1 + 3\varepsilon$. Therefore, by setting the error parameter to $\varepsilon/3$ instead of ε in the approximation algorithm for k -SSR_L, we obtain a $(1 + \varepsilon)$ -approximation for $\text{OPT}(A)$ running in time

$$O \left(nT_L \left(\frac{9k}{\varepsilon} \ln \frac{k}{\varepsilon}, \frac{\varepsilon}{3} \right) \right). \quad \blacktriangleleft$$

We now present the main theorem of this section, which follows by using the FPTAS of Theorem 15 as the $(1 + \varepsilon)$ -approximation algorithm for k -SSR_L in Lemma 27.

► Theorem 28. *There is an FPTAS for k -SSR that runs in $\tilde{O}(n/\varepsilon^{3k-1})$ time, where $\tilde{O}$ hides $\text{polylog}(1/\varepsilon)$ factors.*

Proof. Let A be a k -SSR instance. Suppose we use our k -SSR FPTAS running in time $T_L(n, \varepsilon) = O(n^{2k}/\varepsilon^{k-1})$ (see Theorem 15) as a subroutine to solve the k -SSR_L instances $A'_j = A[j - C + 1, j]$, $k \leq j \leq n$. This subroutine serves as a $(1 + \varepsilon)$ -approximation for k -SSR_L, since for the solution $S^j = (S_1^j, \dots, S_k^j)$ returned it holds that

$$\mathcal{R}(S^j, A'_j) \leq (1 + \varepsilon)\text{OPT}(A'_j) \leq (1 + \varepsilon)\text{OPT}_L(A'_j).$$

Note that this subroutine does not take into account the k -SSR_L restriction $j \in \bigcup_{i=1}^k S_i^j$, therefore it might return an invalid k -SSR_L solution for A'_j . However, all solutions returned are feasible for the (unrestricted) k -SSR instance A , therefore the solution which yields $\min_{k \leq j \leq n} \mathcal{R}(S^j, A'_j)$ is a feasible one. As such, by Lemma 27 we obtain another FPTAS for k -SSR running in time

$$O \left(nT_L \left(\frac{9k}{\varepsilon} \ln \frac{k}{\varepsilon}, \frac{\varepsilon}{3} \right) \right) = \tilde{O} \left(\frac{n}{\varepsilon^{3k-1}} \right). \quad \blacktriangleleft$$

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