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Preface

About the Microservices Community and the Microservices Conference Series

The Microservices Community\(^1\) is a European-based non-profit organisation purposed at sharing the knowledge and fostering collaborations on microservices. The organisation counts a broad composition of members from research institutions, private companies, universities, and public organisations. The International Conference on Microservices (shortened, Microservices) is a conference series whose aim is to bring together industry and academia, to foster discussion on the practice and research of all aspects of microservices: their design, programming, and operations. Microservices is the flagship conference among the many dissemination events supported by the Microservices Community.

**Microservices 2020, September 2020, Virtual Meeting.**

The general theme of Microservices 2020 was the interplay between microservices and cyber security.\(^2\) Wide representation was left open to both scientific papers and application reports regarding different themes. The criteria for acceptance were adjusted towards selecting presentations that could raise interest and spark discussion, rather than seeking complete maturity from a scientific or industrial standpoint.

The program committee consisted of 32 members and the conference received 23 submissions in the form of 2-page abstracts, among which 20 were accepted for presentation. The conference was held online due to Covid-19 restrictions, spanning three days. In addition to the 20 presentations, three keynote speeches were given (by Vaughn Vernon, Sanjiva Weerawarana, and Antonio Brogi), the Microservices Community was introduced, and two plenary sessions were offered merging the audiences of the Bologna Federated Conference on Programming Languages, including the 28th International Workshop on Functional and Logic Programming, the 30th International Symposium on Logic-Based Program Synthesis and Transformation, and the 22nd International Symposium on Principles and Practice of Declarative Programming.

**Microservices 2022, May 2022, Paris, France.**

The general themes of Microservices 2022 were the aspects of microservices in the age of digital transformation.\(^3\) The program committee consisted of 17 members and the conference received the submission of 20 extended abstracts, among which 16 were accepted for presentation. The contributions covered a broad spectrum of topics related to the following three themes: 1) autonomic microservices and software engineering approaches; 2) architectures and tools; 3) migration to microservices. Each day of the conference was dedicated to one of these themes. The program featured Valentina Lenarduzzi, Fabio Casati and Cesare Pautasso as keynote speakers. On the last day of the conference Christian Walther Bruun held a funding and consortium building workshop, giving insights into the opportunities for obtaining EU funding via the European framework programme Horizon Europe and Eurostars.

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1. [https://www.microservices.community](https://www.microservices.community)
2. [https://www.conf-micro.services/2020](https://www.conf-micro.services/2020)
3. [https://www.conf-micro.services/2022](https://www.conf-micro.services/2022)
Preface

Post-proceedings of Microservices 2020/2022

The present volume compiles contributions from attendees of Microservices 2020 and 2022. The volume received ten submissions of which eight were accepted for publications after two rounds of peer reviewing. In addition to the contributed papers, this volume includes an invited paper selected by the Program Committee members among the keynote speakers of these two editions of Microservices.

We thank the authors of all submitted proposals for their work in preparing and presenting their contributions. We hope that they benefited from the feedback received during the reviewing process. We also thank the members of the program committees of Microservices edition 2020 and 2022, for their excellent work and enthusiasm. Finally, we want to thank all the donors that provided financial support to the conference and these proceedings: Université Sorbonne Paris Nord, Laboratoire d’Informatique de Paris Nord (LIPN), IUT de Villetaneuse, Philip Morris International, Injenia Srl, Huawei-Edinburgh Joint Lab, and last, but not least, the Microservices community.

Let Industry and Academia meet.

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Microservices Beyond COVID-19

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Abstract

This article summarises the contents of the invited keynote that I gave back in September 2020 at the “Microservices 2020” Conference, which was held entirely online during the COVID-19 pandemic.

In that keynote, I started from the question of how we can check whether a software application satisfies the main principles of microservices and –if not– of how should we refactor it. To answer that question, I discussed the capacity of existing techniques to automatically extract an architectural description of a microservice-based application, to identify architectural smells possibly violating microservices’ principles, and to select suitable refactorings to resolve them. I also discussed how a (minimal) modelling of microservice-based applications can considerably simplify their design and automate their container-based deployment. Finally, I tried to point to some interesting directions for future research on microservices.

2012 ACM Subject Classification Software and its engineering

Keywords and phrases Microservice-based systems


Category Invited Paper

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Acknowledgements I would like to thank all the colleagues with whom I had the pleasure of carrying on the research activities that were described in this keynote, starting from Jacopo Soldani, with whom I shared most of this work, and continuing with (in alphabetical order) Matteo Bogo, Giuseppe Muntoni, Davide Neri, Luca Rinaldi, and Olaf Zimmermann. I would like to thank also Hernan Astudillo, Edoardo Baldini, Javier Berrocal, Giuseppe Bisichia, Stefano Chessa, Giorgio Dell’Immagine, Stefano Forti, Marco Gaglianese, Juan Luis Herrera, Javad Khalili, Juan M. Murillo, Federica Paganelli, and Francisco Ponce, with whom I co-authored the more recent work (after Microservices 2020) cited in the last part of this article.

1 Design principles, architectural smells and refactorings

I started my keynote by recalling the main motivations and characteristics of microservices, and then I considered the following question:

How can architectural smells affecting design principles of microservices be detected and resolved via refactoring?

Informally speaking, an architectural smell is a “suspect” that the defined architecture may affect a design principle. As an example of possible answer to the above question, I presented the results of the multi-vocal review [8], aimed at identifying the most recognised architectural smells for microservices, and the architectural refactorings to resolve them. That review identified seven architectural smells potentially affecting four design principles of microservices, and 13 refactoring techniques to resolve those architectural smells.

I then presented the $\mu$Freshener tool [13], which automatically identifies the architectural smells present in a microservice-based application, and which allows applying architectural refactoring to resolve the identified smells.

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2 From incomplete specifications to running applications

I then moved to considering the question of how to select an appropriate runtime environment for each microservice of an application, during the design phase. As an example of possible answer to the above question, I presented the TosKeriser tool [3], which automatically completes a TOSCA application specifications by discovering and including Docker-based runtime environments providing the software support needed by each microservice.

I then moved to considering the question of how to suitably package each microservice into the selected runtime environment. As an example of possible answer to the above question, I presented the TosKose tool [2], which enables deploying microservice-based applications on top of existing container orchestrators, and to manage each service independently from the container used to run it.

3 Mining the architecture of microservice-based applications

Manually generating the description of the software architecture of an application consisting of dozens, when not hundreds, of microservices is a complex, time-consuming, and error-prone process. Software architects need to be supported by tools capable of automatically mining the software architecture of their microservice-based application.

As an example of such support, I presented the µMiner tool [13], which automatically extracts the software architecture of a “black-box” microservice-based application. Without accessing the application source code, µMiner derives the software architecture from the declarative specification of its Kubernetes deployment, by performing both static and dynamic analyses.

4 Concluding remarks

At the end of the keynote, I summarised the toolchain sketched in Figure 1, obtained by pipelining the four tools described during the talk.

![Figure 1 Toolchain example.](image)

Take-home message: The toolchain can be taken as an example of how a (minimal) modelling of microservice-based applications can considerably simplify their design and analysis and allow automating their container-based completion and deployment.

Finally, here is a non-exhaustive list of possible interesting directions for future research on microservices on which I am working with my group and other colleagues:

- improve the techniques for detecting and resolving architectural smells in microservice-based applications – with alternative techniques (e.g. like [12]) for automatically extracting the software architecture of an application from its Kubernetes deployment, and for resolving architectural smells by directly modifying the Kubernetes manifest of an application,
improve the techniques for **detecting security smells** in microservice-based applications – by identifying the most recognised security smells for microservices (e.g. like in [9]), and by developing automated detectors (e.g. like the extensible Kubelloud tool [4]),

improve the techniques for **determining the root causes of microservices’ failures** [10] and for explaining how failures propagate across microservices (e.g. as in [11]),

improve the techniques for achieving a lightweight but effective monitoring of microservice-based applications deployed on a distributed infrastructure [6],

consider **sustainability** aspects during the entire life-cycle of microservice-based applications [1],

develop and apply **continuous reasoning techniques** to efficiently manage distributed applications in continuity with existing CI/CD pipelines and monitoring tools (e.g. like in [5, 7]).

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**References**


Microservice-Aware Static Analysis: Opportunities, Gaps, and Advancements

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Abstract

Microservice architecture is the mainstream to fuel cloud-native systems with small service sets developed and deployed independently. The independent nature of this modular architecture also leads to challenges and gaps practitioners did not face in system monoliths. One of the major challenges with decentralization and its independent microservices that are managed by separate teams is that the evolving system architecture easily deviates far from the original plans, and it becomes difficult to maintain. Literature often refers to this process as system architecture degradation. Especially in the context of microservices, available tools are limited. This article challenges the audience on how static analysis could contribute to microservice system development and management, particularly managing architectural degradation. It elaborates on challenges and needed changes in the traditional code analysis to better fit these systems. Consequently, it discusses implications for practitioners once robust static analysis tools become available.

2012 ACM Subject Classification Computer systems organization → Distributed architectures; Computer systems organization → Cloud computing; Software and its engineering → Automated static analysis

Keywords and phrases Microservice Architecture, Static Analysis, Reasoning, Decentralization

Introduction

Cloud-native systems are designed to take full advantage of the cloud infrastructure. The 12-factor app methodology [46] provides guidance on designing, building and deploying these systems. For instance, cloud-native systems have, as the foundation, the microservice architecture, utilize containers, and follow Continuous Integration and Delivery (CD/CI).

The microservice architectural style is a paradigm for developing systems as a suite of small, self-contained, and autonomous services communicating through a lightweight protocol. Each microservice has its own codebase with a separate configuration to facilitate its individual decentralized evolution. This, as a result, enables the separation of duties for roles like architects, developers, and DevOps. It also aligns well with Conway’s law, stating that organizations should design systems to mirror their own communication structure.

While functionality gets well divided with microservices, overlaps across individual microservice’ still exist. Each microservice has a bounded context within the overall system, and still, since microservices interact, the overlap is inevitable. Implications from these overlaps are reflected in the microservice codebase. Since the microservice codebase remains
self-contained, overlaps mean partially restated definitions, typically re-implemented in a particular framework version. This restatement can relate to data definitions of processed information, encapsulated knowledge, business logic, or other enforcement related to various policies (i.e., security, constraints, privacy, etc.). However, this overlap is uncontrolled throughout the system evolution, and there are fragile mechanisms to assess consistency errors. Thus, once any of these definitions change in the microservice codebase, there is no direct indication of the definition being restated elsewhere in other codebases.

In addition to functional decomposition, one would expect microservices to cope with the separation of concerns. While there are the same means as in any other component-based development, decentralization leads to the scattering of different concerns, lacking a single focal point. Such concern separation might be well-managed on a single codebase level, but it might get lost with the decentralization and the existence of multiple codebases. While infrastructure like centralized configuration servers and API gateway exists, i.e., to enable telemetry and tracing, these cannot be misused beyond their original purpose, leading to anti-patterns.

We typically aim to separate concerns in software systems to provide better readability and maintainability [29]. We can do a micro-management and design solid concern separation per each microservice. However, this would only relate to a single microservice, not the whole system. The question is whether we need to see a certain concern from the entire system perspective. Suppose we are architects; most likely, the answer is yes. For instance, to focus on system privacy concerns. To make informed decisions, developers must see dependent (i.e., interacting) microservices aligned across selected concerns. We must keep in mind with the self-contained microservice nature and the decentralized system perspective, each concern of a certain type is re-defined and encapsulated across microservices. This might be one of the greatest disappointments when migrating from monolith systems. As an example, the consequence of security assessment is that each microservice has to be analyzed individually. Then, the extracted knowledge must be combined ad-hoc, which is tedious, time-consuming, error-prone, and does not scale with agile development. Unfortunately, with microservice architecture, we must accept that the system must deal with “scattered concerns” [9].

In this article, we discuss how static analysis could contribute to solving the shortcomings of microservices-based systems. We emphasize how future tools should adapt to better fit these systems’ specifics. We base our discussion on case studies and prototype tools we developed with our research teams.

In the remainder of this paper, we discuss the current approaches to assess cloud-native systems (Section 2). Next, Section 3 focuses on changes to static analysis tools to better align with cloud-native. Finally, Section 4 discusses the implications and impact on involved stakeholders once these tools become robust and available, while Section 5 concludes the paper.

2 Current Trends

Researchers often resort to applying dynamic system analysis to address various microservice challenges. The dynamic analysis can undoubtedly uncover service dependency graphs and bring them a more centric system view.

However, to uncover these artifacts, we need to invest in different efforts. First, the uncovered artifacts can only be as complete as the underlying systems tests or system interaction. This means that we could extract a complete graph if we had complete test coverage [18, 38]. However, complete test coverage is expensive, and it must adapt to system
evolution. Alternatively, we could use production system traffic, but even then, there is no guarantee of complete system coverage. Second, we do not want customers to identify, i.e., cyclic dependency in production, and should target system analysis before it ships to production. The dynamic analysis will need the system to run to perform interaction to uncover the previously mentioned artifacts, which is time-consuming. We would need a lot of computational power if we anticipate analyzing the system for every new code change (commit) in the codebase. It also takes time to perform the tests (especially with full coverage).

Dynamic analysis can be performed by system monitoring (i.e., with telemetry https://opentelemetry.io) or by centralized log tracing. Traces are produced through logging augmented with correlation identifiers, and log statements can represent what developers added to the system or instrumented to important system components (i.e., endpoints). The great advantage of this approach is its platform agnosticism.

However, one must recognize the necessity of additional extensions to microservices, and their infrastructure to integrate centralized logging and tracing [8]. For instance, correlation identifiers must be introduced, log centralization must be in place, and health checks must be provided for the most advanced reporting. The dynamic analysis led by telemetry can determine microservice dependencies from call-graphs [19, 43, 31], a heat map of how often are certain endpoints reached.

Nevertheless, the dynamic analysis has limitations. It cannot access details exclusive to codebases (such as which component is responsible for a given endpoint business logic, etc.) [18]. We must also consider the separation of duty relevant to telemetry. Different roles might have different needs. Developers might want to know if their change did not break microservice neighbors. However, DevOps manages telemetry or centralized logs with tracing, not Developers [4]. Such role division introduces indirection in reporting, multi-step interpretation, and latency between what has been developed and what has been identified.

The metaphor for dynamic and static analysis could be whether to use typed-safe or interpreted languages with no type-safety. Developers who manage an individual microservice codebase likely take advantage of quick code change checks. These are based on static analysis and are often part of integrated development environments, build files, or added to the CD/CI pipelines. However, the limit of these tools today is that they only relate to a single codebase. The emerging challenge is that successful new tools will need to operate across codebases and combine results with seeing the system as a whole rather than as separate pieces of the greater puzzle [21, 34].

When comparing static and dynamic analysis, we must understand that these instruments have two different targets. One can inform about the underlying structures and the white-box view; the other details how the system operates within a black-box view.

Naturally, there are overlaps. Both approaches can identify the system’s endpoints or its microservices [18]. However, it is also the boundary of where the approach limits stand. Anything below endpoints is the goodwill of tracing instrumentation to access it in dynamic analysis [7]. However, there is a toll since code instrumentation to add additional logging has a performance impact. On the other hand, anything below endpoints is a native perspective for static analysis. On the contrary, dynamic analysis will reveal how users use the system and endpoints, which are more popular than others, etc.

We can observe that static analysis is rather in the control of developers, and dynamic analysis is more relevant to operations (i.e., DevOps engineers). Still, for other stakeholders, i.e., to perform a security assessment, we might need a combination of both. Ideally, both perspectives combine symbiotically, giving comprehensive system insights into its dynamics.
Still, none of the static or dynamic analyses could explain how developers organize or how the system changes over time. To answer such a perspective, Mining Software Repositories (MSR) can indicate how the system structure changes over time and does the organization around particular microservices changes. We can collect additional information related to version control messages, possibly linked to issues in ticketing systems. MSR often time connects with static analysis.

The primary input for static analysis is the system code (source, bytecode, or binary) [2]. In the most basic way, source code is parsed into an Abstract-Syntax Tree (AST) and then converted to other forms of graphs. These phrases are then traversed to perform defined verification or match various anti-patterns [44, 13]. The result of such parsing typically generates an intermediate representation (IR) or a model of the system in which the extracted information and structures are reasoned about.

Static analysis does not only consume code or code changes pushed by MSR. The cloud-native design typically involves build files and container configuration files in the repository, and these files can be easily analyzed to help determine topology [22, 39, 27] and involved technology and future static analysis approaches cannot omit these aspects.

## 3 Conventional Static Analysis versus Microservice Systems

As introduced previously, conventional static analysis performs on a single codebase. It determines dependencies across various internal structures using an abstraction that makes reasoning about a given system easier [2]. However, cloud-native systems are decentralized, possibly with a self-contained codebase per microservice. This difference makes it more challenging to deliver anticipated results to understand the system’s dependencies or reason holistically since each codebase could employ a different framework, platform, or library version. As a result, it is necessary to consider static analysis per each codebase.

Multi-codebase is not the only challenge; individual analysis results do not combine linearly next to each other. Instead, they need careful interweaving in the scope where they overlap - across bounded contexts and interaction. By recognizing these connections, new tools could derive a virtual holistic perspective of the overall system with fine granularity of inter-microservice dependencies.

A good tactic is necessary to overcome the above challenges in the context of polyglot systems. Since many platforms can be used, it is unavoidable to employ multiple platform parsers. The result of all such efforts should be in the form of a unified intermediate representation. This will also enable intermediate representation interweaving that does not need to deal with microservice platform heterogeneity.

In our research and prototyping[45, 6] and [28], we focused on microservice middleware, and the detection communication patterns between services [35, 42, 41] and on metrics to detect coupling based on the interaction between microservices [1] detected with static analysis [33].

Furthermore, we observed that most microservices would be developed using particular platform frameworks that introduce components [14, 37]. For example, consider Spring, Java Enterprise, C#, and Django. Even if components would not be employed, a good programming convention would be established following separating concerns on the codebase level. With a focus on such practice, we determined that low-level code analysis might

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1. https://cloudhubs.ecs.baylor.edu/prophet/
2. MicroDepGraph https://github.com/clowee/MicroDepGraph
be unnecessary, but still, this is the conventional approach. Instead, one should focus on components like data entities, repositories, services, and controllers. In addition, the internal call-graphs across components and involved high-level structures should be detected (i.e., remote-procedure calls, REST-call, event registration, etc.). The result would be in the form of an intermediate representation that forms a component call-graph.

We summarize the gaps for conventional static analysis when placed into a contract with microservices in Table 1. We propose that microservice-aware static analysis may operate with polyglot systems built with heterogeneous platforms; it must recognize high-level structures and components and properly combine results across analyzed codebases.

### 4 Proposed Methodology for Microservice-aware Static Analysis

The key decision for static analysis is to choose the proper system intermediate representation. We chose a component call-graph since many platforms use components.

With an emphasis on operating with an intermediate representation that forms a component call-graph, we consider the utility of conventional code parsers to determine AST to detect the system structure. Based on common component types across different frameworks, it is possible to detect components, their properties, specifics, and connections. However, this often drags the approach to become platform-specific in its nature.

Nevertheless, working with AST or its converted graphs like control-flow graphs enables us to determine the anticipated intermediate representation of the component call-graph per each microservice.

We have initially assessed this approach on Spring and Java Enterprise platforms on two system benchmarks [47, 10] with success.

In our follow-up work [37], we intended to generalize the process across platforms. As a result, we proposed that the AST be extended to be a superset across multiple languages, which leads to a Language-Agnostic AST (LAAST). Some rules can be added to convert constructs across platforms (i.e., defer operator or switch into if/else).

Using LAAST, it is fairly simple to build or customize pattern-matching agents to detect components or higher-level structures. Thus, a common set can be established for conventional framework components. Still, the developer can customize these matches for naming conventions and apply custom callback to populate the component call-graph intermediate representation with a given component properties.

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3 [https://github.com/cloudhubs/source-code-parser](https://github.com/cloudhubs/source-code-parser)
We have tested this follow-up prototype with success on the previous testbeds [47, 10] and on C++ [20], manually validating precision and recall for component detection above 95% [37].

With the component call-graph intermediate representation of each microservice, we can consider interweaving them. The bottom-up approach is to join involved data models in given bounded contexts. The horizontal approach is to predict possible inter-service communication. This can be accomplished by detecting remote calls to certain endpoints, identifying relative paths, HTTP types, and parameters, and matching them to endpoint signatures. We recognize that only dynamic analysis can recognize inter-service communication with perfect precision. Still, if we solely consider static analysis, this results in the best approximation, and static analysis is about approximation. However, other interactions based on events and brokers can also be considered.

To interweave microservices, first, overlaps with data entities should be identified. Using LAAST matching agents, we can identify entities and reason about their interconnections to derive a data model per each bounded context. For instance, we can use similarity from natural-language processing, Wu-Palmer algorithm [23] to determine potential matches in entities across microservice intermediate representations. Placing identified entities in an overlay helps us connect intermediate representations together and build a context map.

However, other techniques can be used. The next strategy targets cross-service interaction. We consider possible remote calls between microservices. Similarly, we operate on LAAST to identify endpoints, relative paths, HTTP types and parameters, and similarly remote calls within services, which we match based on HTTP types, relative paths, and parameters. We can determine additional dependencies across microservices that strengthen the previously assembled overlay with this route. Performing this across all microservices, we determine the holistic system component call-graph intermediate representation, which corresponds to the latest state of the system.

In addition to the above, we can also account for configuration files in the codebase. This is especially relevant to container descriptors that are part of cloud-native codebases.

We have tested the proposed interweaving on the previously mentioned testbeds [47, 10, 20] and assessed the results manually, with few associations missing in the resulting context map and few unidentified connections in the inter-service interaction [6]. The missing connections were all due to ambiguity caused by choosing from multiple potential URLs at endpoints, which we did not optimize our prototype for, expecting each endpoint to match a single URL.

![Figure 1](image-url) Example interweaving of two microservices X and Y based on remote calls and similar data entities.
### Table 2 Microservices-aware static analysis: procedural steps.

<table>
<thead>
<tr>
<th>Stage</th>
<th>Microservice-aware static analysis</th>
<th>Realization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Recognize components in code along with high-level constructs (i.e., remote calls, component interaction)</td>
<td>Use AST or other graphs</td>
</tr>
<tr>
<td>Step 2</td>
<td>Establish microservice intermediate representations</td>
<td>Consider component call-graph</td>
</tr>
<tr>
<td>Step 3 (optional)</td>
<td>Unification across platforms</td>
<td>Consider language agnostic AST</td>
</tr>
<tr>
<td>Step 4</td>
<td>Connect individual microservice intermediate representations (see Figure 1)</td>
<td>• Data entity overlaps • Inter-service calls (remote calls) • Use container descriptors</td>
</tr>
</tbody>
</table>

To summarize the methodology process, we highlight important steps in Table 2. We also illustrate two mentioned interweaving techniques in the context of component call-graphs from two different microservices. This is captured in Figure 1.

## 5 Discussion on Implications and Challenges

The primary motivation behind the static analysis is automated reasoning and reports [2], as well as system architecture reconstruction [45]. With the ability to operate across the holistic system or multiple microservices, developers (as opposed to DevOps) could gain new aid to quickly understand the impact of their changes [3]. Or get quick feedback on newly introduced anti-patterns [13, 44] and lowered quality metrics. Table 3 lists selected challenge areas.

Considering different concerns, one could be assessing whether the system complies with various organizational policies. Currently, analysts need to review the codebase to determine compliance. Having a holistic system intermediate representation might become easier to assess. Similarly to consistency checking, certain policies could be evaluated.

One related venue for discussion and research is the consistency of business logic. Analyzing business logic is difficult from code, even though we know that service components are to be encapsulated and we can track control and data flows. This opens the question of consistency checking across microservices, which is certainly attractive. However, this also has to consider that modern frameworks add rules via method interception. For instance, frameworks like Drools can greatly reduce management efforts for business rules; however, these again apply to a single codebase. Integrating a configuration server in cloud-native methodology could open a non-intrusive path for centralizing such rules that are now scattered across the system. It would simply utilize principles of generative programming to accomplish this.

Considering the above problem areas (business logic consistency, policies) or other examples like security and privacy, the root cause of problems and dependencies is the manifestation of scattered concerns. Static analysis can extract information about selected concerns from each microservice and put them next to each other to centralize the perspective. This can be accomplished, for instance, by using the component call-graph as the system representation and augmenting its perspectives related to given concerns by targeted code analysis.
Table 3 Selected of challenge areas for static analysis in microservices.

<table>
<thead>
<tr>
<th>Automated vs. human expert reasoning</th>
<th>Scattered concerns</th>
<th>Formal methods/verification</th>
<th>Software Architecture Reconstruction</th>
<th>Holistic perspective</th>
<th>Human-centered perspective</th>
<th>System aspect visualization</th>
<th>System evolution assessment</th>
<th>Evolution modeling</th>
</tr>
</thead>
<tbody>
<tr>
<td>– anti-patterns, metrics, reports, etc.</td>
<td>– compliance and consistency in policies, business logic, privacy, security, etc.</td>
<td>– using information reconstructed from the code.</td>
<td>– how to perform, which sources to include.</td>
<td>– how to derive it, which perspectives to consider.</td>
<td>– what system information to display in given perspectives to support expert reasoning about the system.</td>
<td>– augmented/virtual reality, 3D models, etc.</td>
<td>– many self-contained moving parts must be considered.</td>
<td>– conversion of intermediate representations system models with instruments designed to speculate on evolution.</td>
</tr>
</tbody>
</table>

Another important avenue for research is the derivation of the system’s architectural perspectives that would centralize concerns that are now scattered in decentralized codebases of microservices. For instance, architects might have the motivation to analyze the system’s context map or canonical data model, or analysts need to have a single focal point for security assessment and understand all business constraints applied in the system. All these perspectives are necessary to make informed decisions reflecting the current system state, which is currently very difficult to obtain from cloud-native systems.

Results from the static analysis can be accompanied by models for formal verification to aid with trade-off analysis, system evolution planning, or behavior prediction to guarantee correctness. For instance, static analysis can extract a system’s intermediate representation and convert it to an architectural description language [30] to help human experts speculatively extend the system via abstract models. Abstractions could also involve choreographic programming to ensure correct concurrency [15, 16].

Despite the above details related to reasoning, the human-centered view should exist and consider visual representation to properly articulate and interpret various concerns, but human experts [3]. In such views, experts could determine anticipated consistency and sketch the system’s architectural perspective. Much work has been done in this context, recognizing that architecture can be described through various views [32]. The process is known as Software Architecture Reconstruction (SAR) [36]. As demonstrated in previous works, it can be accomplished through static analysis [45]. Still, researchers address this for microservices through tedious manual code review, possibly outdated documentation assessment [36], or dynamic analysis, which provides only a subset of information, structure, and detail to what is necessary. Software architecture reconstruction fits well with the static analysis process we experimented with.

The typical output of software architecture reconstruction is a system model for answering questions or just reasoning. Reasoning can be automated, such as consistency violation detection or anti-pattern detection [13, 44]. Alternatively, we can also combine these to visually represent certain systems’ architectural viewpoints [26].

With regard to system evolution, if we track service interconnection that disappears with an update, something might be wrong with the update, and the developer might be notified about such change impact. This could greatly improve conformance/consistency checking across microservice evolution, which is currently very fragile due to horizontal separation of duty where distinct development teams manage different microservice codebases. However, specific strategies to do so remain to be addressed.
In the context of challenges for microservices, a broad study by Borgner et al. [5] identified a large set of additional problems that practitioners face with microservices. There is a promising potential for microservice-aware static analysis to address these problems. In the study, the most frequently mentioned issues were missing system-centric perspectives, inter-service dependencies, coordination between decentralized teams, and challenges with outdated documentation. They also mention challenges related to microservice integration, API-breaking changes, etc. We strongly believe all these challenges could be leveraged by introducing robust static analysis tools for cloud-native systems.

6 Experimental Evaluation

We have implemented our methodology in various prototype tools and assessed benefits, limitations, and implications from the Microservice-aware static analysis in cloud-native systems with broader detail.

For instance, we have approached software architecture reconstruction in microservice systems [6, 45] and managed to derive four architectural viewpoints that present the decentralized system as if it was a virtual monolith. This has the potential to realign current static analysis tools to operate on the holistic system.
In addition, we used our model for automated reasoning to detect access policy consistency errors [17] across endpoints. For instance, we might consider access rights from a single microservice context, but when they interplay, they might consider different access rights leading to inconsistencies and possibly vulnerability.

Furthermore, we used our component call-graph intermediate representation along with the architectural viewpoints to detect eleven microservice-specific bad smells [44].

Moreover, the component call-graph intermediate representation has proven well-suited as a model for semantic clone detection across system endpoints [40], which is important when different teams reinvent the same functionality, not knowing about co-existing endpoints.

The granularity of components is suitable for developers in development frameworks. It fits well with the granularity of graph nodes, which makes the visual connection between models and code easy to comprehend.

In addition, we have also researched visualization of systems architecture based on microservices [6]. We have considered that established visualization techniques are too “static” when it comes to developer needs. Thus, we focused on interactivity. Furthermore, we proposed that conventional techniques visualize and model system architecture needs to be reconsidered given the space constraints needed by microservices [11]. Large or even medium-size microservices systems rendered in conventional visualizations require too much space to display relevant information. Thus, in addition to interactivity, we considered three-dimensional spatial visualization.

We share our proof of concepts called Microvision [12, 11] in Figure 2 highlighting one of the systems testbeds. In a large user study involving experts and novices [3], we identified that the benefits of three-dimensional spatial visualization come from mid-size systems and enable novices to identify architectural properties and anomalies as quickly as experts.

However, we also started investigating more web-friendly models that can render in two and three dimensions [24]. In the context of anti-pattern or smell detection, we also considered their visualization in these models [25]. Figures 3 and 4 illustrate our new visual models for two and three-dimensional service dependency graphs, and Figure 5 previews a cyclic dependency anti-pattern highlight in the service dependency graph [26].
In summary, microservice-aware static analysis has great potential to address current gaps and challenges with microservices. This article does not anticipate that static analysis is superior to dynamic analysis. It is meant for different goals and challenges; clearly, a broad research opportunity exists for combined analysis.

7 Conclusion

This article discusses static analysis in the context of microservices and cloud-native design. While static analysis is recognized for many benefits, it has not been widely adopted and used for challenges faced in cloud-native system development. We have listed major obstacles preventing static analysis from operating on the holistic system. We point to our experiments that attempted to interweave intermediate representations of microservices to enable such operation. We believe the scientific and industrial community should put more effort into developing robust tools to help developers better face system evolution and maintenance tasks. In future work, we plan to continue our research in this direction of combining decentralized systems. We will also continue to develop prototypes across languages, demonstrating the ability to assess heterogeneous systems to provide a single focal point when assessing certain information and concerns.

References


Abstract

We present Modular Choreographies, a new choreographic programming language that features modular functions. Modular Choreographies is aimed at simplicity: its communication abstraction follows the simple tradition from the “Alice and Bob” notation. We develop a compiler toolchain that translates choreographies into modular Java libraries, which developers can use to participate correctly in choreographies. The key novelty is to compile through the Choral language, which was previously proposed to define object-oriented choreographies: our toolchain compiles Modular Choreographies to Choral, and then leverages the existing Choral compiler to generate Java code. Our work is the first to bridge the simplicity of traditional choreographic programming languages with the requirement of generating modular libraries in a mainstream language (Java).

1 Introduction

A recognised best practice for the development of microservices [19] is to coordinate them according to choreographies: coordination plans that prescribe how processes in a distributed system should interact with each other, by exchanging messages [32]. However, writing programs that comply with a choreography falls under the shadow of writing correct concurrent and distributed software, which is notoriously hard even for experts [26]. This is due to the well-known state explosion problem: even for small programs, the number of possible ways in which they could interact can grow exponentially and reach unmanageable numbers [6, 35].

Choreographic programming is a programming paradigm where programs are choreographies [31]. Its aim is to relieve programmers from implementing choreographies manually, by following two steps: first, programmers can code the choreography that they wish for by using a programming language equipped with primitives that make interactions syntactically manifest; then, a compiler automatically generates a working implementation of the choreography. The theory of choreographic programming has been explored in several directions, including service-oriented computing [4], adaptability [17], cyber-physical systems [28], functional correctness [25], and security [27, 2].
Choreographic programming languages are inspired by security protocol notation (also known as “Alice and Bob” notation), which was introduced for the definition of security protocols [33]. The key primitive of these languages is the interaction term $A.\text{expr} \rightarrow B.\text{x}$ which reads “$A$ communicates the result of expression $\text{expr}$ to $B$, which stores it in its local variable $\text{x}$”. The participants $A$ and $B$ are called processes, or roles [12, 3].

Until recently, implementations of choreographic programming languages mainly generated standalone systems and did not provide means to integrate the output code with mainstream development practices [31, 4, 17]. The Choral programming language was later proposed as the first choreographic programming language that can be applied to mainstream programming [20]. In Choral, a choreography is compiled to a Java library for each process described in the choreography. A developer can then import this library and invoke it to play the part of that process in a distributed system.

To achieve Java interoperability, choreographies in Choral are less abstract than usual. Developers have to take care of how communications are supported by concrete communication channels, how data types can be expressed in Java, and how choreographic functions should be structured in terms of classes and methods. Also, the simple interaction term $A.\text{expr} \rightarrow B.\text{x}$ has to be written as a method invocation instead, like the following.

```java
var \text{x@B} = \text{channel.com( MyClass@A.\text{expr}() );}
```

The previous line of code reads “variable $\text{x}$ at $\text{B}$ is assigned the result of invoking the static method $\text{expr}$ of $\text{MyClass}$ at $\text{A}$ and passing it through an invocation of method $\text{com}$ of object $\text{channel}$ (which moves data from $\text{A}$ to $\text{B}$)”. Understanding Choral code thus requires more knowledge. While these aspects are essential for bridging choreographies to real-world Java programs, they force designers to mix choreographies with implementation details that diminish their level of abstraction, and thus hinder reusability of choreographies for different settings.

In this paper, we bridge the gap between the traditional simplicity of choreographic languages with the practicality of Choral. Specifically, we present the following contributions:

- A new choreographic programming language, called Modular Choreographies, and its formal semantics. Modular Choreographies offers a simple choreographic syntax with the standard “Alice and Bob” communication primitive $(A.\text{expr} \rightarrow B.\text{x})$, augmented with linguistic constructs for writing parametric functions. Our design is purposefully inspired by previous choreographic languages to be familiar (more details are given in Section 2).
- A type system for Modular Choreographies, which checks that functions are invoked correctly: the processes that enact a function have access to the right data and local functions (e.g., for encryption). Our type system supports the expected property of subject reduction [37].
- An implementation of Modular Choreographies, consisting of a parser and a type checker.
- A tool that, given code in Modular Choreographies, synthesises a program in Choral. The synthesiser automatically generates classes, methods, and the necessary usages of channels in order to move data correctly as instructed by the choreography.

Taken together, our contributions and Choral enable a new development methodology for implementing software that follows choreographies correctly, which we depict below.
That is, developers can use Modular Choreographies to design protocols expressed in a simple choreographic language and generate valid Choral code (using our tool), from which compliant Java libraries can be automatically generated (using the compiler from [20]). This gives choreography designers the option of using a simple language, without giving up on Java interoperability. If the decisions made by our tool when synthesising the Choral code need to be refined, it can be done before the Java libraries are generated; for example, it is possible to change method names or the type used to denote data that can be transmitted (the default is `Serializable`). This is enabled by our two-step approach and, we believe, is better than editing the Java libraries directly: once we reach that level, code does not have a choreographic view anymore and therefore introducing concurrency bugs is much easier.

Using Choral as intermediate technology also gives the pragmatic advantage of reusing what already exists to a reasonable extent. In particular, we do not implement yet another procedure for compiling choreographies to separate distributed programs – a process known as Endpoint Projection [3]. This allowed us to focus on the design of Modular Choreographies and the novel aspect of connecting “Alice and Bob” choreographies to object orientation.

**Structure of the Paper.** Section 2 discusses relevant related work. Modular Choreographies and its type system are presented in Section 3. We recap useful background knowledge on Choral and illustrate how our implementation works in Section 4. Conclusions and future work are given in Section 5.

## 2 Related Work

We discuss here the most related work and highlight important differences. The reader interested in an introduction to choreographic languages and their compilation can consult [32].

The design of Modular Choreographies is inspired by the theories of Procedural Choreographies [11] and Recursive Choreographies [32]. Notably, it inherits the features that processes (can) have mutable states, choreographies are parameterised over the processes that enact them, and function calls can have continuations (general recursion). Differently from these theories, Modular Choreographies includes linguistic constructs for defining and using functions that contain choreographies more modularly, motivated by the intention of using our language for practical programming. Relevant changes include: functions can have local variables; choreographies can be parameterised over the local functions that processes can run; the capability of returning values from a function, possibly at many different processes; and a type system for checking that procedures are invoked by passing arguments of the right types. We deal with the combination of mutable state, value return, and general recursion by introducing call frames to the operational semantics of choreographies. Procedures with local scopes were already present in the first choreographic programming language, Chor [4, 31], but they could not be parameterised over processes nor local functions. Other implemented choreographic programming languages that predate our work but do not offer modularity include AIOCJ [17] and hacc [9]. One choreographic programming language that does support modularity is HasChor, an embedded domain-specific language in Haskell [40]. Differently from our toolchain (and Choral, which we leverage), HasChor requires each participant to know the entire choreography in order to be executed, whereas we compile separate individual libraries; also, it injects broadcast communications for coordinating choices that

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1 At the time of this writing, the latest version of our implementation is available at [https://github.com/chorlang/modular-choreographies](https://github.com/chorlang/modular-choreographies).
are not always necessary in our case, while we use Choral’s implementation of the “merging”
operator [3] to detect that choices are communicated correctly among participants (a property
known as “knowledge of choice” [5, 32]).

Our development is also based on Choral, which introduced the first integration between
choreographic languages and mainstream programming abstractions [20]. In particular,
Choral’s types give control over the APIs that are generated in the target Java libraries. We
use this control extensively to compile APIs that allow programmers to pass parameters to
our Modular Choreographies (e.g., functions and data locally available at processes) and to
use the values that choreographies return. Choral is also the first choreographic programming
language that introduced returning values and higher-order choreographies (choreographies
parameterised over choreographies), but unlike Modular Choreographies it does not offer a
syntax based on the “Alice and Bob” notation and it does not come with a formal semantics.

Previous work studied theories of choreographic languages based on the “Alice and Bob”
notation for several settings, including verification [8, 25, 7], cyberphysical systems [29, 28],
security [27, 2], web services [3], and transactions [41]. Modular Choreographies has the
potential of being an interesting basis for the future application of these theories.

Another paradigm related to choreographic programming is multitier programming,
which shares the practice of compiling a program into the distributed implementations of
multiple participants [43]. Differently from choreographic programming, multitier programs
are not choreographies. Multitier code describes the local computation that a participant
performs from its own viewpoint. However, this viewpoint can be switched to that of another
participant by performing a “hop” that changes the scope of variables. For example, a
function declared to be located at the client can seamlessly invoke a function declared to
be located at the server. The program would then be split by a compiler to code for the
client and server, and the hop would typically be implemented at runtime by a coordination
middleware. The control that choreographies give over how participants communicate is
known to be important for writing faithful implementations of protocols [30]. Nevertheless,
at least for some multitier languages, it is possible to translate a multitier program into
a choreography that implements it, which basically boils down to synthesising a precise
interaction protocol that runs the multitier program [21].

There are other tools for the generation of libraries that aid developers with following
communication flows, based on “global types”: abstractions of choreographies that specify
only the types of data to be communicated [23]. For example, in [39] global types are
compiled into local specifications for the communication behaviour that each participant
should implement, which are then used to generate libraries with fluid APIs that aid developers
with ordering send/receive actions correctly (like o.send(...).receive(...).send(...)). The
programmer must then implement these actions by hand. This is a less direct approach
than choreographic programming, where the programmer just needs to define the source
choreography and then correct implementations are automatically generated.

3 Modular Choreographies

Syntax. The syntax of Modular Choreographies is given by the grammar in Figure 1. We
denote lists of similar elements with overlines e.g., writing $\overline{e}$ for $e_1, \ldots, e_n$, and optionally
indicating a index variables e.g., $\overline{e_i}$. Processes are identified by process names ($p$, $q$, $\ldots$), they
execute concurrently, are equipped with a local (private) memory that supports dynamic
allocation, and can evaluate (local) expressions ($e$). We fix a minimal syntax for local
expressions: $v$ ranges over value literals, $id$ ranges over identifiers for variables and (local)
functions, and \( id(\tau) \) denotes application. We denote the set of value literals for a local data
type \( \text{Values}(b) \). Choreographies \( C \) are sequences of choreographic instructions \( I \) with \( \epsilon \)
denoting an empty sequence. In the instruction \( \text{var} \ p.\,\text{id}:b \), \( p \) declares a variable \( \text{id} \) with type \( b \); and in \( p.\,\text{id} = e \) it assigns to \( \text{id} \) of the result of evaluating the expression \( e \); \( \text{var} \ p.\,\text{id}:b = e \), \( p \) performs both a declaration and an assignment. In \( p.\,e \rightarrow q.\,\text{id} \), \( p \) communicates the result of evaluating the local expression \( e \) to \( q \) which stores it in its local variable \( \text{id} \) and in \( p.\,e \rightarrow \text{var} \ q.\,\text{id}:b \) the \( q \) also declares the variable \( \text{id} \). In \( p \rightarrow q[1] \), \( p \) communicates label \( l \) (a constant distinct from values used by local computation) to \( q \); this type of communication
does not alter the state of either process and is used to propagate decisions about control
flow (as common for choreographic languages). In \( \text{if} \ p.\,e \{C\} \text{ else } \{C\} \), \( p \) evaluates
the (boolean) guard \( e \) and the choreography proceeds with either branch accordingly. In
\( p.(\,\text{id}) = \text{ID}(p.\,(\tau)) \), \( \tau \) call the choreographic function \( \text{ID} \) on the values obtained evaluating
the formal arguments \( p.\,(\tau) \) (each argument is at a single process) and assign the result to
the variables \( p.(\,\text{id}) \). Finally, in \( \text{return} \ p.\,(\tau) \), each process participating in a function call
returns to the caller with the values obtained by evaluating its local expressions as result.
Note that choreographic functions may return multiple values at multiple processes, e.g., a
function for establishing a shared secret among two parties will return a value at each of
them as illustrated in the next example.

Example 1. The Diffie-Hellman key-exchange protocol \[18\] allows two parties \( a \) and \( b \) to
establish a shared secret key for symmetric encryption. The protocol assumes that the two
participants share a prime number \( m \) and a primitive root modulo \( m \), \( g \), that each has a private
key \( \text{privKey} \), and that both can perform modular exponentiation \( \text{exp} \). To implement this
protocol we define a choreographic function \( \text{DH} \) that, for each participant, takes the arguments
\( \text{privKey:}\text{int}, \text{g:}\text{int}, \text{m:}\text{int}, \text{exp:(}\text{int,}\text{int,}\text{int})\rightarrow\text{int} \) and returns a value of type \text{int}.

---

\( e ::= c \mid id(\tau) \)

\( c ::= v \mid id \)

\( b ::= \text{int} \mid \text{string} \mid \text{boolean} \mid \text{double} \mid \ldots \)

\( t ::= b \mid \overline{b} \rightarrow b \)

\( C ::= \epsilon \mid I;C \)

\( I ::= \text{var} \ p.\,\text{id}:b \)

\( \mid p.\,\text{id} = e \)

\( \mid \text{var} \ p.\,\text{id}:b = e \)

\( \mid p.\,e \rightarrow q.\,\text{id} \)

\( \mid p.\rightarrow q[l] \)

\( \mid \text{if} \ p.\,e \{C\} \text{ else } \{C\} \)

\( \mid \text{return} \ p.\,(\tau) \)

\( C ::= \{\text{ID}(p.\,\text{proc}(\overline{\text{id}})):\text{(p.\,\text{proc}(\overline{\text{b}}))}\{C\}\}_{i \in I} \)

Figure 1 Modular Choreographies, syntax (syntactic sugar is greyed).
// Diffie-Hellman key-exchange protocol
DH(a:proc(privKey:int,g:int,m:int,exp:(int,int,int)->int),
   b:proc(privKey:int,g:int,m:int,exp:(int,int,int)->int)):
   (a:proc(int),b:proc(int)) {
   a.exp(privKey,g,m) -> var b.pubKey:int; // a computes and sends its public key to b
   b.exp(privKey,g,m) -> var a.pubKey:int; // b computes and sends its public key to a
   var a.key:int = exp(privKey,pubKey,m); // a computes its copy of the shared secret
   var b.key:int = exp(privKey,pubKey,m); // b computes its copy of the shared secret
   return a.key, b.key; // a and b return the shared secret
}

Example 2. In this example we provide an implementation in MC of the Single Sign On protocol defined in [32] using Recursive Choreographies. In this protocol a client c gains access to a service s by getting its credentials checked by a third party credential authentication service a. If the check succeeds the service s will issue a token to c otherwise they will proceed with another attempt.

SSO(c:proc(creds:()->int),
   s:proc(newToken:()->int),
   a:proc(valid:(int)->boolean)):
   (c:proc(int)) {
   c.creds() -> var a.x:int // the client sends credentials to the authority
   var c.t:int // the authority checks the credentials it received
   if a.valid(x) {
      a -> s[OK] // and informs the service it guarantees for the client
      s -> c[TOKEN] // which informs the client it will receive a token
      s.newToken() -> c.t // and issues a token
   } else {
      a -> s[KO] // and informs the service it guarantees for the client
      s -> c[ERROR]
      c.t = SSO(c.creds,s.newToken,a.valid) // retry
   }
   return c.t // return the token at the client
}

Semantics. We specify the expected behaviour of Modular Choreographies by means of an operational semantics which we will later use to validate the design of the MC type system. Our design follows the same principles used by the models we extend ([12, 11]) but dispenses from out-of-order execution of actions at distinct processes (e.g., in \( p.id = e; q.id = e \), the processes \( p \) and \( q \) can, in practice, carry out their local computations independently). The semantics can be readily extended to account for this aspect following the same approach based on delay actions used by loc. cit. and minor changes to our proofs. However, the return on this investment in complexity of the model is limited and does not result in stronger results for the aims of this work since Choral (the compilation target for MC) lacks a formal semantics.

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2 This simplification is not a first in the literature of choreographic programming: [13] defines both sequential and concurrent semantics for its choreographic language arguing that the former is precise enough to support reasoning about program correctness; [12, 14] shows that for establishing many results of interest it is sufficient to consider “head transitions” which correspond to establishing a sequential semantics.
We refer to typing environments using the grammar below assuming processes occur at will be stored under value and denote this value by process frames for In the for representing the call stack: L. Cruz-Filipe, A. Madsen, F. Montesi, and M. Peressotti

\[
\begin{align*}
\Gamma, R, \Sigma & \rightarrow_c (\Gamma', R', \Sigma') \\
p, id \notin \Gamma & \rightarrow_c (\Gamma, p, id : b; C, \Sigma) \rightarrow_c (\Gamma, p, id : b, C, \Sigma[p.id := \text{default}(b)]) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma[p.id := \text{default}(b)]) \\
\Gamma, \text{var p, id : b}; C, \Sigma & \rightarrow_c (\Gamma, p, id : b, C, \Sigma[p.id := \text{default}(b)]) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma[p.id := \text{default}(b)]) \\
\Gamma, p.e \rightarrow q{id}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma[q.id := v]) \\
\Gamma, p \rightarrow q{i}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, \text{if } p.e \{C_{true}\} \text{ else } \{C_{false}\}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, p.(\text{id}) & \rightarrow_c (\Gamma', R', \Sigma') \\
\Sigma(p_k) \vdash e_{k,l} \downarrow e_{k,l} & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, r_i.(\text{id}_{i,j}) & \rightarrow_c (\Gamma', R', \Sigma') \\
\Sigma(p_i) \vdash e_{i,j} \downarrow e_{i,j} & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, p, id : b; C, \Sigma & \rightarrow_c (\Gamma, p, id : b, C, \Sigma[p.id := \text{default}(b)]) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma[p.id := \text{default}(b)]) \\
\Gamma, p.e \rightarrow q{id}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma[q.id := v]) \\
\Gamma, p \rightarrow q{i}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, \text{if } p.e \{C_{true}\} \text{ else } \{C_{false}\}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, p \rightarrow q{i}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, \text{if } p.e \{C_{true}\} \text{ else } \{C_{false}\}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma) \\
\Gamma, p \rightarrow q{i}; C, \Sigma & \rightarrow_c (\Gamma, C, \Sigma) \\
\Sigma(p) \vdash e \downarrow v & \rightarrow_c (\Gamma, C, \Sigma)
\end{align*}
\]
To evaluate local expressions we assume an evaluation function that takes a local state as a parameter. We write $\Sigma(p) \vdash e \downarrow c$ to denote that the local expression $e$ evaluates to $c$ under the state $\Sigma(p)$ (local at $p$).

Transitions are specified by the derivation rules reported in Figure 2. Rules SDec–SSel capture the intuition behind the different choreographic primitives given earlier. Rule SCond models the behaviour of a conditional where $p$ chooses which choreography to execute based on the outcome of evaluating its guard (locally). In the transition target, $C_v \triangledown C$ denotes the choreography obtained by “grafting” $C$ onto each continuation point ($\varepsilon$ not guarded by a return) in $C_v$ according to the recursive definition below.

$$C \triangledown C' = \begin{cases} 
C' & \text{if } C = \varepsilon \\
C & \text{if } C = \text{return } p.(\overline{e});\varepsilon \\
I; (C \triangledown C') & \text{otherwise}
\end{cases}$$

Rules SCall–SCallRet model the initialisation, execution, and termination of a function call. Rule SCall creates a fresh frame for the execution of function $ID$ where the environment and choreography are given by the formal parameters of the function and its body where all processes ($q_k$) are instantiated with those provided by the call site ($p_k$), and the memory state is initialised with the actual parameters ($c_{k,l}$). Observe that actual parameters can be basic values ($v$) or identifiers ($id$) since functions in MC can be parametrised in the names of local functions. Rule SCallRT allows for the execution of a choreography in a call frame. Rule SCallRet models a call returning a result by removing the corresponding frame and storing the result in the state of the caller.

typing and progress. We equip MC with a typing discipline that checks that variables, values, and functions (local or choreographic) are used according to their declared type and that results returned by choreographic functions are of the expected type. The typing judgments and derivation rules that compose the type system are summarised in Figure 3.

The typing discipline uses the local types $b$ and $t$ and signatures of choreographic functions found in the syntax of MC. Additionally, it uses types given by the following grammar to express whether every, some, or none of the exit points ($\varepsilon$ and return $p.(\overline{e})$) of a choreography return values of a given type.

$$S ::= \{B\} | \{\bot\} | \{\bot, B\} \quad B ::= p: \text{proc}(\overline{b})$$

A type of the first form describes a choreography where every exit point returns values according to $B$, a type of the second describes a choreography where every exit point is without a return instruction, and the third describes a choreography with exit point for both cases (returning values of type $B$ or no return at all). This information will be important for choreographies with conditionals where only few branches return. To combine information about exit points in different branches of a conditional we introduce a “join” operation $S_1 \triangledown S_2$ which is defined as $S_1 \cup S_2$ wherever $S_1$ and $S_2$ agree on the type of returned values in the sense that $B_1 \in S_1, B_2 \in S_2 \Rightarrow B_1 = B_2$. For instance, $\{\bot\} \triangledown \{B\}$ and $\{\bot\} \triangledown \{\bot, B\}$ both yield $\{\bot, B\}$ whereas $\{p.\text{int}\} \triangledown \{p.\text{boolean}\}$ is undefined.

Additionally to global ($\Gamma$) and local ($\gamma$) typing environments, the typing discipline relies on separate typing environments ($\Delta$) for recording the choreographic functions available and their signature. These environments are represented using the grammar below under the assumption that each ID occurs at most once.

$$\Delta ::= \cdot | \Delta, ID: q_i: \text{proc}(\overline{b}_{i,k}) \rightarrow q_j: \text{proc}(\overline{b}_{j,l})$$
Typing judgments are of four forms. A judgment $\gamma \vdash e : t$ indicates that the local expression $e$ has type $t$ under the local typing environment $\gamma$. A judgment $\Gamma \vdash \Sigma$ signifies that the memory state $\Sigma$ is consistent with the declarations in $\Gamma$ i.e., that maps each symbol defined in $\Gamma$ to an element of the expected type. A judgment $\Delta;\Gamma \vdash R : S$ indicates that under $\Delta$ and $\Gamma$, the (runtime) choreography $R$ has return type $S$. Rules $\text{TNil}$ and $\text{TRet}$ type the exit points of a choreography and Rule $\text{TCond}$ combines the information about exit points in each branch ($C_1, C_2$) and in the continuation ($C_3$) ensuring that if values are returned they are all of the same type (by definition of $\gamma$) and that the continuation is empty whenever all exit points in both branches return (i.e., $\bot \notin C_1 \vee C_2$). Rule $\text{TCall}$ ensures that the called function is declared and that the actual parameters ($p_i, e_{i,k}$) and target variables ($p_i, \text{id}_{i,j}$) are of the types specified by the function signature ($q_i, t_{i,k}$ for formal parameters and $q_i, b_{i,k}$ for results, respectively). Rule $\text{TCallRT}$ ensures that the type of the target variables ($p_i, \text{id}_{i,j}$) for the call under execution ($R$) coincides with the type of the values returned by (every) exit point for the call. The remaining inference rules for
Theorem 4 (Subject reduction). If $\Delta; \Gamma \vdash R : S$ and $(\Gamma, R, \Sigma) \rightarrow_\mathcal{C} (\Gamma', R', \Sigma')$, then $\Gamma' \vdash \Sigma'$ and $\Delta; \Gamma' \vdash R' : S'$ for $S' \subseteq S$.

Proof. By nested induction on the derivation of $\Delta; \Gamma \vdash R : S$ and $(\Gamma, R, \Sigma) \rightarrow_\mathcal{C} (\Gamma', R', \Sigma')$.

- Consider the case of Rule TDec. Then, $R = \text{var} ~ p.id : b ; C$. If $p.id \notin \Gamma$, and $\Delta; \Gamma \vdash C : S$. The only case for $(\Gamma, R, \Sigma) \rightarrow_\mathcal{C} (\Gamma', R', \Sigma')$ is that of Rule SDec and thus $\Gamma' = \Gamma$, $R' = C$, and $\Sigma' = \Sigma[p.id := e]$ where $e \in \text{Values}(b)$. By definition, $\Sigma[p.id := e] \in \text{Values}(b)$ and thus $\Gamma' \vdash \Sigma'$.

- Consider the case of Rule TCond. Then, $R = \text{if} ~ p.e \{ C_1 \} \text{ else } \{ C_2 \} ; C_3$. Then $\Gamma \vdash e : \text{boolean}$, and $\Delta; \Gamma \vdash C_i : S_i$ for $i \in \{ 1, 2, 3 \}$. It follows from $\Gamma(p) \vdash e : b$, and $\Delta; \Gamma \vdash C : S$. Consider the case of Rule TProc. Then, $R = \text{return} ~ p_i . (v_{i,j})$, $R' = C$. Then $\Sigma' = \Sigma[p_i.id_{i,j} := v_{i,j}]$ where $\Sigma_i[\Sigma_i(p_i) \vdash e_{i,j} \downarrow v_{i,j}]$, and $S' = S$. By $\Delta; \Gamma \vdash R_1 : \{ p_i ; \text{proc} (b_{i,j}) \}$ and Assumption 3, $\Sigma' \subseteq \Sigma$. By definition, $\Sigma', S' \subseteq S$. The case for $\Gamma(p) \vdash e : \text{false}$ is similar.

Well-typed choreographies enjoy progress: a choreography equipped with memory compatible with its typing environment can always perform a transition unless it is terminated (it consists of a return instruction or no instruction at all).
Theorem 5 (Progress). If $\Delta \vdash C$, $\Delta; \Gamma \vdash R: S$, $\Gamma \vdash \Sigma$, then either
\[ (\Gamma; R, \Sigma) \rightarrow_c (\Gamma', R, \Sigma') \]
or
\[ R \text{ is either } \varepsilon \text{ or a return (i.e., has form } \text{return } p.(\overline{\tau})\). \]

Proof. By induction on the derivation for $\Delta; \Gamma \vdash R: S$.

- Consider the case of Rule TNIL. Then, $R = \varepsilon$ and $(\Gamma, \varepsilon, \Sigma)$ does not admit any transition.
- Consider the case of Rule TRET. Then, $R = \text{return } p.(\overline{\tau})$; and $(\Gamma, R, \Sigma)$ does not admit any transition.
- Consider the case of Rule TDEC. Then, $R = \text{var } p.\text{id}: b; C \text{, } p.\text{id} \notin \Gamma$, and $\Delta; \Gamma \vdash C: S$. By Rule SDEC, $(\Gamma, R, \Sigma) \rightarrow_c (\Gamma', C, \Sigma')$ where $\Gamma' = \Gamma, p.\text{id}: b$ and $\Sigma' = \Sigma[p.\text{id} := \text{default}(b)]$.
- Consider the case of Rule TCOM. Then, $R = p.\text{e} \rightarrow q.\text{id}; C \text{, } \Gamma(p) \vdash e : b$, $\Gamma(q) \vdash \text{id} : b$, and $\Delta; \Gamma \vdash C: S$. It follows from $\Gamma(p) \vdash e : b$, $\Gamma(\Sigma) \vdash \Sigma$ and Assumption 3 that $\Sigma(p) \vdash e \downarrow v$ for $v \in \text{Values}(b)$. By Rule SCOM, $(\Gamma, R, \Sigma) \rightarrow_c (\Gamma', C, \Sigma')$ where $\Sigma' = \Sigma[q.\text{id} := v]$.
- Consider the case of Rule TCALL. Then, $R = \text{if } p.\text{e} \{C_1\} \text{ else } \{C_2\}; C_3 \text{, } \Gamma(p) \vdash e : \text{boolean}$, and $\Delta; \Gamma \vdash C_1: S_i$ for $i \in \{1, 2, 3\}$. It follows from $\Gamma(p) \vdash e : \text{boolean}$ and $\Delta; \Gamma \vdash \Sigma$ that $\Sigma(p) \vdash e \downarrow v$ for $v \in \text{Values}(\text{boolean})$. By Rule SCALL, $(\Gamma, R, \Sigma) \rightarrow_c (\Gamma', R', \Sigma')$ and where $R' = C_1 \uparrow C_2$ if $\Sigma(p) \vdash e \uparrow \text{true}$ and $C_2 \uparrow C_3$ otherwise.
- Consider the case of Rule TCALLRT. Then, $R = p_i.(\text{id}_{i,j}) = \text{id}(p_i.(v_{i,k})); C \text{, } \Delta; \Gamma \vdash C: S$, $\Delta = \Delta'$, $\text{ID} = q_i.\text{proc}(t_{i,k}) \rightarrow q_i.\text{proc}(b_{i,j})$, $\Gamma(p_i) \vdash e_{i,k} : t_{i,k}$, and $\Gamma(p_i) \vdash \text{id}_{i,j} : b_{i,j}$. It follows that $\text{ID}(q_i.\text{proc}(\text{id}_{i,k} : t_{i,k})); (q_i.\text{proc}(\text{id}_{i,j} : b_{i,j})); \Sigma'[p_i.q_i, \Sigma[p_i.q_i \mid t_{i,k} := c_{i,k}], \Sigma[p_i.q_i \mid b_{i,j} := v_{i,j}]] = C$.

4 Translation to Choral

In this section we describe how our tool can translate choreographies in our language to Java implementations via the Choral language [20].

Choral is an object-oriented choreographic programming language: in Choral classes and interfaces represent distributed data types parametric in the processes participating in them. For instance, the snippet below contains the definition of a Choral class `Tuple2` that implements a generic tuple distributed over two processes (A and B) each holding one of the two components of the tuple (left is stored at A and right at B).

```java
public class Tuple2<A,B> {  
    public final A left; public final B right;  
    public Tuple2(A left, B right) {  
        this.left = left; this.right = right;  
    }  
}
```

Choral Code

The Choral compiler generates Java implementation for each participant of a Choral type. For instance, `Tuple2` is compiled to the following Java classes.
Types like `Tuple2` above allow us to represent in Choral the distributed return of MC. For instance, `return (a.true,b.5)` is translated into `return new Tuple2<>(A,B)<Boolean,Integer>(true@A,5@B).

Differently from other choreographic languages like MC, Choral does not fix a communication primitive. Instead, communication can be programmed directly: The Choral standard library provides a framework with several kinds of channels but programmers can provide their own by writing them directly in Choral or by wrapping implementations written in Java into Choral types. For instance, the Choral standard library defines the following interface to represent a generic channel between two processes, abstracted by `A` and `B`, for transmitting data of a given type, abstracted by the type parameter `T`.

```java
public interface SymDataChannel<A,B><T extends T> {
    public <M extends T> M com(M message);
    public <M extends T> M com(M message);
}
```

Data transmission is performed by invoking the (overloaded) method `com` which takes any value of a subtype `M` of `T` located at one process, say `A`, and returns a value of the same type at the other process, say `B`. In the example below, `A` transmits `5` to `B` using the channel `chAB`.

```java
SymDataChannel<A,B><Serializable> chAB = /* ... */
Integer@B x = chAB.<Integer>com(5@A);
```

The interface `SymChannel` extends `SymDataChannel` with methods for selecting labels (which are represented as enums).

```java
public interface SymChannel<A,B><T extends T> extends SymDataChannel<A,B><T> {
    public <L extends Enum<L>> L select(L label);
    public <L extends Enum<L>> L select(L label);
}
```

Building on these features of Choral (and standard constructs like assignments and conditionals) we can translate any choreography function written in MC into Choral. Given a choreography function, our translation generates a Choral class with the same name and parameterised in the participants of the function. For instance, the choreographic function `DH` from Example 1 is compiled to a Choral class `DH<A,B>`, as shown in the example below. This class exposes a single static method `run` which implements the behaviour of the choreographic function. In addition to the parameters specified by the choreography function, `run` takes a `SymChannel` for each pair of participants (we follow a lexicographic order to avoid ambiguity). The body of the method is generated by mapping communications and selections to invocations of the methods exposed by these channels, and other constructs homomorphically. We illustrate the translation in the examples below, we include the original MC line as comments for readability.
Example 6. Consider the choreographic function $DH$ from Example 1, our tool generates the following Choral implementation for it (comments are added for readability).

```java
public class DH(A,B) {
    public static Tuple2(A,B)<Integer,Integer> run(
        /* channel between A and B */
        SymChannel(A,B)<Serializable> chAB,
        /* parameters for A: proc(privKey:int,g:int,m:int,exp:(int,int,int)->int) */
        Integer@A privKey_A, Integer@A g_A, Integer@A m_A,
        Function3<A,Integer,Integer,Integer> exp_A,
        /* parameters for B: proc(privKey:int,g:int,m:int,exp:(int,int,int)->int) */
        Integer@B privKey_B, Integer@B g_B, Integer@B m_B,
        Function3<B,Integer,Integer,Integer> exp_B
    ) {
        // a computes and sends its public key to b
        // a.exp(privKey, g, m) -> var b.pubKey:int
        Integer@B pubKey_B = chAB.<Integer>com( exp_A(privKey_A, g_A, m_A) );
        // b computes and sends its public key to a
        // b.exp(privKey, g, m) -> var a.pubKey:int
        Integer@A pubKey_A = chAB.<Integer>com( exp_B(privKey_B, g_B, m_B) );
        // a computes its copy of the shared secret
        // var a.key:int = exp(privKey, pubKey, m)
        Integer@A key_A = exp_A(privKey_A, pubKey_A, m_A);
        // b computes its copy of the shared secret
        // var b.key:int = exp(privKey, pubKey, m)
        Integer@B key_B = exp_B(privKey_B, pubKey_B, m_B);
        // a and b return the shared secret
        return new Tuple2(A,B)<Integer,Integer>(key_A,key_B);
    }
}
```

Example 7. Consider the choreographic function $SSO$ from Example 2, our tool generates the following implementation in Choral for it.

```java
public class SSO(C, S, A) {
    public static Integer@C run(
        /* channels */
        SymChannel(A, C)<Serializable> chAC,
        SymChannel(C, S)<Serializable> chCS,
        /* parameters for C: proc(creds:()->int) */
        Supplier<C,Integer> creds_C,
        /* parameters for S: proc(newToken:()->int) */
        Supplier<S,Integer> newToken_S,
        /* parameters for A: proc(valid:(int)->boolean) */
        Function<A,Boolean,Integer> valid_A,
    ) {
        // c.creds() -> var a.x:int
        Integer@A x = chAC.<Integer>com( creds_C() );
        // var c.t:int;
        Integer@C t_C;
        // if a.valid(x)
        if (valid_A(x)) {
            // a -> s[OK]
            chAS.<label>select(Label@A.OK);
            // s -> c[TOKEN]
            chCS.<label>select(Label@S.TOKEN);
            // s.newToken() -> c.t;
            t_C = chCS.<Integer>com( newToken_S() );
        } else {
            // a -> s[KO]
            chAS.<label>select(Label@A.KO);
            // s -> c[ERROR];
        }
    }
}
```
The Choral code generated by our translation is correct and well-typed, provided the original choreography is also well-typed. To enforce this requirement, our tool implements the typing discipline described in Section 3. First, the typing environment $\Delta$ is populated using the signatures of the function definitions provided to the tool. Then, each procedure definition is checked as specified by Rule $TDef$ using the syntax to guide the selection of the corresponding typing rule.

To obtain Java implementations, we then rely on the Choral compiler.

**Example 8.** Continuing Example 6, the Choral compiler produces the following implementation for $A$ (the one for $B$ is similar).

```java
public class DH_A {
    public static Tuple2<Integer,Integer> run(
        SymChannel_A<Serializable> chAB,
        Integer privKey_A, Integer g_A, Integer m_A,
        Function3<Integer,Integer,Integer,Integer> exp_A
    ) {
        chAB.com<Integer>({ exp_A(privKey_A, g_A, m_A) });
        Integer pubKey_A = chAB.com<Integer>();
        Integer key_A = exp_A(privKey_A, pubKey_A, m_A);
        return new Tuple2<Integer,Integer>(key_A);
    }
}
```

**Example 9.** Continuing Example 7, the Choral compiler produces the following implementations for $A$, $C$, and $S$.

```java
public class SSO_A {
    public static void run(
        SymChannel_A<Serializable> chAC,
        SymChannel_A<Serializable> chAS,
        Function<Integer,Boolean> valid_A
    ) {
        Integer x = chAC.<Integer>com();
        if (!valid_A(x)) {
            chAS.<Label>select(Label.KO);
        } else {
            chAS.<Label>select(Label.OK);
            SSO.run(chAC, chAS, valid_A);
        }
        return;
    }
}
```
public class SSO_C {
    public static Integer run(
        SymChannel_B<Serializable> chAC,
        SymChannel_A<Serializable> chCS,
        Supplier<Integer> creds_C
    ) {
        chAC.<Integer>.com(creds_C());
        Integer t_C;
        switch (chCS.<Label>select()) {
            case Label.TOKEN -> {
                t_C = chCS.<Integer>.com();
            }
            case Label.ERROR -> {
                t_C = SSO.run(chAC, chCS, creds_C);
            }
        }
        return t_C;
    }
}

public class SSO_S {
    public static void run(
        SymChannel_B<Serializable> chAS,
        SymChannel_B<Serializable> chCS,
        Supplier<Integer> newToken_S
    ) {
        switch (chAS.<Label>select()) {
            case Label.OK -> {
                chCS.<Label>select(Label.TOKEN);
                chCS.<Integer>.com(newToken_S);
            }
            case Label.KO -> {
                chCS.<Label>select(Label.ERROR);
                SSO.run(chAS, chCS, newToken_S);
            }
        }
        return;
    }
}

5 Conclusion and Future Work

Modular Choreographies brings the simplicity of choreographic programming based on the “Alice and Bob” communication abstraction one step nearer to practical programming.

An immediate direction for future work will be extending our language with more features, trying to keep the syntax as simple as possible. Inspiration for this could naturally come from theoretical models of choreographic languages, as those found in the research lines of choreographic programming and multiparty session types (abstract choreographies without computation) [32, 23, 24, 1]. For example, adding features for dynamic topologies (e.g., spawning new processes) is important for capturing some parallel algorithms [10, 34, 42]; nondeterminism is crucial to capturing patterns like barriers and producers/consumers [32], and mixed choices or unordered communication sets are relevant for modelling exchanges [32, 36, 8, 13]. Another source of inspiration might come from the developments of choreographic programming languages like Choral, whose expressivity benefits significantly from the integration with mainstream programming abstractions like functions and objects (and their related type theories). For example, Choral is expressive enough to implement full-duplex asynchronous communications, where interactions can be triggered by any participant and be interleaved freely [30]. Another interesting line of future work regards formalising the guarantees provided by Modular Choreographies. Choreographic programming languages equipped with general recursion, like ours, are known to provide deadlock-freedom [32]. To prove this with confidence, one could extend previous formalisations of choreographic programming in theorem provers, as those given in [15, 14, 16, 38, 22].

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Modular Choreographies


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3:18 Modular Choreographies


ZVAX – A Microservice Reference Architecture for Nation-Scale Pandemic Management

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Abstract

Domain-specific Microservice Reference Architectures (MSRA) have become relevant study objects in software technology. They facilitate the technical evaluation of service designs, compositions patterns and deployment configurations in realistic operational practice. Current knowledge about MSRA is predominantly confined to business domains with modest numbers of users per application. Due to the ongoing massive digital transformation of society, people-related online services in e-government, e-health and similar domains must be designed to be highly scalable at entire nation level at affordable infrastructure cost. With ZVAX, we present such a service in the e-health domain. Specifically, the ZVAX implementation adheres to an MSRA for pandemic-related processes such as vaccination registration and passenger locator form submission, with emphasis on selectable levels of privacy. We argue that ZVAX is valuable as study object for the training of software engineers and for the debate on arbitrary government-to-people services at scale.

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1 Introduction

Microservice-based software applications are expected to have many advantages. They are supposed to be easier to develop with distributed teams of software engineers using polyglot implementations, to allow for more customisation through flexible service composition, and to be more aligned with business-critical runtime properties such as high scalability and resilience.

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In the first years of research on microservices, practical applications to prove architecture-related hypotheses and to implement new and innovative concepts were lacking. A first applications overview was assembled in 2017 [7]. Since then, the knowledge on microservice architectures has increased. This is especially true for microservice reference architectures (MSRAs) that serve as blueprint for other applications in the same domain. Multiple studies have been supported by the growing insight into such architectures, the coupling of the affected services, and the messaging patterns between them, as well as the resulting runtime characteristics. For instance, six reference architectures and use cases were analysed for service dependencies and interchangeability in software product lines, including a detailed study of the demonstration microservices of the Google Cloud Platform [3]. A well-known reference architecture for e-commerce is the Sock Shop, despite not having been formally introduced in the literature, due to its popularity in diverse software modernisation trainings. It consists of six polyglot backend services and one queue and is publicly available on the web². Sock shop has been used among other works by the authors of MicroRCA, a root cause analysis framework to spot performance issues in microservices [30].

More focused and domain-specific understanding of the runtime properties of applications become feasible when further MSRAs are investigated and published. In recent years, this has increasingly been the case. For instance, MSRA for measurement systems and enterprise measurement infrastructures were designed and evaluated [28]. Such systems collect business metrics along with business insights and feed them into enterprise dashboards. Advanced measurement systems include semantic measurement models with distributed data management [5]. The SAMSP platform for self-adaptive microservices has been similarly published. It supports instance overload, unreachable services and other events to adjust service delivery [17]. A multi-tenant SaaS hosted in a cloud based on microservices has been validated by transforming Microsoft MusicStore [24]. A platform based on ProteomicsDB has been built to investigate the use of microservices for proteomics and personalised medicine [23]. Elasticity research in document management systems and the the investigation of service level objective violations in such elasticity scenarios yielded additional MSRAs [18, 25]. Even combined reference architectures for microservice- and blockchain-based applications have been proposed and studied [29].

While the existing MSRAs cover many domains, they are generally limited to business applications with a small or undefined set of users. Moreover, as noted by the authors of one of the elasticity works [25], they are often not built on state-of-the-art technologies, and lack a description of asynchronous communication patterns and adaptation mechanisms that are essential for achieving scale especially in overload situations. Following the increasing digitalisation and digital transformation in society, nation-scale applications are emerging and thus warrant further analysis concerning their realisation based on microservices. This concerns in particular e-government applications addressing all citizens, residents and visitors to a country within short time periods, demanding massive elastic scalability in compute services but also adequate application design to reflect such computational capabilities.

In this article, our open source ZVAX implementation [10] shall serve as exemplary nation-scale application for the domain of digital pandemic management, and as realisation of a corresponding RA. The work consolidates our preliminary research conducted in previous years [11, 9] and adds more details, in particular a performance analysis related to deployment in local and public container platforms, and a generalisation of the architecture towards concerns found in other e-government applications. We consider ZVAX to benefit from

² Sock Shop website: https://github.com/microservices-demo/microservices-demo
a reference architecture for such applications due to the careful design and realisation of the underlying microservices. It is a fully functional system covering multiple pandemic management fields with separation of concerns along service boundaries. Hence, we argue that ZVAX serves as practical study object in microservice-related education, such as courses in software architecture or cloud-native application development. In the next sections, we first give a background on the emergence of nation-scale applications across several areas but with emphasis on e-health and pandemic management. Then, we derive the MSRA methodologically, present the microservice concepts of ZVAX and explain the realisation of the underlying MSRA on the implementation level. Moreover, we evaluate its combination of harmonic scalability and selective decentralisation. Finally, we motivate further research directions.

2 Background: Nation-Scale Applications

We define the term nation-scale application to refer to any software application that needs to scale to the population of a country, not necessarily in terms of concurrent users but within upper time boundaries that are often mere minutes. Often, these applications are in the domain of e-government addressing people, especially G2C (government to citizens) or G2P (government to citizens, residents, visitors and the general public). Evidently, there are commercial applications from private operators with even larger, de-facto global reach, but in those there are no critical moments when all users need to be reached within a small bounded time window. There are also larger applications not involving users based on machine communication (M2M, M2G), but due to being non-interactive, moderate delays are less critical and do not end up in emotionally driven overload amplification out of fear or panic. Our definition is the first to apply to software applications, whereas we would like to point out that nation-scale systems engineering has been investigated before concerning waste management, building modelling, land surveys and similar engineering concerns [20, 4], as well as batch data processing [19].

Nation-scale applications require a high-bandwidth, low-latency communication channel for broadcasting and point-to-point messaging. They are particularly linked to mobile devices, mobile telecommunications and cloud infrastructure due to the ability to trigger spike-capable access to the application within a short time period. Cell broadcast mechanisms are available and have been investigated for cloud integration [16] but are confined to non-personalised content distribution such as general information to the population in multimedia formats. Fig. 1 expresses the relationship between infrastructure, devices and applications. In the following, the characteristics of four nation-scale application areas – emergency alerts, connection inquiries, crisis expert search and finally pandemic management – are explained to determine commonalities for any nation-scale MSRA. Empirical evidence is collected from the respective Swiss and European population. The pandemic management application area is furthermore discussed in detail to give sufficient insights into the domain chosen for our specific MSRA.

The first area for applying nation-scale is in public safety, in particular emergency alert applications. A recent comparative study has compared several of those in Europe [15]. The study omitted a technical analysis of how scale is achieved on the software side but gives insight into the scale needed for crisis and hazard communication. It also documents how location-based SMS and cell broadcast is used for crisis communication, given that a false positive (a person receiving a message in error) is more tolerable than a false negative (an intended recipient not being informed in time). AlertSwiss, the emergency alert mobile application for Switzerland and Liechtenstein, has disseminated around 1200 messages over
five years, and is supposedly reaching around 12% of the population, bounding the nation-scale level at one million people within a timeframe of second to minutes for severe notifications such as earthquakes.

The second area is in public transport, in particular connection inquiry applications. Such applications are among the most-used ones by the population due to the everyday importance of mobility. In countries providing an integrated national transport system, the acceptance and adoption is particularly high by also reaching risk-averse passengers [13]. According to a survey conducted among our students, about 94% occasionally or regularly use the timetable updates provided by the Swiss public transport system. The application is running as containerised workload across two data centres, without public numbers on associated cost or scalability characteristics. The nation-scale level can be assumed to be several million people with however a larger time window, often in the order of hours for trip planning or discounted ticket sales.

The third area is in the public education system, in particular the search of experts on a national level in crisis situations. While today, most education and training certificates are handed out on paper or as human-readable electronic documents, the trend towards microcredentials [21] is demanding new software architectures to handle automated fine-grained creation and verification of those credentials, and complex expert search and skills matching microservices on top of this information base. The Swiss public university system in its foundational programmes alone produces around 60000 certificates covering around 2 million grades which can be estimated to be broken down to more than 10 million microcredentials per year with clear peak service times tied to the semester schedules but also to crisis hiring situations.

The fourth and more deeply investigated area is in public health, in particular pandemic management, which is related to highly sensitive personal data and therefore only few messages, such as general information about pandemic spread, can be considered for broadcasting. During the COVID-19 pandemic, pandemic management services addressing the entire population were provided but often at high cost for raw data centre resources such as virtual machines, without reconsidered and rethought software architecture. In other instances, scalability problems such as downtimes and long delays occurred and made it into the national press, contributing to the population’s anger about the political management of the pandemic\(^3\). Modern public cloud service models are promising high scalability and appear

\[^3\] Swiss Radio & Television, Espresso, January 15, 2021
to be a solution. They are nevertheless only a part of a solution. First, public cloud services are often also limited to few thousand concurrent instances, which is insufficient for the problem field where tens of thousands of parallel requests may arrive. Second, they provide the heavy lifting but require domain-specific glue logic and interaction patterns, an area where much of the mistakes in architecture design may occur. The blueprint knowledge on how to build nation-scale microservice applications in the e-health and pandemic management area is thus limited to few approaches on vaccination passports [2, 12, 8].

3 Related Work: Reference Architectures and Benchmarks

Beyond the application area of pandemic management, software engineering research specifically aiming at the composition of applications from microservices has produced a wide range of reference architectures, both abstract ones and others applicable to particular domains. As previously mentioned, this encompasses for instance building modelling, land surveys and batch data processing [20, 4, 19] among others. In order to validate these architectures, in many cases generic experiments and benchmarks have been used by the authors, while in parallel, the research community has also contributed microservice-specific benchmarks.

Among those benchmarks are µ-Suite, Acme Air, DeathStarBench and HydraGen. µ-Suite investigated low latency applications such as image similarity or recommender systems [26] and exposed the need for latency-aware scheduling on the OS level to avoid high tail latencies. Acme Air was originally a monolithic web performance benchmark. It was adapted to Node.js and Java microservice execution [27] with credible reports about the performance dropping to around 20% of the monolithic version due to overheads. DeathStarBench incorporates sample applications such as social networks, media and e-commerce sites, online banking and vehicle control [14]. It is available as open source framework and again reports tail latencies at scale among other metrics. Its authors shifted the emphasis from a comparison against monolithic architectures towards a reasoning about certain performance quirks. HydraGen takes benchmarks a step further and generates them [22]. HydraGen has been validated in traffic engineering but its design does not preclude other application domains.

Hence, it becomes apparent upfront that a pure performance-oriented design might not be best served by microservices. However, elastic scalability beyond machine boundaries and the increasing investments of cloud providers into microservice hosting platforms suggest that the drawbacks might become less significant especially for nation-scale requirements. Consequently, researchers have investigated scaling and scalability properties such as by-design global scaling [1], but also orthogonal concepts such as API patterns including scalability-related quality patterns [31] and deployment [6].

4 Concepts: Scalable Pandemic Management

We condense the problems raised in the introduction into a single research problem: Which software design and architecture adequately fits the e-health domain and more specifically the task of nation-scale pandemic management? In order to address the problem, we follow a methodology consisting of four steps. In the first methodological step, we contribute four novel concepts as generic, domain-independent architectural design foundation.

1. Nation-scale services. They are built to serve large parts of a population in a short amount of time. In quantitative terms, we assume a lower bound of many 10,000s of short-lived requests per second. This purposefully exceeds current public cloud offerings by an order of magnitude even when combining regions. We note that the target concurrency
can often be influenced by political means outside the technical scope, for instance by population segmentation by age group. Such segmentation may however not be applicable in emergency situations. Under no circumstances should users perceive downtime or unresponded hanging requests, due to the danger of exacerbating the system load by follow-up actions in panic.

2. Harmonic scalability. A distributed system is harmonically scalable if all of its constituent parts scale along the critical request paths. For an architecture based on microservices, this entails the ability to scale elastically in each service, but also in attached middleware services. In geometric terms, the system representation may overall shrink or grow but the proportions remain the same.

3. Selective decentralisation. The scalability is influenced by user preferences on where to store and process data. Hence, decentralisation is used to reduce microservice invocation load at neuralgic points while at the same time offering stronger privacy guarantees on demand. From an architectural perspective, the selective decentralisation leads to a selectively externalised statefulness, referring to the state as output of one microservice that determines the follow-up behaviour of another microservice.

4. “Flatten the curve”. This term originating in the domain-specific goals of pandemic management also applies to the prevention of microservice overload. Queues and other asynchrony mechanisms are used to facilitate quick responses to service requests, leaving the bulk of the work for less loaded periods of time while granting a rapid responsive behaviour to users navigating the user interface. Users are then informed asynchronously at later points in time about the results of compute-centric tasks through notification channels depending on the registered contact details.

Next, we derive a domain-specific reference architecture for the domain of pandemic management. The architecture must support the functional requirements implied by the domain, and adapt to the underlying infrastructure especially in terms of computation and communication. A representative application covering the main governmental interests in pandemic management consists of the following four classes of services to the population.

1. Appointments. In order to prevent people from queueing unnecessarily, appointments help to “flatten the people curve” in real-world situations such as testing and vaccination points.

2. Certificate creation and signing. Various schemes exist, with most having settled on a QR code representation digitally signed by a single authority or, for better fraud protection, multiple authorities.


4. Information solicitation. This encompasses mandatory solicitations such as passenger locator forms before arriving in a country (triggering a certificate creation) and contact quarantine tracing upon cases of assumed or confirmed infections, but also voluntary information provided to assist the tracing.

These four classes of services need to be mapped to four or more technological realisations. In a third methodological step, we therefore combine the conceptual requirements and functional scope, and match them against recent technological progress. This means that all the management services of the four classes are instantiated and delivered with high elastic scalability and low latency in order to achieve the desired volume of requests. Four main technological advances are increasingly available in managed microservice environments and are considered favourable from the reference architecture perspective.
1. Multi-core function runtimes and container-native hosting for maximised local parallelism, attached to low-latency local storage such as RAM and NVRAM. Often, the underlying execution follows a uniform model based containerisation or hardware-accelerated virtualisation such as ARM TrustZone/vTZ, AMD-V or Intel VT-x. To the software engineer, the execution offers deployment interfaces as raw container images (following the de-facto standard set by the Open Container Initiative), function source code (FaaS with its many language- and provider-specific syntax requirements and constraints), source code in microservice-oriented languages (e.g. Jolie), or smart contracts in managed blockchains (e.g. canisters). All non-image software artefacts are automatically converted to appropriate images upon deployment, keeping the engineer free from infrastructural concerns but also limiting potentially performance- or latency-improving tuning. We consider all of those interfaces valid technological choices for a microservice design as long as service-oriented characteristics (well-defined interface supporting one or more message exchange pattern through loose coupling) are fulfilled. Containers, in particular, do not have an inherent service orientation but are suitable to encapsulate one or multiple services.

2. Event-driven asynchronous function execution. Microservice instances, in particular when designed as event-triggered functions, scale almost proportional to the request rate. Factors such as cold start (for initial invocation) or spawn start (for concurrent invocation) have been thoroughly investigated in recent years and are now well understood, and with methods such as prewarming and idle time optimisation, further scalability gains can be achieved.

3. Preparedness for extreme edge deployments. New hardware allows for deploying microservices directly on network interfaces (e.g. SmartNICs) in edge data centres, allowing for unprecedented scaling of stateless services by geographic distribution close to the points of use and their low-latency delivery. This can be exploited for instance to distribute the work-intensive QR code creation and signing processes that require no state other than a set of input parameters. Moreover, federated deployments become possible to map political hierarchies and pandemic management responsibilities (e.g. federal-level, state-level) to delivery locations. At the same time, the system needs to remain deployable on a single off-the-shelf device to achieve full elasticity from single developer to nation-scale.

4. Flexible bindings to communication infrastructure. For instance, a service can bind to telecommunication cloud services to obtain a regional cell broadcasting interface, or to satellite providers for full global coverage but with narrowband links. Alternatively, it can use conventional mobile backend-as-a-service (MBaaS) interfaces for personalised push notifications. In conjunction with edge deployments, these topological concerns are of interest when considering nation-scale beyond the mainland boundaries of countries, in particular for island nations or when governments want to address their citizens abroad. In a Swiss context, around 9% of the population or 800000 citizens live abroad and might need to be included in short-lived e-government processes such as electronic voting, but also in long-term services that benefit from follow-the-sun microservice provisioning semantics across federated clouds or edges.

The concrete management system reference architecture results as a fourth step of the methodology. It is synthesised along with constituent microservices in Fig. 2, starting from the user perspective of either personal access through a mobile device or computer, or a stationary device such as a QR code scanner located at the entrance of a location that mandates such checks – such as restaurants, public authorities or university campuses.
Figure 2 Reference architecture; components marked [opt.] are optional and only activated on demand depending on user preferences due to being offloadable to client-side devices.

The architecture reflects the ability to choose, on a per-user basis, whether to opt into more government-managed or more self-managed data records, with corresponding responsibilities for backups and access protection. Moreover, it aligns with the increasing availability of micro datacentres and other edge deployments for scalable service delivery close to the users, as well as different capabilities in telecommunications infrastructure, including selective availability of cell broadcast and often still limited access to such facilities from cloud APIs.

5 Implementation: ZVAX

ZVAX, the Zurich vaccination and pandemic management software [10], is a fully functional demonstration system of the MSRA for nation-scale pandemic management. Its development started in the second year of the COVID-19 pandemic, and its functional extensions were driven by digital management processes introduced by governments around the world, with emphasis on the services on different administrative levels of the Swiss confederation, Northern Macadonia, and other European countries. Hence, apart from implementing the MSRA and thus overcoming scalability and privacy issues, it also serves as testbed for solving challenges that emerged on a societal level in Switzerland, often with extensive local or national press coverage. These challenges relate to many cases of certificate fraud (solved in ZVAX by multi-signatures, leading however to larger QR codes), interoperability (solved by multi-QR code schemes), different expectations on privacy (solved by selective decentralisation), and flexible federated deployment (solved by an adaptive user interface connected to configurable sets of backend services). ZVAX can furthermore serve as testbed for improved client-side QR code detection, for instance on black background that usually causes problems in today’s mobile applications, and coloured or animated codes to represent portrait photos for safer identification.

The microservice architecture of ZVAX is shown in Fig. 3. The figure omits the information solicitation service to focus on those of relevance for the most complex workflow: making an appointment for vaccination, creating and signing a certificate during vaccination, and checking the certificate afterwards.

Fig. 4 gives an impression of the user-facing functionality of the current implementation. Users are able to select the desired level of privacy, in turn determining the degree of centralised versus decentralised data storage. Decentralisation is achieved by a combination
of in-browser storage and file downloads, leading to no trace of any health-related activities when full decentralisation is selected by the user. Subsequently, users apply for a test or vaccination appointment, retrieve certificates, enter locator form information, or manage contact tracing. Correspondingly, doctors and health officials are able to sign (and counter-sign) as well as verify certificates.

Appointments are possible for groups of persons, reducing the need to fill out forms considerably for families. The appointments-related invocation flow on the microservice level encompassing the above-introduced microservices, not including the middleware components, is shown in Fig. 5. All microservices can be scaled with instance selection through the Traefik load balancer. First, the authentication service grants a time-limited token. Then, the appointments service is invoked with the token. In case an appointment cannot be obtained immediately or the system is overloaded, an asynchronous re-invocation is scheduled (“flatten the system load curve”). Next, the appointment is expressed as a signed QR code, re-using the same services that will also perform the same task for vaccination certificates.
All personal information apart from the time slot blocking and an identity hash are then removed from the system, and the QR code is sent via e-mail for the person(s) having received an appointment.

The ZVAX implementation is easy to bootstrap on new machines due to its complete containerisation. The codebase is prepared to be scaled horizontally with orchestrators such as Docker Compose, Docker Swarm and Kubernetes. Almost all microservices ship with a single implementation. In order to stress the substitution principle and to compare polyglot implementations, the QR code microservice ships with two implementations, in Python and in Rust. This has not only performance implications, but also affects the scaling behaviour due to differences in size and resource requirements of the resulting container images. We have carefully designed both the individual microservices and the entire composition to adhere to harmonic scaling. Often, this term refers to harmonic local-versus-global scaling in the general sense [1] whereas we specifically followed the request path and ensured that no bottleneck would occur that could starve subsequent processing steps along the same path.

### Evaluation: Performance and Scalability

We have evaluated ZVAX to demonstrate its preparedness for nation-scale deployments, primarily by taking performance and correctness measurements at different active user scale levels. To compare modern cloud-native microservice deployments including Function-as-a-Service (FaaS) and auto-scaled Kubernetes instances, we have set up a scalable testbed on large virtual machine instances on the OpenStack cluster ‘apu’ in Zurich University of Applied Sciences. The testbed consisted of a single master node and three worker nodes, from which the results of larger deployments can be interpolated. ZVAX got deployed to the infrastructure via Helm. In addition to the system under test, the cluster hosts a set of auxiliary services to better diagnose runtime results. This includes a monitoring stack using Grafana and Prometheus, an alternate autoscaler called KEDA (Kubernetes Event-Driven Autoscaling), OpenFAAS and Cert-Manager. Grafana and Prometheus provide dashboards that display metrics about the cluster, K6 tests, Keda and RabbitMQ. Cert-Manager is used to provision certificates (related to the infrastructure communication, not related to pandemic management) automatically. The entire setup is shown in Fig. 6.

Spike and stress testing experiments are done by using K6 by Grafana. The tool allows us to design detailed scenarios that are executed onto the chosen service endpoints via simple HTTP requests. Prometheus is used as a monitoring solution to analyse how the services
behave regarding CPU and memory consumption. Tests are per service and endpoint. Each load test is tuned to that specific service, as not all services have the same load and demands in a real-world scenario. Estimated loads are determined using available COVID-19 datasets and both measured and estimated computational complexity. Tests are run using variable scaling. Service replica count and CPU limits can be tuned for each test using Helm. The evaluation aims to answer three main questions: (i) How many resources does an individual service instance require?; (ii) How do we combine variables to achieve optimal scalability?; (iii) Where are bottlenecks? Load profiles are established using estimated load, ranging from 0.25x (tier 1) to 2x (tier 6). The experiments encompass the time required for QR code generation, certificate signing, certificate storage, appointment booking, as well as alternative QR code generation through OpenFAAS, the Rust-based implementation and KEDA, and alternative appointment booking through connection pooling.

Due to limited space, not all experiments can be replicated here. We include two interesting results that show the benefits of the chosen concept. First, the appointment booking with connection pool of size 20 with a buffer of 40 (Fig. 7) offsets a high error rate that is otherwise introduced by autoscaling, by harmonising request queueing and processing. The mean failure rate drops from around 4% to around 0.10%.

Second, the QR code was generated following the Swiss distribution of PCR tests with a peak rate of 2.48 per second and vaccination/booster with a peak rate of 2.08 per second, totalling 4.56 requests/s, and when assuming an eight-hour work window for vaccination and test centres, 6.84 requests/s (RPS). Fig. 8 compares the median HTTP request duration for (a) static replica counts and (b) horizontal autoscaling (HPA). On tier 3 as comparison point, autoscaling achieved 7 RPS, sufficient for the peak rates, with a replica CPU limit
of 1000 millicores, preventing the system from throttling. HPA is a reactive autoscaler based on deferred metrics (metrics server interval + HPA interval + scale-up reaction time), leading to insufficient reaction agility in contrast to anticipating autoscalers. With KEDA, the metrics resolution issue disappears but the remaining slowness, in particular the 15 seconds HPA interval, remains. Moreover, as the chosen scaling setting for KEDA is based on the queue length, sudden bursts of traffic may cause the queue length to climb drastically, as instances cannot keep up with queue intake. In such cases, KEDA may overprovision instances until the system stabilises. As a conclusion from this experiment, we can consider it a positive coincidence that HPA provided sufficient upscaling speeds for the observed peak rate development, but for safe-guarding similar scenarios in which anticipation is not possible, more rapidly reacting autoscalers and pod schedulers should be developed for Kubernetes.

Overall, our architecture has shown to be sufficiently scalable for a country the size of Switzerland on 4 VMs, but required tuning and exploring the different deployment options. The influence of deployment is therefore considered as important as the influence of the microservice design.

A crucial and open discussion point is the generalised design of such software architectures for deployments in arbitrary countries, including those with approximately 100 times the population size. Apart from the mentioned geographic topologies (edge computing, federated cloud or multi-cloud), such designs will likely benefit from hierarchical structures following the

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<th>Autoscaling Failure rate</th>
<th>Median HTTP request duration on success [ms]</th>
<th>[GR] HTTP request duration on success [ms]</th>
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<tr>
<td>Request/s</td>
<td>Appointments Service (DB Connection Pooling)</td>
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<td>Replicas rounded up</td>
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Figure 7: Autoscaled and connection-pooled appointment booking.

Figure 8: Static and autoscaled QR code generation.
administration levels, such as states and union territories in India or provinces, autonomous regions and direct-administered municipalities in China. Fiduciary agreements can then be used to balance operational effort and scalability needs, for instance, by a single cloud deployment covering several smaller states or provinces.

7 Conclusions and Future Work

With the open source system ZVAX[10], we have developed a pandemic management system that improves upon current government-provided services. It is designed to accommodate requests and sustained requests at a higher scale, and adjusts to user preferences concerning data handling through selective decentralisation. ZVAX builds upon a domain-specific MSRA that takes current technological progress into account and lets researchers explore more flexible externalised state management beyond the conventional stateful/stateless distinction. Moreover, ZVAX is positioned as study object for the training of software engineers who learn the construction of societally relevant digital services.

Future work is divided into domain-specific and general architectural directions. Within the domain of pandemic management systems, we aim at reducing the need for complementing the QR code with a passport by embedding photographic identity information within a static (coloured) or animated code. On the architectural level, we aim at conducting large-scale and nation-scale performance tests to prove the proposed approach at all levels: services, queues, composition and deployment. This will encompass the acceleration gained by edge deployments, and thus contribute to the debate on digital sovereignty of administrative levels achievable through a wider digital transformation enabled by flexibly deployable microservices.

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Custom Serverless Function Scheduling Policies: An APP Tutorial

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Abstract
State-of-the-art serverless platforms use hard-coded scheduling policies that hardly accommodate users in implementing functional or performance-related scheduling logic of their functions, e.g., preserving the execution of critical functions within some geographical boundaries or minimising data-access latencies. We addressed this problem by introducing APP: a declarative language for defining per-function scheduling policies which we also implemented as an extension of the open-source OpenWhisk serverless platform. Here, we present a gentle introduction to APP through an illustrative application developed over several incremental steps.

2012 ACM Subject Classification Software and its engineering → Scheduling; Computer systems organization → Cloud computing

Keywords and phrases Serverless, Function Scheduling, Declarative Languages, Tutorial


Supplementary Material Software (Source Code): https://github.com/giusdp/openwhisk/tree/old_app, archived at swh:1:dir:ba4498167bdd7b5c4a2bc676dccc04e8df6e8354

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1 Introduction

Serverless is a cloud computing model that has become increasingly popular in recent years. In serverless, a provider manages the dynamic allocation of resources needed to satisfy some inbound requests (such as HTTP requests, database updates, or scheduled events), removing from the shoulders of programmers the burden of managing and scaling both the infrastructure and runtime platforms.

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Besides easing software deployment, serverless adopts a per-usage cost model, where costs correspond to the resources used to answer requests, which ditch the expenses of running idle servers when no requests reach the system. Examples of serverless platforms include AWS Lambda [9], Microsoft Azure Functions [10], and Google Cloud Functions [24].

A common trait of these platforms is that they manage the allocation of functions over the available computing nodes, also called workers, following opinionated policies that favour some performance principle. Indeed, effects like code locality [28] – due to latencies in loading function code and runtimes – or session locality [28] – due to the need to authenticate and open new sessions to interact with other services – can sensibly increase the run time of functions. As a consequence, there exists ever-growing literature on serverless scheduling techniques that mix one or more of these locality principles to increase the performance of function execution [41, 37, 35, 1, 42, 50, 36, 32, 47, 44, 45, 48, 43, 16, 33, 13, 15, 30, 34, 46]. Besides performance, functions can have functional requirements that constrain the choices of the scheduler. For example, users might want to avoid allocating their functions alongside “untrusted” ones for security purposes [11, 51, 4, 18].

Albeit a FaaS platform can mix one or more principles to expand the profile coverage of function execution, platform-wide policies hardly suit all kinds of performance profiles. For this reason, in [20, 19] we started a new line of research dedicated to the introduction of a modular approach to FaaS scheduling. The approach hinges on the definition of custom, per-function policies through a domain-specific declarative language, called Allocation Priority Policies (APP). Thanks to APP, users can define co-existing scheduling policies, each best suited for its function (or sets thereof). In [20, 19], we validated our approach by extending the open-source Apache OpenWhisk serverless platform to support APP scripts and by showing that our extensions outperform vanilla OpenWhisk in locality-bound scenarios [20, 19].

In this paper, we present a gentle introduction to APP, by means of a tutorial. Even though APP is a platform-independent language, to exemplify its usage, we choose to describe it in the context of the OpenWhisk platform, which is both one of the most studied serverless platforms in the literature [26] and actively developed among the open-source alternatives.

We start our presentation in Section 2 by discussing the OpenWhisk serverless platform and introduce the APP language to control the scheduling of functions. To keep this tutorial at an introductory level, we consider the first, minimal version of APP, as appeared in [20]. We illustrate the language through realistic, incremental use cases in Section 3. We assume the reader to have basic knowledge of software programming, computer architectures and networks, and of cloud concepts like virtual machines and containers. The interested reader can deploy the extended OpenWhisk platform used in this tutorial by using Terraform and Ansible scripts provided at [40].

In Section 4, we discuss both language primitives found in an extended version of APP published in [19] and ongoing work on future extensions. We conclude in Section 5 by discussing related work and drawing final remarks.

2 Serverless Computing and the APP Language

We start by introducing in more concrete terms FaaS and the details of serverless platforms (relevant to function scheduling) by describing the architecture and execution model of Apache OpenWhisk. We deem these preliminaries useful to understand the constructs found in the APP language, presented afterwards.
2.1 Apache OpenWhisk

Apache OpenWhisk [38] is an open-source serverless computing platform, widely-used in research and adopted by some cloud providers (IBM Cloud Functions [17] and Digital Ocean Functions [21]). In the OpenWhisk programming model, developers write “actions” which are stateless functions that run on the platform. These functions can be written in any supported programming language (e.g., Go, Java, JavaScript, PHP, Python, Ruby, Swift).

Functions can be executed by defining rules associating a trigger (e.g., an event like HTTP requests) with a certain function. When an event is received, OpenWhisk identifies the appropriate function to execute based on the event type and the function’s declared trigger. Each function is executed within a container that is created and managed by OpenWhisk. The platform scales the number of containers up or down based on the workload, so developers do not have to worry about provisioning or managing infrastructure.

OpenWhisk consists of five components (see Figure 1). The entry point for requests is an Nginx reverse proxy that redirects requests to (one or more) Controllers. Controllers are the characterising component of the architecture. They manage the overall state of the system, including request handling, user authentication, and function deployment. Since they are the component that chooses which worker shall execute a given function, Controllers work also as a Load Balancers. Note that, in Figure 1, we label workers as Invoker(s), which is the nomenclature used in the OpenWhisk project to dub workers. The message broker used to communicate between Controllers and workers is Apache Kafka [6]. Workers are responsible for creating Docker containers, initialising them with functions’ code, and running the functions. A CouchDB database is used to store the state of the system, including information about users, functions, triggers, rules, invocation requests, and invocation results.

Controllers use a hardcoded scheduling policy dubbed “co-prime scheduling”. The co-prime logic allocates functions on workers by associating a function to a hash and a step size. The hash finds the primary worker, called the “home” worker. The step size finds a
list of workers used in succession when the preceding ones become unavailable, e.g., due to overload. This routine allows OpenWhisk’s scheduler to route invocations of the same function to the same worker(s), resulting in a high probability of cached container reuse. This practice minimises the occurrence of “cold starts”, i.e., the increased latency in function execution due to the overhead of fetching the code of the function to put it in execution.

2.2 The APP Language

Similarly to OpenWhisk, the other FaaS platforms adopted in the industry come with hardcoded scheduling policies which may not always meet the specific needs of developers and providers. For example, OpenWhisk maximises container reuse to avoid cold starts, but it does not take into account other factors that can impact the latency and improve performance (least of all satisfy individual functional requirements on scheduling). As an example of performance improvement, let us have a function that accesses a database. Running that function on a pool of machines close to the database would reduce network traffic and latency.

The motivation behind APP is to allow a FaaS platform to follow specific scheduling policies depending on which function must be scheduled for execution. APP helps users optimise their serverless architectures by allowing them to define customised FaaS scheduling policies that instruct the platform on which workers are best suited to run each function. From the architectural point of view, letting serverless platforms support APP is straightforward and one mainly needs (as we did in our extensions [20, 19]) to modify the Controller (see Figure 1) so that it follows the scheduling policies defined in a given APP script.

Syntax-wise, APP adopts the current trend of configuration files in popular DevOps and Cloud tools (e.g., Kubernetes) by supporting a YAML [39]-compatible syntax, where users define scheduling policies in a terse, declarative way. To define function-specific policies, we assume to associate each function with a tag; then, in APP we name each policy with a tag, so that, at runtime, the Controller can pair each function with its APP policy and follow the latter’s scheduling logic.2

We report the syntax of APP in Figure 2, as found in [20], of which we provide a brief overview in this section. In Section 3, we delve into more details with concrete examples.

The second assumption we make about the environment to run APP scripts is a 1-to-1 association so that each worker has a unique, identifying label. Indeed, the main, mandatory component of any policy (identified by a policy_tag) are the workers therein, i.e., a collection of labels that identify on which workers the scheduler can allocate the function. Specifically, each policy has a list of one or more blocks, each including other two optional parameters besides the worker clause: the scheduling strategy, followed to select one of the workers of the block, and an invalidate condition, which determines when a worker cannot host a function. When a selected worker is invalid, the scheduler tries to allocate the function on the rest of the available workers in the block. If none of the workers of a block is available, then the next block is tried. The last clause, followup, encompasses a whole policy and defines what to do when no blocks of the policy managed to allocate the function. When set to fail, the scheduling of the function fails; when set to default, the scheduling continues by following the (special) default policy.

We close by overviewing the options for both the strategy and invalidate parameters.

---

2 The pairing of functions and policies is an orthogonal issue w.r.t. to APP. Indeed, one can obtain the same result with a 1-to-1 coupling between function identifiers and policies. However, we prefer the tag-based decoupling presented here because it is more flexible; e.g., it allows users to apply the same policy to multiple functions, as long as they are associated with the same tag.
The strategy parameter has 3 alternatives:

- **platform** indicates the usage of the platform-specific scheduling logic for the workers of the block. In practice, it delegates the scheduling decision to the platform’s default scheduler (e.g., in OpenWhisk, it would use the original “co-prime” scheduling logic, cf. Section 2.1). This strategy is the default, when strategy is omitted, i.e., when there is no particular need to change the scheduler behaviour for a given function.

- **random** allocates functions stochastically among the workers of the block, following a uniform distribution. This strategy is useful in scenarios where balancing the distribution of functions over workers is more important than avoiding cold starts (e.g., when the system usually receives bursts of invocations).

- **best-first** allocates functions on workers based on their top-down order of appearance in the block. This strategy can decrease functions’ run time, e.g., when workers have different performances and the user orders them by their best-to-worst performance ranking.

The invalidate parameter allows users to define when a worker becomes invalid to execute a given function. For instance, the hardcoded policy in OpenWhisk for worker invalidation is that it either exhausted its memory capacity (where each function consumes 256 MB) or reached the limit of 30 concurrent function invocations. 

APP supports 3 invalidate options:

- **overload** (the standard one, when invalidate is omitted) captures the hardcoded invalidation strategy of the platform (e.g., in OpenWhisk, it translates to the exhaustion of the memory capacity or 30 concurrent invocations);

- **capacity_used**, associated with a percentage value, determines the threshold of memory capacity that declares a worker invalid;

- **max_concurrent_invocations**, associated with an integer value, sets the upper limit of concurrent invocations allowed on a worker.

Note that both max_concurrent_invocations and capacity_used are refinements of the overload option, thus their effect is to reduce respectively the maximum number of concurrent invocations or the memory capacity of the overload option.
APP Running Example

We show how we can use APP to control the scheduling of functions through an incremental, running example. We start with separate functions and their scheduling policy cases of increasing complexity and conclude by tying these functions together into a single FaaS application and related APP script.

3.1 Step 0: A Simple, Pure Function

The lowest level of complexity for our application is a single, lightweight, pure function, i.e., a function whose output depends only on its input, and requires no interaction with external services; examples of this category are functions performing mathematical operations, pre-processing of data, etc. Since we assume no interactions with external APIs or databases nor other requirements on workers that could impact the scheduling, we can fall back to the vanilla, hardcoded scheduling policy of the host serverless platform. When there is no need for specific APP-based policies, the standard default policy captures the basic behaviour of the underlying platform.

```
- default:
  - workers : *
    strategy: platform
    invalidate: overload
```

If no function has a specific tag, they fall under the default tag policy. This tag only has one block, which targets all workers (\* match all the possible works labels) and adopts the platform strategy and the overload invalidate condition.

3.2 Step 1: Handling Locality when Querying External Databases

For the next step in complexity, let us assume we have some functions that use an external data source. Serverless functions indeed usually have limits on the size of their input payloads (e.g., AWS Lambda limits the payload size to 256KB and 6MB for asynchronous and synchronous requests respectively)\(^3\) and they normally interact with data storage services, like databases, to retrieve (and store) the data they work on.

The addition of interaction with databases can cause latency problems due to data locality, i.e., the latency of the function is higher if database access is slow (e.g., due to long-distance interactions or narrow bandwidth). Scheduling a function’s execution on a poorly-performing worker might incur latency overheads. Let us assume that the database is in Canada and that we have three workers in the region: Canada_worker0, Canada_worker1, Canada_worker2. Let us also assume that the query functions are tagged with the string queries. To schedule the functions only on those workers we can use the following script.

```
- queries:
  - workers:
    - Canada_worker0
    - Canada_worker1
    - Canada_worker2
    strategy: platform
    followup: default
```

\(^3\) https://docs.aws.amazon.com/lambda/latest/dg/gettingstarted-limits.html.
We add the `queries` tag to label our new locality-bound functions, and require them to be scheduled only on workers that are located in Canada. We still keep the `platform strategy`, as we are not interested in giving any additional priority to one of the workers. Note that we set the `followup` to `default`. This captures the fact that, while this kind of functions should be scheduled on workers that are close to the database (Canada), there is no strict functional requirement for it. Therefore, if neither of the preferred workers is available at the time of scheduling, it is acceptable for the function to be scheduled on some different workers, following the `default` policy.

3.3 Step 2: Prioritising Workers and Customising Invalidation

Building on the previous step, let us assume that the workers in Canada are not equivalent in terms of performance and we have a powerful worker labelled `Canada_worker_Large`, a less powerful worker labelled `Canada_worker_Small`, and a powerful worker with limited bandwidth labelled `Canada_worker_Large_Narrow_Bandwidth`.

We expect that the query function would perform the best on the `Canada_worker_Large` worker since it is both powerful and has wide bandwidth. When this worker is invalid, we have to choose between `Canada_worker_Large_Narrow_Bandwidth` and `Canada_worker_Small`. In this example, we prioritise the latter, as the computational limitations should come into play only when tasked with a relatively high number of invocations, while the former’s reduced bandwidth might have a stronger effect on overall latency.

Moreover, while we want our workers to be prioritised according to their expected performance, we want to limit the resources that our functions are going to take to avoid scheduling too many functions in one worker, thus risking slowing down their run time. We set a limit of 75% memory load threshold for invalidation so that we never allocate more than $3/4$ of a given worker’s capacity.

To satisfy all new requirements, we update the configuration script as follows.

```plaintext
- queries:
- workers:
  - Canada_worker_Large
  - Canada_worker_Small
  - Canada_worker_Large_Narrow_Bandwidth
strategy: best_first
invalidate:
  capacity_used: 75%
followup: default
```

Here, we list sequentially the workers in order of priority, changing the strategy to `best_first` and setting the `invalidate` condition as discussed above.

3.3.1 Step 2.5: Invalidation Conditions and Block Lists

In the previous script, all three workers share the same `invalidate` condition, which can be undesirable when the difference in computational power among the nodes is sensible. If more fine-grained control is needed, we could define multiple blocks for the `queries` tag. Since APP tries to schedule functions within a given policy block by block, in their top-to-bottom order of appearance, we can define a scheduling logic analogous to the `best_first` strategy seen in the previous section by distributing the workers in different blocks, each with their
specific `invalidate` options and exploiting the ordering of blocks to impose priority among them. Specifically, we set to 8 the threshold of maximal concurrent functions running on the Large workers, while we set it to 2 for the Small worker.

- queries:
  - workers:
    - Canada_worker_Large
      invalidate:
        max_concurrent_invocations: 8
  - workers:
    - Canada_worker_Small
      invalidate:
        max_concurrent_invocations: 2
  - workers:
    - Canada_worker_Large_Narrow_Bandwidth
      invalidate:
        max_concurrent_invocations: 8
      followup: default

3.4 Step 3: Enforcing Configurations and Discarding Defaults

Let us now broaden the components of our serverless application by adding, alongside our initial data-dependent functions, some computationally heavier functions. More precisely, we want also to schedule functions that specifically require the presence of a GPU on the worker, e.g., needed to execute vector-based calculations in parallel.

In the previous steps, we allowed queries functions to execute on any worker different from the preferred ones if all the latter are invalid, thanks to the default followup option. Here, we assume that the GPU-dependent functions cannot be scheduled on non-GPU hardware, either because the function can not be compiled on non-GPU hardware or because running in other nodes leads to overloading the CPUs, thus causing failures due to timeouts.

We capture this behaviour as follows.

- GPU:
  - workers:
    - GPU_worker0
    - GPU_worker1
      strategy: platform
      followup: fail

Unlike the previous examples, we now use the fail option for the followup keyword. This tells the scheduler to terminate the invocation with an error if the required workers are not available, without falling back to the default tag.

3.5 Step 4: Putting it all Together

Let us conclude this section of examples by tying all cases seen before into a consistent scenario. Indeed, this is the final step in complexity for our example application, where we combine all the features of APP seen so far.

---

4 Serverless frameworks usually impose a maximal run time for functions that span a few minutes, e.g., OpenWhisk default maximal duration is 60 seconds.
Figure 3 Architectural diagram of our example serverless application. Note how the queries-tagged functions fall back to select from all available workers when none of the preferred ones is available, by using the default policy.
Here, we assume that we are working in a hybrid cloud context, that is, we have both a private and a public part of our deployment: namely, besides the Canada_workers and the GPU_workers, previously presented and deployed on public cloud, we consider additional Internal_workers deployed on-premises. Let us also assume that our private section has access to data inaccessible from the outside that can be used only by local workers, i.e. the additional Internal_workers, for security reasons.

The application collects data from an external database, and subsequently pre-processes that data, using functions with the queries tag (discussed in Section 3.3.1). The output of these functions is then used to feed the GPU functions, which infer additional information from our data (discussed in Section 3.4). In the final step, the private database is queried and the received information is combined to enrich the information previously inferred. As such, we require the new functions implementing this final step to specifically target private workers.

To recapitulate, besides presenting the new private policy, we report the other policies seen in the previous sections, which make up the final APP script used to customise the scheduling of the functions in our example application.

```yaml
- queries:
  - workers:
    - Canada_worker_Large
      invalidate:
        max_concurrent_invocations: 8
  - workers:
    - Canada_worker_Small
      invalidate:
        max_concurrent_invocations: 2
  - workers:
    - Canada_worker_Large_Narrow_Bandwidth
      invalidate:
        max_concurrent_invocations: 8
    followup: default

- GPU:
  - workers:
    - GPU_worker0
    - GPU_worker1
      strategy: platform
    followup: fail

- private:
  - workers:
    - Internal_worker0
    - Internal_worker1
    - Internal_worker2
      strategy: random
      invalidate:
        capacity_used: 80%
    followup: fail

- default:
  - workers: *
    strategy: platform
    invalidate: overload
```
The final architecture of the application, which we depict in Figure 3, consists of a pipeline with three stages. In the first stage, queries-tagged functions retrieve data from a Canadian database and perform some pre-processing over it, and then they invoke GPU-tagged functions for machine learning tasks (second stage). In the third stage, the completed GPU functions invoke private-tagged functions which combine the processed data with private data accessible only to the Internal_workers (see the worker clause under the private policy in the code above). Note that the default tag (at the end of the reported snippet) can be used by the queries-tagged functions, but not by the GPU and private functions, which all fail in case all their workers are invalid. When the private-tagged functions are invoked, only the private part of the system (the one containing the Internal workers and private database) is involved since the private tag lists only the Internal workers. In this example, we set the strategy to random to uniformly distribute the functions over the three workers. The followup set to fail naturally captures the fact that it would be pointless to run the private functions outside the private part of the system, since those workers would not be able to reach the private database and the private functions would fail.

4 APP Extensions

The original version of APP, presented in the previous sections, inspired extensions that introduced new language primitives. In this section, we discuss a couple of primitives respectively found in an extended version of APP published in [19] and from ongoing work, motivating them with concrete examples.

4.1 Targeting sets of workers

In cloud settings, the addition or removal of computing nodes is often done unbeknownst to the applications running on them. As a consequence, if the function scheduling language allows for referring to the computing nodes only individually, it may be impossible to define scheduling policies that exploit dynamic scenarios where nodes can change at runtime. An extension of APP to handle these more dynamic scenarios is to drop the 1-to-1 relation imposed between labels and workers. Specifically, we can allow the same worker to have multiple labels (e.g., a unique one to identify it and multiple, shared ones) and then add an APP primitive to express when we intend that a label induces a collection of workers.

As an example, we change the scenario in Section 3.3.1 to have three families of workers (instead of three workers): the Large, Small, and Large_with_Narrow_Bandwidth tiers.

In [19], we extended the APP language with the possibility to use expressions to match various tags of workers. Here, we present a refinement of that idea, introducing the set keyword to indicate that we intend worker labels as shared among a collection of workers, which the scheduler can choose among. As an example, the following APP script adds the set keyword before the workers’ label found in the script from Section 3.3.1 to indicate that APP shall interpret it as the collection of workers associated with that label.

```app
- queries:
  - workers:
    - set: Canada_worker_Large
  invalidate:
    max_concurrent_invocations: 8
- workers:
  - set: Canada_worker_Small
```
Thus, the set keyword allows users to capture dynamic scenarios where workers change at runtime without requiring them to update the script when the underlying infrastructure change. Moreover, the new keyword allows us to handle large amounts of workers in a cleaner way, making scripts more easily writable and readable.

4.2 Function Anti-affinity

A second primitive, subject of ongoing work, is an anti-affinity option for the invalidate condition. This option allows policies to invalidate a worker when it hosts one or more functions that are deemed by the user anti-affine with the one under scheduling. Anti-affinity is important, e.g., for security reasons since there could be functional requirements that require avoiding running critical functions on a worker with other, unknown functions, which could exploit possible limitations of the runtime isolation to surreptitiously gather information from the former. Anti-affinity constraints may also play a role in the optimisation of the application performance. For example, let us consider a refinement of the scenario presented in Section 3.4 where the GPU_Workers have only 1 GPU each. In this context, it would be ideal to avoid scheduling another GPU-intensive function on the same worker, as this could impact the performance of both functions.

Adding an anti-affinity invalidate option allows us to capture this scenario with minimal modifications from the script presented in Section 3.4.

In the code above, the scheduler avoids placing GPU functions on a GPU_Worker if it hosts already a function associated with the same tag. In the example, in particular, we declared any function with tag GPU anti-affine with any other function with the same tag. This allows the execution of at most one function with tag GPU in all the workers with tag GPU_Worker.

We highlight that the introduction of anti-affinity constraints may be problematic for serverless applications of considerable size and introduce interesting research challenges. Indeed, to sustain high-traffic situations, serverless platforms (like OpenWhisk) consider the presence of multiple controllers, so that they can share the load of the inbound function invocations and avoid creating architectural bottlenecks. However, having multiple controllers can introduce scheduling races, so that multiple controllers asynchronously schedule functions on the same worker (this effect is generally not a problem since, at worst, the worker would non-deterministically reject allocations that exceed its capacity limits). In the context of anti-affinity, this issue becomes more relevant. Indeed, to guarantee the respect of anti-affinity constraints one might need to sensibly alter the serverless platform architecture. For example,
one can use global locks – which might determine sensible performance degradation – or require the partitioning of workers among the available controllers – which would thwart the principle of resource sharing of cloud computing.

5 Discussion and Conclusion

We presented a tutorial on APP, an innovative approach to providing fine-grained control over serverless function scheduling to users. The interested reader can retrieve an OpenWhisk extension that supports APP-based scripts at [7].

To the best of our knowledge, APP is the first platform-agnostic configuration language for serverless scheduling. As serverless computing is gaining wider adoption [11, 27], many proposals tackled the problem of improving serverless function scheduling under different application contexts (and locality principles). In particular, there are several works focused on optimising serverless function scheduling focusing on improving the cold-start problem. These include techniques focused on container re-utilization and function scheduling heuristics and dedicated balancing algorithms [31, 27, 36, 35, 42, 1, 49, 3, 41]. Other research directions in the field regard the programming of compositions of serverless functions and the application of the Serverless paradigm to contexts such as Fog/Edge and IoT Computing. Examples of the first direction are proposals of calculi to formally reason on serverless functions and their implementations [22, 29] as well as proposals of the elements underpinning runtime support for compositions-as-functions [12]. The second direction sees studies on the emergence of real-time and data-intensive applications for edge computing and proposed a serverless platform designed for it, as well as frameworks for supporting cloud-to-edge serverless computing [14, 8, 25, 23].

Regarding APP’s evolution, we want to explore the advantages offered by APP from both the language and implementation perspectives. On the latter, we plan to extend the number of platforms that support APP, besides our initial prototype based on OpenWhisk. As a prerequisite to support APP, we need nodes to be labelled. Looking at the architectures of alternative open-source serverless platforms, like OpenFaaS and Knative, adding support for one such prerequisite would follow the implementation we developed for OpenWhisk. Although we do not have precise knowledge of the internals of closed-sourced alternatives, e.g., AWS Lambda and Azure Functions, they likely do not label nodes or expose this information to the scheduler (since they do not support scheduling policies based on the identification of single nodes or groups thereof). However, since FaaS platforms tend to all share the same architecture, we deem it plausible to use our development on OpenWhisk as a guideline to add support for node labelling also in closed-source platforms.

From the language perspective, we propose to extend APP, focusing on the exploration of locality principles (e.g., code and session locality) and in providing users with constructs able to support custom definitions of strategy and invalidate options directly in the source APP configuration (also via shareable and importable modules). These extensions would enable greater flexibility and customisation of scheduling policies. We are also interested in studying heuristics that, based on the monitoring of existing serverless applications can suggest optimising scheduling policies. We deem configurator optimisers [2, 5] a good starting point for this activity, which can be extended to automatically generate policies based on developer’s requirements. Finally, we propose to investigate the separation of concerns between developers and providers, to minimise the information that providers have to share to allow developers to schedule functions efficiently. This balancing would involve hiding the complexity of providers’ dynamically changing infrastructure, while also allowing developers to customise their scheduling policies to meet their needs.
Custom Serverless Function Scheduling Policies: An APP Tutorial

References


5:16 Custom Serverless Function Scheduling Policies: An APP Tutorial


Model-Driven Code Generation for Microservices: Service Models

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Abstract
We formally define and implement a translation of domain and service models expressed in the LEMMA modelling ecosystem for microservice architectures to source code in the Jolie microservice programming language. Specifically, our work extends previous efforts on the generation of Jolie code to the inclusion of the LEMMA service modelling layer.

We also contribute an implementation of our translation, given as an extension of the LEMMA2Jolie tool, which enables the practical application of our encoding. As a result, LEMMA2Jolie now supports a software development process whereby microservice architectures can first be designed by microservice developers in collaboration with domain experts in LEMMA, and then be automatically translated into Jolie APIs. Our tool can thus be used to enhance productivity and improve design adherence.

2012 ACM Subject Classification Software and its engineering → Software development methods; Applied computing → Service-oriented architectures; Software and its engineering → Model-driven software engineering

Keywords and phrases Microservices, Model-driven Engineering, Code Generation, Jolie APIs

Supplementary Material Software (Source Code): https://github.com/jolie/lemma2jolie
archived at swh:1:dir:66cd1f01a83c6b26f7b22edc76291cf7ed7cc460

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1 Introduction

Microservice Architecture (MSA) has risen to be a popular approach [24], but it also presents challenges related to design, development, and operation [5, 35]. To tackle design and development, researchers in software engineering and programming languages have proposed linguistic approaches to MSA, which feature high-level abstractions aimed at making microservice concerns more visible.

Model-Driven Engineering (MDE) [2] is a popular method for designing service architectures [1]. MDE can be applied to MSA by means of modelling languages such as MicroBuilder, MDSL, LEMMA, and JHipster [37, 39, 30, 15]. LEMMA, in particular, has been validated in real-world applications [36, 31]. On the side of development, Ballerina and Jolie [25, 22] are programming languages oriented towards services and their coordination. Jolie’s abstractions have been found to improve productivity in industry [13], and LEMMA’s support for Domain-Driven Design has been validated in real-world applications [36, 31].
In recent work, Giallorenzo et al. [10] observed that the metamodels of LEMMA and Jolie have numerous contact points. This motivated the quest for integrating the two tools and their approaches, which in the long term could bring (quoting from [10])

“an ecosystem that coherently combines MDE and programming abstractions to offer a tower of abstractions [18] that supports a step-by-step refinement process from the abstract specification of a microservice architecture to its implementation”.

In other words, the objective is building a toolset that allows for (i) designing an MSA using the principles of MDE, and then (ii) seamlessly switching to implementing the design with a programming language that offers dedicated linguistic support for coding microservices. Achieving this objective requires integrating three elements of the metamodels of both LEMMA and Jolie [10]:

1. Application Programming Interfaces (API), describing what functionalities (and their data types) a microservice offers to its clients;
2. Access Points, capturing where and how clients can interact with a microservice’s API;
3. Behaviours, defining the internal business logic of a microservice.

In [9], we started addressing the first element, by presenting an encoding, and a tool built on such encoding, that translates a large fragment of LEMMA’s Domain Data Modelling Language (DDML) to Jolie types and interfaces. However, this encoding ignored the important aspects of modelling services, and in particular their interfaces in terms of operations and their associated communication patterns (e.g., synchronous vs asynchronous data provision). In this paper, we aim to bridge this gap and obtain the first prototype of an API generator from LEMMA service models.

Since the API is the layer the other two build upon, in this paper we focus on concretising the relationship between LEMMA and Jolie API layers. To this end, we extend previous work focused on a formal encoding from a large fragment of LEMMA’s Domain Data Modelling Language (DDML) to Jolie types and interfaces. However, this encoding ignored the important aspects of modelling services, and in particular their interfaces in terms of operations and their associated communication patterns (e.g., synchronous vs asynchronous data provision). In this paper, we aim to bridge this gap and obtain the first prototype of an API generator from LEMMA service models.

Our key contribution is extending the encoding in [9] to a significant fragment of LEMMA’s Service Modelling Language (SML); the one used for defining a set of microservices with their interfaces, operations, and accompanying communication patterns. Our extended encoding supports the systematic translation of LEMMA domain models – which, following Domain-Driven Design (DDD) [6] principles, capture domain-specific types including operation signatures – to Jolie APIs. As a second contribution, we extend the tool presented in [9], called LEMMA2Jolie, to accept both DDML and SML models and translate these into Jolie APIs, following the extended version of the encoding presented in this paper.

Taken together, these contributions constitute a new milestone on the roadmap traced in [10] for building a conceptual and technical bridge between the communities of programming languages and MDE on microservices. Specifically, our previous work made domain information from microservices’ design actionable [9]. Here, we build upon our previous work [9] and move forward by adding support for the Service Viewpoint in MSA engineering [30]. While domain modelling is essential to most software systems and independent of the implemented architectural style, service modelling is essential to MSA, as it refines the foundational concepts of information hiding and component interfacing. Therefore, this contribution completes previous work on APIs [9], and is pivotal for future activities that address the remaining elements, i.e., Access Points and Behaviours.

The remainder of the paper is organised as follows. Section 2 presents modelling concepts from LEMMA’s DDML and SML and the relevant elements of the Jolie APIs required by the encoding, which we present in Section 3. Section 4 describes the implementation of LEMMA2Jolie and illustrates it with an example. Section 5 presents related work and a concluding discussion.
CTX ::= context id {CT}

CT ::= STR | COL | ENM

STR ::= structure id {STRF} {FLD OPS}

STRF ::= aggregate | domainEvent | entity | factory
         | service | repository | specification | valueObject

FLD ::= id id [(FLDF)] | S id [(FLDF)]

FLDF ::= identifier | part

OPS ::= procedure id [(OPSF)] (FLD) | function (id | S) id [(OPSF)] (FLD)

OPSF ::= closure | identifier | sideEffectFree | validator

COL ::= collection id {[S | id]}

ENM ::= enum id {id}

S ::= int | string | unspecified | ...

Figure 1 Simplified grammar of LEMMA’s DDML [9]. Greyed out features are out of the scope of this paper and subject to future work.

2 Background

This section describes and exemplifies domain and service modelling with LEMMA, and the development of microservice APIs with Jolie.

2.1 LEMMA Domain Modelling Concepts

LEMMA’s DDML supports domain experts and service developers in the construction of models that capture domain-specific types of microservices. We include the core grammar of this language in Figure 1 (grayed elements are not relevant for the translation presented in this work).1

LEMMA’s DDML captures the foundational DDD concepts for MSA design. DDD’s Bounded Context pattern [6] marks the boundaries of coherent domain concepts, thereby defining their scope and applicability [24]. A LEMMA domain model defines named bounded contexts (rule CTX in Figure 1). A context may specify domain concepts in the form of complex types (CT), which are either structures (STR), collections (COL), or enumerations (ENM).

A structure gathers a set of data fields (FLD) each associated with a type that can be either a complex type from the same bounded context (id) or a built-in primitive type, e.g., int or string (S). LEMMA support continuous domain exploration by allowing the construction of underspecified models by means of the keyword unspecified. This concise solution provides domain experts and developers with a light-weight facility for refining models as they gain new domain knowledge [29]. structures can comprise operation signatures (OPS) to reify domain-specific behaviour. An operation is either a procedure without a return type, or a function with a complex or primitive return type.

---

1 The complete grammar can be found at https://github.com/SeelabFhdo/lemma/blob/main/de.fhdo.lemma.data.datadsl/src/de/fhdo/lemma/data/DataDel.xtext.
LEMMA’s DDML supports the assignment of DDD patterns, called features, to structured domain concepts and their components. For instance, the entity feature (rule STRF in Figure 1) expresses that a structure comprises a notion of domain-specific identity. The identifier feature then marks the data fields (FLDF) or operations (OPSF) of an entity which determine its identity.

The DDML also enables the modelling of collections (rule COL in Figure 1), which represent sequences of primitives (S) or complex (id) values, as well as enumerations (ENM), which gather sets of predefined literals.

The following listing shows an example of a LEMMA domain model constructed with the grammar of the DDML [31].

```plaintext
context BookingManagement { 
  structure ParkingSpaceBooking(entity) { 
    long bookingID(identifier),
    double priceInEuro,
    function double priceInDollars
  }
}
```

The domain model defines the bounded context `BookingManagement` and its structured domain concept `ParkingSpaceBooking`. It is a DDD entity whose `bookingID` field holds the identifier of an entity instance. The entity also clusters the field `priceInEuro` to store the price of a parking space booking, and the function signature `priceInDollars` for currency conversion of a booking’s price.

### 2.2 LEMMA Service Modelling Concepts

We report in Figure 2 the (simplified) grammar of LEMMA’s SML. Following the rules, we see that a LEMMA SML model can contain one or more microservices, each associated with a name (id) and a collection of interfaces. Each interface encloses a collection of operations, each identified by an id and a collection of parameters. These parameters define the messaging pattern of the operation by associating each parameter – id : id, where the first id from the left is the name of the parameter and the second one is the name of its type (cf. Section 2.1) – with its timing of reception/transmission. Indeed, each parameter can either be synchronous or asynchronous and either be part of an inbound or an outbound message. We illustrate the matter with an example.

**Figure 2** Simplified grammar of LEMMA’s SML.
interface id { [RequestResponse id(TP1)(TP2)] | OneWay id(TP) }

TP ::= id | B

TD ::= type id : T

T ::= B [{id C : T}] | undefined

C ::= [min, max] | * | ?

B ::= int(R) | string(R) | void | ...

R ::= range([min, max]) | length([min, max]) | enum(...) | ...

**Figure 3** Simplified syntax of Jolie APIs (types and interfaces).

interface Sample {
  op(sync in a : int, async in b : int, sync out c : int, async out d : int)
}

Above, we defined an interface called Sample which contains a single operation, op. The operation has four parameters. Starting from the leftmost, we find the parameter a, which is synchronous and inbound. This means that a is part of the messages that op receives upon invocation. On the contrary, b is an asynchronous inbound message, which means that it can reach op at any time between the invocation of op and its termination. Looking at the outbound parameters, we have c which is synchronous, meaning that it is part of the message op sends when it terminated; d, on the contrary, is an asynchronous outbound parameter, which op can transmit at any time between its invocation and its termination.

### 2.3 Jolie Types and Interfaces

Jolie interfaces and types define the functionalities of a microservice and the data types associated with those functionalities i.e., the API of a microservice. Figure 3 shows a simplified variant of the grammar of Jolie APIs, taken from [22] and updated to Jolie 1.10 (the latest major release at the time of writing). An interface is a collection of named operations (RequestResponse), where the sender delivers its message of type TP1 and waits for the receiver to reply with a response of type TP2 – although Jolie also supports oneWays, where the sender delivers its message to the receiver, without waiting for the latter to process it (fire-and-forget), we omit them here because they are not used in the encoding (cf. Section 3). Operations have types describing the shape of the data structures they can exchange, which can either define custom, named types (id) or basic ones (B) (integers, strings, etc.).

Jolie type definitions (TD) have a tree-shaped structure. At their root, we find a basic type (B) – which can include a refinement (R) to express constraints that further restrict the possible inhabitants of the type [7]. The possible branches of a type are a set of nodes, where each node associates a name (id) with an array with a range length (C) and a type T.

Jolie data types and interfaces are technology agnostic: they model Data Transfer Objects (DTOs) built on native types generally available in most architectures [4].

Based on the grammar in Figure 3, the following listing shows the Jolie equivalent of the example LEMMA domain model from Section 2.1.
Structured LEMMA domain concepts like `ParkingSpaceBooking` and their data fields, e.g., `bookingID`, are directly translatable to corresponding Jolie `type`s.

To map LEMMA DDD information to Jolie, we use Jolie documentation comments `///` together with an `@`-sign followed by the DDD feature name, e.g., `entity` or `identifier`. This approach enables to preserve semantic DDD information for which Jolie currently does not support native language constructs. The comments serve as documentation to the programmer who will implement the API. In the future, we plan on leveraging these special comments also in automatic tools (see Section 5).

LEmma operation signatures are expressible as `RequestResponse` operations within a Jolie `interface` for the LEMMA domain concept that defines the signatures. For example, we mapped the domain concept `ParkingSpaceBooking` and its operation signature `priceInDollars` to the Jolie interface `ParkingSpaceBooking_interface` with the operation `priceInDollars`.

The following listing shows the Jolie equivalent of the example LEMMA service model from Section 2.2.

```jolie
///@interface(Sample)
///@operationTypes(Sample.op)
type op_in { a : int }
type op_out { c : int }
type op_in_b { token:Token data : int }
interface Sample { RequestResponse: op_in(op_in)(Token) op_out_d(Token)(int) op_out(Token)(op_out) OneWay: op_in_b(op_in_b) }
```

The operation `op` defined by the interface `Sample` contains asynchronous input and output parameters which do not have a direct equivalent in Jolie and thus need to be encoded. We propose to implement `op` into a series of request-response and one-way operations correlated
by a *Token*. The first is the request-response *op_in* which takes the synchronous inputs of *op* and returns the token to be used to invoke the operation to provide and retrieve the remaining parameters of the operation. The asynchronous input *b* is provided to the implementation of *op* by means of the one-way operation *op_in_b* which takes as argument the token provided by *op_in* and the value for *b*. The asynchronous output *d* is retrieved by invoking *op_out_d* with the given token and the synchronous output *c* by invoking *op_out*. This encoding leverages Jolie’s behavioural language which allows the definition of sophisticated interactions among a client and a service within the same session.

3 Encoding LEMMA Domain and Service Models as Jolie APIs

In this section we extend the encoding from LEMMA Domain Models to Jolie APIs presented in [9] (Section 3.1) to support also Service Models (Section 3.2).

3.1 Encoding LEMMA Domain Models [9]

We recap the description of the encoding from LEMMA domain models to Jolie from [9].

The encoding of LEMMA domain models is reported in Figure 4 and consists of three encoders: the *context* encoder $\langle \cdot \rangle^C$ walks through the structure of LEMMA domain models to generate Jolie APIs using the encoders for *operations* $\langle \cdot \rangle^0$ and for *structures* $\langle \cdot \rangle^S$, respectively.

![Figure 4 Salient parts of the Jolie encoding for LEMMA’s domain modelling concepts [9].](image)
The operations encoder $\mathcal{K}^O$ generates Jolie interfaces based on **procedures** and **functions** in the given models by translating structure-specific operations into Jolie operations. Because Jolie separates data from code that can operate on it (operations) the encoding needs to decouple **procedures** and **functions** from their defining structures as illustrated in Section 2.3 by the mapping of the LEMMA domain concept *ParkingSpaceBooking* and its operation signature *priceInDollars* to the Jolie interface *ParkingSpaceBooking Interface* with the operation *priceInDollars*.

Given a structure $X$, we extend the signature of its **procedures** with a parameter for representing the structure they act on and a return type $X$ for the new state of the structure, essentially turning them into functions that transform the enclosing structure. For instance, we regard a procedure with signature $(Y \times \cdots \times Z)$ in $X$ as a function with type $X \times Y \times \cdots \times Z \rightarrow X$. This approach is not new and can be found also in modern languages like Rust [17, 38] and Python [27]. The operation synthesised by the $\mathcal{K}^O$ encoder accepts the id_type generated by the $\mathcal{K}^C$ encoder that, in turn, has a self leaf carrying the enclosing data structure (id,). The encoding of **functions** follows a similar path. Note that, when encoding self leaves, we do not impose the constraint of providing one such instance (represented by the $\exists$ cardinality), but rather allow clients to provide it (and leave the check of its presence to the API implementer).

The main encoder $\mathcal{K}^C$ and the structure encoder $\mathcal{K}^S$ transform LEMMA types into Jolie types. **contexts** translate into modules and, similarly to other DDD features, using pairs of ///@beginCtx(context_name) and ///@endCtx Joliedoc comment annotations. All the other constructs translate into **types** and their subparts. When translating **procedures** and **functions**, the two encoders follow the complementary scheme of $\mathcal{K}^O$ and synthesise the types for the generated operations. The other rules are straightforward.

### 3.2 Encoding LEMMA Service Models

The encoding of LEMMA service models is reported in Figure 5. The microservice interface encoder $\mathcal{M}^{MI}$ translates the interfaces of a microservice into Jolie interfaces using the encoders $\mathcal{M}^{RR}$ and $\mathcal{M}^{OW}$ to translate its operations and $\mathcal{M}^{OT}$ to generate the types required by them. The encoding assumes that each microservice works within a single context and fixes a type Token for data used to correlate invocations to Jolie operations that implement the same LEMMA operation (as discussed in Section 2.3), e.g., a UUID.

The type encoder $\mathcal{M}^{OT}$ generates for each operation (i) a type collecting all its synchronous input parameters, (ii) a type for all its synchronous output parameters and (iii) at type for each of its asynchronous input parameters (to pair them with the token). Asynchronous output parameters do not require dedicated types.

The operation encoder $\mathcal{M}^{RR}$ generates the request-response operations required to implement a LEMMA operation. If the LEMMA operation has only synchronous parameters, then it can be directly implemented as a single Jolie operation (similarly to procedure and functions of LEMMA’s DDML). If an operation has asynchronous parameters, then it is encoded using multiple operations: (i) one to accept the synchronous inputs which is invoked first and provides the token used by the subsequent operations; (ii) one for retrieving each asynchronous output given a token; and (iii) one for awaiting the end of the implemented operation and retrieve all the synchronous outputs. Asynchronous inputs are provided using one-way operations generated by the encoder $\mathcal{M}^{OW}$. 


\[
\text{microservice } m_s \{TP\}^{\text{M}} = \text{IF}^{\text{M}}_{m_s}
\]
\[
\text{interface } if \{IOP\}^{\text{M}}_{m_s} = \text{///}@interface(ms.if)
\]
\[
\text{IF}^{\text{M}}_{if}
\]
\[
\text{interface } if \{
\]
\[
\quad \text{RequestResponse} : \{IOP\}^{\text{RR}}_{if}
\]
\[
\quad \text{OneWay} : \{IOP\}^{\text{OW}}_{if}
\]
\[
\}
\]

\[
\text{OT}_{if} = \text{///}@operationTypes(if.op)
\]
\[
\text{type } op\_in\{id_{SI} : id'_{SI}\}
\]
\[
\text{type } op\_out\{id_{SO} : id'_{SO}\}
\]
\[
\text{type } op\_in\_id_{AI}\{\text{token} : \text{Token}, \text{data} : id'_{AI}\}
\]

\[
\text{RR}_{if} = \text{///}@operation(if.op)
\]
\[
\quad op\_in(op\_out)
\]
\[
\text{RR}_{if} = \text{///}@operation(if.op)
\]
\[
\quad op\_in(op\_in)(\text{Token})
\]
\[
\quad op\_out(\text{Token})(\text{Token})(op\_out)
\]
\[
\text{OW}_{if} = \text{///}@operation(if.op)
\]
\[
\quad op\_out\_id_{AI}(op\_in\_id_{AI})
\]

\[\text{Figure 5} \text{ Jolie encoding for LEMMA’s service modelling concepts.}\]

4 LEMMA2Jolie and Example

4.1 LEMMA2Jolie

We implement our extended encoding (cf. Section 3) by including the parsing of SML models and the new rules of the encoding presented here into LEMMA2Jolie. LEMMA2Jolie is a tool that transforms LEMMA models into Jolie code and that was initially presented in [9] where we have shown the feasibility of producing Jolie code from LEMMA domain models. The additions to LEMMA2Jolie described in this paper target LEMMA service models and are relatively straightforward. Specifically, we integrate the parsing of the SML models, which generate an in-memory object graph containing types and service information. Then, these run through an execution engine for templates, that transforms the in-memory representation into Jolie code that the tool outputs in file format. We provide the extended version of LEMMA2Jolie in a permanent repository on Software Heritage\(^2\).

4.2 Example

We exemplify the encoding of LEMMA service and domain models in Jolie APIs based on the Food to Go (FTGO) case study by Richardson [33]. FTGO consists of six microservices that realise the backend of a web application for online food ordering from local restaurants. The microservices are responsible for accounting, consumer handling, delivery management, kitchen management, order handling, and restaurant organization. In the following, we focus on FTGO’s Order microservice which is responsible for handling food orders. The following listing shows an excerpt of the LEMA domain model for the Order microservice.3

```java
context API {
  structure CreateOrderRequest(valueObject) {
    immutable long consumerId,
    immutable long restaurantId,
    immutable LineItems lineItems
  }

  structure LineItem {
    string menuItemId,
    int quantity
  }

  collection LineItems {
    LineItem i
  }

  structure CreateOrderResponse(valueObject) {
    immutable long orderId
  }

  structure GetOrderResponse(valueObject) {
    immutable long orderId,
    immutable string state,
    immutable double orderTotal
  }
}
```

The domain model defines the API bounded context. It comprises five domain concepts:

- **CreateOrderRequest**: This domain concept is a DDD valueObject and as such responsible for encapsulating data that is shared between software components [6]. The data fields of value objects are usually immutable because they receive a value exactly once for data transmission. The Order microservice enables clients to communicate information relevant to food order placing using the CreateOrderRequest concept.

- **LineItem**: This domain concept models a single line item of some food order. Therefore, it identified the item on the available menu and its ordered quantity.

- **LineItems**: The LineItems concept gathers all line items of a food order. CreateOrderRequest concept relies on it to communicate a consumer’s order to the selected restaurant.

- **CreateOrderResponse**: The Order microservice replies to CreateOrderRequest with this valueObject. It clusters the identifier of the created order.

3 The complete model can be found at https://archive.softwareheritage.org/browse/revision/d4447fe8bfca319e540ed89d160d8fe817e128f/?origin_url=https://github.com/jolie/lemma2jolie&path=sample-2.data&revision=d4447fe8bfca319e540ed89d160d8fe817e128f
GetOrderResponse: Using this domain concept, the Order microservice provides clients with information about the state of a certain order, e.g., “accepted” or “cancelled”, and its total costs.

The following listing shows an example LEMMA service model for the Order microservice\(^4\).

```lem
import datatypes from "sample-2.data" as Domain

functional microservice org.example.OrderService {
    interface Orders {
        createOrder(
            sync in request : Domain::API.CreateOrderRequest,
            sync out response : Domain::API.CreateOrderResponse
        );
        getOrder(
            sync in orderId : long,
            sync out response : Domain::API.GetOrderResponse
        );
        monitorOrder(
            sync in orderId : long,
            async out response : Domain::API.GetOrderResponse
        );
    }
}
```

The model imports the above domain model including the API bounded context. LEMMA’s import mechanism allows the composition of models for different viewpoints on a microservice architecture by enabling inter-model references [30]. The purpose of these references depends on the composed model kinds. For a service model that imports a domain model as shown in the listing, inter-model references support typing of microservice operation parameters with modelled domain concepts (see below). Import statements in LEMMA start with the import keyword followed by a keyword that identifies the kinds of imported elements, e.g., datatypes for domain concepts that are to be used as types for microservice operation parameters. After the from keyword, modellers specify the path to the imported model, i.e., “sample-2.data” in the listing\(^5\), and a shorthand alias after the as keyword. Thus, in the service model, modellers can refer to the elements of the above domain model located in the file “sample-2.data” by the alias Domain.

After the import statement, the service model defines the functional microservice org.example.OrderService. In LEMMA’s SML, microservices must have at least one qualifying naming level like “org.example” to allow the semantic clustering of services [30]). The OrderService consists of a single interface called Orders that gathers the following operations:

---

\(^4\) The actual model can be found at [https://archive.softwareheritage.org/browse/content/shall\_\_git:267211533f271c8140166b3acc3729906ba3e126/?origin_url=https://github.com/SeelabFhdo/lemma\&path=examples/food-to-go/Order/Order.services](https://archive.softwareheritage.org/browse/content/shall\_\_git:267211533f271c8140166b3acc3729906ba3e126/?origin_url=https://github.com/SeelabFhdo/lemma\&path=examples/food-to-go/Order/Order.services)

\(^5\) Please note that the file “sample-2.data” comprises the previous domain model and that the filename indicates the fact that the file clusters the LEMMA model code for the second usage example of LEMMA2Jolie in its repository at [https://archive.softwareheritage.org/browse/revision/d4447fe8bfca319e540ed599f5f7e817e128f/?origin_url=https://github.com/jolie/lemma2jolie](https://archive.softwareheritage.org/browse/revision/d4447fe8bfca319e540ed599f5f7e817e128f/?origin_url=https://github.com/jolie/lemma2jolie).
---

- **createOrder**: This operation supports the creation of food orders in FTGO. To this end, it expects the **synchronously incoming** parameter `request` whose type is the domain concept `CreateOrderRequest` imported from the API bounded context of the above domain model. The **synchronously outgoing** parameter `response` then represents the result of food order creation as reified by the imported concept `CreateOrderResponse`.

- **getOrder**: This operation enables the retrieval of information about placed food orders. Therefore, it requires the identifier of an order and informs callers about its state by means of the `GetOrderResponse` concept from the imported domain model. As for `createOrder`, `getOrder` interacts with clients in a fully synchronous fashion.

- **monitorOrder**: Similar to `getOrder`, this operation provides callers with information about food orders. However, it expects continuous querying for this information leveraging the **asynchronously outgoing** parameter `response`. Thus, the operation can be used, e.g., by mobile apps to display notifications about order state changes without the need for re-establishing synchronous HTTP connections\(^6\).

Based on our encoding (Sect. 3), LEMMA2Jolie produces the following Jolie code from the LEMMA service model and the imported domain model.

```jolie
///@beginCtx(API)
///@valueObject
type CreateOrderRequest {
  consumerId: long
  restaurantId: long
  lineItems: LineItems
}
type LineItem {
  menuItemId: string
  quantity: int
}
type LineItems {
  i*: LineItem
}
///@valueObject
type CreateOrderResponse {
  orderId: long
}
///@valueObject
type GetOrderResponse {
  orderId: long
  state: string
  orderTotal: double
}
///@endCtx
```

The code between the Joliedoc comments `///@beginCtx(API)` and `///@endCtx(API)` represents the result of our encoding for LEMMA’s domain modelling concepts (Sect. 2). The code following the Joliedoc comment `///@interface(org.example.OrderService.Orders)`, on the other hand, adheres to the novel encoding for LEMMA’s service modelling concepts (Sect. 3).

\(^6\) Note that `monitorOrder` is not part of the Order microservice’s original interface [33]. Instead, we included this operation for illustration purposes.
That is, all synchronously typed parameters of the createOrder, getOrder, and monitorOrder receive a dedicated type per direction (createOrder_in and createOrder_out, getOrder_in and getOrder_out, and monitorOrder_in) given LEMMA’s semantics of communicating synchronous data in coherent data transfer objects [4]. By contrast, each asynchronously typed parameter (response of monitorOrder) is mapped to a dedicated type (monitorOrder_out_response) to enable clients the sending and receipt of asynchronous data at arbitrary and decoupled points in operations’ runtime.

```jolie
///@interface(org.example.OrderService.Orders)
///@operationTypes(org.example.OrderService.Orders.createOrder)
type createOrder_in { request : CreateOrderRequest }
}
type createOrder_out { response : CreateOrderResponse }
}

///@operationTypes(org.example.OrderService.Orders.getOrder)
type getOrder_in { orderId : long }
}
type getOrder_out { response : GetOrderResponse }
}

///@operationTypes(org.example.OrderService.Orders.monitorOrder)
type monitorOrder_in { orderId : long }
}
type monitorOrder_out_response { response : GetOrderResponse }
}
interface org_example_OrderService_Orders {
  RequestResponse:
  createOrder(createOrder_in)(createOrder_out),
  getOrder(getOrder_in)(getOrder_out),
  monitorOrder_in(monitorOrder_in)(Token),
  monitorOrder_out_response(Token)(monitorOrder_out_response)
}
```

As described in Sect. 3, interfaces of modelled LEMMA microservices are encoded as Jolie interfaces. That is, from the OrderService’s Orders interface, LEMMA2Jolie produces the Jolie interface org_example_OrderService_Orders with four RequestResponse operations. createOrder and getOrder map to the eponymous, fully synchronous operations in the LEMMA service model for the OrderService. On the other hand, monitorOrder_in and monitorOrder_out_response reify different parts of the modelled monitorOrder operation. monitorOrder_in is the synchronous trigger for the execution of the monitorOrder logic. The result of the trigger’s invocation is a Token that identifies the execution of the triggered monitorOrder instance. monitorOrder_out_response then requires the Token to provide clients with the instance’s data that was modelled by the asynchronous response parameter.
5 Discussion, Related Work, and Conclusion

The use of MDE in both industrial and academic contexts, along with its effective support for developing intricate software systems, has led to the creation of numerous tools similar to LEMMA2Jolie [34, 16, 39, 37, 15]. These tools act as code generators within the conceptual framework of MDE [2] and generate artefacts for the engineering of MSA. They accomplish this task through models built using specific modelling languages.

Compared to LEMMA2Jolie, most of the related alternatives focus on Java as the target technology [34, 37, 15], rather than service-oriented programming languages. Contrarily, LEMMA2Jolie focuses on Jolie, which has been introduced to reduce the semantic gap between microservice concepts and implementation languages. Jolie’s APIs are by design technology-agnostic and support their implementation with different transport protocols and technologies (e.g., Jolie, Java, JavaScript) [22, 20, 19]. Additionally, the modelling languages supported by the mentioned proposals and the resulting generated code only address single concerns in MSA engineering, such as domain modelling [34, 16] or service API implementation and provisioning [39, 37, 15]. In contrast, LEMMA’s modelling languages provide an integrated solution for multi-concern modelling in MSA engineering by offering modelling languages for various microservice architecture viewpoints [30].

As described in Section 3 and Section 4, the encoding we specify and its implementation demonstrate the practicality of combining the LEMMA and Jolie ecosystems. There are several areas for future exploration, including extending the findings to other programming languages, examining the maturity of LEMMA2Jolie, formally proving the correctness of the encoding, and expanding the integration in different directions.

Interesting future work includes assessing the practical usefulness of LEMMA2Jolie. We mention a few possibilities, inspired also by best practices found in previous research on modelling languages [36, 31]. The first is to conduct controlled user experiments with practitioners, for example in order to evaluate how LEMMA2Jolie contributes to improving quality and productivity. Second, we could recruit practitioners to use LEMMA2Jolie, in order to evaluate their experience with using it and the result of their efforts. Finally, we could use LEMMA2Jolie to recreate existing microservice architectures written in Jolie and then compare the existing and obtained codebases in qualitative and quantitative terms [13, 11, 3]. Some of these architectures [11, 3] follow the API patterns recently identified in [39], and checking whether these patterns can be faithfully captured in LEMMA2Jolie could extend our knowledge on the connection between API patterns and MDE for microservices [31, 32].

To provide correctness guarantees of the encoding, we must first establish a formalisation of the semantics of both LEMMA’s DDML and SML and Jolie APIs, and then prove that the encoding generates Jolie APIs that maintain the semantics of the input DDML and SML models. This effort is currently underway, as portions of Jolie have already been formalised [12, 21, 8, 22], and LEMMA implements context conditions [14] to restrict the proper formation of DDML models concerning their intended semantics [30].

We also intend to expand LEMMA2Jolie with capabilities for round-trip engineering (RTE). RTE accounts for the bidirectional synchronisation between models and generated code [26]. In the context of LEMMA2Jolie, RTE would further strengthen the collaboration between domain experts, who capture relevant application concepts in non-technical DDML models, and microservice developers, who adapt generated Jolie code to their needs and leverage RTE to reflect these changes back to the model-level for an efficient communication with domain experts.
The extension of LEMMA2Jolie presented in this paper forms the basis for future support of Access Point and Behaviour derivation from LEMMA models (Section 1). To this end, LEMMA2Jolie would have to consider further languages of LEMMA, e.g., the Technology Modeling Language [28] and Operation Modeling Language [30], in the translation towards Jolie code. Further along this direction, we plan to investigate the integration with [23] to automatically decompose the Jolie codebase generated by LEMMA2Jolie and synthesise suitable cloud deployment configurations.

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Towards Self-Architecting Autonomic Microservices

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Abstract
Autonomic computing is a key challenge for system engineers. It promises to address issues related to system configuration and maintenance by leaving the responsibility of configuration and reparation to the components themselves. If considered in the area of microservices, it could help in fully decoupling executing platforms from microservices because they permit to avoid coupling at the level of non functional features. In this paper, I explore the case of self-architecting autonomic microservices through the illustration of a proof of concept. The key points and the main challenges of such an approach are discussed.

2012 ACM Subject Classification Computer systems organization → Self-organizing autonomic computing

Keywords and phrases Autonomic computing, microservices, architectures

1 Introduction
In recent years, the push towards the digitisation of processes has led to an increase of system complexity, both in terms of the number of applications and integration processes. Such a fact had impacts both on the organizations and the system architectures.

As far as organizations are concerned, the necessity for software that is increasingly aligned with business needs, has led to the adoption of organizational processes targeted to minimize the delivering time and to increase the frequency of releases. The most important example in this case is represented by DevOps[12, 16] that is a software development approach used for reducing the distance between development and operational activities. On the other hand, if we consider the evolution of architectures, we have observed the raise of microservices, that is a distributed oriented architectural approach which introduces a new transformative force in the design and deployment phases of a software system. Following a microservices approach, functionalities are isolated by responsibility, independently deployed and distributed into the system in order to allow for independent scaling and management. Each microservice is designed, developed and managed by a different team where all the required competences are present. Both DevOps and microservices can be considered as two complementary forces that, when combined, aims to:(i) increase the organization’s speed; (ii) create a software application, or more generally, a software system, by integrating multiple independent components. They contribute to make the final system more resilient, flexible and scalable. The price to pay is a general rise of the overall complexity of the systems. DevOps and microservice platforms, even if they are commercial or custom, play a fundamental role for addressing such a complexity. In real cases, these platforms are usually a mix of technologies that address different functionalities. As an example Jenkins[6] is used for programming automatic tasks for DevOps, GitLab[3] is used as a code repository, Docker[2] and Kubernetes[9] are used for managing the containerization layer, OpenShift[11] is used for addressing the infrastructural layer and so on.

Automation is a key aspect of DevOps, especially when applied in the context of microservices, as these considerably increase the number of deployed components and, therefore, increasingly require automated tools for their management. In this context, autonomic
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computing is a key challenge for system engineers [21] and it may be considered as a further step forward in automating systems. It promises to address issues related to configuration, maintenance, updating and security by leaving all the responsibilities to the software itself without any human intervention. In the vision of autonomic computing, an autonomic component possesses all the capabilities for self-detecting errors, performance deterioration and security threats, and consequently take actions for repairing and adjusting their status. Moreover, they are able to detect when their internal modules need to be updated, and they are able to correctly install and configure the new versions of them. Differently from DevOps, that is developer and IT operator-oriented focusing on collaboration and automation in the software development lifecycle, autonomic computing aims to create fully autonomous and self-sufficient computing systems that can adapt, optimize, and protect themselves. While DevOps is a widespread system of practices applied in many different contexts, autonomic computing is still a new and little explored field, especially in production environments.

In this scenario, autonomic computing could be considered as a contribution to the evolution of DevOps, applied specifically to microservices, for reducing human intervention. In order to understand the role it could play, we can start by noting that, even if microservices should be agnostic with respect to the technologies used for developing, they are actually coupled with the platforms due to non functional constraints and limitations inherently present. For example the set of programming technologies could be limited because only some of them are managed in the existing DevOps pipelines. Indeed, developing and maintaining a DevOps pipeline for a given technology comes with an organizational cost that could be not convenient if the related stream of work is not relevant. Furthermore, since the observability of components and their fault management processes are often centralised and delivered exploiting different platforms, depending on how an organization approaches their management (e.g. following ITIL[5] strategies Service Operation and Continual Service Improvement), microservices must be equipped with specific connectors or even specially programmed to adhere to general guidelines of the organization. Summarizing, if from a functional point of view a microservice can be designed to be independent and decoupled with respect of the rest of the system, from a non functional point of view it could be strongly coupled with the platform where it is developed and deployed. Such a coupling could represent an issue when some modifications at the level of the platform must be performed. Depending on their impact, the risk is that all the microservices must be revised in order to adhere to the new platform standards. Moreover, in case of migration from a platform to another, an important refactor of the microservices must be considered and made. Minimising non-functional coupling between microservices and the platforms on which they are deployed can enable their truly independent design, development and deployment. Such a milestone could be achieved by introducing autonomic computing at the level of the microservices, thus making them independent and autonomous in managing non-functional properties w.r.t. the execution environment where they are deployed. At the present, in the current practices, microservices are not equipped with any self-adaptation logic, they are never aware of the context where they are executed. Every operational activity on a microservice, also those that are automatic and related to some non functional aspects like auto-scaling, are always demanded to the external platforms where they are executed.

In this paper, I investigate the possibility to make a step forward in the direction of a non functional decoupling between microservices and platforms by exploring the idea of self-architecting autonomic behaviours in microservices. In particular, I propose a proof of concept where an autonomic microservice is able to negotiate the scaling, and the de-scaling, of one of its internal components with the execution environment. The main contribution of
this paper is to show how a microservice of this kind could be developed, which are the key points to be considered and which are the main challenges to overcome for achieving these results.

Section 2 reports a conceptual view of what a self-architecting autonomic microservice is, Section 3 describes the proof of concept by focusing on those elements that are relevant for this paper; Section 4 and Section 5 report discussions on some key points and challenges that can be extracted from the proof of concept; finally Section 6 contains conclusions and comments about references.

2 Self-architecting conceptual view

This section is devoted to provide a conceptual overview of what is meant here by self-architecting autonomous microservices. The discussion is kept as abstract as possible in order to illustrate only the basic concepts, focusing on the architecture of the components of the microservice application, without taking into account other details and, above all, without considering the execution context in which the microservice is deployed.

![Figure 1 Expected architectural evolution of a microservice application which abstractly refers to what is going to be detailed with the proof of concept.](image-url)
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Such an abstraction will allow us to highlight the main contribution behind the adoption of an autonomic behaviour in modifying the architecture of a microservice application, and will make it easier to understand the description of the proof of concept that will be presented in the next section.

Figure 1 reports a conceptual architectural evolution of the microservice application targeted in the proof of concept. In Step 1, the microservice application is initially deployed: it is a single executable artifact, internally composed by different business logic modules which deal with different functionalities. In Step 2, in order to improve the performances due to an increase of load, a decision is taken: one of its internal module is promoted to become an independent microservice and it is deployed separately from the initial artifact. In Step 3, the new microservice is scaled up to specifically improve its own performances. In Step 4, since the external load is being reduced, the new microservice is scaled down and absorbed back in the initial artifact. In Step 5, the microservice is operating as it was initially.

The architectural evolution described in Figure 1 has been kept deliberately abstracted to focus on concepts about architecture modification, without specifying any actor which is responsible to perform the steps. Some questions easily emerge: in which steps there is a human intervention? In which steps does the microservice act autonomously? Moreover, which are the differences if we approach the same evolution in a conventional way, or using an autonomic approach? So far, a discussion on how such an architectural evolution could be approached and which impacts it could have on the microservice development and maintenance, has not been reported. The same evolution indeed, could be achieved following a conventional approach to the development of microservices, or using an autonomic one. A brief comparison between the conventional approach and the autonomic one, together with an analysis of the roles involved in each step, will help us to focus on better highlighting the impact of a self-architecting autonomic microservice, which is the subject of this paper. In Table 1, a comparison between the conventional approach and the autonomic one, is reported, whereas in Table 2, there is a more detailed analysis about the actors involved in each step where, for the sake of this discussion, the roles developer and sysadmin are merely indicative and they must be considered as just abstract references to two archetypal roles into an organization. A deep analysis on the impacts that an autonomic microservice could have on the different strategies adopted for managing software, is out of the scope of this paper.

As can be seen, as far as the autonomic microservice is concerned, quite all the steps are managed by the microservice itself which possesses the capability to dramatically modify its architecture, whereas in a conventional approach, all the steps involve developers, system administrators, or both. In particular, in the autonomic approach all the steps are managed by the microservice, with the exception of Step 1 that is related to the first release of the software and that is in charge to the developer in both cases. In the conventional case steps 2 and 4 are in charge to human roles, whereas Step 3, if auto-scaling feature is used, it is in charge to the execution environment. In any case, in the conventional scenario, the microservice does not take decisions or performs activities which implies a change on its own architecture, but all the actions are delegated to external actors. On the contrary, in the autonomic scenario all the actions are delegated to the microservice itself.
Table 1 Differences between a conventional approach and an autonomic one. Step 5 is reported together with Step 1 because they are equivalent.

<table>
<thead>
<tr>
<th>Step</th>
<th>Conventional Approach</th>
<th>Autonomic Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (5)</td>
<td>(i) The developer implements the microservice in a standard way as a unique artifact, internally composing different business logic modules; (ii) The developer releases the artifact and automatically (or manually) the artifact is deployed and executed (as container or a process) into a target execution environment (e.g. a containerization layer).</td>
<td>(i) The developer implements the microservice as an autonomic one, by envisioning the possibility for the microservice to make some modifications about its own architecture; (ii) The developer releases the artifact and automatically (or manually) the artifact is deployed and executed (as container or a process) into a target execution environment (e.g. a containerization layer).</td>
</tr>
<tr>
<td>2</td>
<td>(i) The developer and the sysadmin analyze the performances of the microservice; (ii) the developer decides to divide the artifact into two by promoting one of its internal modules as a microservice. She extracts the code of the module to expunge, from the initial artifact, then she puts it into another project; (iii) the developer releases both the initial artifact, without the expunged module, and the new one; both of them are deployed replacing the former one. Optionally, the new one can be deployed together with some directives to the execution platform for auto-scaling it, if not the number of replica must be defined at deploying time.</td>
<td>(i-iii) The microservice auto-detects that its performance is deteriorating and it decides to promote one of its internal components as a microservice. Thus, it directly deploys the new microservice by interacting with the executing environment.</td>
</tr>
<tr>
<td>3</td>
<td>(i) The sysadmin analyzes the performances of the microservice; (ii) The sysadmin decides to scale up or down the new microservice, in order to tune its performances. If the auto-scaling feature has been set at the previous step, the execution platform does it automatically. Note that if it is the case of a manual intervention, such a decision should be a long-term one because it is not reasonable to manually change the number of replica day by day.</td>
<td>(i-ii) The microservice autonomously decides to scale up or down the new component by defining the number of current replicas by interacting with the execution environment.</td>
</tr>
<tr>
<td>4</td>
<td>(i) The sysadmin analyzes the performances of the microservice; (ii) The developer decides to restore the initial version of the microservice because the load is now very low and there is no need to have two microservices. Note that, in this case, usually, the developer would leave the last architecture (that of Step 3) with just one replica for the new microservice, in order to avoid the costs of a new release; (iii) The developer releases the previous artifact.</td>
<td>(i-iii) The microservice decides to absorb new microservice and restoring the initial architecture.</td>
</tr>
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</table>
### 3.0 Proof of concept

The main objective of the proof of concept described in this section is to show the basic mechanisms behind the implementation of an *Autonomic Microservice*, which is able to modify its own architecture depending on its own performances by negotiating it with the *Execution Environment*.

In Figure 2, a representation of the architecture developed in the proof of concept is reported. The *Autonomic Microservice* is deployed within a Docker[2] container, controlled using standard Docker API by the *Execution Environment*. Moreover, it is able to self-calculate the average response time of its own API and, depending on the results, it is able to negotiate with the *Execution Environment* a change of its architecture by scaling a specific sub-component, which takes the form of another microservice.

#### 3.1 Architecture

Since it is a proof of concept, some assumptions have been made in order to keep it as simpler as possible:

- **Simplified model for the Autonomic Microservice**: The *Autonomic Microservice* models a microservice which implements some basic functionalities for managing a set of data, and it is assumed it implements autonomic features modelled following a MAPE-K loop[13, 20].

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### Table 2: Detailed steps with the focus on the involved actors.

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
<th>Conventional Approach</th>
<th>Autonomic Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (i)</td>
<td>Design and development</td>
<td>Developer</td>
<td>Developer</td>
</tr>
<tr>
<td>1 (ii)</td>
<td>Release and deployment</td>
<td>Developer</td>
<td>Developer</td>
</tr>
<tr>
<td>2 (i)</td>
<td>Analysis of the performance metrics</td>
<td>Developer or Sysadmin</td>
<td>Microservice</td>
</tr>
<tr>
<td>2 (ii)</td>
<td>Decision to modify the architecture, and its related implementation</td>
<td>Developer</td>
<td>Microservice</td>
</tr>
<tr>
<td>2 (iii)</td>
<td>Release (in case of auto-scaling, configuration of the environment)</td>
<td>Developer and Sysadmin</td>
<td>Microservice</td>
</tr>
<tr>
<td>3 (i)</td>
<td>Analysis of the performance metrics of the new component</td>
<td>Execution Environment (or Sysadmin, if auto-scaling is not set)</td>
<td>Microservice</td>
</tr>
<tr>
<td>3 (ii)</td>
<td>Decision and implementation of scaling up or down</td>
<td>Execution Environment (or Sysadmin, if auto-scaling is not set)</td>
<td>Microservice</td>
</tr>
<tr>
<td>4 (i)</td>
<td>Analysis of the performance metrics</td>
<td>Developer or Sysadmin</td>
<td>Microservice</td>
</tr>
<tr>
<td>4 (ii)</td>
<td>Decision to restore the initial version and its related implementation</td>
<td>Developer or Sysadmin</td>
<td>Microservice</td>
</tr>
<tr>
<td>4 (iii)</td>
<td>Deployment of the initial version</td>
<td>Developer</td>
<td>Microservice</td>
</tr>
</tbody>
</table>
In particular, all the different autonomic functionalities of the MAPE-K model have been simplified and condensed into a single internal component of the microservice. For the same reasons, all the algorithms for decision making and performance deterioration detection have been kept very basic and raw.

- **Simplified model for the Execution Environment**: the Execution Environment ideally models a general platform which is able to manage microservice deployment. A full representation of all of its parts is out of the scope of this paper. Here it has been modelled with a simple service that, on the one hand, it is able to interact with a containerization layer by invoking its standard API and, on the other hand, it exhibits a new set of API that are specific to be invoked by autonomic microservices in general.

- **Execution Environment agnosticism**: here we assume that the Execution Environment is agnostic with respect to the actual deployment an autonomic microservice may have at runtime. Apart from the first deployment, the Execution Environment does not own other container images nor it is aware about other components the autonomic microservice may request to have. Moreover, no specific rules for monitoring or scaling have been set in the Execution Environment. All the knowledge about the Autonomic Microservice management is in charge to the Autonomic Microservice itself.

**Figure 2** Logical representation of the architecture developed in the proof of concept.

**Figure 3** Autonomic Microservice inner logical architecture.
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In Figure 3 the inner architecture of the *Autonomic Microservice* is reported. The microservice manages a generic set of data about a list of hotels that, for the sake of simplicity, has been modelled with a JSON[8] file. Such a persistence layer is accessed by two internal services: *Writer* and *Reader*. The former is in charge to implement writing APIs (*insertHotel*, *updateHotel* and *removeHotel*), whereas the latter is in charge to implement the reading ones (*getHotel* and *getHotelList*). Neither of the two services directly exposes the APIs to the end consumer, but they are aggregated and embedded within the service *Autonomic Manager*, resulting in a single deployable artifact. A consumer can access all the APIs aggregated into the *Autonomic Manager*, by invoking its public listener where they are all available. Besides playing the role of a gateway for the reading and writing APIs listed above, the *Autonomic Manager* is also in charge to manage some autonomic features that allows for scaling the sub-service *Reader*. In particular, following a MAPE-K approach, the autonomic features of the *Autonomic Manager* can be summarized as it follows:

- **Monitor**: Since it is proxying all the requests to the inner services (*Reader* and *Writer*) it is able to capture all the metrics related to the API invocations, like invocation timestamp, reply timestamp and duration. In particular, it retrieves only those of the *Reader* because it is the component that can be scaled.
- **Analyse**: It calculates the average duration of the last ten invocation of the API of the *Reader*.
- **Plan**: It decides for a scaling or a de-scaling of the *Reader* depending on a threshold for API duration time.
- **Execute**: It interacts with the *Execution Environment* in order to ask for scaling or de-scaling the *Reader*.
- **Knowledge**: It manages the definitions of all the internal components (e.g. the *Reader*), their actual configuration (e.g. the number of active replica), and their configuration.

### 3.2 Runtime behaviour

In Figure 4 two scenarios, *before scaling* on the left and *after scaling* on the right, are reported. The *before scaling* represents a normal scenario where the *Autonomic Microservice* is simply deployed within a container. On the other hand, the *after scaling* represents a scenario where the *Autonomic Microservice* has been stressed with an extra load by a test consumer, and it negotiated with the *Execution Environment* for a scaling of the service *Reader*. In particular, it has been supposed that the *Autonomic Microservice* requested *n* instances of the service *Reader*. It is worth noting that all the instances of the service *Reader* are dynamically proxied by the *Autonomic Manager* which is in charge also to load the balance among them.

In Figure 5 the sequence chart, which describes the message exchanges between the *Autonomic Microservice* and the *Execution Environment* in case of scaling, is reported. A test client forces an extra load by continuously sending messages on the API *getHotelList* (1,2); concurrently the *Autonomic Microservice* calculate the average response time and detects a deterioration of such a metric (3). It is worth noting that, in order to trigger the scaling mechanism, the response time delay is simulated within the *Autonomic Manager* by augmenting the real measure with an extra delay. When the average time is greater than

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1 For the sake of simplicity the persistence layer has been mapped into a JSON file instead of using a structured one like a database. In the proof of concept, data consistency issues have been taken into account adding simple guards on writing and reading operations. A deep analysis about the impacts of self-architecting autonomic microservices and data consistency in a distributed scenario, is out of the scope of this paper.
a threshold (AVERAGE\_MAX), the Autonomic Manager requests an instance of service Reader to the Execution Environment by sending also its definition (4). The Execution Environment creates the image for service Reader if it is not already stored in the internal catalogue of the containerization layer (5), and then run the container (6). Finally, it sends back the binding details of the new container to the Autonomic Manager (7). As a last step, the Autonomic Manager binds the new container into its gateway and starts to balance the load towards the new container too. Similarly, the Autonomic Manager can detect an improvement of the response times and request the removal of the containers that are not more necessary.

### 3.3 Implementation choices

The system has been realized using the service-oriented programming language Jolie[7] which has been chosen because it allows to easily implement the following aspects:

- **Embedding services.** It permits to dynamically embed a service into another. Thanks to the operator called embedding[19], a set of services can be executed in a distributed manner or run in the same engine. When executed within the same engine, the inner communication among the services are automatically resolved at the level of the memory without network exploitation. In the proof of concept, the Autonomic Microservice initially embeds both the Writer and the Reader.

- **Aggregating services.** Thanks to the operator aggregate[19], it permits to collect and expose APIs of different services into one single listener (in Jolie it is called inputPort), thus permitting to easily develop light API gateways. The aggregator plays the role of a proxy by receiving an API invocation and delivering it to the aggregated service that actually implements it. In the proof of concept, the Autonomic Microservice plays the role of the gateway by delivering all the incoming requests to the replica of the service Reader.
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![Sequence chart diagram for scaling.](image)

- **Implements service functionality mobility.** It implements service functionality mobility\(^\text{18}\) by permitting to easily send a service definition from a service to another by message. The engine which receives a service definition can dynamically embed and run it. In the proof of concept, the Autonomic Manager sends the definition of service Reader to the Execution Environment in order to instantiate a new replica.

- **Fast API modelling.** It permits to easily model an API using its specific syntax without following standards like openAPI\(^\text{10}\) or gRPC\(^\text{4}\), while preserving a comparable level of expressiveness w.r.t. them. Such a technological feature permits to reduce the technology stack burden and keep the proof of concept as simple as possible. The code repository is public and available for inspection in \(^\text{17}\).

### 4 Key points

Starting from the experience matured with the developing of the proof of concept, and following a MAPE-K loop approach, in the following some important key points that must be considered when designing and developing a self-architecting autonomic microservice, are reported:

1. **Monitor:** The Autonomic Microservice must collect all the required metrics for taking decisions about its own architecture that depends on the Service Level Agreements defined for that service. They can be application related, infrastructure related or both. In the former case, the microservice must be able to internally collect the metrics; in the latter case, the microservice must ask for them to the Execution Environment, thus implying that there are specific API for retrieving infrastructure metrics when needed. In general, the developer must be aware about the metrics to collect, and she has to know where and when they must be measured in the code.

   In the proof of concept the Autonomic Manager directly retrieves the response time of each API invocation and it keeps on memory the last ten measures.
2. **Analysis**: In general, the analysis of the metrics should be condensed in few parameters calculated at runtime, thus avoiding to persist a log storage. In order to not interfere with the business logic, such a calculation should be performed concurrently. Some kind of extra volatile memory components could be introduced for persisting a limited buffer of logs. In any case, as for the monitor phase, also for the analysis, the developer must be aware of the parameters to calculate and their algorithms, and she has to design and implement a proper architecture for dealing with them.

   In the proof of concept, after each invocation call, the Autonomic Manager asynchronously calculates the average duration of the last ten invocations and, depending on the result, it sends a request for a new replica of the service Reader.

3. **Planning**: This phase can be as complex as desired depending on the level of transformation that the service can achieve. In general, the implementation of these algorithms requires a deep knowledge about the possible evolution of the architecture. The developer must identify the degrees of freedom of the microservice and must be familiar with all the possible architectures that can be derived from it. The more degrees of freedom there are, the more the system can reach unexpected and unpredictable configurations.

   In the proof of concept, the microservice had just one degree of freedom: it can choose to scale or remove instances of the service Reader. As a first glance, it looks very simple and straightforward. But it is worth noting that, in the example, there is no programmed upper limit in the algorithm. This means that the planning relies solely on the assumption that scaling the service Reader will eventually lead to a decrease in response times. However, if, for some reason, the variation of the response times in the real system is not strictly dependent on the number of running instances of the service Reader, the microservice may potentially require an infinite number of its instances, thus harming the entire system.

4. **Execution**: The Autonomic Microservice must implement the mechanics for transforming its own architecture. It must be able to perform the right calls to the Execution Environment for requesting new instances and removing the existing ones, but it also needs to provide all the components for integrating the new instances within its execution boundary.

   In the proof of concept, the Autonomic Manager plays also the role of proxy and load balancer for correctly dispatching the requests to the instances of the service Reader. In this case, the load balancing strategy has been encoded at developing time, but it is possible to imagine making it configurable or even negotiable with the Execution Environment. Moreover, it is reasonable to assume that also the Autonomic Microservice must exhibit a set of API for being invoked by the Execution Environment, thus permitting a two-sided negotiation. Indeed, some architectural changes could be triggered by the environment (e.g. for optimizing the resources).

5. **Knowledge**: the Autonomic Microservice must manage the knowledge about its own architecture and its dynamic modification at runtime, thus it must be able to reconstruct the state of the architecture at any given time and in any condition (e.g. after a malfunctioning).

   In the proof of concept the Autonomic Manager actually collects all the definitions of the components it asks to instantiate and it is able to properly configure them. But, in the current implementation, the mapping of the replicas of the service Reader are managed only in the volatile memory and in case of crash, the service is not able to restore such a list, thus making the existing replicas useless.
5 Main Challenges

Starting from what was outlined in the previous section, here I highlight three main challenges that need to be addressed in order to envision an engineered utilization of self-architecting autonomic microservices. In particular, these three challenges specifically focus on three different aspects: Development activities, Preparation of the execution environment and Security management. Development activities were considered because of the huge impacts autonomic computing could have on the development phase; Adaptation of the execution environment was considered because the adoption of an autonomic computing strategy is strongly coupled with an execution environment capable of managing it; finally Security management was considered because of the high level of risks that could be raised by shifting the responsibilities of many controls to the component itself.

It is important to bear in mind that the following list is not intended to cover all the critical aspects, but it is the result of a first internal evaluation about applying the approach presented in this paper, on products and applications of the author’s company.

1. Development activities: alleviating developer’s cognitive burden. In general, it is possible to state that the implementation of a self-architecting autonomic microservice requires an increment of the cognitive burden in charge to the developer that must be aware of all the aspects regarding the autonomic features: monitor, analysis, planning, execution and knowledge. The topic of tests deserves a special mention, because it will be necessary to test the different architectural configurations achievable by the microservice by simulating the various expected triggers and possibly mocking the execution environment, thus increasing the complexity of this task. Such a challenge could be addressed by introducing a development framework that already takes into account the various aspects necessary for the implementation of an autonomous service and partially manages them on behalf of the developer, moreover we could imagine to define the autonomic behaviour by using a specific declarative language which could help in better defining and controlling it.

2. Adaptation of the execution environment: standardization of API. In general, the Execution Environment should be enabled for accepting autonomic microservices, and the message exchange protocols between it and the autonomic microservice must be previously defined. Thus, the API of the Execution Environment, but also those that must be possibly offered by the microservice, should be standardized in order to make them equally available in any execution context where autonomic capabilities are accepted. This challenge requires a shared understanding among the developer community and, above all, among platform providers. A manifesto could be prepared and shared in order to attract valuable stakeholders for paving the way for standardization.

3. Security management: security must be guaranteed by the Execution Environment. Since an autonomic microservice is potentially able to completely change its initial architecture, thus transforming a service that it is initially safe into a harmful software artifact, the Execution Environment must take the responsibility to perform security checks on the autonomic microservices. In particular, the Execution Environment should be able to inspect the microservice and all its components before creating running instances, thus determining if the components contain malicious code. Such an aspect could be addressed by avoiding the execution of pre-compiled code, but postponing the compilation inside the Execution Environment and installing a microservice from sources. Constraints could be added in the allowed programming languages, thus reducing the security checks to formal ones as much as possible. As an example, languages like Ballerina[1] and Jolie[7] directly provide a linguistic tool for programming services that are then interpreted by an underlying engine that, like it happens in the proof of concept, could be directly provided by the Execution Environment.
Conclusions

The main contribution of this article is to take a step forward in the investigation of autonomous microservices capable of dynamically transforming their architecture at runtime to respond to a change in execution context. In literature there exist some general overviews about challenges and opportunity of autonomic components[15] and microservices[22] too, but a specific insight about self-architecting microservices is not reported. Other authors explored the possibility to implement autonomic microservices[25], but they focus on self-healing and versioning instead of self-architecting autonomic features.

The main benefit of the introduction of autonomic behaviours in microservices is the fully decoupling between execution environments and microservices. Such an objective is ambitious and disruptive, because it can potentially change the way microservices are developed and deployed. At the same time, however, in the long term, it could permit to reduce maintenance costs and platform’s lock-in. In particular, self-architecting autonomic microservices could simplify the deployment phases because almost all the steps are delegated to the microservice. As a counterpart, issues like security, standardization and the increase of complexity on the developments side must be considered. In general new models and references are needed like in [14], where the authors propose a MAPE-K loop based reference for identifying the different responsibilities between the execution environment and the autonomic microservice.

As an evolution of this work, it could be interesting to investigate the relationship of self-architecting microservices with infrastructure as a code (IAC) approach[23] that is exploited for increasing automation in DevOps contexts. In particular, it could be interesting to apply a self-architecting behaviour over a IAC layer, thus extending the autonomic behaviour, so far restricted at the containerization level, to the infrastructure. Moreover, a non-functional decoupling between microservices and execution platforms could potentially impact internal organizational processes based on established standards, as for example ITIL[24]. Therefore, a potential area of investigation could involve analyzing how autonomic computing might influence these standards.

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Correct-By-Construction Microservices with Model-Driven Engineering
The Case for Architectural Pattern Conformance Checking and Pattern-Conform Code Generation

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Abstract

Patterns are a common metaphor in software engineering that denotes reusable solutions for recurring software engineering problems. Architectural patterns focus on the interplay or organization of two or more components of a software system, and are particularly helpful in the design of complex software architectures such as those produced by Microservice Architecture (MSA).

This paper presents an approach for the language-based reification of architectural MSA patterns. To this end, we introduce a method to flexibly retrofit LEMMA (Language Ecosystem for Modeling Microservices) with support for modeling and implementing architectural MSA patterns. The method relies on the (i) specification of aspects to reify pattern applications in MSA models; (ii) validation of pattern applications; and (iii) code generation from correct pattern applications. Consequently, it contributes to correct-by-construction microservices by abstracting from the complexity of pattern implementations, yet still enabling their automated production with Model-Driven Engineering. We validate our method with the popular Domain Event and Command Query Responsibility Segregation patterns, and assess its applicability for 28 additional patterns. Our results show that LEMMA’s expressivity covers the model-based expression of complex architectural MSA patterns and that its model processing facilities support pattern-specific extensions such that conformance checking and pattern-conform code generation can be modularized into reusable model processors.

1 Introduction

Patterns are a common metaphor in software engineering that emerged from urban design [1] and denotes reusable solutions for recurring software engineering problems [20]. Patterns are particularly useful to codify and communicate knowledge gained from software engineering experience [69, 68].
Considering their area of impact, patterns can be divided into two major categories. Design patterns [20] focus on software component internals whereas architectural patterns [8] recognize the interplay or organization of two or more components [68]. This paper focuses on the latter category in the context of Microservice Architecture (MSA) [36]. The inception of catalogs of architectural patterns for MSA engineering [55, 70] and the study of patterns’ practical adoption [35, 64] show their relevance in dealing with complexity in microservice architecture design, implementation, and operation [59]. Language-based approaches to MSA engineering [21] constitute a paradigm that promotes the use of MSA-oriented software languages to conceive and deploy microservice architectures, thereby coping with MSA's complexity by specialized language primitives, e.g., for microservice interfaces or containers.

This paper presents an approach that combines both means for handling complexity in MSA engineering, i.e., architectural patterns and MSA-oriented software languages. More precisely, we show how to retrofit LEMMA – an ecosystem of modeling languages and model processing facilities for MSA [49] following Model-Driven Engineering (MDE) [10] – with architectural pattern support with the goal to enable correct-by-construction microservices via pattern conformance checking and pattern-conform code generation. This paper revises our abstract [48] accepted at the Third International Conference on Microservices as follows:

- Introduction of a reusable method including all steps to systematically extend LEMMA with support for architectural MSA patterns.
- Consideration of code generation as a step to map LEMMA models with architectural patterns to pattern-conform, executable microservices.
- Approach validation with a case study beyond the scope of the abstract’s running example.

With these contributions, we continue our line of work on the intersection of MDE and MSA by applying LEMMA’s means for the model-based capturing of stakeholder-oriented concerns in MSA engineering [49], enrichment of MSA models with metadata [51], and model validation and code generation [21] to (i) integrate pattern concepts in architecture models; and (ii) ensure pattern conformance on the architecture design and implementation level. The paper specifically goes beyond previous publications [53, 54] which neither considered pattern conformance checking nor pattern-conform code generation.

The remainder of the paper is structured as follows. Section 2 provides an overview of architectural MSA patterns. Section 3 describes our method to systematically extend LEMMA with architectural pattern support. Section 4 validates our approach. Sections 5 and 6 compare our approach to related work and conclude the paper, respectively.

## 2 Overview of Microservice Architecture Patterns

To make the paper self-contained, we give a non-exhaustive overview of architectural MSA patterns, thereby relying on corresponding pattern catalogs [55, 70] and empirical studies [35, 64]. We structure the patterns by the categories introduced by Márquez and Astudillo [35].

### Communication Patterns

Communication patterns deal with issues in microservice interaction. The API Gateway pattern [35, 55] proposes the provisioning of a component that acts as a façade to microservices’ functionality, i.e., it exposes only relevant functionality to architecture-external clients. With this characteristic, the pattern gives rise to API Composition [55, 64], Gateway Routing [64], and Gateway Offloading [64]. While API Gateway requests are often synchronous, patterns like Domain Event [55] and Event Sourcing [55] concern
asynchronous, event-based service interaction. The former pattern identifies domain concepts as events and the latter records event instances, e.g., for auditing purposes. Similarly, the Log Aggregator pattern [35, 55] centralizes the collection of additional log data.

**Deployment Patterns**

Deployment patterns focus on microservice deployment and deployment pipeline maintenance. The Sidecar pattern [55, 64] isolates and reuses functionality that crosses microservices, e.g., logging or configuration. Sidecar services cluster such functionality and provide business-oriented microservices with access. A more intrusive variant of this pattern is Microservice Chassis [55] which expects microservices’ business logic to delegate handling of crosscutting concerns to programming frameworks. The Backend for Frontend [35, 55, 64] and Command Query Responsibility Segregation (CQRS) [55, 64] patterns provide microservice clients with interfaces for special needs. The former suggests several API Gateways, each dedicated to certain client needs or types. The CQRS pattern, on the other hand, considers a logical microservice to consist of (i) a physical command-side microservice that enables clients to change data; and (ii) one or more physical query-side microservices that enable clients to access data in client-specific representations. Asynchronous messaging ensures the synchronization between command-side and query-side microservices. The Database is the Service pattern [35] lets each microservice store its data in an isolated database to foster scalability.

**Design Patterns**

This category clusters patterns that focus on the architectural interplay of microservices, thereby regarding microservices as black boxes and allow pattern adoption at design time. We consider all API design patterns from the catalog of Zimmermann et al. [70] to belong to this category. The catalog organizes patterns along different dimensions in API design, e.g., Responsibility, Structure, or Quality. For example, the Processing Resource pattern (Responsibility) supports clustered exposure of application-level functionality in the form of activities or commands. The Parameter Tree pattern (Structure) concerns the tree-based design of request and response data structures that comprise containment relationships. On the Quality level, the API Key pattern [55, 70] secures API access by requiring eligible clients to provide a unique and valid access token for subsequent API access.

**DevOps Patterns**

DevOps patterns bridge between developer and operator concerns in MSA. Using the Externalized Configuration pattern [55, 64], microservices receive configuration values such as credentials or network location at runtime from specialized configuration services or stores. The Monitor patterns [35], and derivatives like Application Metrics [55], Distributed Tracing [55], Exception Tracking [55], and Health Check [35, 55], cover monitoring as a central DevOps practice [6]. With these patterns, microservices report events or status updates to a centralized server for visualization and analysis.

**Migration Patterns**

These patterns guide the decomposition of monoliths into microservice architectures [35]. The Strangler pattern [55, 64] suggests continuous decomposition by (i) steady migration of existing functionality to microservices; and (ii) direct realization of new functionality
in microservices. The **Anti-Corruption Layer** pattern [64, 55] defines façades between monolith and microservice architecture for translating between deviating data representations. Patterns like **DECOMPOSE BY BUSINESS CAPABILITY** (a microservice covers a business capability) and **DECOMPOSE BY SUBDOMAIN** (a microservice covers a part of the application domain) prescribe strategies to assign functionalities to microservices [55].

**Orchestration Patterns**

These patterns foster the orchestration of microservices as business process participants. The **CONTAINER** pattern [35, 55], which suggests to run microservices in lightweight virtualized environments [60], is a key enabler for horizontal scaling in MSA [12]. Patterns like **SERVICE REGISTRY** [35, 55] and **SERVICE DISCOVERY** [35, 55] abstract from service instances’ network locations and support service interaction via logical names. It is possible to combine the **SERVICE DISCOVERY** pattern with the **LOAD BALANCER** pattern [35] to optimize request routing to services with spare processing resources. In such scenarios, the **CIRCUIT BREAKER** pattern [35, 55] increases reliability as it caps the number of faulty interaction attempts to prevent failure cascades. The **SAGA** pattern [55] increases data consistency in distributed transactions by dividing them into *local transactions* executed by dedicated microservices. If a local transaction fails, the responsible microservice invokes a *compensating transaction* for rollback and informs its predecessor in the transaction chain to also rollback.

### 3 A LEMMA-Based Method for Pattern-Conform Microservice Design and Implementation

This section presents our method to retrofit LEMMA with architectural MSA pattern support – including pattern conformance checking and pattern-conform code generation for correct-by-construction microservices. Figure 1 shows the specification of the method.

**Figure 1** Overview of our method to retrofit LEMMA with architectural MSA pattern support. Method phases and activities are depicted as rectangles with dashed and solid lines, respectively. Required or produced artifacts are depicted as note sheets colored by their producing phase, if any.

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2 While we aim for the section to be understandable in a self-contained fashion, Appendices A and B yet provide additional background information on MDE and LEMMA.
Sections 3.1 to 3.3 describe the phases of the method and illustrate its instantiation on the example of the Domain Event pattern (Sect. 2).

3.1 Phase 1: Pattern Analysis

The first phase of the method consists of the three activities Concept Identification, Concept Reconciliation, and Constraint Formulation.

Concept Identification

This activity expects as input the pattern targeted by the method instance including its definition. For the Domain Event pattern, we refer to Richardson [55] who defines a domain event as a domain concept that conveys asynchronous messages between microservices.

Concept Reconciliation

The Concept Reconciliation activity expects the definitions and LEMMA-based specifications (Sect. 3.2) of all patterns covered by previous method instances as they might impact handling of the input pattern and its concepts. Suppose that the method instance for Domain Event is followed by an instance for the Event Sourcing pattern (Sect. 2). Since the latter leverages domain events, the integration of the former with LEMMA likely impacts the latter’s integration. For example, it will not be necessary to treat a domain event as a genuine concept of the Event Sourcing pattern. On the other hand, the Concept Reconciliation activity helps to identify dependencies between pattern-specific LEMMA model validators (Sect. 3.2) and code generators (Sect. 3.3). For instance, the Event Sourcing pattern could require that domain events conforming to the Domain Event pattern are valid and manifested in source code so that an Event Sourcing code generator can refer to them.

The Concept Reconciliation phase yields a concept catalog for the input pattern. For Domain Event, this catalog defines the Domain Event concept as a structured domain concept representing asynchronously exchanged messages. Additionally, following the pattern’s definition [55], the catalog has an entry for the Producer and Consumer concepts which denote microservices that send and receive domain events, respectively.

Constraint Formulation

The Constraint Formulation activity specifies conformance constraints for the concepts of the input pattern w.r.t. its definition. Table 1 lists constraints for the Domain Event pattern.

<table>
<thead>
<tr>
<th>#</th>
<th>Constraint</th>
<th>Severity</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.1</td>
<td>Producer operations must be able to send asynchronous messages.</td>
<td>Error</td>
</tr>
<tr>
<td>C.2</td>
<td>Consumer operations must be able to receive asynchronous messages.</td>
<td>Error</td>
</tr>
</tbody>
</table>

Constraint C.1 prescribes that microservice operations which produce domain events must actually be able to send asynchronous messages. Constraint C.2 demands that operations of event consumers must actually be able to receive asynchronous messages. Both constraints exhibit the severity level “Error” so that their violation prevents further steps like code
generation. By contrast, the severity level “Warning” would identify constraint violations that still permit subsequent processing. An example for such a violation could be a microservice operation that uses a domain event in a synchronous interaction.

### 3.2 Phase 2: Pattern-Conform LEMMA Modeling

This phase extends LEMMA for modeling and applying the input pattern. It involves the activities Model Type Identification, Technology Model Construction, and Model Validator Implementation.

#### Model Type Identification

This activity requires the pattern concepts and conformance constraints from the previous activities (Sect. 3.1) to determine the LEMMA model types (Appendix B) for pattern application. In some cases, the LEMMA modeling language for the construction of models of a certain type already comprises native constructs for pattern application. For the **Domain Event** pattern, LEMMA’s Domain Data Modeling Language supports direct modeling of structured domain events with the `domainEvent` keyword as illustrated in Listing 1.

```java
// Model name: ChargingStationManagement.data
structure ParkingAreaCreatedEvent<domainEvent> {
  immutable long commonId,
  immutable ParkingAreaInformation info
}
```

In LEMMA’s Domain Data Modeling Language, a domain event is a structured domain concept that typically consists of one or more immutable fields [16]. Instances of **ParkingAreaCreatedEvent** gather a value of the primitive type `long` in the field `commonId` and an instance of the structured domain concept **ParkingAreaInformation** in the field `info`.

Hence, a LEMMA model type affected by extending LEMMA with **Domain Event** support is the domain model type because LEMMA’s Domain Data Modeling Language provides native support for domain event modeling and thus the expression of the Domain Event concept from the pattern’s concept catalog (Sect. 3.1). By contrast to other MDE-for-MSA approaches [2, 63, 61, 25], LEMMA does not integrate pattern-specific keywords to foster language learnability and model comprehension. As a result, LEMMA does not provide modeling constructs for **Domain Event** Producers and Consumers (Sect. 3.1). To retrofit these concepts, the following Technology Model Construction activity relies on the technology aspect mechanism [51] of LEMMA’s Technology Modeling Language (Appendix B), making the technology model type also affected by LEMMA **Domain Event** support. Similarly, the service and mapping model types (Appendix B) are affected as both enable assignment of aspects to microservices, e.g., to mark operations as **Domain Event** Producers.

#### Technology Model Construction

LEMMA’s technology aspects can be exploited as a flexible mechanism to augment model elements with metadata. We rely on such metadata to reify pattern concepts and applications in LEMMA models. Listing 2 shows LEMMA’s **Domain Event** technology model.

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3 LEMMA’s type system integrates all primitive types of Java [22].

4 For the sake of brevity, we omit the specification of this domain concept and refer to the complete domain model on Software Heritage [46].
## Listing 2 Domain Event technology model in LEMMA’s Technology Modeling Language.

```
1 // Model name: DomainEvents.technology
2 technology DomainEvents {
3    service aspects {
4        aspect Producer<singleval> for operations { string handlerName<mandatory>; }
5        aspect Consumer<singleval> for operations { string handlerName<mandatory>; }
6    }
7 }
```

LEMMA supports service-related and operation-related technology aspects. The latter are applicable to operation nodes expressed in LEMMA’s Operation Modeling Language (Appendix B). For the Domain Event pattern, however, we rely on service-related technology aspects which allow augmentation of LEMMA domain and service model elements. The above technology model for the Domain Event pattern specifies the service aspects Producer and Consumer. Both can occur at most once (singleval) on modeled microservice operations (Table 1) and require the configuration of a handlerName. According to the pattern definition [55], this latter property identifies the program unit, e.g., the Java class, being responsible for handling domain event production or consumption.

Listing 3 illustrates the application of the Domain Event aspects in a LEMMA service model and mapping model, respectively.

### Listing 3 Excerpts of (a) a service model in LEMMA’s Service Modeling Language; and (b) a mapping model in LEMMA’s Service Technology Mapping Modeling Language. Both models apply the Producer concept of the Domain Event pattern based on the technology model in Listing 2.

#### (a)
```
1 // Model name:
2 // ChargingStationManagementCommandSide.services
3 import datatypes from "ChargingStationManagement.data"
4 as Domain
5 import technology from "DomainEvents.technology"
6 as DomainEvents
7 @technology(DomainEvents)
8 public functional microservice
9 org.example.ChargingStationManagementCommandSide {
10    interface CommandSide {
11        @DomainEvents::_aspects.Producer
12        ("ParkingAreaProducerService")
13        sendParkingAreaCreatedEvent {
14            as aspects {
15                DomainEvents::_aspects.Producer
16                    ("ParkingAreaProducerService");
17            }
18        }
19    }
20}
```

Listing 3a shows the excerpt of a LEMMA service model that specifies a Domain Event Producer by applying the eponymous aspect from the Domain Event technology model (Listing 2). Lines 3 to 4 import the domain model that defines the ParkingAreaCreatedEvent domain event (Listing 1) and Lines 5 to 6 import the Domain Event technology model. Line 7 uses the built-in @technology annotation of LEMMA’s Service Modeling Language (Appendix B) to assign the Domain Event technology model to the ChargingStationManagementCommandSide microservice whose definition starts in Lines 8 to 9. The service consists of the CommandSide interface (Line 10) that clusters the operation sendParkingAreaCreatedEvent (Lines 13 to 16). This operation is marked as a Domain Event Producer in Lines 11 to 12. More precisely, the assignment of the Domain Event technology model to the ChargingStationManagementCommandSide microservice enabled us to apply the Producer aspect to the operation, thereby semantically identifying it as a Domain Event Producer (Sect. 3.1).

Listing 3b also performs this semantic enrichment but on the basis of LEMMA’s Service Technology Mapping Modeling Language (Appendix B). The primary difference to Listing 3a is the aspect application within the aspects section in Lines 13 to 16. The application of technology aspects in mapping models is an alternative to aspect application in service models.
Specifically, it externalizes technology aspect application which allows keeping service models technology-agnostic and thus reusable across different technology stacks, thereby facilitating technology migration on the model-level to deal with MSA’s technology heterogeneity [36].

**Model Validator Implementation**

LEMA’s Technology Modeling Language already integrates certain constructs like *mandatory*, *singleval*, and the *for*-selector to constrain aspect application [51] (Listing 2). However, these constructs are not sufficient to express pattern-related constraints such as those in Table 1, e.g., because the constraints require traversal of other LEMMA models, the simultaneous application of aspects from other technology models, or certain aspect property values. We decided against the extension of the Technology Modeling Language with modeling support for such complex constraints to keep the language concise.

Instead, we employ LEMMA’s Model Processing Framework (MPF; Appendix B) to accompany pattern-specific technology models with required conformance constraints. The result is a LEMMA model processor whose Model Validation phase performs constraint checking and violation reporting, and is extensible by code generation capabilities (Sect. 3.3). Listing 4 shows an excerpt of the MPF-based model validator for the Domain Event pattern written in Kotlin⁵. The complete source code can be found on Software Heritage [44].

Listing 4 Excerpt of the model validator for the Domain Event pattern in Kotlin.

```
@SourceModelValidator
internal class ServiceModelSourceValidator : AbstractXtextModelValidator() {

    @Check
    private fun checkProducer(operation: Operation) {
        if (operation.hasServiceAspect("DomainEvents", "Producer") &&
            !operation.hasResultParameters(CommunicationType.ASYNCHRONOUS))
            error("The Producer aspect may only be applied to operations with a result " +
                "parameter", ServicePackage.Literals.OPERATION__NAME)
    }
}
```

A model validator based on the MPF must exhibit the `@SourceModelValidator` annotation [47] (Line 1) and extend the `AbstractXtextModelValidator` class [45] (Line 2). Furthermore, a validation method (Lines 4 to 9) must be preceded by the `@Check` annotation. From this annotation and the annotated method’s signature, the MPF recognizes validation methods and when to invoke them. More specifically, the MPF will traverse the abstract syntax tree (AST) of a parsed LEMMA input model, identify the type of the current AST node, and, during model validation, invoke all validation methods whose signature matches this type. Consequently, it executes `checkProducer` for every modeled microservice `Operation`. In case the operation applies the `Producer` aspect from the `DomainEvents` technology model (cf. Line 5 and Listing 2) and does not have an asynchronous result parameter (Line 6), the validator yields an error using the `error` method from LEMMA’s MPF (Lines 7 to 8). `checkProducer` thus implements Constraint C.1 of the Domain Event pattern (Table 1).

Model processors based on LEMMA’s MPF are commandline tools that can readily be integrated into continuous integration pipelines [27]. However, the MPF also supports Live Validation for interactive model validation and error reporting. It relies on the Language Server Protocol (LSP) [11] to connect to the modeling IDE and display validation errors during model construction so that modelers need not invoke commandline validation separately from the IDE and trace errors messages to the erroneous model elements manually. Figure 2 shows the IDE manifestation of violating the check for Constraint C.1 in Listing 4.

⁵ [https://www.kotlinlang.org](https://www.kotlinlang.org)
Figure 2 Model validation error resulting from an unintended application of the Producer aspect for the Domain Event pattern and reported by LEMMA’s MPF to the modeling IDE via the LSP.

3.3 Phase 3: Pattern-Conform LEMMA Code Generation

This phase consists solely of the Code Generator Implementation activity which has to consider possibly existing generators (Fig. 1). For example, the realization of the Domain Event pattern requires a message broker to transmit domain events [55]. As there exist several alternative broker technologies, e.g., RabbitMQ\(^6\) or Kafka\(^7\), a Domain Event code generator has to take into account the possible existence of generators for certain broker technologies. For Java-based microservices, LEMMA already bundles a Java Base Generator (JBG) that provides convenience mechanisms to map LEMMA model elements to Java code and a plugin infrastructure for MPF-based Java code generators.

In the following, we suppose that pattern-specific code generators produce Java code. Consequently, they can directly be integrated with LEMMA’s JBG. Listing 5 shows an excerpt of the Domain Event code generator plugin for the JBG. Since the JBG is based on LEMMA’s MPF, this generator is part of the same model processor as the pattern’s model validator (Listing 4) and its complete source code is also available on Software Heritage [43].

Listing 5 Excerpt of the Domain Event code generator plugin for the JBG written in Kotlin.

```kotlin
override fun handlesEObjectsOfInstance() = IntermediateDataStructure::class.java
override fun generatesNodesOfInstance() = ClassOrInterfaceDeclaration::class.java
override fun execute(structure: IntermediateDataStructure, clazz: ClassOrInterfaceDeclaration) {
val eventGroup = clazz.getAspectProperty("EventGroup", "name") ?: return null
val groupInterface = EventGroups.addOrGetGroupInterface(eventGroup)
node.addImplementedType(groupInterface.nameAsString)
return node
}
```

The JBG structures the MPF’s Code Generation phase (Appendix B) into code generation handlers each of which maps a particular LEMMA model element type to a Java AST node type [22]. A code generation handler is a class augmented with the @CodeGenerationHandler annotation (Line 1) and implementing the GenletCodeGenerationHandlerI interface (Line 2) such that the handler must override the methods handlesEObjectsOfInstance and generatesNodesOfInstance (Lines 3 and 4). The methods respectively inform the JBG which LEMMA model element type the handler can process and to which Java AST node type the element type corresponds. The handler in Listing 5 maps data structures in LEMMA’s Domain Data Modeling Language (Appendix B) to Java class declarations.

The `execute` method (Lines 5 to 11) clusters the handler’s logic. It concerns domain event grouping, and, following the pattern’s definition [55], produces a Java interface for each event group which is then assigned as an implemented type to the domain event Java class.

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\(^6\) https://www.rabbitmq.com
\(^7\) https://kafka.apache.org
4 Validation

We validate our method (Sect. 3) with CQRS, i.e., one of the most complex patterns in Sect. 2 that (i) spans several LEMMA viewpoints (Appendix B); (ii) requires more than one model for the same viewpoint and thus ad hoc model parsing; (iii) combines technology-agnostic and technology-specific validation; and (iv) impacts service configuration and source code.

We present the research questions (RQs; Sect. 4.1), case study (Sect. 4.2), and results (Sect. 4.3) of our method’s validation, and discuss the latter (Sect. 4.4).

4.1 Research Questions

Our validation focuses on the following RQs:

RQ 1 To what extent do LEMMA and our method for its extension with pattern support cover patterns whose complexity exceeds that of Domain Event significantly?

RQ 2 How much effort is required to provide such complex extensions?

4.2 Case Study

We validate our method with a case study microservice architecture called Park and Charge Platform (PACP). The PACP originates from a research project that aims to enable the offering of private charging stations for electric vehicles for use by other owners of electric vehicles. We described the PACP’s architecture in more detail in a previous publication [53]. Almost all PACP microservices apply CQRS. Figure 3 provides an overview of the pattern.

As mentioned in Sect. 2, a CQRS application consists of a logical microservice that provides clients with write and read operations. Depending on an operation’s kind, its actual implementation resides in a physical command side microservice (write) or one of potentially several query side microservices (read). Command side microservices allow the alteration of data in command side databases [55] and asynchronously inform query side microservices about alterations via message brokers. Query sides then update their databases accordingly.

The benefits of CQRS for the PACP are twofold. First, we can scale read operations independently of write operations and for the PACP the former are much more frequent. Second, the pattern allows storage optimization for read operations so that we can preprocess sensor data from charging stations for time series processing as well as for relational queries.

In the following, we focus on the PACP’s Charging Station Management Microservice. It is responsible for managing charging station information like location, charging and plug type, and also receives data from charging stations. While we consider only a single PACP microservice, our results are directly transferable to the CQRS-conform modeling and code generation of all other PACP microservices.
4.3 Results

We structure the presentation of the validation results by the phases of our method (Sect. 3).

Phase 1: Pattern Analysis

Figure 3 is a direct outcome of the Concept Identification activity. Structured by LEMMA’s viewpoints (Appendix B), a CQRS application consists of the following concepts:

- **Domain Viewpoint**: Domain concepts to be stored in databases and used to convey asynchronous messages between physical microservices.

- **Service Viewpoint**: Logical CQRS microservice consisting of exactly one command side and at least one query side microservice. Physical microservices provide synchronous operations to consumers and interact with each other asynchronously.

- **Operation Viewpoint**: Infrastructure nodes like databases and a message broker.

In the following, we focus on the Domain and Service Viewpoint because they have the most impact on the upcoming activities.

During the Concept Reconciliation activity (Fig. 1), we not only discovered the above concepts but also the close relationship between the Domain Event and CQRS patterns. Consequently, we decided to rely on domain events to define the structures for the asynchronous messages sent from command side to query side microservices.

Table 2 lists the constraints resulting from the Constraint Formulation activity.

**Table 2** Conformance constraints for the CQRS pattern.

<table>
<thead>
<tr>
<th>#</th>
<th>Constraint</th>
<th>Severity</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.3</td>
<td>Logical microservice consists of command and at least one query side microservice.</td>
<td>Error</td>
</tr>
<tr>
<td>C.4</td>
<td>Command side microservice should be able to send domain events.</td>
<td>Warning</td>
</tr>
<tr>
<td>C.5</td>
<td>Query side microservice should be able to receive domain events.</td>
<td>Warning</td>
</tr>
<tr>
<td>C.6</td>
<td>Incoming domain event parameters of query side operations should be type-compatible with outgoing domain event parameters of command side operations.</td>
<td>Warning</td>
</tr>
</tbody>
</table>

Violations of Constraints C.4 to C.6 yield warnings to permit iterative CQRS modeling.

Phase 2: Pattern-Conform LEMMA Modeling

From the concerned LEMMA viewpoints, the Model Type Identification activity identified the domain, service, and operation model types (Appendix B) to be affected by CQRS.

Technology Model Construction resulted in the LEMMA technology model in Listing 6.

**Listing 6** CQRS technology model in LEMMA’s Technology Modeling Language.

```java
// Model name: Cqrs.technology
technology CQRS {
    service aspects {
        aspect CommandSide for microservices { string logicalService; }
        aspect QuerySide for microservices { string logicalService; }
    }
}
```

The CommandSide and QuerySide aspects allow determination of command side and query side microservices. Two microservices that apply these aspects belong to the same logical CQRS microservice when the values for the logicalService property are equal.
In the Model Validator Implementation activity, we developed a LEMMA model validator for the above technology model. Its Kotlin-based implementation consists of 180 lines of code (LOC), excluding empty lines, and is available on Software Heritage [42]. The validator implements Constraints C.4 and C.5 (Table 2).

To realize Constraint C.3, we decided to rely on a built-in construct of LEMMA’s Service Modeling Language (Appendix B), i.e., the required microservices directive. It allows modeling of relationships between microservices in the same or distinct service models – in the latter case based on LEMMA’s import mechanism and inter-model references. Hence, our CQRS validator checks the constraints in Table 2 only when a query side requires a command side microservice of the same logical microservice as identified by the logicalService property of the corresponding aspect applications (Listing 6). The specification of the dependency of a query side on a command side microservice is in line with CQRS because the former relies on events received by the latter to update its database accordingly (Fig. 3).

Concerning Constraint C.6, we decided against its inclusion in the CQRS validator. Instead, we extended an implementation for the type-checking of sending and receiving microservice operations in an existing JBG plugin (Sect. 3.3) for the Kafka message broker.

**Phase 3: Pattern-Conform LEMMA Code Generation**

LEMMA bundles a set of JBG plugins for popular MSA technologies like Kafka and Spring\(^8\). Among others, the Kafka plugin [40] supports the extension of Java codebases produced from LEMMA models by the JBG, e.g., with configuration code for the connection to a Kafka broker and with methods for sending events via this broker. For the extension of LEMMA with CQRS, we were able to reuse the plugin for the most part. As described above, we only had to extend its validator with a check for Constraint C.6 (Table 2). This extension concerned 141 LOC between Lines 185 and 343 of the validator’s Kotlin implementation [41].

**4.4 Discussion**

We discuss the validation of our method w.r.t. the framed RQs (Sect. 4.1).

**RQ 1 Method Applicability for Complex Architectural Patterns**

Based on the successful application of the method on the CQRS pattern (Sect. 4.3) we conclude that it is applicable not only to comparatively simple patterns like DOMAIN EVENT (Sect. 3) but also to patterns that involve more concepts, validations, and code to be generated. However, an in-depth evaluation of the method’s applicability would require its usage on ideally all patterns from Sect. 2. In order to anticipate the structure of such an evaluation, we assessed the complexity of extending LEMMA with pattern support using our method for all of these patterns, except for DOMAIN EVENT and CQRS. Table 3 shows an excerpt of this assessment for one pattern from each category in Sect. 2, besides Migration. That is, because Migration is mostly out of LEMMA’s scope. We refer to Appendix C for the complete list of assessed pattern extension complexity.

API GATEWAY is the example of a pattern that requires comparatively low effort to be integrated with LEMMA. That is because it concerns only the Operation viewpoint (Appendix B) and requires the modeling of one infrastructure node that needs to be augmented with the technology for an API gateway. The amount of generated code is probably also

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\(^8\) [https://www.spring.io](https://www.spring.io)
Table 3 Assessed complexity of extending LEMMA with support for selected architectural MSA patterns (see Appendix C for the complete list).

<table>
<thead>
<tr>
<th>Pattern</th>
<th>LEMMA Viewpoints</th>
<th>Quantitiesa)</th>
<th>Extension Complexityb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>API Gateway</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td>Backend for Frontend</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td>API Key</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td>Distributed Tracing</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td>Container</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
</tbody>
</table>

Symbol key: o = Low; ☐ = Middle; ● = High.

a) Assessed relative across all patterns.
b) Assessed based on quantities, and experiences with Domain Event (Sect. 3) and CQRS (Sect. 4).
c) Assessed quantity of generated code.

RQ 2 Method Effort for Complex Architectural Patterns

Given our experience with the conceptual and implementation subtleties of LEMMA and the CQRS pattern, the execution of the method was straightforward. At least for the Pattern Analysis phase (Sect. 3), we expect the method to not impose significant overhead, even for stakeholders that are not familiar with LEMMA. That is because the phase does not require LEMMA knowledge and a basic knowledge of the targeted pattern can be assumed as otherwise the objective decision to extend LEMMA with support for it would not have been possible at all. For the first two activities of the Pattern-Conform LEMMA Modeling phase, basic LEMMA knowledge is necessary to identify the model types affected by pattern extension and construct the corresponding technology model. However, the amount of LEMMA knowledge increases significantly for subsequent model validator and code generator

9 https://github.com/Netflix/zuul
development. Moreover, both these activities require additional implementation effort. LEMMA’s extension with the CQRS pattern comprises 327 LOC including the technology model, validator, and extension of the Kafka JBG plugin (Sect. 4.2). For complex patterns like Gateway Offloading or Microservice Chassis (Appendix C) the LOC count is likely to increase, especially when no JBG plugin or model processor for extension exists. We consider the objective quantification of the effort required by our method of high interest for future research works.

5 Related Work

We present work related to MDE-for-MSA and architectural pattern conformance assurance.

MDE-for-MSA

There exists a plethora of MDE approaches with support for the MSA viewpoints Domain [13, 63, 29], Service [23, 2, 61, 29, 25], and Operation [18, 2, 61, 25] (Appendix B). By contrast to LEMMA and the presented method (Sect. 3), the majority of these approaches does not allow pattern integration on the language-level. Only DCSL [13] can be considered to have basic support for language-level extensibility leveraging meta-attributes. However, it only covers domain modeling and only a subset of architectural MSA patterns concerns the Domain viewpoint (Appendix C). Instead, most of the patterns are rooted in the Service and Operation viewpoints. As opposed to LEMMA, MDE-for-MSA approaches for these viewpoints integrate pattern-specific concepts like Circuit Breaker [2], APIGatewayService [61], or serviceDiscoveryType [25] into provided modeling languages. Hence, these approaches aggravate learnability by extensive language syntaxes and prevent retrofitting, thus requiring new releases each time the modeling of a new pattern shall be supported.

Architectural Pattern Conformance Assurance

Conformance checking between the intended design of a software and its actual implementation is an important activity in software architecting [5] that may also reveal deviations from pattern specifications. Kim and Shen [30] leverage a divide-and-conquer strategy to assess the syntactic conformance of UML class diagrams [39] with design patterns that are specified via a UML extension for role-based metamodeling. Roles in pattern models prescribe contributions to pattern solutions and can be played by more than one element in assessed class diagrams. Consequently, the approach is able to also capture pattern variations. Chihada et al. [9] present an approach to pattern conformance checking based on Support Vector Machines. To this end, classifiers for design patterns are trained based on the peculiarities of object-oriented metrics in pattern specifications. In the next step, the classifiers are applied to source code that has been partitioned into smaller chunks of possible design pattern manifestations. From the confidence values returned by classifiers, Chihada et al. assess the likeliness for pattern occurrence. This approach is also able to capture pattern variations. However, other than Kim and Shen, Chihada et al. operate on source code. Díaz-Pace et al. [14] suggest a heuristic approach to detect source code deviations from behavioral architecture scenarios expressed as use-case maps (UCMs) which are graph-based descriptions of expected system executions. Deviations between UCM specifications and evolved source code are then detected by exercising the architecture implementation against test cases derived from UCMs. Similar to Kim and Shen, Díaz-Pace et al. identify conformance by means of an abstract view on component responsibilities. By contrast to the aforementioned works, our method
(Sect. 3) aims to ensure pattern conformance by model validation and code generation. While this approach allows pattern expression in the same constructive source model and on a level of abstraction that facilitates pattern recognition, it also expects the production of code that is actually pattern-conform, thereby bundling pattern conformance checking and pattern-conform code generation in model processors. Furthermore, we focus on architectural patterns instead of design patterns and do not explicitly consider pattern variations. They may however be codified as aspect properties in pattern technology models so that code generators produce varied pattern instances w.r.t. property values. Similar to Chihada et al., we also consider pattern analysis a crucial step prior to pattern handling.

There also exist works concerning the assessment and restoration of architectural pattern conformance in MSA engineering [38, 37]. These works are of particular interest to us because they define metrics and refactorings on the model-level to determine and resolve pattern deviations. We regard the integration of these approaches with our method as a sensible future work as it would permit (i) testing the actual conformance of generated pattern code, thereby enabling model processor developers to evaluate processor correctness; and (ii) resolution of pattern deviations from reconstructed architecture models [52].

Similar to us, Falkenthal et al. [17] focus on linking pattern specifications with solution implementations which may be source code that reifies a pattern realization. Next to pattern variation, the work by Falkenthal et al. raises an important concern regarding the modularization and composition of pattern solutions. Our method recognizes this concern in Phase 3 (Fig. 1), where existing code generators are examined prior to the implementation of a novel pattern-specific code generator in order to identify generator dependencies and reusability. In its current form, this examination has to be conducted manually, and thus requires deep knowledge about existing generators and their implementations. It would therefore be beneficial to reason about means to describe code generator composability on a more abstract level, e.g., by extending LEMMA’s Technology Modeling Language with capabilities to express composition relationships between pattern-specific technology aspects.

6 Conclusion and Future Work

In this paper, we presented an approach that combines two orthogonal means for complexity reduction in Microservice Architecture (MSA) engineering, i.e., architectural MSA patterns (Sect. 2) and language-based approaches to MSA. More precisely, we introduced a method (Sect. 3) for the systematic retrofitting of LEMMA (Language Ecosystem for Modeling Microservices) with support for modeling and implementing architectural MSA patterns. The presented method relies on the (i) specification of aspects to reify pattern applications in MSA models; (ii) validation of pattern applications for correctness; and (iii) code generation from MSA models with correct pattern applications. We validated the feasibility of our method for two popular architectural MSA patterns, i.e., DOMAIN EVENT and COMMAND QUERY RESPONSIBILITY SEGREGATION (CQRS), and provide a complexity assessment for the integration of 28 other patterns with LEMMA (Sect. 4). Our approach aims at enabling correct-by-construction microservices by abstracting from the complexity of pattern implementations, yet still enabling their automated production with techniques from Model-Driven Engineering (MDE). In particular, our results show that LEMMA’s expressivity is versatile enough to even allow the model-based expression of comparatively complex architectural MSA patterns such as CQRS and that the provided model processing facilities support pattern-specific LEMMA extensions such that pattern conformance checking and pattern-conform code generation can be modularized into reusable model processors.
While we are confident that from the 28 remaining architectural MSA patterns, 19 exhibit a low to middle complexity concerning their integration with LEMMA, an objective quantification is still necessary. We therefore plan to extend LEMMA with support for all of these patterns and identify even further applicable patterns, e.g., from Cloud Computing [62]. We are also interested in applying our approach with other works targeting the model-based assessment and restoration of architectural pattern conformance in microservice implementations. As a result, it would become possible to detect pattern applications and deviations from source code, and exploit the presented approach for the correct resolution of such deviations. We are also interested in extending LEMMA with means to anticipate the composability of pattern-specific code generators, e.g., by expressing composition relationships between pattern-specific technology aspects on the model-level.

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Florian Rademacher, Jonas Sorgalla, Philip Wizenty, and Simon Trebbau. Towards an extensible approach for generative microservice development and deployment using lemma. In...
8:20  Correct-By-Construction Microservices with Model-Driven Engineering


Appendix

A Model-Driven Engineering

MDE is a paradigm that promotes the use of *models* in the software engineering process [10]. In the sense of MDE, a model is a software artifact that describes selected aspects of a software system in an abstracted fashion and can replace more concrete artifacts for certain purposes. For instance, a model may be a partial representative for source code focusing on capturing component interfaces [50] or coordination [65]. A major goal of MDE is to increase models’ usage beyond documentation and turn them into first-class citizens in software engineering, e.g., by deriving executable code from them or facilitate quality assessment [66].

MDE formalizes construction specifications for models as *modeling languages* [10]. A modeling language consists of (i) an abstract syntax defining available modeling concepts; (ii) one or more concrete syntaxes whose constructs allow concept instantiation by users; and (iii) semantics that assign meaning to concepts. MDE suggests the realization of *model processors* to elevate models from documentation to engineering artifacts. Examples of model processors comprise code generators, static analyzers, and interpreters [10].

Given its focus on abstraction, MDE is particularly applicable in the design, development, and operation of complex software systems [19], and their architectures [56]. Its adoption has benefited software engineering in heterogeneous domains such as cyber-physical systems [34], Industry 4.0 [67], Internet of Things [31], and Service-Oriented Architecture (SOA) [3].

B Model-Driven Microservice Engineering with LEMMA

The successful adoption of MDE for SOA (Appendix A) stimulated research on its application to MSA [23, 2, 63, 61, 28, 25]. LEMMA (Language Ecosystem for Modeling Microservice Architecture) [49] is an approach to *MDE-for-MSA* [21] that copes with MSA’s complexity by concern-driven decomposition. To this end, it provides integrated modeling languages for the modeling of a microservice architecture from different viewpoints [24]. A viewpoint addresses selected stakeholder concerns, and prescribes notations and processing instructions for architecture models reifying such concerns. Figure 4a shows LEMMA’s viewpoints, their stakeholders, modeling languages and model types, and Table 4 describes them.

LEMMA provides an import mechanism to let modeling languages prescribe reference relationships between modeling concepts. This mechanism supports model integration across viewpoints to increase models’ information content. Figure 4b depicts the import relationships between LEMMA’s model types. The following import relationships can be established:

- **Domain Model**: Domain models can import domain concepts from other domain models to use these external concepts as types for local concepts.
- **Service Model**: Service models can import microservices from other service models to capture service dependencies. Furthermore, service models can import domain concepts from domain models to use them as types of operation parameters. To configure a microservice to exploit a certain technology, service models can be augmented with information captured by imported technology models, e.g., protocols or aspects (Table 4).
- **Mapping Model**: Mapping models import microservices and, transitively, domain concepts from service models as well as technology information from technology models. They can then augment microservices and domain concepts with technology information.
- **Technology Model**: Technology models import other technology models to specify conversion directions between technology-specific types.
Operation Model: Operation models import other operation models to identify dependencies between operation nodes. Moreover, they can import technology and service models for node configuration and container-based microservice deployment, respectively.

Next to viewpoint-specific modeling languages, LEMMA also bundles its own MPF to facilitate the implementation of model processors by technology-savvy MSA stakeholders, e.g., microservice developers and operators (Table 4). The MPF applies the Phased Construction pattern [32] to structure model processing into the following phases:

1. **Model Parsing**: Parses input LEMMA models into object graphs to allow their efficient traversal. The phase is automated and does not require knowledge of MDE technologies.

2. **Model Validation**: Supports the implementation of validity checks as part of model processors. For instance, a code generator for event-based microservices might first ensure that modeled microservices exhibit operations that actually permit event handling.

3. **Code Generation**: Since code generation is among the key drivers for practical MDE adoption [66, 4, 33], LEMMA’s MPF integrates a corresponding phase. However, since the MPF does not impose any requirements on generated code, the Code Generation phase may also be exploited to reify results from other model processing purposes, e.g., quality attribute values calculated during static model analysis [49].

LEMMAS’s MPF draws on popular MSA technologies and mechanisms such as the Java programming language [57, 7] and class-based Inversion of Control [26, 58]. Therefore, it provides specialized Java annotations for the Model Validation and Code Generation phases. The MPF detects these annotations at runtime on classes and methods, and handles annotated elements as intended by the phase, e.g., for signaling errors in validated models.

C Complexity Assessment for Extending LEMMA with Support for All Architectural Patterns in Sect. 2
Table 4 Description of LEMMA’s viewpoints, stakeholders, modeling languages and model types.

<table>
<thead>
<tr>
<th>Viewpoint</th>
<th>Stakeholders</th>
<th>Modeling Languages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Domain</td>
<td>Domain Experts, Microservice Developers, Software Architects</td>
<td>Domain Data Modeling Language: Enables to construct domain models with domain-specific data structures, collections, and enumerations via Domain-driven Design [15].</td>
</tr>
<tr>
<td>Service</td>
<td>Microservice Developers, Software Architects</td>
<td>Service Modeling Language: Supports the construction of service models for microservices, interfaces, and operations. Service Technology Mapping Modeling Language: Enables the construction of mapping models that assign technology-specific information to domain or service model elements. Models can therefore remain technology-agnostic and reusable across alternative technology choices [36].</td>
</tr>
<tr>
<td>Technology</td>
<td>Microservice Operators, Microservice Developers, Software Architects</td>
<td>Technology Modeling Language: Allows the construction of technology models that capture types of service programming languages, communication protocols, and operation technologies. In addition, generic technology aspects can be specified to augment elements in LEMMA models, e.g., data structures and microservices, with technology-specific information like database mappings or endpoint locations.</td>
</tr>
<tr>
<td>Operation</td>
<td>Microservice Operators, Software Architects</td>
<td>Operation Modeling Language: Constructs operation models for microservice deployment and infrastructure including its usage by services.</td>
</tr>
</tbody>
</table>

Table 5 Assessed complexity of extending LEMMA with support for the architectural MSA patterns described in Sect. 2.

<table>
<thead>
<tr>
<th>Category</th>
<th>Pattern</th>
<th>LEMMA Viewpoints</th>
<th>Quantitiesa)</th>
<th>Complexity of Extensionb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Communication</td>
<td>API Gateway</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>API Composition</td>
<td>✓ ✓ ✓</td>
<td>●</td>
<td>●</td>
</tr>
<tr>
<td></td>
<td>Gateway Routing</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>Gateway Offloading</td>
<td>✓ ✓</td>
<td>●</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>Event Sourcing</td>
<td>✓ ✓ ✓</td>
<td>o</td>
<td>●</td>
</tr>
<tr>
<td></td>
<td>Log Aggregator</td>
<td>✓</td>
<td>o</td>
<td>o</td>
</tr>
</tbody>
</table>

Comment: Requires only one infrastructure component.

Comment: No built-in modeling concepts for API composition.

Comment: Requires identification of API gateway as router.

Comment: Number of concepts likely increases with offloaded service functionality.

Comment: Requires persisting message broker component. Services interacting with broker must only send/receive domain events.

Comment: Requires only one infrastructure component.
## Correct-By-Construction Microservices with Model-Driven Engineering

<table>
<thead>
<tr>
<th>Category</th>
<th>Pattern</th>
<th>Dom. Serv. Op.</th>
<th>Concepts</th>
<th>Constraints</th>
<th>LOC &lt;sup&gt;)&lt;/sup&gt;</th>
<th>Extension Complexity &lt;sup&gt;)&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deployment</td>
<td>Sidecar</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Microservice</td>
<td>✓</td>
<td>●</td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Chassis</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Backend for</td>
<td>✓ ✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Frontend</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Database is the Service</td>
<td>✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td>Design</td>
<td>Processing Resource</td>
<td>✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Parameter Tree</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>API Key</td>
<td>✓ ✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td>DevOps</td>
<td>Externalized Configuration</td>
<td>✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Monitor</td>
<td>✓</td>
<td></td>
<td>●</td>
<td>●</td>
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</tr>
<tr>
<td></td>
<td>Application</td>
<td>✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Metrics</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td>Distributed</td>
<td>✓ ✓</td>
<td>●</td>
<td>●</td>
<td>●</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tracing</td>
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<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Migration</td>
<td>Strangler</td>
<td>✓ ✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Anti-Corr. Layer</td>
<td>✓ ✓</td>
<td></td>
<td>●</td>
<td>●</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Decompose by Business Cap.</td>
<td>✓</td>
<td></td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<sup>)</sup> Quantities:
- ●: Fully supported
- ○: Partially supported
- ✓: Supported

**Comment:**
- Requires Sidecar identification and assignment to business-oriented service.
- By contrast to Sidecar: Requires delegating of all cross-cutting concerns.
- Additional separate backends for highly diverse, client-specific frontends.
- Requires validation that each service has its own database.
- Fully supported by LEMMA’s domain and service modeling concepts.
- Requires identification of domain concept containments.
- Requires identification of API key fields and mature security infrastructure.
- Requires configuration provider component to be used by services.
- Requires monitor component to be used by services.
- Requires metrics collector component to be used by services.
- Requires health checker component to be used by services.
- Fully supported by LEMMA’s modeling concepts for the viewpoints.
- Fully supported by LEMMA’s domain and service modeling concepts.

**Comment:**
- Pre-MSA systems are out of LEMMA’s scope.
<table>
<thead>
<tr>
<th>Category</th>
<th>Decompose by Subdomain</th>
<th>Container</th>
<th>Serv. Registry</th>
<th>Serv. Discovery</th>
<th>Load Balancer</th>
<th>Circuit Breaker</th>
<th>Saga</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Quantities</td>
<td></td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>G</td>
<td></td>
</tr>
<tr>
<td>Extension Complexity</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Comment:** Pre-MSA systems are out of LEMMA’s scope.

**Comment:** Fully supported by LEMMA’s Operation Modeling Language.

**Comment:** Requires only one infrastructure component.

**Comment:** Requires only one infrastructure component.

**Comment:** Requires identification of circuit breaker capability on services.

**Comment:** No built-in modeling concepts for service interactions.

Symbol key: ○ = Low; ∙ = Middle; ● = High.

a) Assessed relative across all patterns.
b) Assessed based on quantities, and experiences with Domain Event (Sect. 3) and CQRS (Sect. 4).
c) Assessed quantity of generated code.
Applying QoS in FaaS Applications: A Software Product Line Approach

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Abstract

A FaaS system offers numerous advantages for the developer of microservices-based systems since they do not have to worry about the infrastructure that supports them or scaling and maintenance tasks. However, applying quality of service (QoS) policies in this kind of application is not easy. The high number of functions an application can have, and its various implementations introduce a high variability that requires a mechanism to decide which functions are more appropriate to achieve specific goals. We propose a Software Product Line based approach that uses feature models that model the application’s tasks and operations, considering the family of services derived from the multiple functions that can perform a specific procedure. Through an optimisation process, the system obtains an optimal configuration that it will use to direct service requests to the most appropriate functions to meet specific QoS requirements.

2012 ACM Subject Classification
Computer systems organization → Reconfigurable computing; Computer systems organization → Cloud computing

Keywords and phrases
FaaS, Serverless, QoS, Software Product Line, Feature Model

Digital Object Identifier
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1 Introduction

Functions as a service (FaaS) are a type of service based on cloud computing, which allows the development of systems based on functions managed by the platform itself, freeing the developer from the tasks of scaling and maintenance. In a FaaS approach, Software systems are developed using a set of independent functions that perform the tasks required by the application. These are serverless [2] functions that may behave as microservices or nano-services but with the advantage of being fully managed.

Another great advantage of FaaS applications is the possibility of choosing different functions to perform the same task or operation without modifying the application. These functions can be implemented by independent teams or based on various algorithms. Thus, deciding which available function is more suitable is essential because function performance at runtime can differ depending on the operational context. It is necessary to consider both functional and non-functional requirements since different implementations of a function may be decisive in the result of the execution of the application. Therefore, function selection is an essential concern in FaaS applications.
Although many frameworks are available to implement FaaS systems, they do not provide mechanisms to apply quality of service (QoS) policies beyond controlling certain function-level aspects, such as scaling. So the developer must decide which functions are optimal to achieve the results required by the application and how to compose them. This task is arduous and not readily adaptable to changes in QoS requirements. We use cost and response time in this work, but we can apply this approach to other QoS parameters, such as security or energy consumption.

Software Product Lines [10] is an approach for software development that focuses on developing a family of software systems using reusable assets. To define the common and variable elements of these families of software systems, a widely used approach is Feature Models [9]. A feature model contains an explicit representation of the configuration space using features. A feature model organizes features into a tree, including the corresponding tree and cross-tree constraints representing feature dependencies. In addition, it can consist of information about what features are optional or mandatory in a final system. A valid configuration is a particularization of the feature model that complies with the imposed constraints.

In this work, we will use feature models to model the composition of the tasks of a FaaS application. Our feature models include the alternative functions to perform the operations that made a task and their constraints. Using these models with the Z3 solver, we will obtain valid and optimal configurations of our FaaS application, taking into account QoS policies.

The main objectives of this work are:
1. **Manage FaaS application QoS at runtime.** The application could request the system to adjust the QoS parameters pursued at any time.
2. **Generate the optimal configurations dynamically or, at least, those that meet restrictions.** The system recalculates the configurations every time there is a change in the QoS objectives.
3. **Decouple the serverless implementation from a specific serverless framework.** The system is not integrated into any framework but communicates with it through REST requests. This type of interaction makes it possible to use our proposal with most existing frameworks. At the same time, this system does not require any extra learning from the developer, who can continue working similarly.

This paper is structured as follows: in Section 2 we present a brief introduction on feature modeling, Section 3 discusses some related work; Section 4 introduces our approach; Section 5 presents our case study on Reservation systems; Section 6 exposes the results to the experiments carried out; and Section 7 presents some conclusions to the paper.

## 2 Background on feature modeling

A feature model [9] is a hierarchical specification of systems through a set of features, which are elements or properties that may or may not be present in a concrete system. Features are organised in tree structures representing the dependencies between them, so selecting a leaf for the final system depends on selecting its ancestor features. In addition, it is possible to define explicit constraints, also known as cross-tree constraints. For example, if we consider a multi-functional system composed by a printer and a scanner (see Figure 1), a Printer with the feature Photo may not be compatible with GreenMode, in that case, we can add the cross-tree constraint *Photo excludes GreenMode.*

Features can be divided into Boolean features (e.g., Printer), numerical features (e.g., resolution:int), and variability sub-tree (e.g., Cartridge[1..4]). Boolean features represent yes/no decisions or features that can appear in the final system or not. Numerical features
Figure 1 Feature Model generation.

are features that require a value to be resolved. Variability subtrees mean creating instances or clones and providing per-instance resolution for features in its subtree. Cardinality features or feature relations (e.g., Type) apply restrictions over the number of children of a feature that can be chosen. The appearance of a feature in a selection can be mandatory, linked using solid lines, or optional, linked using dashed lines (e.g., GreenMode). A feature model or product configuration is a selection of features that respect the tree and cross-tree constraints defined in the feature model. A configuration that complies with explicit and implicit restrictions of a feature model is considered valid.

3 Related work

There are not many jobs that consider QoS parameters in FaaS environments. Some of them focus on the performance of individual functions due to the work of the framework itself [8]. Other articles, such as [7], consider the global performance of the application but, in this case, working at the FaaS platform level, controlling the location of resources. Also noteworthy is [11], in which Sheshadri K et al. present a system that allows specifying a QoS for a FaaS application and that has a QoS-aware resource manager that intervenes in deployment decisions. It also works on resource requirements, trying to use them efficiently. Our system uses a complementary approach to these, working on the composition of application functions so that any efficient resource allocation methods could be applied together.

On the other hand, numerous works that use Software Product Lines to model the variability of services can be found. In [12], the authors use feature models to recalculate service composition after a failure. Also, M. Abu-Matar et al. [1] model the variability of web services using Software Product Lines.

Likewise, numerous articles dealing with the selection of services consider QoS. One is [4], which shows how to compose web services considering different quality attributes. In microservices, [6] uses a model of the service workflow to select microservices utilizing an algorithm based on list scheduling. Nevertheless, unlike our proposal, they do not use a Software Product Line approach that models the variability due to multiple function implementations.
4 Our approach

Our solution consists of a system (see Figure 2) that, based on FaaS, allows us to choose at runtime the best functions that perform the operations needed by the application to offer a specific QoS. The proposal is based on the Software Product Line approach, using feature models to specify the variants of a particular service or task of a workflow. Our system performs this task as transparently as possible to the developer. So, there is no need to worry about knowing the functions’ different implementations and characteristics.

Based on a feature model of the application, the proposed system calculates the optimal selection of the tasks and functions that is more convenient to meet specific QoS. This optimisation is carried out using the Z3 solver, which uses the model and the characteristics of each available function to carry out the different tasks necessary for the application.

4.1 The application model

Before optimising the feature set, our system needs to obtain a model of the application to work on. Initially, it is necessary to build a model of the application workflow. This is made up of a series of tasks that are modelled as features in a feature model. At the same time, each task is performed through a certain number of operations necessary to complete it. These operations are the ones that we will execute through FaaS functions in our system. Different implementations of the functions may carry out each of these operations. Each function has specific associated characteristics for each operation, such as execution times, costs, security, etc. As a second step, we use this information to automatically generate a collection of service feature models representing the variability of each executing task. In addition, our system takes into account another source of variability. Some functions may have parameters that vary their behaviour, sometimes affecting in some way the operation QoS. These parameters are specified as attributes associated with the corresponding feature. For example, a video playback function may operate with different resolution values and several possible frames per second. For this reason, in the third step, we will automatically generate function feature models, incorporating these new features derived from appropriate options that can modify the function’s behaviour in terms of QoS.

Therefore, at this point, we have a feature model of the application, a set of service feature models related to the tasks, and a set of function feature models associated with each serverless function. These models constitute the global application model that our system will use.
4.2 Analysis system of the application model

Based on the feature models obtained, our system performs an analysis to generate an optimal configuration. To accomplish this task, we use a function repository that contains information about the QoS values of the different configurations of the FaaS functions, each implemented by a set of operations. Finally, an optimisation process can be carried out considering a set of restrictions related to the QoS to be achieved. A valid configuration that adjusts to the referred feature models is obtained through this process whenever possible. This configuration will be the one that allows the desired QoS to be achieved and will enable the system to choose the tasks and functions to be executed.

4.3 Valid Configuration Generation

With the models obtained in the steps described above, and applying Software Product Lines refactoring techniques [3], we generate a Feature Model with the information obtained from the entire application. To determine the valid configurations, we use a mathematical model built from the application’s Feature Model and add existing and user-specified restrictions. The conversion of the features to this model is done automatically. The main component of this conversion is a recursive process which traverses a tree that represents the relationships contained in the feature models and obtains all the logical connections between features. When a node is reached, the set is assigned the AND, OR, or XOR logical relationship indicated in the parent node. The process ends with obtaining a logical expression that represents the entire tree. To denote it, the following expression will be used:

\[ L(t_1, \ldots, t_T) \quad t_i \in \text{Bool} \]  

Where \( T \) is the set of tasks and \( t_i \) is a variable that identifies the task \( i \), which is a Boolean value that indicates if the task is executed or not. \( L \) represents a function that relates these \( t_i \) through the logical expression that represents the task tree of the application, obtained through the previous procedure. Next, we must add the necessary equations to model the variability of the operations, taking into account that each can be carried out through a set of different functions. The following equation expresses this:

\[
\sum_{i=1}^{n} f_{ijk} = 1 \quad \forall k \in [1, |T|], \forall j \in [1, |O_k|], f_{ijk} \in \{0, 1\}
\]  

Where \( f_{ijk} \) is associated with the serverless function \( F_{ijk} \) that implements some of the operations used by some of the tasks that are part of the application. It can take a value of 0 or 1 that will indicate, respectively, if that function is part of the configuration or not. On the other hand, \( O_k \) is the set of operations that make up a given task \( t_k \). Therefore, \( \{f_{ijk}, \ldots, f_{ijk}\} \) represents the set of \( n \) functions that can perform the same operation \( o_j \) related to the task \( t_k \).

The equations (1) and (2) are used to identify a configuration. If, in addition, we add the constraints defined on the tasks or the functions, we will obtain a set of valid configurations. We will call \( CT \) this set of constraints, which will be represented as logical functions \( c_{ti} \) that relate tasks, functions and parameters, as defined in the following equation:

\[
c_{ti}(T, F, P, V) \quad \forall i \in [1, |CT|]
\]  

Where \( F \) is the set of functions, \( P \) is the set of function parameters, and \( V \) is the set of values that the different parameters can take.
4.4 Optimisation Process

We use the equations defined in the previous section and the model constraints to carry out the optimisation process. We add to these equations another set of equations to associate the parameters related to functions’ QoS with those of the complete system. These equations differ depending on the parameter considered since execution time differs from cost or security. In some cases, it is a matter of adding all the functions’ values. In some cases, we must consider the tasks performed; in others, the values greater or lesser must be considered, etc. Finally, the constraints specified by the user are added. To obtain a valid configuration, the system applies the optimisation function provided by Z3 using the complete set of equations obtained. This configuration is optimal according to the specified parameters and complies with the user restrictions.

Our system can optimise using one or several QoS parameters: latency, execution time, security, cost and energy. We can easily extend our approach for new parameters by generating a specific set of equations. Some functions may have different associated values for the same QoS attribute. In this scenario, it is necessary to consider the feature model to calculate the associated QoS values. The set of equations to optimise cost or energy are the following:

\[
\begin{align*}
\text{Min.} & : \sum_{k=1}^{\lvert T \rvert} \sum_{j=1}^{\lvert O_k \rvert} t_k \cdot f_{ijk} \cdot c_{ijk} \\
\text{s.t.} & : L(t_1, \ldots, t_{\lvert T \rvert}) \quad t_i \in \text{Bool} \\
& \sum_{i=1}^{n} f_{ijk} = 1 \quad \forall k \in [1, \lvert T \rvert], \forall j \in [1, \lvert O_k \rvert] \\
& f_{ijk} \in \{0, 1\} \\
& ct_i(T, F, P, V) \quad \forall i \in [1, \lvert CT \rvert] \\
& \sum_{m=1}^{nv} f_{pjk} = 1 \quad \forall k \in [1, \lvert T \rvert], \forall j \in [1, \lvert O_k \rvert], \forall l \in [1, \lvert P_{jk} \rvert] \\
& f_{pjk} \in \{0, 1\} \\
& pv_{jkl} = \text{Param}(p_{jkl}, m) \forall p_{jkl} \in P_{jk}, \forall f_{pjk} = 1 \\
& c_{ijk} = \text{Cost}(F_{ijk}, \{pv_{jkl1}, \ldots, pv_{jklm}\}) \forall F_{ijk} \in F
\end{align*}
\]

Where \(P_{jk}\) represents the set of \(l\) parameters of the operation \(j\) related to task \(k\), and \(f_{pjk}\) is a variable that indicates the value assigned to the parameter \(p_{jkl}\) from a set of \(nv\) possible values, it can take values 1 or 0, meaning that the value \(m\) is selected or not, respectively. For example, considering a rendering function whose result depends on the output resolution, which can take three possible values, such as \(480px\), \(640px\) and \(800px\), and that \text{Render} is the first operation of the second task, \(f_{pjk12}\) indicates whether the value \(640px\) is chosen or not for its first parameter \(\text{Resolution}\).

Cost is a function that returns the cost of the function \(F_{ijk}\) considering a set of values \(\{pv_{jkl1}, \ldots, pv_{jklm}\}\) assigned to its parameters. \(\text{Param}(p, i)\) is a function that returns the value for the parameter \(p\) that is determined by the index \(i\) from the list of possible values for this parameter. So, following with the last example, \(\text{Param}(P_{121}, 1) = 640px\), and \(\text{Cost}(\text{RenderA}, 640px, 1)\) will return the cost of the operation \(\text{Cost}(\text{Render})\) with \(640px\) as the value for the input parameter, if the function \(\text{RenderA}\) is used to perform that operation. As mentioned before, this could be equally used for energy. In that case, the function \(\text{Cost}\) would be Energy and would return the Energy consumed by the execution of the function \(F_{ijk}\).
If we want to optimise execution time or latency, the considered equations are:

\[
\text{Min. : } \max\{s | s = t_k \cdot f_{ijk} \cdot s_{ijk} \forall k \in [1, |T|], \\
\forall j \in [1, |O_k|], \forall i \in [1, |F_j|]\}
\]

\[
\text{s.t. : } L(t_1, \ldots, t_{|T|}) \quad t_i \in \text{Bool} \\
\sum_{i=1}^{n} f_{ijk} = 1 \quad \forall k \in [1, |T|], \forall j \in [1, |O_k|], \forall i \in [1, |F_j|] \\
f_{ijk} \in \{0, 1\} \\
c_{t_i}(T, F, P, V) \quad \forall i \in [1, |CT|] \\
\sum_{m=1}^{nv} f_{p_{ijklm}} = 1 \quad \forall k \in [1, |T|], \forall j \in [1, |O_k|], \forall i \in [1, |PV|], \forall l \in [1, |P_{jk}|], \forall m \in [1, |CT|], \\
f_{p_{ijklm}} \in \{0, 1\} \\
p_{v_{jkl}} = \text{Param}(p_{jkl}, m) \forall p_{jkl} \in P_{jk}, \forall f_{p_{ijklm}} = 1 \\
t_{ijk} = \text{Time}(F_{ijk}, \{p_{v_{jkl}}, \ldots, p_{v_{jkn}}\}) \forall f_{ijk} \in F \\
\] (5)

Where \(\text{Time}\) is a function that returns the execution time of an operation performed by a determinate function. Since these values are used comparatively, the best solution is reached when mean values are considered. In the same way as before, we can substitute the \(\text{Time}\) function for \(\text{Latency}\) to optimise this parameter.

On the other hand, if we want to optimise some measurable aspect related to security, we will have:

\[
\text{Max. : } \min\{s | s = t_k \cdot f_{ijk} \cdot s_{ijk} \forall k \in [1, |T|], \\
\forall j \in [1, |O_k|], \forall i \in [1, |F_j|]\}
\]

\[
\text{s.t. : } L(t_1, \ldots, t_{|T|}) \quad t_i \in \text{Bool} \\
\sum_{i=1}^{n} f_{ijk} = 1 \quad \forall k \in [1, |T|], \forall j \in [1, |O_k|], \forall i \in [1, |F_j|] \\
f_{ijk} \in \{0, 1\} \\
c_{t_i}(T, F, P, V) \quad \forall i \in [1, |CT|] \\
\sum_{m=1}^{nv} f_{p_{ijklm}} = 1 \quad \forall k \in [1, |T|], \forall j \in [1, |O_k|], \forall i \in [1, |PV|], \forall l \in [1, |P_{jk}|], \forall m \in [1, |CT|], \\
f_{p_{ijklm}} \in \{0, 1\} \\
p_{v_{jkl}} = \text{Param}(p_{jkl}, m) \forall p_{jkl} \in P_{jk}, \forall f_{p_{ijklm}} = 1 \\
s_{ijk} = \text{Secur}(F_{ijk}, \{p_{v_{jkl}}, \ldots, p_{v_{jkn}}\}) \forall f_{ijk} \in F \\
\] (6)

Where \(\text{Secur}\) is a function that returns the security level of the aspect considered, provided by a serverless function. Each implementation is assigned a value that will be higher the more secure the function is considered. For example, if we have a function to login and it does not use SSL, the security level could be 1, which would correspond to a low level; in case of using it, we could assign a value of 2, and if, in addition, it uses two-step verification, a value of 3 could be considered, corresponding to a high level of security. In this case, if the system uses an insecure function, the whole system became insecure, so in this optimisation process we will try to use the highest secure level of the aspect considered, for all the functions.
4.5 Function selection

As stated in the introduction, a FaaS application is built based on numerous independent functions handled by a framework. These serverless functions are deployed in containers and called by the application when needed, i.e., they behave as self-managed stateless microservices. These functions, being elements external to the application, can be altered without intervening in its functional behaviour. Therefore, it is possible to change the implementation of a function without modifying or rebuilding the application. So, it is common to have several function implementations that can perform the same operation.

We have implemented our function selection component using REST requests to use this system with different serverless frameworks. REST makes possible a natural integration of this component in FaaS platforms because they are commonly used to call serverless functions. Specifically, these frameworks use a service called API Gateway. Using this service, they receive REST requests of functions from the FaaS application and send them to the implementations of the corresponding functions deployed in containers in the cloud. Our system, programmed in Python, attends to calls made like they would be made to a FaaS framework.

The system receives a generic request and transforms it into a specific request for a function managed by the FaaS framework. For example, suppose we want to perform an operation that compresses an image. In that case, we use a generic name like `Compress` in the code instead of calling a specific function that compresses the image using a particular algorithm. Our system will transform this request into the corresponding call to the FaaS framework so that a compression function implemented using a specific algorithm is executed.

4.6 Requests processing

The system receives the requests from the application, both for functions and adjustment of QoS parameters. When the request is for the execution of a function, in the first step, it processes, if necessary, the input parameters to the function following the configuration obtained by the analysis module and reconstructs the request. The application can avoid assigning these optimal parameters to the function by passing the desired values in the request. In the next step, the processed request is passed directly to the function selector, which will redirect the request to the appropriate implementation deployed by the FaaS framework. On the other hand, the platform admits the entry of requests related to the QoS to which it is desired to adjust the operation of the application. To distinguish feature requests from QoS adjustment requests, the system listens on two different ports that can be previously configured. These requests also follow the REST style and are confirmed in the same way. Once the function is executed, the response of the function is passed directly to the application in response to the execution request. This also occurs in the form of a REST request.

We have considered two possible modes of operation to meet the needs of different types of applications. On the one hand, it is possible to work entirely transparently. In this case, the application that uses our platform must only follow the process described, and it makes QoS requests when needed, and every time it has to perform an operation, it makes a request for a said operation to the system. The developer, therefore, programs the application in the same way as if we were working directly on a FaaS framework. This way, it would also apply to already-built FaaS applications.

On the other hand, it is possible to work interactively. In this mode, the application can query the system for information on the optimal configuration. The operation is the same as we have described, with the addition of requests related to some of the tasks or operations.
Thus, if the application asks for the task $T$, it will be able to know if it is included in the optimal configuration calculated by the analysis module. In this case, the application does not work like a traditional FaaS application since it must interact with the platform.

As part of our approach, a repository contains the list of functions the application can use to perform the operations performed in a task. Each time a new implementation is made for one of these functions, it is only required to add the corresponding information to the repository. Then, this information is automatically integrated into the generated models.

A traditional FaaS application makes function calls through a framework used to implement FaaS. These frameworks use an API Gateway element, through which they receive requests, sending them to the corresponding functions, which are placed in containers in the cloud. The system delivers the execution results to the calling application through this same Gateway. Of course, these systems consist of other elements, such as an orchestrator responsible for deploying and maintaining the containers. Communication with the API Gateway is often done through REST requests, which is why it has also been chosen as the communication mechanism for our system so that it is as similar as possible to working directly with the FaaS framework. The difference is we work with tasks and operations instead of specific functions. So, suppose we want to perform an operation that compresses an image. In that case, we will use a generic name such as Compress instead of calling a function that performs the compression using a specific algorithm. Our system will be the one that makes the appropriate call to the FaaS framework so that a compressing function implemented through a particular algorithm is executed.

5 Case Study

To illustrate our proposal, we use an application to make travel bookings. The system considers that a booking is made of three different elements. Firstly, the hotel reservation, which will be regarded as mandatory for all travel bookings, and, on the other hand, the two possible transportation reservations (that are optional), which may be flight or train reservations. If there is a transportation reservation, the application could choose either of the two to complete the trip reservation. Therefore, in BMPN 2.0 notation, the application workflow (see Figure 3), which represents its functional requirements, will have two gateways. A first gateway indicates that the booking application can perform hotel and transport reservation tasks in parallel. A second gateway shows the two possible alternatives in the transport branch, as shown in Figure 3. The correspondence of this workflow with the associated feature model is trivial. Each task is represented as a feature of the feature model. The parallel, exclusive and inclusive gateways will be modelled as feature relationships of type AND, XOR, and OR, respectively. Thus, AND will represent feature groups with two or more obligatory children, XOR will encompass feature groups with exclusive alternative children, and OR will be feature groups with alternative children. For example, for the tasks in our case study, we would get: Hotel_Book AND ( Flight_Book XOR Train_Book ). As we can see, the system is easily adaptable to the characteristics of the application. For example, if we consider an alternative to the hotel for the accommodation, such as an apartment. Then, it would be enough to modify the model indicating that Hotel Book is not mandatory but optional and integrate it in an XOR branch together with the new Apartment Book task.

Each of these three tasks is performed by carrying out a series of operations, as seen in the task diagrams in Figure 4. In the case of hotel reservations, the best available price for a room is obtained, and subsequently, the reservation is made. Likewise, Train Book and Flight Book must carry out a series of operations to complete the train and flight reservation,
respectively. Each of these operations is performed by a FaaS function corresponding to a function listed in the repository. There are alternative functions to perform each operation, and they are labelled with cost and execution time values. In order to compare results, we have measured these values in the same conditions and stored them in the repository. As can be seen in this example, the system can support the use of additional factors to perform the optimisation, since it is enough to include the values associated with each function in the repository. Thus, in this case, we not only take into account the performance of the functions but we add the cost of the reservation as a factor to take into account. For example, in the case of the Booking operation, we find three alternatives, BookingA, BookingB and BookingC, in which option BookingB is the fastest but at the same time has the highest cost. However, BookingA is slower but has the lowest price. We can model additional restrictions, for example, BookingC implies TicketPurchaseA, which means that if the reservation is made with the BookingC function, it is necessary to purchase the train ticket with the function TicketPurchaseA. In a real case, it can correspond to the condition of making two payments using the same banking service to obtain lower surcharges. For our case study, these constraints are those shown in the upper box of Figure 4.

With the available tasks and functions information, we model the feature models that appear in the central box of Figure 4. These feature models represent all the variability introduced by the tasks and the different implementations of the functions. These feature models are the primary input of an optimisation process that finds the best configuration of the FaaS application meeting restrictions related to the QoS. In the example, the aim is to minimise the response time while restricting the cost, imposing that it must be less than 10. It is necessary to consider that the response time will correspond to that of the slowest branch of the tree, while the cost refers to the set of all tasks performed. The Z3 solver works with the feature model and the above mentioned restrictions to obtain a valid configuration of functions that achieves the desired QoS. In this case, we get a combination of hotel and train reservations. Despite being the fastest, we can see how the BookingB option has not been chosen. In this case, it is because the cost of the system would then exceed ten units in our example.

Using this calculated configuration, our system is ready to receive requests from the application and process them according to it. Thus, when it gets a FindRoom request, it will proceed to call the FindRoomA function through a request to the FaaS framework and return its result to the application.

To illustrate the difference between the two modes of operation (see Figure 5) described in section 4.6, we can think of a trip reservation application in which a hotel and transport, which can be a train or plane, must be reserved, the objective of which is to complete a reservation with the lowest possible cost. If the user chooses hotel and train, the system will
Figure 4 Feature model and configuration generation.
generate an optimal configuration for that combination. However, we can also consider a different behaviour where the user does not select anything. In that case, the system finds the best alternative to reduce the cost as much as possible: hotel and train or hotel and plane combinations. Then, the application must know which of the two transport-related tasks it should perform, the interactive mode described above is then necessary.

6 Experimental results

A series of experiments have been carried out to evaluate the performance of our platform. In addition to the case study, larger workflows and many implemented functions have been considered. The system is programmed in Python v.3.10.4 with Z3 v.4.8.15, and the hardware used is a PC Intel i5-7400, 3.00 GHz, 24 GiB of RAM.

6.1 Case study

Our case study comprises three tasks with two, three and four operations. The number of functions considered is 20, that is, between two and three for each operation. We have carried out 10,000 executions to obtain a reliable average value of the different time measurements. The results show the system is fast for this simple case, getting times less than two milliseconds.

We have considered three aspects to evaluate the performance of the designed system. Firstly, the processing of information on the different QoS aspects related to the functions extracted from the information in the functions repository. Secondly, the generation of the feature model from the workflow and the QoS data calculated in the previous step. And finally, the duration of the optimisation process carried out by Z3. Running our case study resulted in a mean total time of 1.89 milliseconds, a mean QoS data processing time of 0.91 milliseconds, a mean modelling time of 0.45 milliseconds, and a mean optimisation time of only 0.47 milliseconds.
6.2 General performance and scalability

To carry out a study of scalability, it is necessary to ask first what are the acceptable values for the parameters that influence the model’s variability. This is important because the five levels that can be present in our system mean that a slight increment in these parameters supposes a substantial increment in the total number of possible configurations, which can lead to an exponential growth in complexity.

The first level to consider is the number of tasks. However, this will usually not be a very high number, usually less than ten [5] due to the high granularity of these systems, which means that the weight falls mainly on the number of operations performed by the tasks since they are the ones associated with the functions that are executed. At the same time, each operation can be performed by a different function implementation. Also, if a function can admit other parameters, they must be considered. From the point of view of analysis, to prepare a study that does not depend on so many variables and, therefore, can be more easily represented, we will include the effect of the parameters together with the multiplicity of functions. If an operation admits two configurable parameters and each of them can have three possible values, an effect similar to that of multiplying the variability by a factor of 6 can be considered, so if, for example, we have four possible implementations per operation, it would be somewhat comparable to having 24 implementations. This allows us to compare the effects of the diversity of implementations with those of the diversity of parameters and values supporting such functions in the same graph. Therefore, we have included this number when making the measurements, even though we will not usually find such a large number of implementations that perform the same operation. We must consider that the number of implementations of the same function will not usually be significant. An average of 3 could be a reasonable value, considering that not all the operations will have different implementations of functions to perform them.

The tests are performed considering the worst case. It occurs when there are no defined user restrictions or constraints. This is because constraints reduce the variability tree (i.e., the number of possible configurations of the variability model), and complexity decreases. For this test, a value of 100 executions has been chosen to obtain the average values.

As we can observe in the graphic in Figure 6a, total execution times remain low even considering unrealistic values of the parameters that have been adjusted. Although it is observed that the growth of the times is exponential, even in a case with ten tasks, 100 operations per task and 24 functions per operation, the measured time barely exceeds two seconds. For the most common cases, the times are reduced to tens of milliseconds, which is quite a good performance.

Observing each of the times of the different processes that are carried out, we see that the behaviour is similar except in the case of optimisation, in which very similar values of a few milliseconds are obtained. Therefore, the preprocessing and modelling processes are the most time-consuming, with preprocessing slightly above. However, as mentioned, the total times are very short for real cases.

On the other hand, tests have been conducted considering ten operations per task to appreciate the effect of varying the number of functions and tasks. As shown in Figure 6b, the behaviour is practically linear when the number of functions is in this range. If we increase the number of tasks, total times also increase exponentially. However, even with 40 tasks, results remain contained and are lower than two seconds. Again, we see how the measured times are a few tens of milliseconds for more realistic values.
In this paper, we have presented a system that allows applying QoS parameters to a FaaS application. The proposed system uses feature models to model the variability of systems with multiple implementations of functions that can be chosen to perform a specific operation. Our proposal selects the best available functions to achieve a QoS, fulfilling a set of restrictions the user imposes. This will enable developers to make generic function requests, avoiding the need to know each of the implementations and their performance during coding. Thanks to a function repository, we can introduce new implementations of a particular function at runtime. Changing QoS requirements on the fly is also possible by automatically generating a new selection of functions. We plan to use this system to perform self-adaptive tasks based on changing conditions and consider the influence of other parameters external to the application, such as the infrastructure on which functions are deployed, that can also significantly affect QoS.

References


