Report from Dagstuhl Seminar 13021

# Symbolic Methods in Testing

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#### — Abstract -

This report documents the program and the outcomes of Dagstuhl Seminar 13021 "Symbolic Methods in Testing". The aim of the seminar was to bring together leading researchers of this field; the seminary ended up with 38 participants from 10 countries: France, The Netherlands, The Unites States, Germany, Switzerland, United Kingdom, Brazil, Norway, Estonia and Italy. Through a series of presentations, discussions, and working group meetings, the seminar attempted to get a coherent picture of the field, which transcends the borders of applications and disciplines, of existing approaches and problems in formal testing. The seminar brought together, on the one hand, researchers from the different camps and various tools. The main outcome of the seminar is the exchange of information between different groups and the discussion of new trends (parallelization, cloud-computing).

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#### 1 **Executive Summary**

Thierry Jéron Margus Veanes Burkhart Wolff

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Recent breakthroughs in deductive techniques such as satisfiability modulo theories (SMT), abstract interpretation, model-checking, and interactive theorem proving, have paved the way for new and practically effective techniques in the area of software testing and analysis. It is common to these techniques that statespaces, model-elements, program-fragments or automata are represented symbolically making systems amenable to analysis that have formerly been out of reach. Several research communities apply similar techniques to attack the classical problem of state space explosion by using symbolic representation and symbolic execution: parametrized unit testing, fuzz testing, model-based testing, theoremprover based test case generation techniques, and real-time system testing. Moreover, several areas where symbolic methods are used in testing, are often considered more closely related to verification



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and end up in conferences specialized on those topics rather than at testing conferences. There is little synergy between the different communities although many of them use similar underlying symbolic techniques.

In the following areas, symbolic analysis techniques have recently had significant impact, both industrially as well as in academia. The following areas capture some topics of interest for the proposed seminar, assuming focus on the use of symbolic techniques in each area: Unit Testing, Symbolic Automata Theory in Testing, Model Based Testing, Fuzz Testing, Security Testing, Real-time System Testing, Theorem-Prover-based Test-Case Generation, Hybrid System Testing, and Mutation Testing.

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# **3** Overview of Talks

# 3.1 Symbolic methods for efficient mutation testing

Sébastien Bardin (CEA – Gif sur Yvette, FR)

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Automatic white-box test data generation techniques have recently made huge progress, especially through the Dynamic Symbolic Execution (DSE) paradigm. However, these methods are limited to rather basic coverage criteria, typically instruction or decision coverage. On the other hand, mutation is a powerful testing criterion, but it is poorly supported by current automatic testing tools. We present in this talk some ongoing work aiming both at efficiently automating mutation testing and at leveraging DSE to sophisticated coverage criteria. We show that (a subset of) weak mutations can be reduced to predicate reachability, allowing to reuse all the standard machinery developed for software verification in the framework of weak mutations. Especially, we focus on the following issues: automatic test generation – ATG – in order to achieve high mutation score (using DSE and smart instrumentation), automatic mutant-equivalence checking (using static analysis and theorem proving) and efficient computation of the mutation score (again through instrumentation). Especially, for ATG, while a direct instrumentation yields an exponential blowup of the search space, we present a tight instrumentation with only a linear growth of the search space.

# 3.2 Towards symbolic and timed testing with JTorX

Axel Belinfante (University of Twente, NL)

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We describe our model-based testing tool JTorX and the current plans for extensions to improve the support of symbolic and timed testing. JTorX [1, 3] is a tool for online (onthe-fly) test derivation and execution, based on the ioco-theory and its extensions uioco (underspecification), tioco (time) and sioco (data). Tests are derived from models given in Graphml, Aldebaran (.aut), GraphViz, mCRL2, STS-as-XML (.sax) or as a network of timed automata. Alternatively, JTorX interfaces to all models accessible via the LTSmin or CADP tool sets. JTorX accesses models on-the-fly (on demand), which allows it to deal with infinite models, as long as they are finitely branching. It can be used in 2 modes: manual (interactive), or automatic (random). In either mode, an optional test purpose (model to guide test derivation) can be specified. It has built-in adapter support to connect to a model used as system-under-test, and to connect to implementations that interact using labels of the model, over standard input/output, or via TCP. The latter adapter also comes in a time-aware variant. JTorX can be used (in automatic mode) from the command line, or via a GUI. During a test run, the GUI offers visualization of the testing progress in the model, and in a message sequence chart. In addition, the GUI contains a built-in interactive simulator, and a checker (by Lars Frantzen) that checks whether two non-symbolic models are (u)ioco-related. JTorX is used for teaching and for case studies; recent ones include testing of a software bus at Neopost [5], of an X-ray detector at PANalytical, and of railway interlocking

software. For most of above-mentioned formalisms, the model is accessed through a uniform model-access interface, via separate, formalism-specific, exploration components (for a few automaton-based formalisms, support is built-in in JTorX). The model-access interface gives access, not to an LTS, QTS or STS, but to a PTS: a parameterized transition system. A PTS has two kinds of transitions: those labeled with a parameterized label, and those labeled with an instantiation. A parameterized label is like an LTS label, but with parameters (like an open term) and a constraint over the parameters (and possibly, over parameters that have been introduced in an earlier transition on the path from the initial state, and that have not yet been instantiated). An instantiation is a list of parameter-value bindings. The interface allows JTorX to request (1) the (id of the) initial state, and (2) the outgoing transitions of a given a state id, where for each transition a parameterized label and the destination state id are given. Moreover, it allows JTorX to propose an instantiation, to feed back to the model, the concrete parameter values that were used during an interaction with the system under test. When the instantiation succeeds, i.e. when the PTS contains a corresponding transition, the (id of the) destination is given to JTorX. Semantic manipulation of parameterized labels is not done in JTorX, but in the formalism-specific model explorers. When JTorX needs concrete values for the parameters in a parameterized label that it wants to use as stimulus, it obtains those from a formalism-specific instantiator (which can either be part of the formalism-specific model explorer, or a separate tool component). JTorX uses this interface to access models via Lars Frantzen's STSimulator [4], and Henrik Bohnenkamp's timed automata explorer [2]. (An extension to STS imulator has been proposed and implemented in a JTorX variant: lazy-on-the-fly, by David Farago). For timed testing, each label contains a parameter (or, when instantiated, a value) that represents the time interval (or timestamp) at which the corresponding interaction with the SUT has to take (or has taken) place. The time-aware adapter variant takes care of applying stimuli in time, and of time-stamping observations.

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# 3.3 An update on Z3

Nikolaj Bjørner (Microsoft Research – Redmond, US)

The overview talk provides a high-level summary of current directions for the SMT solver Z3 from Microsoft Research and newer applications. These include efficient engines for solving non-linear polynomial arithmetic, checking satisfiability of Horn clauses for symbolic model

checking of software. I will also summarize the main (newer) API features of Z3 and illustrate some of the ways they can be used by applications. Z3 was recently made available as shared source on z3.codeplex.com.

# 3.4 Counterexamples for Isabelle: Ground and Beyond

Jasmin Christian Blanchette (TU München, DE)

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    Joint work of Berghofer, Stefan; Bulwahn, Lukas; Nipkow, Tobias;
    Main reference J.C. Blanchette, L. Bulwahn, T. Nipkow, "Automatic proof and disproof in Isabelle/HOL," in FroCoS 2011, pp. 12–27, LNAI 6989, Springer, 2011.

    URL http://dx.doi.org/10.1007/978-3-642-24364-6_2
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Users of the Isabelle/HOL proof assistant can rely on two counterexample generators to test their conjectures: Nitpick and Quickcheck. Nitpick grounds problems to SAT, but some of its optimizations are symbolic. Quickcheck combines three ground strategies and one symbolic strategy under one roof: random testing, bounded exhaustive testing, mode-based predicate compilation, and narrowing. For future work, we would like to expand the supported HOL fragment of both tools through more symbolic methods.

# 3.5 Model-based Conformance Testing of Security Properties

Achim D. Brucker (SAP Research – Karlsruhe, DE)

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 Main reference A.D. Brucker, L. Brügger, P. Kearney, B. Wolff, "Verified Firewall Policy Transformations for Test-Case Generation," in Third Int'l Conf. on Software Testing, Verification, and Validation (ICST), pp. 345–354, IEEE CS, 2010.
 URL http://www.brucker.ch/projects/hol-testgen

Modern systems need to comply with large and complex security policies (e.g., based on company rules, privacy laws, or regulations such as HIPAA or SOX) that need to be enforced at runtime. These policies are often expressed in declarative languages such as XACML (in case of high-level access control policies) or iptables (in case of firewall policies) and enforced by highly-efficient (and, thus, difficult to implement) enforcement engines. The correct configuration of such systems is highly error-prone, mainly due to their complexity. However, for the overall security both the correct implementation of the enforcement engines as well as their correct configuration is crucial. We are addressing these issues by presenting an approach for the model-based conformance testing of security policies. It is using HOL-TestGen [5, 4], a mode-based testing tool based on an interactive theorem proving environment. In more detail, we present a model-based testing approach encompassing the complete testing process using modular specifications of security policies (e.g., access control policies [2] or firewall policies [1, 3]). The generated test cases can be used for both testing the correctness of the security infrastructure as well as the conformance of its configuration to a high-level security policy. A particular emphasis is put on a partial solution to the scalability problem inherent in model-based policy testing.

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# 3.6 Symbolic Execution for Evolving Software

Cristian Cadar (Imperial College London, GB)

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One of the distinguishing characteristics of software systems is that they evolve: new patches are committed to software repositories and new versions are released to users on a continuous basis. Unfortunately, many of these changes bring unexpected bugs that break the stability of the system or affect its security. In this talk, I describe two techniques based on symbolic execution for testing and verifying evolving software: a technique for automatic and comprehensive testing of code patches, which combines symbolic execution with several novel heuristics based on static and dynamic program analysis; and a technique that combines symbolic execution and crosschecking to test and verify the correctness of optimizations such as SIMD and GPGPU optimizations.

# 3.7 Collaborative Verification and Testing with Explicit Assumptions

Maria Christakis (ETH Zürich, CH)

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 Main reference M. Christakis, P. Müller, V. Wüstholz, "Collaborative Verification and Testing with Explicit Assumptions," in FM 2012: Formal Methods, pp. 132–146, LNCS, 7436, Springer, 2012.
 URL http://dx.doi.org/10.1007/978-3-642-32759-9\_13

Most mainstream static program checkers make a number of compromises to increase automation, improve performance, and reduce both the number of false alarms and the annotation overhead for the programmer. These compromises include not checking certain program properties, and making implicit, unsound assumptions. As a result, static checkers that make such compromises cannot provide definite guarantees about program correctness,

thus rendering unclear which properties remain to be tested. To address this problem, we have proposed a tool architecture that: 1) makes the compromises of static checkers explicit and their verification results precise with a simple language extension that facilitates collaborative verification, i.e., the integration of multiple, complementary static checkers, and 2) reinforces static checking with automated, specification-based testing to make up for any soundness limitations of the upstream checkers. In this context, we have also discussed an approach for testing object invariants.

# 3.8 A Certified Constraint Solver over Finite Domains

Catherine Dubois (ENSIIE – Evry, FR)

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 Joint work of Carlier, Matthieu;Dubois, Catherine; Gotlieb, Arnaud;

 Main reference M. Carlier, C. Dubois, A. Gotlieb, "A Certified Constraint Solver over Finite Domains," in Formal Methods – 18th International Symposium (FM 2012), Vol. 7436 of LNCS: 116–131, 2012, Paris, France.

 URL http://dx.doi.org/10.1007/978-3-642-32759-9\_12

Constraint solvers are often used within verification or testing tools. These tools are complex, implement clever heuristics and thus may contain bugs. When these tools are used for critical software, a skeptical regard on the implementation of constraint solvers especially when the result is that a constraint problem has no solution, i.e., unsatisfiability. We review some state-of-the art solutions allowing more confidence. We present a Coq formalisation of a constraint filtering algorithm and a simple labeling procedure, focing on arc-consistency and bound-consistency. The proof of their soundness and completeness has been completed using Coq. As a result, a formally certified constraint solver written in OCaml (the first one as far as we know) has been automatically extracted from the Coq development. The solver, yet not as efficient as specialized existing (unsafe) implementations, can be used to formally certify that a constraint system is unsatisfiable.

# 3.9 Diagnosis Modulo Theories for Hybrid Systems

Juhan Ernits (Tallinn University of Technology, EE)

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Diagnosis of hybrid systems involves tracking the state of the system on the basis of observations and control input to distinguish nominal behaviour from faulty. If faulty behaviour is encountered the approach proceeds to identify the causes of faults. Consistency-based diagnosis (CBD) is one of possible approaches designed to achieve such goals. In CBD the nominal and faulty behaviour of the system are modelled in terms of constraints present in each state and transitions between those states. To date the models used are mostly discrete, so system variables must be discretised before diagnosis can be performed. We introduce a new approach that uses a satisfiability modulo theories (SMT) solver in the implementation of the conflict directed A\* algorithm – the core of the consistency-based diagnosis procedure. It is thus possible to use constraints from the theories supported by the SMT solver in the model, which is novel in diagnosis and provides a new application for

SMT solvers. The application has become practical only recently due to the integration of efficient non linear arithmetic theory decision procedures into SMT solvers.

# 3.10 Theorem-Prover Based Test Generation for Circus

Abderrahmane Feliachi (Université Paris Sud, FR)

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HOL-TestGen [2] is a theorem-prover based environment for specification and test generation. Starting from a data-oriented (HOL) specification of a system under test, HOL-TestGen automatically derives test cases and test data for this system. Built on top of the Isabelle/HOL theorem prover, it allow for combining test generation tactics with symbolic computations and proof methods in a sound formal way. Since real complex systems combines complex data and behavioral aspects. We introduce, in the basis of Isabelle/HOL, a formal environment [1] for specifying and verifying complex systems. Specifications are written in Circus [3], a combination of Z and CSP, with a well defined and unified semantics. The semantics embedding of the Circus language in HOL is the basis of our environment. It makes it possible to reason on Circus specifications using HOL standard rules and proof methods. This environment is combined with HOL-TestGen, to provide a test generation environment covering complex data and behavioral aspects. Different symbolic representations and computations are used to define and generate tests from Circus specifications. This includes the embedding of symbolic variables, constraints over them and the resolution of these constraints for test data generation. A concrete application of this testing environment on a real system is presented in this talk.

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# 3.11 Off-Line Test Case Generation for Timed Symbolic Model-Based Conformance Testing

Christophe Gaston (CEA – Gif sur Yvette, FR)

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 Joint work of Bannour, Boutheina; Escobedo, Jose Pablo; Gaston, Christophe; Le Gall, Pascale
 Main reference B. Bannour, J. P. Escobedo, C. Gaston, P. Le Gall, "Off-Line Test Case Generation for Timed Symbolic Model-Based Conformance Testing," in Proc. of the 24th Int'l Conf. on Testing Software and Systems, ICTSS 2012, pp. 119–135, LNCS, Volume 7641, Springer.

Model-based conformance testing of reactive systems consists in taking benefit from the model for mechanizing both test data generation and verdicts computation. On-line test case generation allows one to apply on- the-fly analysis techniques to generate the next inputs to be sent and to decide whether or not observed outputs meet intended behaviors. On the other hand, in off-line approaches, test suites are pre-computed from the model and stored under a format that can be later performed on test benches. In this seminar, we presented an off-line approach in two phases: (1) for the test generation part, a test suite is a predefined timed sequence of input data; (2) For the verdict production part, a post treatment is performed based on an analysis of the timed sequence of output data produced by the system under test with respect to the model. Our models are Timed Input Output Symbolic Transition Systems. Therefore, our off-line algorithm involves symbolic execution and constraint solving techniques.

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# 3.12 Distributed and Asynchronous Model Based Testing

Robert M. Hierons (Brunel University, GB)

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Some systems interact with their environment at several physically distributed interfaces, called ports, and when testing such a system it is normal to place a local tester at each port. If the local testers cannot interact with one another during testing and there is no global clock, then each local tester observes only the sequence of inputs and outputs at its interfaces (a local trace). This can make it impossible to reconstruct the global trace that occurred. Similarly, we might not directly observe the input and output of the system under test (SUT) if there is an asynchronous communications channel between the tester and the SUT: the observation of output produced by the SUT is delayed, as is the SUT receiving input from the tester. Both situations lead to some loss of information regarding the sequence of events that the SUT performed and so they change the nature of testing and require us to define new implementation relations [6, 1]. They also affect certain standard testing problems. For example, in distributed testing it is undecidable whether there is a test case that is guaranteed to take a model to a particular state or to distinguish two given states

and this result holds even if we are testing from a deterministic finite state machine [3]. It is also undecidable whether there is a test case that is capable of distinguishing two states [2] and the Oracle problem is NP-hard [5]. There are currently fewer results for asynchronous testing but some problems, such as checking conformance, are known to be undecidable [1]. However, some test generation problems are decidable when there are FIFO channels and we are testing from a finite state model that is not output-divergent (there are no states from which one can take an infinite sequence of transitions without receiving an input) [4].

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# 3.13 Model based conformance testing with ioco/tioco and Symbolic techniques

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Joint work of Jéron, Thierry; Jard, Claude; Jeannet, Bertrand; Rusu, Vlad; Zinovieva, Elena; Bertrand, Nathalie; Stainer, Amélie; Krichen, Moez; Chédor, Sébastien; Morvan, Christophe

This talk reviews some of the works of our group related to automatic test generation for reactive systems in the ioco/tioco testing framework. Starting with the finite state model case, we review the main ingredients of the ioco testing theory. We also generalize ioco to the io-refinement/abstraction pre-order relation. As the io-abstraction relation preserves the soundness of test cases, we show that it is a key for test generation when undecidability questions arise, due to infinity. We recall the principles of off-line test generation using test purposes, in the finite state case. The generation process is based on suspension, determinization and co-reachability analysis. We then extend test generation to infinite state systems, trying to mimic the finite state case. In particular, we focus on symbolic and approximation methods used to overcome problems due to infinite state as well as undecidability problems. First for models with data (IOSTS), limited to the deterministic case (determinization is an issue for this model), we show how abstract interpretation can be used for approximate co-reachability analysis. The consequences for test generation are examined: preservation of soundness and exhaustiveness, but loss of control to target. We then consider the case of timed automata (TAIO) in the general case (with internal actions and non-determinism, invariants for urgency). The principles of approximate determinization using games and symbolic representations by regions or zones, which produces an io-abstraction

of a TAIO, is sketched. On the other side, co-reachability is exact, thanks to the abstract symbolic representation by zones and regions. Overall the test generation process allows to preserve the soundness of test cases, but may lead to a loss of exhaustiveness, stricness and precision only when determinization is not exact. recursion or time (in the tioco extension for real-time systems). Finally, the last (unpresented) part deals with recursive models in the form of recursive tiles systems (RTS), a sort of graph grammars. Determinization of RTSs being an issue, off-line test generation is restricted to a determinizable class, weighted RTSs, while on-line test generation can be applied on the full model. On the other hand, co-reachability is exact in the general case and can be decided on the RTS. Overall, the properties of soundness, exhaustiveness, strictness and precision of test cases are preserved in both cases.

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# 3.14 Using State Infection Conditions to Detect Equivalent Mutants and Speed up Mutation Analysis

René Just (University of Washington – Seattle, US)

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Joint work of Just, René; Ernst, Michael D.; Fraser, Gordon

Main reference R. Just, M.D. Ernst, G. Fraser, "Using State Infection Conditions to Detect Equivalent Mutants and Speed up Mutation Analysis," arXiv:1303.2784v1 [cs.SE].

URL http://arxiv.org/abs/1303.2784

Mutation analysis evaluates test suites and testing techniques by measuring how well they detect seeded defects (mutants). Even though well established in research, mutation analysis is rarely used in practice due to scalability problems — there are multiple mutations per code statement leading to a large number of mutants, and hence executions of the test suite. In addition, the use of mutation to improve test suites is futile for mutants that are equivalent, which means that there exists no test case that distinguishes them from the original program. This paper introduces two optimizations based on state infection conditions, i.e., conditions that determine for a test execution whether the same execution on a mutant would lead to a different state. First, redundant test execution can be avoided by monitoring state infection conditions, leading to an overall performance improvement. Second, state infection

conditions can aid in identifying equivalent mutants, thus guiding efforts to improve test suites.

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# 3.15 A Symbolic Approach to Model-based Online Testing

Marko Kaeaeramees (Tallinn University of Technology, EE)

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Joint work of Kääramees, Marko;
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Main reference M. Kääranees, 'A Symbolic Approach to Model-based Online Testing,' PhD thesis, 2012. Tallinn University of Technology, Department of Computer Science, Estonia.

URL http://digi.lib.ttu.ee/i/?806

Non-deterministic control structures and data components provide a powerful means of abstraction for high level modelling of complex systems, at the expense of making automated test generation more challenging. Online model- based testing where test inputs are computed from the model and outputs fed back to the tester at the time of testing provides an approach where testing non-deterministic systems is possible. One of the restrictions to more widespread use of online model-based testing is the relatively high omputational overhead at runtime. We introduce an approach that addresses the computational overhead issue of online testing by pre-computation of test strategies based on the model and a formally specified test purpose. The proposed method allows the model of the IUT to be formalised as an Extended Finite State Machine over different first- order background theories. Both reachability and coverage oriented test purposes can be expressed using constraints attributed to edges of the model, called traps. We show how a testing strategy can be represented symbolically by a set of constraints and generated from the model and test purpose offline using symbolic backwards reachability analysis. The strategy can be used in online testing for efficient test input generation that guides the IUT towards fulfilment of the test purpose. The method is supported by the latest achievements of Satisfiability Module Theories (SMT) solver technology.

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# 3.16 Critical Systems Development Methodology using Formal Techniques

Dominique Méry (LORIA – Nancy, FR)

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 Joint work of Méry, Dominique; Singh, Neeraj, Kumar;

 Main reference
 D. Méry, N.K. Singh, "Critical systems development methodology using formal techniques," in Proc. of the 3rd Symp. on Information and Communication Technology (SoICT'12), pp. 3–12, ACM, 2012.
 URL http://hal.inria.fr/hal-00747305/
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Formal methods have emerged as an alternative approach to ensuring the quality and correctness of the high confidence critical systems, overcoming limitations of the traditional validation techniques such as simulation and testing. We present a methodology for developing critical systems from requirement analysis to automatic code generation with standard safety assessment approach. This methodology combines the refinement approach with various tools including verification tool, model checker tool, real-time animator and finally, produces the source code into many languages using automatic code generation tools. This approach is intended to contribute to further the use of formal techniques for developing critical systems with high integrity and to verify complex properties, which help to discover potential problems. Assessment of the proposed methodology is given through developing a standard case study: the cardiac pacemaker. Further work remain to start for improving the development cycle by integrating testing phase for validating elements of the medical domain on the resulting system, namely the pacemaker.

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#### 3.17 **Testing Real-time Systems under Uncertainty**

Brian Nielsen (Aalborg University, DK)

License Creative Commons BY 3.0 Unported license Brian Nielsen Joint work of Nielsen, Brian; David, Alexandre; Larsen, Kim Guldstrand; Li, Shuhao; Mikucionis, Marius A. David, K.G. Larsen, S. Li, M. Mikucionis, B. Nielsen, "Testing Real-Time Systems under Main reference Uncertainty," in Proc. of 9th Int'l Symp. on Formal Methods for Components and Objects (FMCO'10), pp. 352–371, LNCS, Vol. 6957, Springer, 2012. URL http://dx.doi.org/10.1007/978-3-642-25271-6\_19

Model-based testing is a promising technique for improving the quality of testing by automatically generating an efficient set of provably valid test cases from a system model. Testing embedded real-time systems is challenging because it must deal with timing, concurrency, processing and computation of complex mixed discrete and continuous signals, and limited observation and control. Whilst several techniques and tools have been proposed, few deals systematically with models capturing the indeterminacy resulting from concurrency, timing and limited observability and controllability. This paper proposes a number of model-based test generation principles and techniques that aim at efficient testing of timed systems under uncertainty.

#### 3.18 Identifying suspicious values in programs with floating-point numbers

Michel Rueher (Université de Nice, FR)

License 🙃 Creative Commons BY 3.0 Unported license O Michel Rueher Joint work of Rueher, Michel; Ponsini, Olivier; Michel, Claude Main reference O. Ponsini, C. Michel, M. Rueher, "Refining abstract interpretation based value analysis with constraint programming techniques," in Proc. of CP 2012, LNCS, Vol. 7514, pp. 593-607, Springer, 2012

URL http://dx.doi.org/10.1007/978-3-642-33558-7 43

Programs with floating-point computations control complex and critical physical systems in various domains such as transportation, nuclear energy, or medicine. Floating-point computations are an additional source of errors and famous computer bugs are due to errors in floating-point computations. Value analysis is often used to check the absence of run-time errors, such as invalid integer or floating-point operations, as well as simple user assertions. Value analysis can also help with estimating the accuracy of floating-point computations with respect to the same sequence of operations in an idealized semantics of real numbers. Existing automatic tools are mainly based on abstract interpretation techniques. For instance, a state-of-the-art static analyser like FLUCTUAT computes an over-approximation of the domains of the variables for a C program considered with semantics on real numbers. It also computes an over- approximation of the error due to floating-point operations at each program point. However, these over-approximations may be very coarse even for usual programming constructs and expressions. As a consequence, numerous false alarms may be generated. We introduce here a hybrid technique -called RAiCP- for value analysis of floating- point programs that combines abstract interpretation and constraint programming techniques. We show that constraint solvers over floating-point and real numbers can significantly refine the over-approximations computed by abstract interpretation. Experiments show that RAiCP is substantially more precise than FLUCTUAT, especially on C programs that are difficult to handle with abstract interpretation techniques. This is mainly due to the refutation

capabilities of filtering algorithms over the real numbers and the floating-point numbers used in RAiCP. Reducing these two over-approximations is a critical issue for program testing since it shrinks the set of suspicious values that have to be tested. RAiCP could also eliminate 13 false alarms generated by FLUCTUAT on a set of 57 standard benchmarks proposed by D'Silva et al to evaluate CDFL, a program analysis tool that embeds an abstract domain in the conflict driven clause- learning algorithm of a SAT solver. Moreover, RAiCP is on average at least 5 times faster than CDFL on this set of benchmarks.

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# 3.19 Pex4Fun: Serious Gaming powered by Symbolic Execution

Nikolai Tillman (Microsoft Research – Redmond, US)

Pex4Fun (http://www.pex4fun.com/) is a web-based serious gaming environment for learning and teaching programming. With Pex4Fun, a student edits code in any browser, supported by auto-completion. Pex4Fun then executes the code and analyzes it in the cloud. The analysis is based on the automated test generator Pex which uses symbolic execution and the SMT solver Z3 to generate high coverage test suites and find counterexamples to assertions. In particular, Pex4Fun finds interesting and unexpected input values that help students understand what their code is actually doing. The real fun starts with Coding Duels where students write code to implement a teacher's specification. Pex4Fun finds discrepancies in behavior between the student's code and the specification. The student wins when Pex4Fun cannot find any behavioral differences. This tutorial will discuss the technologies that lie beneath the Pex4Fun platform, show how to use Pex4Fun in teaching and learning, explore existing course materials and illustrate how to create new puzzles.

# 3.20 Symbolic Automata

Margus Veanes (Microsoft Research – Redmond, US)

The symbolic automata toolkit lifts classical automata analysis to work modulo rich alphabet theories. It uses the power of state-of-the-art constraint solvers for automata analysis that is both expressive and efficient, even for automata over large finite alphabets. The toolkit supports analysis of finite symbolic automata and transducers over strings. It also handles transducers with registers. Constraint solving is used when composing and minimizing automata, and a much deeper and powerful integration is also obtained by internalizing automata as theories.

# 3.21 Using Interpolation for Test-Case Generation for Security Protocols

Luca Vigano (Università di Verona, IT)

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Interpolation has been successfully applied in formal methods for model checking and test-case generation for sequential programs. Security protocols, however, exhibit such idiosyncrasies that make them unsuitable to the direct application of such methods. In this paper, we address this problem and present an interpolation-based method for test-case generation for security protocols. Our method starts from a formal protocol specification and combines Craig interpolation, symbolic execution and the standard Dolev-Yao intruder model to search for goals (i.e., test cases representing possible attacks on the protocol). Interpolants are generated as a response to search failure in order to prune possible useless traces and speed up the exploration. We illustrate our method by means of two concrete examples.

# 3.22 Paths to property violation: a structural approach for analyzing counter-examples

Hélène Waeselynck (LAAS – Toulouse, FR)

At Airbus, flight control software is developed using SCADE formal models, from which 90% of the code can be generated. Having a formal design leaves open the possibility of introducing model checking techniques. But, from our analysis of cases extracted from real software, a key issue concerns the exploitation of counterexamples showing property violation. To address this issue, we propose an automated structural analysis that identifies paths of the model that are activated by a counterexample over time. This analysis allows us to extract minimal information to explain the observed violation. It is also used to guide the model checker toward the search for different counterexamples, exhibiting new path activation patterns. The approach is closely related to path-based analysis techniques developed for testing purposes.

# 3.23 An Introduction to Model-based Testing with Isabelle/HOL-TestGen

Burkhart Wolff (Université Paris Sud, FR)

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 Main reference A.D. Brucker, B. Wolff, "On Theorem Prover-based Testing," Accepted the 07-08-2011. In Formal Aspects of Computing.
 URL http://dx.doi.org/10.1007/s00165-012-0222-y

Techniques for the automated generation of test-cases – be it from specifications in form of pre- and postconditions, from transition systems or from annotated programs – suffer from state-space explosion similarly to model-checking techniques. One possible anwer to the challenge is to use symbolic representations of models, their normal forms, symbolic states, the resulting test-cases (partitions of test-data) and constraint-solving techniques for test-data selection. HOL-TestGen is a model-based test-generation environment based on the Theorem-proving based approach. In this talk, we will give an introduction into architecture, accommodation scenarios for a wide range of testing problems ranging from unit, sequence, reactive testing scenarios, and present an overview over major case-studies done with the system. This introduction was accompanied by a tutorial available from the material website.

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# 3.24 Dijkstra's Verdict Considered Harmful

Burkhart Wolff (Université Paris Sud, FR)

Dijkstra's Verdict "Testing can only show the absence of errors, not the presence" has been very influential and even more misleading in the scientifico-political debate between modelchecking, testing and deductive verification communities. Having seen too many Phds using Dijkstra's Verdict in the introduction to motivate their model-checking and proof-based verification tool in a hopelessly exaggerating way, denying the importance of testing and experimentation, I recall that no approach can guarantee the absence of bugs. In contrast, the danger of uncritical application of verification tools (based on complex memory models, implicit methodological assumptions, etc) is very real. In particular, deductive methods can in practice NOT guarantee the absence of errors in programs, as far as they are written in real programming languages and run on real machines. Strictly speaking, it can not even be safely argued that deductive methods are BETTER than testing methods, since the underlying assumptions are incomparable.

# 3.25 Online Verification of Value-Passing Choreographies through Property-Oriented Passive Testing

Fatiha Zaïdi (Université Paris Sud, FR)

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 Joint work of Huu-Nghia, Nguyen; Pascal, Poizat; Fatiha, Zaïdi
 Main reference Huu Nghia Nguyen, P. Poizat, F. Zaïdi, "Online Verification of Value-Passing Choreographies through Property-Oriented Passive Testing," in Proc. of the 14th IEEE Int'l High Assurance Systems Engineering Symposium (HASE 12), pp. 106–113, IEEE, 2012.
 URL http://dx.doi.org/10.1109/HASE.2012.15

Choreography supports the specification, with a global perspective, of the interactions between roles played by peers in a collaboration. Choreography conformance testing aims at verifying whether a set of distributed peers collaborates wrt. choreography. Such collaborations are usually achieved through information exchange, thus taking data into account during the testing process is necessary. We address this issue by using a non-intrusive passive testing approach based on functional properties. A property can express a critical (positive or negative) behaviour to be tested on an isolated peer (locally) or on a set of peers (globally). We support online verification of these kind of properties against local running traces of each peer in a distributed system where no global clock is needed. Our framework is fully tool supported.

# 3.26 Symbolic Model-Based Testing of Real-Time Systems using SYMBOLRT

Wilkerson de Lucena Andrade (Federal University of Campina Grande, BR)

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The state space explosion problem is one of the challenges to be faced by test case generation techniques, particularly when data values need to be enumerated. This problem gets even worse for Real-Time Systems that also have time requirements. The usual solution consists in enumerating data values (restricted to finite domains) while treating time symbolically, thus leading to the classical state explosion problem. We propose a symbolic model for conformance testing of real-time systems software named TIOSTS that addresses both data and time symbolically [1, 3]. Moreover, a test case generation process was defined to generate tests through TIOSTS models based on a combination of symbolic execution and constraint solving for the data part and symbolic analysis for timed aspects. All the process is supported by the SYMBOLRT tool [2]. SYMBOLRT is a model-based test generation tool developed to automatically generate test cases from symbolic models in the context of real-time systems. SYMBOLRT handles both data and time requirements symbolically, avoiding the state explosion problem during the test case generation.

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# 3.27 High-performance Analysis and Symbolic Online Test Generation

Jaco van de Pol (University of Twente, NL)

This overview talk consists of three parts: (1) A description of the LTSmin toolset for high-performance analysis, based on symbolic and multi-core model checking. The basis for the multi-core algorithms is a concurrent hashtable, with a very nice measured speedup on multi-core machines. Selecting one particular algorithm, I explain a parallel NDFS algorithm for LTL model checking. The initial variant had a small error, which was really hard to find. This work could be connected to research in symbolic testing in two directions: (a) a challenge for testing: could the error above be found by (symbolic) testing? (b) LTSmin could be used for high-performance test algorithms, e.g. determinisation, strategy synthesis, etc. (2) I shortly review my previous work in testing. First, we implemented simulated time

in TTCN-3 and applied it to model based testing of Railway Interlocking systems. Next, we proposed a symbolic online test generation algorithm, along the following lines:

- compute a data abstraction of the system (e.g. by homomorphism)
- generate an abstract test case towards some test purpose goal
- infer data parameters by constraint solving
- follow the test path for a while; re-plan when you cannot continue.
- (3) Finally, I believe that the following themes are both challenging and promising as future work:
- developing robust black-box coverage criteria for symbolic testing
- optimal test case generation as strategy synthesis from a test game

As a closing remark: An important methodological issue concerning all research on testing is: What is a common yardstick to evaluate progress in testing research?

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# 3.28 A Conformance Testing Relation for Symbolic Timed Automata

Sabrina von Styp (RWTH Aachen, DE)

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© Sabrina von Styp Main reference Sabrina von Styp, Henrik Bohnenkamp, and Julien Schmaltz. A Conformance Testing Relation for Symbolic Timed Automata. In Proc. FORMATS 2010. pages 243-255. Volume 6246 of LNCS. Springer-Verlag, 2010.

We introduce Symbolic Timed Automata, an amalgamation of symbolic transition systems and timed automata, which allows to express nondeterministic data- dependent control

flow with inputs, outputs and real-time behaviour. In particular, input data can influence the timing behaviour. We define two semantics for STA, a concrete one as timed labelled transition systems and another one on a symbolic level. We show that the symbolic semantics is complete and correct w.r.t. the concrete one. Finally, we introduce symbolic conformance relation stioco, which is an extension of the well-known ioco conformance relation. Relation stioco is defined using FO-logic on a purely symbolic level. We show that stioco corresponds on the concrete semantic level to Krichen and Tripakis' implementation relation tioco for timed labelled transition systems.

# 4 Working Groups

# 4.1 Working Group Report: Towards a Competition in Model-based testing

Burkhart Wolff (Université Paris-Sud, FR)

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The relative success of Tool- or Modeling competitions à la VSTTE or SMT- Competitions raises the question if the MBT community should develop a similar institution for its field. Competitions tend to reward otherwise neglected tool development, give indications on the technical state-of-the-art, and produce a strong feedback for choices in the foundational theories. We discuss certain scenarios and present a strategy that seems most appropriate to our field, which has the problem that *modeling* is a key issue and therefore a plethora of different modeling-languages make a simple comparison of solutions difficult. First, we identified a number of scenarios:

- 1. The "Archive Scenario" (Woodcock's FM Archive) ...
- 2. The "Grand Challenge Scenario" (The production cell scenario, MONDEX case study, etc.)
- 3. Open Format Competition à la VSTTE (open format, co-loc, Jury, fixed time)

4. Fixed Format Competition à la SMT and Casc (fixed format, co-location with a Conf.) In principle, these scenarios sketch already a progression, and a strategy towards a competition. The latter should pave the path to a Modeling Competition a la VSTTE, where informal specifications of small problems were given, and hard time constraints for solutions were required by participating teams. Evaluation of solutions and tools by were performed by a Jury. The challenge for really setting this up consists essentially finding in a notable jury that sets up a call-for-paper, a prestigious prize and a reasonable conference to co-locate with ...

# 4.2 Working Group Report: Proof and Test

Cathérine Dubois (Université Évry, FR), Burkhart Wolff (Université Paris-Sud, FR)

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Test and proofs seem to have complementary properties: while (formal) proofs are based on formal manipulation / computation, prove properties over models "once and for all", relate

models by logically complete arguments, testing is traditionally seen as experimentation with the goal to finding bugs, which can relate models with concrete systems, but are inherently incomplete.

The complementary characteristic is sometimes expressed as: Beware of bugs in the above code; I have only proved it correct, not tried it. Given that modern deductive verification tools make a lot of assumptions over memory model, machine-model, and methodology, the risk that a formally proven program is still buggily executed on a concrete machine is very real (see the nice example on Maria Christakis Slides presented here). The validation of models and system assumptions is therefore a necessary complement to deductive verification.

Over the tombstones of this old debate, there is in research communities the growing consensus that there is a lot of common ground between these two and that they complement each other. Testing can help Proof by:

- MBT for model validation,
- counter example finding and checking,
- using testing techniques on executable models,
- testing for verifying assumptions for proof tools,

....

Proof can contribute to testing :

- use prover for test-case generation,
- proof to pruning test objectives,
- basic technology: constraint solving, SMT's,
- mature interactive formal development environments (such as Isabelle),

•

Quality in software development (and, by the way, high-level certifications such as Common Criteria) require a clever combination of static analysis, proving, testing, model checking, constraint solving, reviewing ...

#### References

1 B. Wolff. Using Theorem Provers for Testing. https://www.lri.fr/~wolff/talks/ UsingTheoremProversforTesting.pdf Invited Talk at the Seminary of the Digiteo Foundation http://www.digiteo.fr/-seminaires-digiteo-, Paris, 20 march 2013.

# 4.3 Working Group Report: Testing and the Cloud

Wolfgang Grieskamp (Google, Seattle, US), Burkhart Wolff (Université Paris-Sud, FR)

Cloud computing is a major trend in computing, both as a technological development as well as a scientific endeavor. With respect to testing, this trend generates to directions or research:

- 1. *Testing the Cloud*: How to adapt testing techniques to the new hybrid, highly distributed, highly virtualized infra-structures that become part of our world-wide IT infra-structure?
- 2. *Testing with the Cloud*: How can the massive computing power inherent in these new infra-structures be effectively used for old and new testing techniques?

With respect to the former question, we agreed that old problems are coming back big: the problems of scalability of techniques, the problem of isolation of components under test from the environment, the security and privacy problems as well as the problems of test configuration and deployment. However, some new aspects also pop up: the monitoring makes

runtime verification ("live" testing) easier and opens new ways on the virtualization level. The dynamics makes clusters of discrete systems increasingly continuous, which increases the need for symbolic methods. On the other hand, cloud computing makes the billing, concretely: the cost of testing, more explicit. In the cloud, cost a factor of availability, and production typically needs 99% availability. Here testing has an advantage over production: test departments can get away with perhaps 75% availability (which can be substantially cheaper).

Wrt. the latter question, we observe the phenomenon that more than two orders of magnitude or more of affordable computing power becomes available to testing — which can have an impact to methodology (less brain-power, more brute force ?). The other concern is, that the new infra-structures will not necessarily be *accessible* to everyone, it is a new era of company-owned computing centers, possibly a post-PC era. Further interest attracted the question, what type of testing techniques are, generally speaking, "cloudifiable". This is the case if they follow the map-reduce pattern, i.e.

- inexpensive pre-computation splitting the problem in a fixed number of independent sub-problems
- sub-problems executed on different machines, where communication via remote procedure calls is possible occurring rare in practice, and

results can be collected easily and a potential start over to re-computation is possible.

This is the case for online model-based testing (symbolic or not), model-checking (some approaches already exist), concolic execution, and load testing.

# 4.4 Working Group Report: Machine Learning and Testing

Margus Veanes (Microsoft Research, Redmond, USA), Juhan Ernits (Tallinn University of Technology, Estonia)

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Testing of complex systems, such as the behavior of a *quad copter* that is a real-time system controlled by hand gestures recognized through Kinect, can be very challenging. The 20 second youtube video  $clip^1$  illustrates some aspects of what may go wrong and clearly raises questions as to how to best test such systems. There are several reasons for why testing of robotics and augmented reality applications is difficult in general and raises the difficulty bar compared to traditional approaches to testing:

- How do we define the test oracle for the system?
- How do we generate tests for the system?
- How do we measure coverage, i.e., when have we tested enough?

One observation we can make about the concrete scenario in the video is that, at some point, the intended gesture that was supposed to lower the copter was misinterpreted, and instead, the copter flew up. We know that the Kinect sensor uses *decision trees*<sup>2</sup> to detect body parts and to recognize gestures. Hypothetically, the flaw that caused the wrong behavior was in the logic of the decision tree. The decision tree has been constructed after *machine learning* 

<sup>&</sup>lt;sup>1</sup> https://www.youtube.com/watch?v=OQnRA6wZM-A

<sup>&</sup>lt;sup>2</sup> http://dx.doi.org/10.1109/FPL.2012.6339226

of intended gestures for the given task. The question that is central to the theme of this seminar is:

Could symbolic testing techniques be applied to decision tree classifiers in order to improve their accuracy?

This question is very interdisciplinary. The relation between the three fields of research: *testing, symbolic methods*, and *machine learning* is quite interesting and is illustrated in the following figure:

Machine Learning and Testing

# Disclaimer: not exhaustive Testing Dagstuhl seminar 13021 et al Andreas Zeller, et al Learning automata Test data generation ... Symbolic Methods Static Analysis 2011 ... Machine Learning

Abtractly, a machine learning algorithm produces a classifier, e.g., in form of a decision tree, that can be characterized as a function  $F: X_1 \times \cdots \times X_n \to Y$  where elements of  $X_i$  are inputs to the classifier (e.g. 2D coordicates). The output value is the value depending on the inputs, typically Y is finite and is for example the shape of the detected skeleton. There are at least two different uses of classifiers in the context of testing.

- Classifier itself is the system under test (SUT).
- Classifiers are used as test data generators for the SUT (e.g. the SUT is the copter in the above scenario).

There are several open research questions related to the above uses.

- How could a white box approach be used, e.g., can a decision tree be treated as a white box?
- How could approximate distance based methods be used for data generation?
- Can one make minimal modification to fail classifiers?<sup>3</sup>

There are many more open questions. For eaxmple, could mutation based testing be used to improve classifiers? One can also apply other symbolic approaches such as: predicate extraction for explaining class-boundary conditions, and precondition and postcondition checking, or invariant checking such as: "In a similar domain every *even* input is classified as class-1, is it true for this classifier?".

<sup>&</sup>lt;sup>3</sup> See for example CV Dazzle: http://cvdazzle.com/.

# 5 Programme

#### Programme for Monday 7th of January:

09:00-09:30: Margus Veanes: Welcome Talk of the Organizers

09:30–10:30: Nikolaj Bjørner: An update on Z3: Features and Applications

 $10{:}30{-}11{:}00{:}\mathrm{Coffee}$ Break

11:00–12:00: Elevator Pitch Sessions: Position Statements, Perspectives, New I deas, Value Propositions  $\ldots$ 

12:00-14:00:Lunch

14:00-15:30 :Logic in Testing

– L.Viganó:Using Interpolation for Test-Case Generation for Security Protocols

- B. Wolff: An Introduction to Model-based Testing with Isabelle/HOL-TestGen

– J. v.d. Pol: Formal Methods and Tools: Testing U Twente

15:30-16:00:Coffee Break 16:00-17:30:Symbolic IOCO

- T. Jéron: Model based conformance testing with iocotioco and Symbolic techniques

– C. Gaston: Symbolic execution for Off-line Test Case Generation For Timed Model-Based Testing

#### Programme for Tuesday 8th of January:

09:00–10:30:Symbolic Testing involving Time

– A. Belinfante: Towards symbolic and timed testing with JTorX

- S. v. Styp: A Conformance Testing Relation for Symbolic Timed Automata

– W. Andrade: Symbolic Model-Based Testing of Real-Time Systems using SYMBOLRT 10:30–11:00:Coffee Break

11:00–12:00: N. Tillmann: Pex4Fun: Serious Gaming powered by Symbolic Execution 12:00–14:00: Lunch

14:00–15:30: Combined Process & Data Testing

– F. Zaidi: Online Verification of Value-Passing Choreographies through Property-Oriented Passive Testing

– A. Feliachi: Theorem-Prover Based Test Generation for Circus

– Discussion

 $15{:}30{-}16{:}00{:}\mathrm{Coffee}~\mathrm{Break}$ 

16:00–17:30: Counter-Example Generation

– J. Blanchette: Counterexamples for Isabelle: Ground and Beyond

– H. Waeselynck: Paths to property violation: a structural approach for analyzing counter-examples

– Discussion

#### Programme for Wednesday 9th of January:

9:00–10:30: Alternative Approaches

- M. Christakis: Collaborative Verification and Testing with Explicit Assumptions

– J. Ernits: Diagnosis Modulo Theories

– M. Kaeaeramees: A Symbolic Approach to Model-based Online Testing Coffee

11:00–12:00: A. Brucker, L. Brügger: Tutorial: Model-based Testing of Security-critical Systems

12:00: Dagstuhl Foto

12:00–14:00 Lunch 14:00–14:30: D. Molnar: SAGE 15:00–21:00 Excursion to Saarburg Winery

# Programme for Thursday 10th of January:

09:00–10:00: Mutation Testing

- S. Bardin: Symbolic methods for efficient mutation testing

– R. Just: Using State Infection Conditions to Detect Equivalent Mutants and Speed up Mutation Analysis

10:00–10:30 2nd elevator pitch sessions

Coffee

11:00–12:00: Group session : 4 Groups

- Should there be Tool Competition or an Archive on MBT
- Proof and Test
- Machine Learning and Testing
- Testing in and of the Cloud

14:30-15:30:

– D. Mery: Critical Systems Development Methodology

– B. Nielsen: Testing real-time systems under uncertainty

Coffee

16:00-17:30

– C. Cadar: KATCH: High-Coverage Testing of Software Patches

– M. Veanes: Symbolic automata

# Programme for Friday 11th of January:

09:00-10:00

– C. Dubois: A Certified Constraint Solver over Finite Domains.

– M. Rueher: Combining AI & CP to identify suspicious values Coffee Break

10:30–12:00: Summary of groups (1h) 10mn/groups

- B. Wolff: Should there be Tool Competition or an Archive on MBT
- C. Dubois: Proof and Test

– J. Ernits: Machine Learning and Testing

– W. Grieskamp: Testing in and of the Cloud Discussion

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