



# Formalizing, Mechanizing, and Verifying Class-Based Refinement Types (Artifact)

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## — Abstract —

This is the artifact description of an ECOOP paper. A new expressive formalization of class-based refinement types is proposed in the paper. We enrich the formalization by analyzing its meta-theory

and algorithmic verification. The meta-theory and algorithmic verification have been mechanized and implemented. We discuss details of the mechanization and implementation in this document.

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**Evaluation Policy** The artifact has been evaluated as described in the ECOOP 2024 Call for Artifacts and the ACM Artifact Review and Badging Policy.

## 1 Scope

This artifact contains the Coq mechanization of a class-based refinement type calculus (named RFJ), as well as the Python implementation of a type checker of the calculus. We claim that calculus enjoys the metaproperty of type soundness and logical soundness. We also claim that calculus can be implemented as an efficient type checker, which is able to check many interesting example programs.

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## 2 Content

This artifact contains two main components, the Coq mechanization and the Python Implementation.

**Coq Mechanization.** The mechanization is in the `/home/ecoopsub/Desktop/refpy` folder. We list the structure of the mechanization, which contains about 15K lines of Coq code:

1. Definitions (3K): language definitions as presented in Section 3 of the paper.
2. Lemmas (11K):
  - a. Basic Lemmas (5K): miscellaneous lemmas concerning basic operations, semantics, and class/interface definitions (some of which are listed in Section 4.1).
  - b. Logical Lemmas (2K): lemmas concerning the logical interpretation (c.f., Section 4.2).
  - c. Typing Lemmas (4K): basic, structural, and crucial lemmas of typing (c.f., Section 4.3).
3. Theorems (1K): type and logical soundness theorems (c.f., Sections 4.4 and 4.5).

To ensure that the calculus and meta-theoretical development described in the paper is actually the one mechanized in Coq. We give a correspondence between the calculus definition and lemmas/theorems and the Coq mechanization.

Definitions (Section 3):

- Figure 3 Left (Syntax): Definition/Syntax.v
- Figure 3 Right and Figure 6 (Subtyping and Logics): Definition/SubDenotation.v
- Figure 4 (Auxiliary definitions): Definition/Semantics.v, CTSanity.v
- Figure 5 (Small-step semantics of RFJ): Definition/Semantics.v
- Figure 7 (Typing relations of RFJ): Definition/Typing.v, CTSanity.v

Meta-theory (Section 4):

- Lemma 3: Lemmas/BasicLemmas/LemmasTypeSubstitution.v: tsubBV\_invariant and tsubBV\_invariant'
- Lemma 4: Lemmas/BasicLemmas/LemmasBigStepSemantics.v: evals\_invariant and evals\_invariant', EvalsTo\_BStepEval and BStepEval\_EvalsTo
- Lemma 5: Lemmas/BasicLemmas/LemmasExactness.v: exact\_eval
- Lemma 6: Lemmas/BasicLemmas/LemmasExactness.v: exact\_type
- Lemma 7: Lemmas/LogicalLemmas/LemmasDenotesTyping.v: typing\_denotes
- Lemma 8: Lemmas/LogicalLemmas/LemmasDenotesTyping.v: denotes\_typing
- Lemma 9: Lemmas/TypingLemmas/LemmasNarrowing.v: INarrow, narrow\_subtyp', narrow\_typ'
- Lemma 10: Lemmas/TypingLemmas/LemmasSubstitutionTyping.v: ISub2, subst\_subtype2', subst\_typ2
- Lemma 11: Lemmas/TypingLemmas/LemmasWeakenTyp.v: IWeak, weaken\_subtype', weaken\_typ'
- Lemma 12: Lemmas/TypingLemmas/Preservation\_Progress.v: progress'
- Lemma 13: Lemmas/TypingLemmas/Preservation\_Progress.v: preservation'
- Lemma 14: Lemmas/TypingLemmas/Closing\_Substitution.v: closing\_substitution
- Corollary 15: Theorems/TypeSoundness.v: type\_soundness
- Corollary 16: Theorems/LogicalSoundness.v: logical\_soundness
- Theorem 17: Theorems/LogicalSoundness.v: logical\_soundness\_closed

In the list above, the underlined words are the lemma/theorem names in the code.

**Python Implementation.** The implementation is in the `/home/ecoopsu/Desktop/refpy` folder. The Python implementation of the calculus has a straightforward structure as an AST traverser, which performs basic type checking and SMT constraint collecting. The `SMT.py` file implements the SMT theory described in Section 5 of the paper.

We give a correspondence between the items listed in Figure 1 with the Python examples in the `ref_test` folder, with the number in parentheses showing its order in `runtests.py`.

- `pizza`: `pizza.py` (Example #1)
- `pizza_visitor`: `a_little_Java/lesson5_objects_pizza.py` (Example #6)
- `tree`: `a_little_Java/lesson7_overloadingAndgenericVisitor_tree.py` (Example #8)
- `geometry`: `a_little_Java/lesson9_dataExtensionAndfactory_geometry.py` (Example #10)
- `list`: `list.py` (Example #11)
- `lambda calculus`: `lambda.py` (Example #12)
- `stlc`: `stlc.py` (Example #13)

To check all the 14 examples together, use the `runtests.py` script by running “python3 `runtests.py`” at the root of “refpy” folder, which should print the log (checked constraints, overall time cost) to the terminal. For the negative version of the 14 examples, please use “python3 `runtests_negative.py`”, which should find out several injected errors of the 14 examples.

### 3 Getting the artifact

The artifact endorsed by the Artifact Evaluation Committee is available free of charge on the Dagstuhl Research Online Publication Server (DROPS). The VM containing the artifact is available at: <https://zenodo.org/records/12683231>. You can also get the newest version of the mechanization at <https://github.com/ksun212/RFJCoq>, and the implementation at <https://github.com/ksun212/Refpy>.

### 4 Tested platforms

This VM is tested on an Ubuntu 22.04 virtual machine (VirtualBox), the virtual machine is granted 8 virtual cores (the host CPU is AMD 5800H), 8G memory, and 20G virtual disk, no other resource should be needed. We expect the VM to work properly on an AMD-based VirtualBox. The computation it performs is not resource-intensive, although it would run longer ( 10 seconds) on a low-end AMD CPU.

We expect the mechanization to work properly on any platform with Coq 8.17, and the Implementation on any platform with Python 3.10.

### 5 License

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### 6 MD5 sum of the artifact

d7ac9157fe33c460972f771647ed8d62

### 7 Size of the artifact

7.6 GiB